

# Thoughts on Hadronization (... of Heavy Quarks in QGP)

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“QCD Challenges from pp to AA Collisions”  
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# Outline

## 1.) Introduction

## 2.) Conceptual Issues

-- Relation to QCD Matter

## 3.) Theoretical Considerations

-- Conservation Laws + Constraints

## 4.) Practical Implementations (HICs)

-- Space-Momentum Correlations

-- Off-Equilibrium Quark Distributions

## 5.) Summary

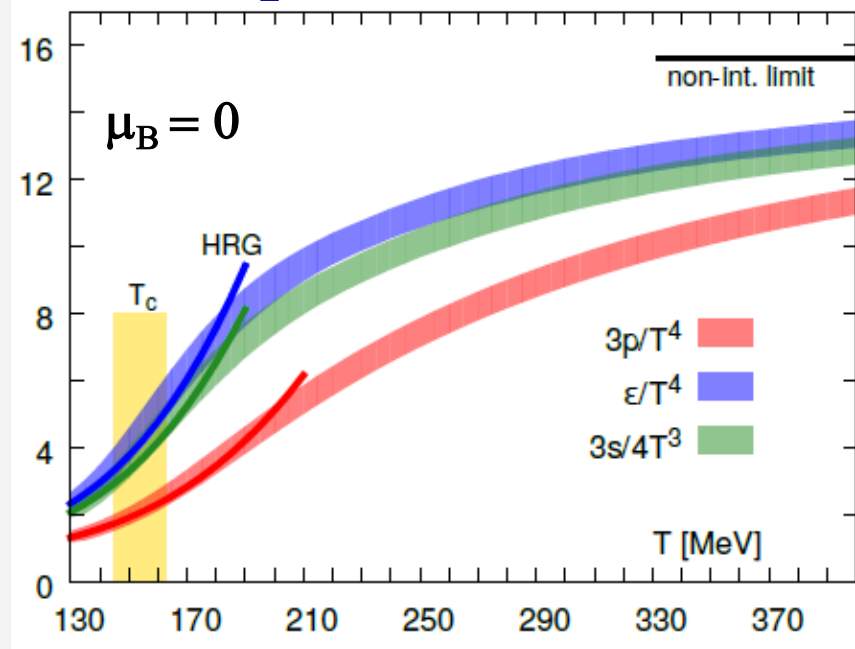
## 2.) Heavy Flavor in QCD Matter

**c**-quark diffusion  
in QGP liquid

**D**-meson  
diffusion  
in hadron  
liquid

**c**-quark  
hadronization

Equation of State



- Change in dofs above  $T \sim 160 \text{ MeV}$
- No “discontinuities” in interaction
- Strongly coupled quantum many-body system

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# 3.) Theoretical Considerations

- **Color neutralization near equilibrium:**

- spectral functions of the system transit from partonic to hadronic across  $T_{pc}$

- strong coupling  $\Rightarrow$  broad spectral functions

- embed heavy quarks in a realistic medium with realistic transition rates

- **Consistency with equilibrium limits**

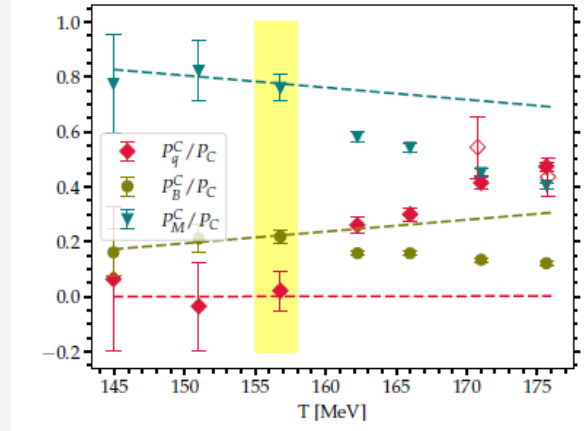
- kinetic: thermal partons  $\rightarrow$  thermal hadron

- chemistry: excited states (feeddown)

- **4-momentum conservation**

- Not trivial for  $\mathbf{c} + \mathbf{q} \rightarrow \mathbf{D}$  bound state (spectral functions?!)

- **Entropy conservation** ...



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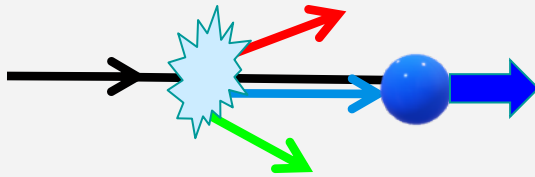
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# 4.1 Hadronization of Heavy Quarks

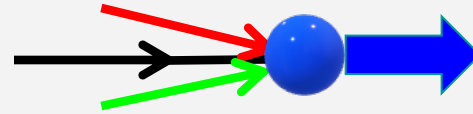
- **Fragmentation**



$$c \rightarrow D, D^*, D_s, \Lambda_c, \dots$$

- determined by **empirical fragmentation functions**  $D_{c \rightarrow H_c}(z)$
- in principle universal (“vacuum”:  $e^+e^-$  collisions or high  $p_T$ )

- **Coalescence / Recombination**



$$c + q (s) \rightarrow D (D_s), D^*, \dots ; \quad c + q + q (s) \rightarrow \Lambda_c (\Xi_c), \dots$$

depends on environment (phase space of surrounding anti-/quarks)

- instantaneous coalescence (spatial wave functions), global
- resonance recombination (momentum space), local
- string recombination, local

# 4.2 Heavy-Quark Recombination

- Instantaneous Coalescence Models (ICMs)**

[Hwa '80, Likhoded et al '83, ...  
Greco et al + Fries et al '03,...]

$$f_h(\mathbf{p}'_h) = \int \left[ \prod_i d\mathbf{p}_i f_i(\mathbf{p}_i) \right] W(\{\mathbf{p}_i\}) \delta(\mathbf{p}'_h - \sum_i \mathbf{p}_i)$$

$$W_s = g_h \frac{(2\sqrt{\pi}\sigma)^3}{V} e^{-\sigma^2 \mathbf{k}^2}$$

Wigner function,  $\sigma \sim$  radius parameter for each hadron  $h$

- energy not conserved  $\rightarrow$  challenge for chemical + thermal equilibrium

- Resonance Recombination Model (RRM)**

[Ravagli et al '07, He et al '12]

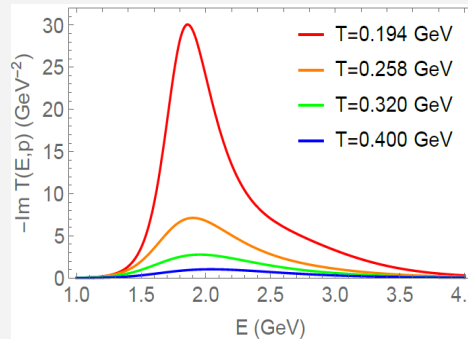
- derived from Boltzmann equation

$$f_M(\vec{x}, \vec{p}) = \frac{\gamma_M(p)}{\Gamma_M} \int \frac{d^3\vec{p}_1 d^3\vec{p}_2}{(2\pi)^3} f_q(\vec{x}, \vec{p}_1) f_{\bar{q}}(\vec{x}, \vec{p}_2) \sigma_M(s) v_{rel}(\vec{p}_1, \vec{p}_2) \delta^3(\vec{p} - \vec{p}_1 - \vec{p}_2)$$

$\sigma_M(s) v_{rel} \sim |T_{qj}|^2$  : resonant heavy-light scatt. amplitude

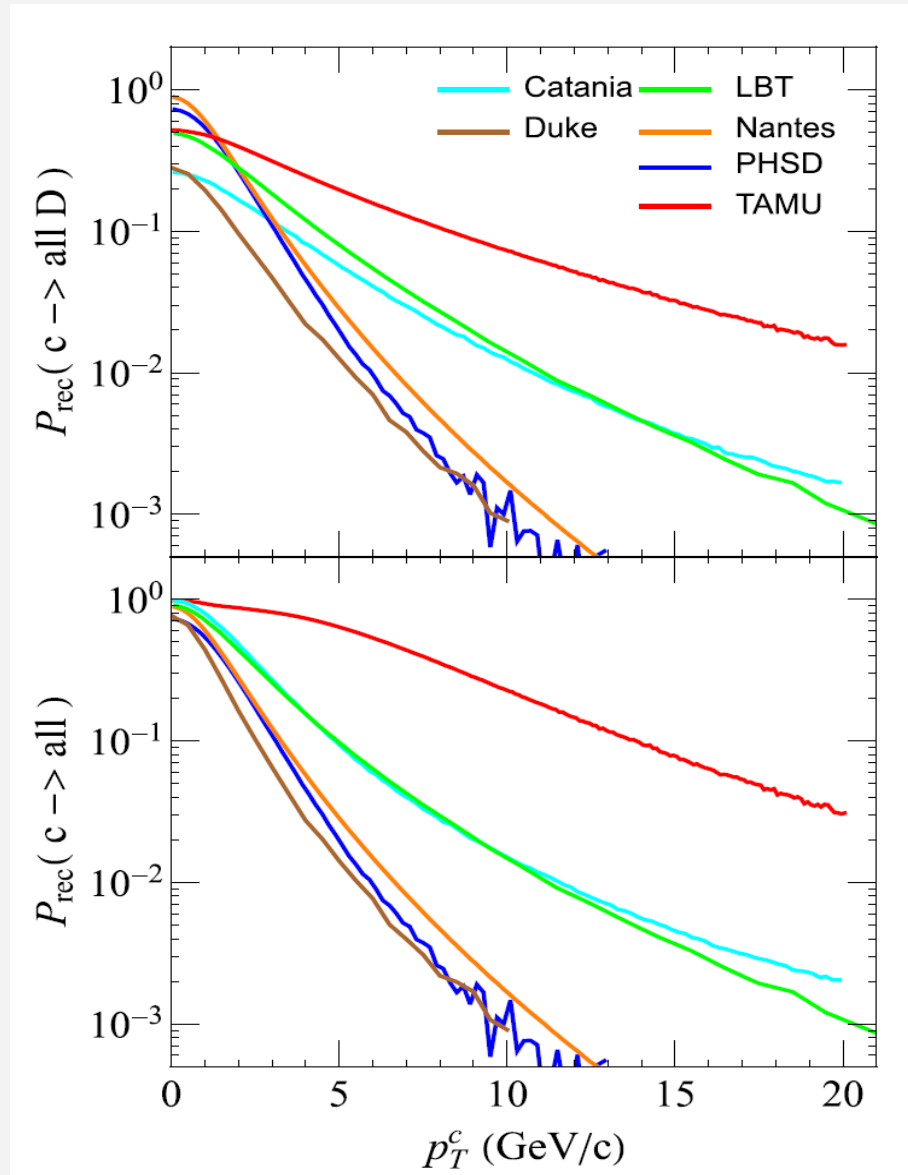
$\rightarrow$  directly connect to  $T$ -matrix interactions in QGP near  $T_c$

$\rightarrow$  encodes equilibrium limits





# 4.3 Heavy-Quark Recombination Probabilities



- Large model spread
- Non-collinear recombination + excited resonances reach to high  $p_T$

# 4.4 Hydrodynamics + Space-Momentum Correlations

- Meson Distribution on Hydro Hypersurface**

$$\frac{dN}{p_T dp_T d\phi dy} = \int_{\Sigma} \frac{p_{\mu} d\sigma^{\mu}(\tau, x, y)}{(2\pi)^3} f_M(\tau, x, y; \mathbf{p})$$

→ thermalized anti-/quarks ⇒ thermal mesons!  
(including  $\mathbf{v}_2, \dots$ )

- Extension to Baryons**

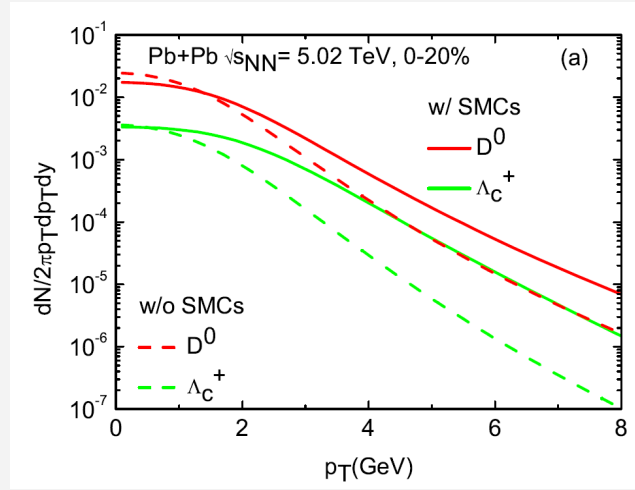
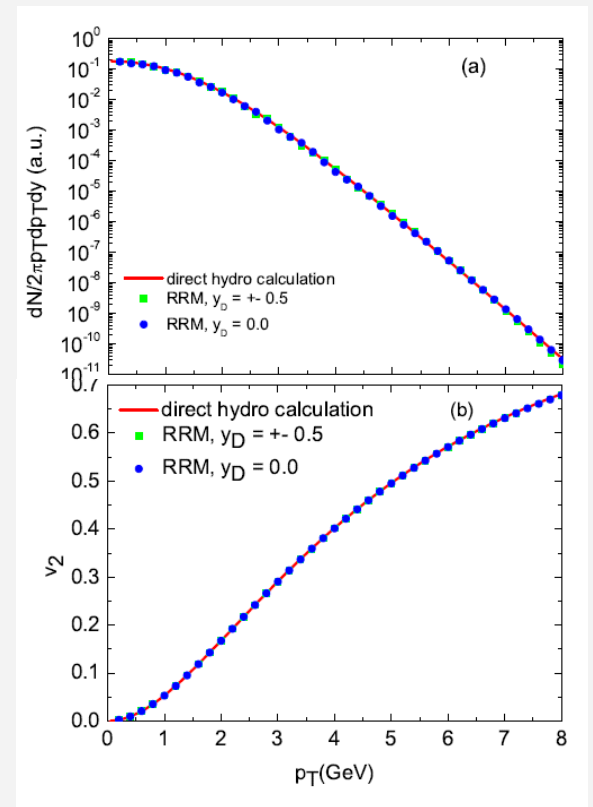
[He+RR '19]

$$f_B(\vec{x}, \vec{p}) = \frac{\gamma_B}{\Gamma_B} \int \frac{d^3\vec{p}_1 d^3\vec{p}_2 d^3\vec{p}_3}{(2\pi)^6} \frac{\gamma_{dq}}{\Gamma_{dq}} f_1(\vec{x}, \vec{p}_1) f_2(\vec{x}, \vec{p}_2) \times f_3(\vec{x}, \vec{p}_3) \sigma_{dq}(s_{12}) v_{\text{rel}}^{12} \sigma_B(s) v_{\text{rel}}^{dq3} \delta^3(\vec{p} - \vec{p}_1 - \vec{p}_2 - \vec{p}_3)$$

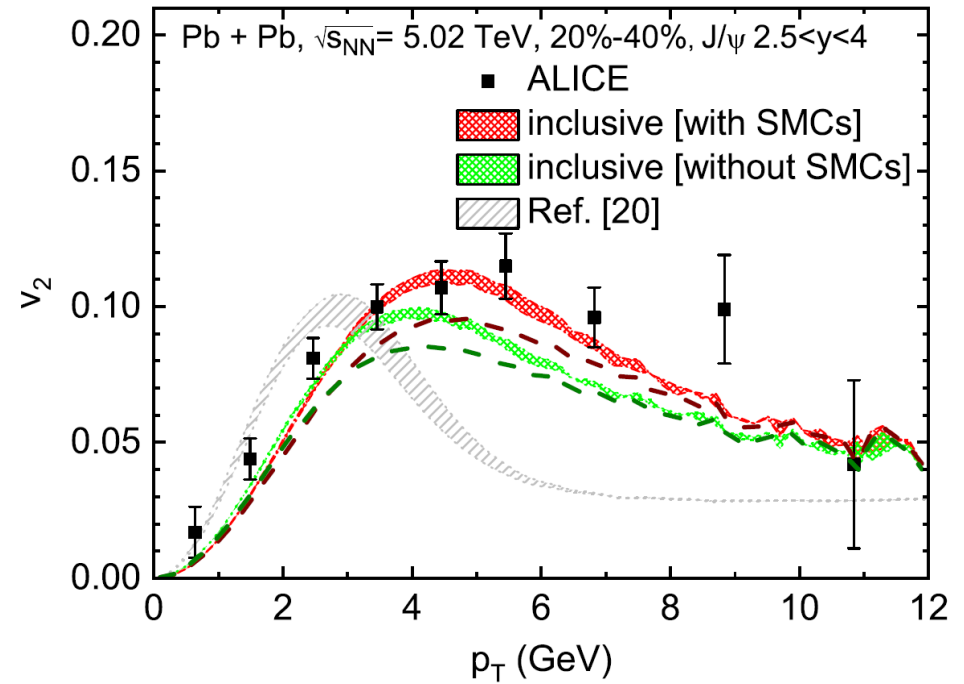
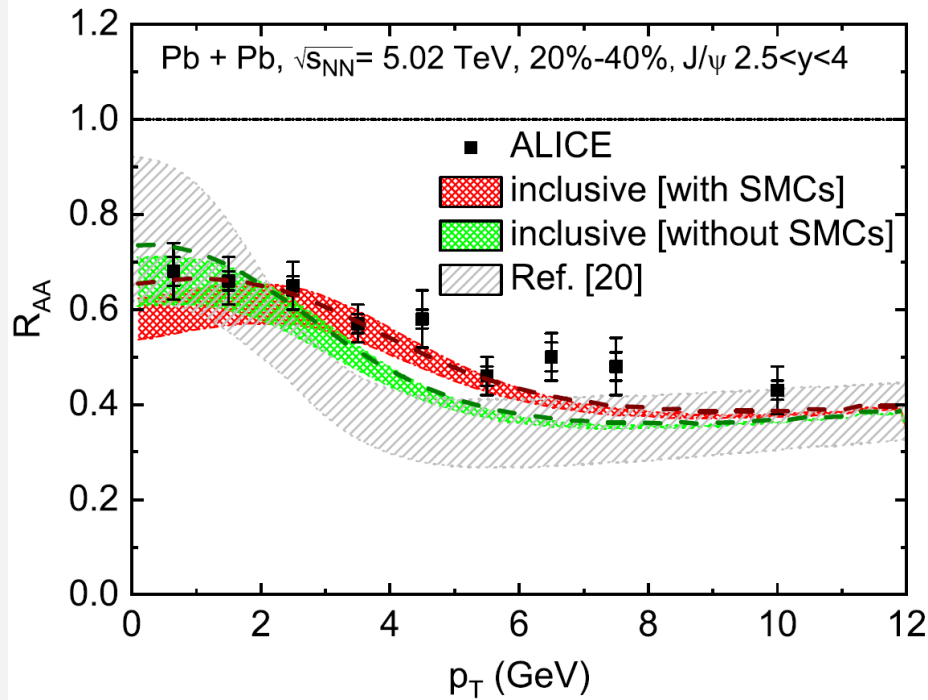
- Space-Momentum Correlations**

- hallmark of hydro evolution

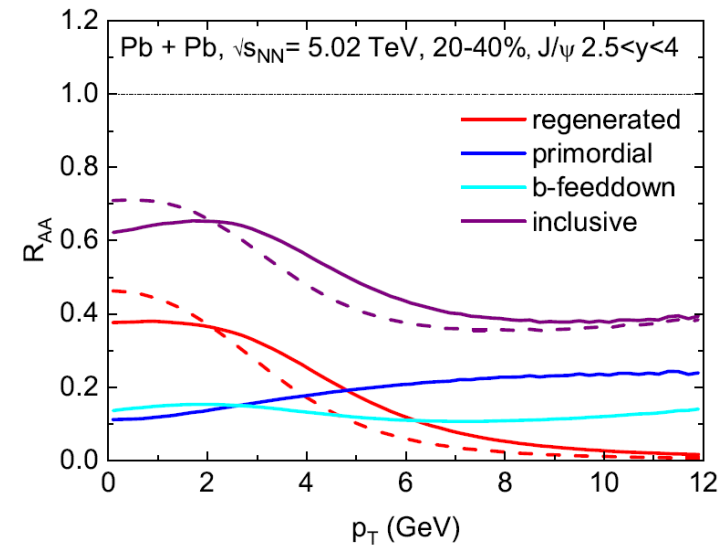
→ fast charm quarks in outer high-flow regions, coalescence contribution out to higher  $p_T$



# 4.5 Off-Equilibrium Quarks + SMCs: J/ $\psi$



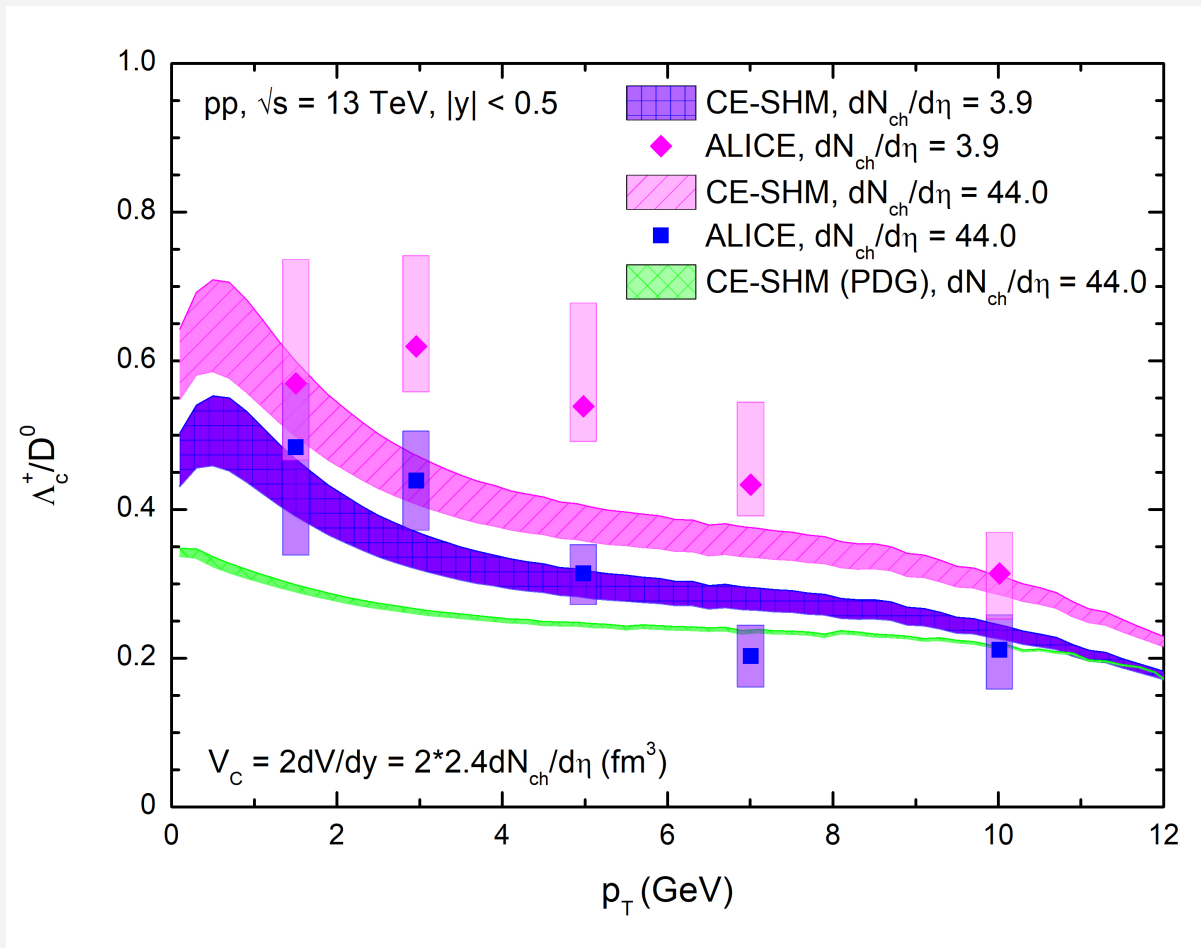
- Off-equilibrium **c**-quark spectra + space-momentum correlations
- ⇒ recombination out to  $p_T \sim 8$  GeV
- ⇒ **much improved description of  $v_2$**



## 5.) Future Developments

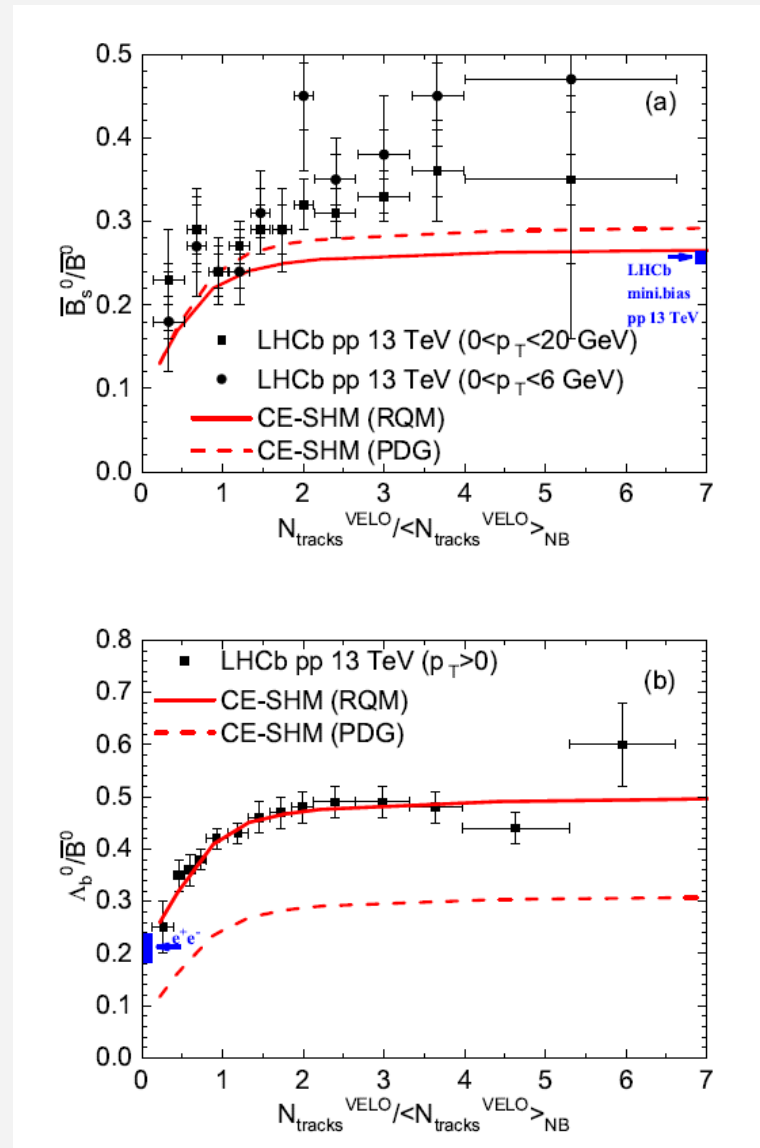
- **Currently:**
  - quarks recombine above threshold with schematic widths in Breit-Wigner
  - no absolute normalization (hypersurface, rather than rate)
- **Future:**
  - implement realistic spectral functions to recombine into bound states
  - utilize production rates to assess absolute yields
  - $E_c$  problem
  - rapidity (multiplicity) dependence
  - model criteria and synergies
  - ...

# 4.6 Multiplicity Dependence of HQ Recombination



- Canonical suppression at low multiplicity (baryon number)

# 4.6.2 Multiplicity Dependence: Bottom Sector



- Canonical suppression at low multiplicity

# Transport Approaches

- Boltzmann equation for HQ phase-space distribution  $f_Q$

$$\left[ \frac{\partial}{\partial t} + \frac{\mathbf{p}}{\omega_p} \frac{\partial}{\partial \mathbf{x}} + \mathbf{F} \frac{\partial}{\partial \mathbf{p}} \right] f_Q(t, \mathbf{x}, \mathbf{p}) = C[f_Q]$$

- explicit simulation of medium (quasi-) particles in collision term
- semi-classical approximation

- Fokker-Planck equation

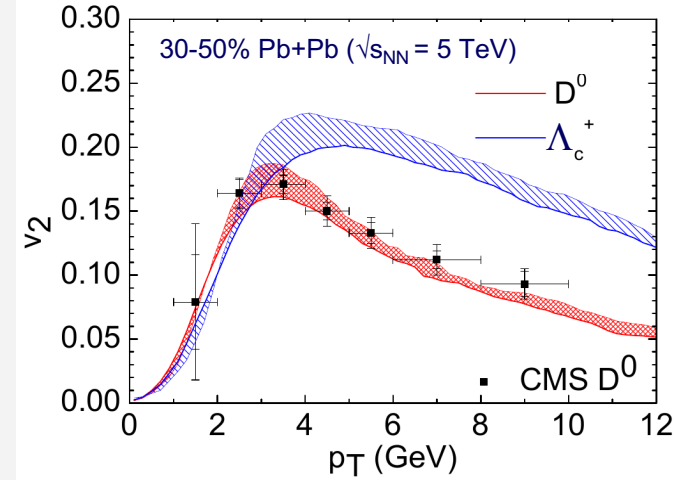
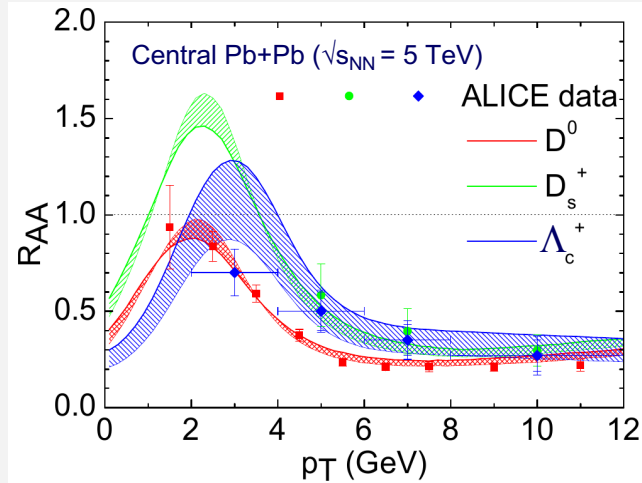
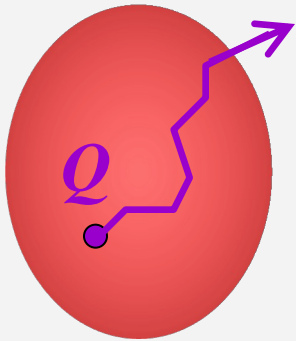
$$\frac{\partial}{\partial t} f_Q(t, \mathbf{p}) = \frac{\partial}{\partial p_i} \left\{ A_i(\mathbf{p}) f_Q(t, \mathbf{p}) + \frac{\partial}{\partial p_j} [B_{ij}(\mathbf{p}) f_Q(t, \mathbf{p})] \right\}$$

- follows from Boltzmann with  $\mathbf{p}^2 \sim m_Q T \gg \mathbf{q}^2 \sim T^2$ ; ok for  $m_Q/T \geq 5$
- **does not require quasi-particle medium**
- well suited for strongly coupled medium where  $\mathbf{E}_{\text{th}} \leq \Gamma_{\mathbf{q},Q} < m_Q$

# 3.) Charmonium $p_T$ Spectra Revisited [He,Wu+RR '22]

- **Main Idea: Use transported c-quark spectra from Langevin simulations**

[He+RR '20]



⇒ **implement into Resonance Recombination Model**  
(derived from Boltzmann equation)

[Ravagli et al '07,  
He et al '12]

$$f_M(\vec{x}, \vec{p}) = \frac{\gamma_M(p)}{\Gamma_M} \int \frac{d^3\vec{p}_1 d^3\vec{p}_2}{(2\pi)^3} f_q(\vec{x}, \vec{p}_1) f_{\bar{q}}(\vec{x}, \vec{p}_2) \sigma_M(s) v_{rel}(\vec{p}_1, \vec{p}_2) \delta^3(\vec{p} - \vec{p}_1 - \vec{p}_2)$$

- $\sigma_M(s) v_{rel} \sim |T_{QQ}|^2$ : charmonium amplitude
- regeneration yield normalized to rate equation result
- include space-momentum correlation (SMCs)



- **As you may know, this series has a special format with emphasis on discussion, triggered by relatively brief presentations (20-25 min.) that rather raise questions than provide answers. In this spirit we propose the following plan for the 3 parallel sessions of our track on both Tue and Wed morning and early afternoon:**

- **We start out with 4-5 talks each day in the morning in the following (informal) order:**

- **Tue: C. Bierlich, A. Ohlson, L. Bianchi, H. van Hees**

- **Wed: D. Bala, J. Wang, R. Rapp, A. Dubla, J. Stachel**

- **roughly corresponding to light flavor on Tue and heavy flavor on Wed (but by no means meant to be exclusive).**

- **We then continue with in-depth discussions for the remainder of the 2<sup>nd</sup> morning session and in the 1<sup>st</sup> afternoon session.**

- **We would like to charge each speaker to share their thoughts on the hadronization problem, both for small and large collisions systems, based on their personal expertise and preferences.**

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