

Experimental overview on heavy-flavour measurements

Mattia Faggin - CERN



International Workshop "QCD challenges from pp to AA collisions"

Track: *"Energy loss and transport in
the medium and in small systems"*

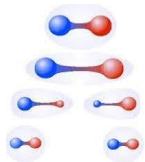
Münster (Germany)
3rd September 2024

Charm and beauty hadronization from e^+e^- to Pb–Pb

Hadronization: a key ingredient in all collision systems!



- “**Point-like**” object interaction
- **Fragmentation** in the vacuum



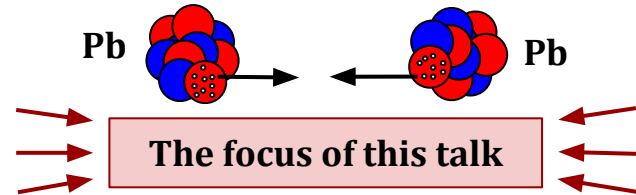
Fragmentation

- Hard scattering: $e^+e^- \rightarrow qq$
- Color string: $V_{\text{Cornell}}(r) \sim \kappa r$
- New qq pairs from multiple string breaking (confinement)



What happens in pp collisions?

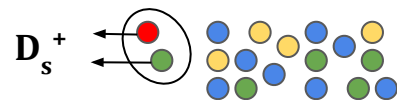
Track “*Hadronization of light and heavy flavour across collision systems*”



- **QGP**: complex system with **partonic d.o.f**
- Hadronization can be influenced by **coalescence** and **strangeness enhancement**

Coalescence

- Heavy quark recombines with light quarks in the QGP
- Expected increase of hadrons at intermediate-low p_T
- QGP: interplay with fragmentation



The observables in Pb–Pb collisions

1 Production spectra and R_{AA}

$$R_{AA}(p_T, y) = \frac{1}{\langle N_{\text{coll}} \rangle} \cdot \frac{d^2 N_{AA} / dp_T dy}{d^2 N_{pp} / dp_T dy}$$

$\langle N_{\text{coll}} \rangle$: average number of binary nucleon-nucleon collisions

$R_{AA} = 1$: no modifications

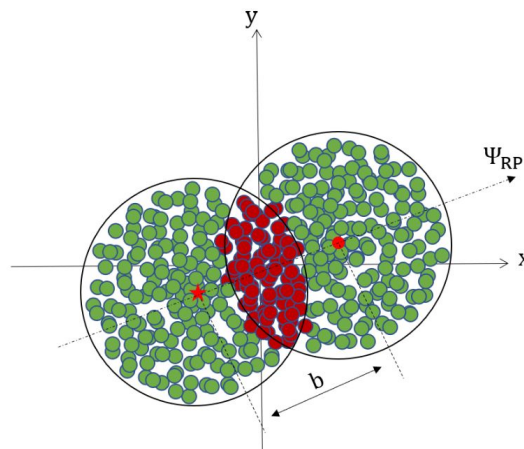
$R_{AA} \neq 1$: nuclear effects

2 Anisotropic flow

$$v_n(p_T) = \langle \cos[n(\varphi - \Psi_n)] \rangle$$

3 ... and particle ratios!

$$E \frac{d^3 N}{dp^3} = \frac{1}{2\pi} \frac{d^2 N}{p_T dp_T dy} \left(1 + 2 \sum_{n=1}^{\infty} v_n(p_T) \cos[n(\varphi - \Psi_n)] \right)$$

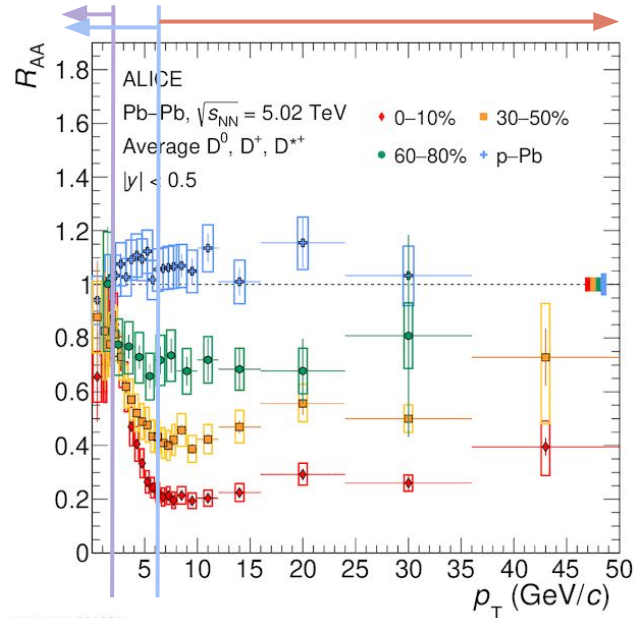


Reaction plane (Ψ_{RP}): between z axis and centers of nuclei

The observables in Pb–Pb collisions

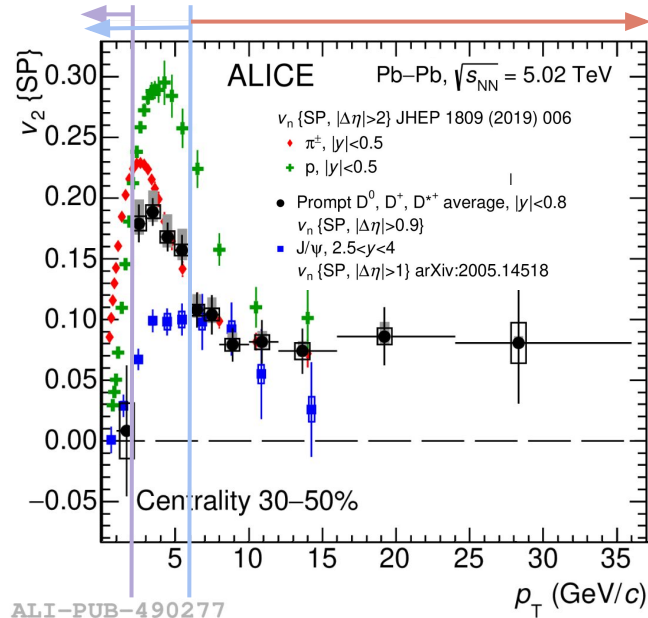
1 Production spectra and R_{AA}

$$R_{AA}(p_T, y) = \frac{1}{\langle N_{\text{coll}} \rangle} \cdot \frac{d^2 N_{AA} / dp_T dy}{d^2 N_{pp} / dp_T dy}$$



2 Anisotropic flow

$$v_n(p_T) = \langle \cos[n(\varphi - \Psi_n)] \rangle$$



Low p_T

- Elastic scatterings
- Diffusion via Langevin dynamics
- nPDF and shadowing

Intermediate p_T

- Charm- and beauty-quark hadronization

High p_T

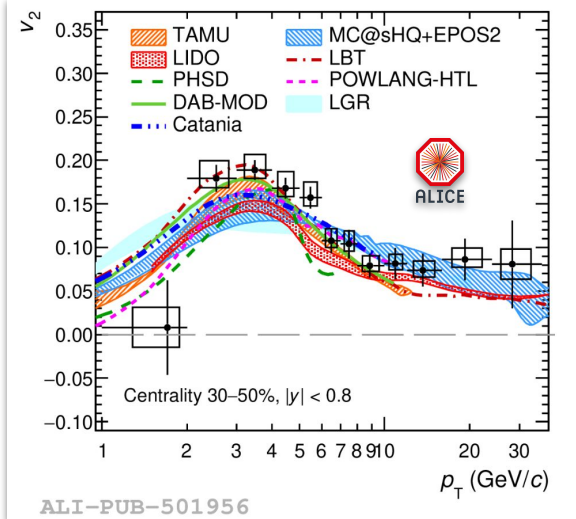
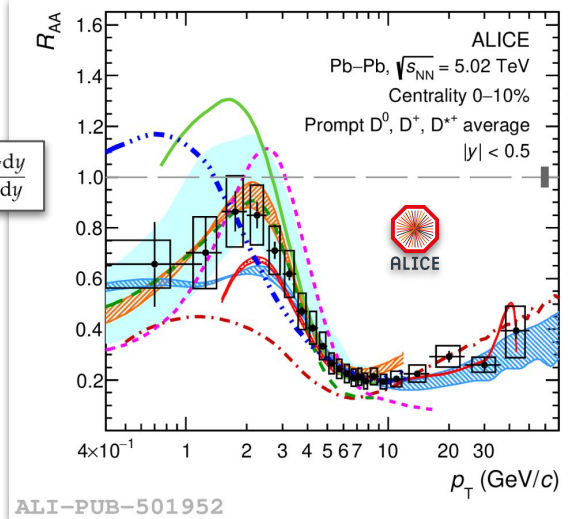
- Radiative E -loss
- Quark-mass and path length dependent E -loss

R_{AA} and v_2 compared to transport models

R_{AA}

$$R_{AA}(p_T, y) = \frac{1}{\langle N_{coll} \rangle} \cdot \frac{d^2 N_{AA} / d p_T dy}{d^2 N_{pp} / d p_T dy}$$

ALICE: [JHEP 01 \(2022\) 174](#)



v_2

$$v_n(p_T) = \langle \cos[n(\varphi - \Psi_n)] \rangle$$

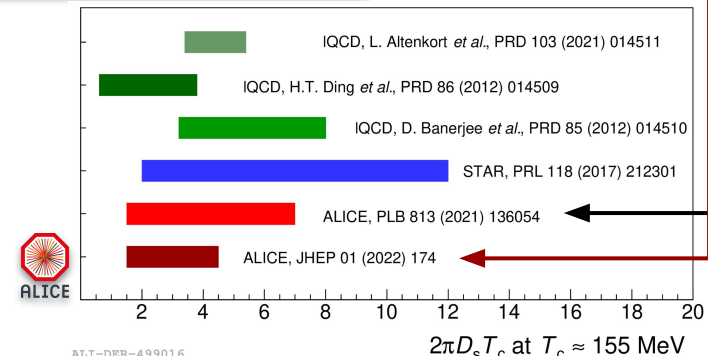
v_2 only

R_{AA} and v_2

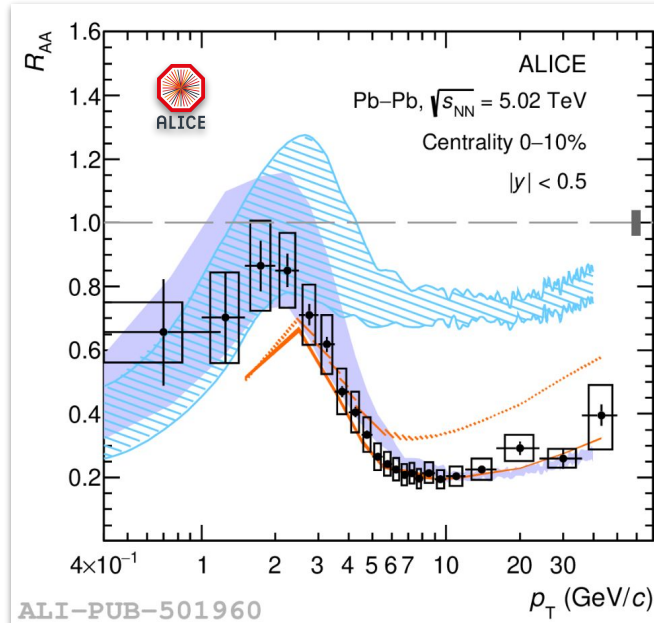
Measured R_{AA} and v_2 described by transport models

- **understanding of relevant effects** in different p_T intervals (next slides)
- sensitivity to transport regime (and charm-quark thermalization) at low p_T
 - **stronger constraint** to the **charm quark spatial diffusion coefficient** based on **data-to-model agreement**

$$1.5 < 2\pi D_s T_c < 4.5 \leftrightarrow \tau_{charm} \approx 3-8 \text{ fm}/c$$

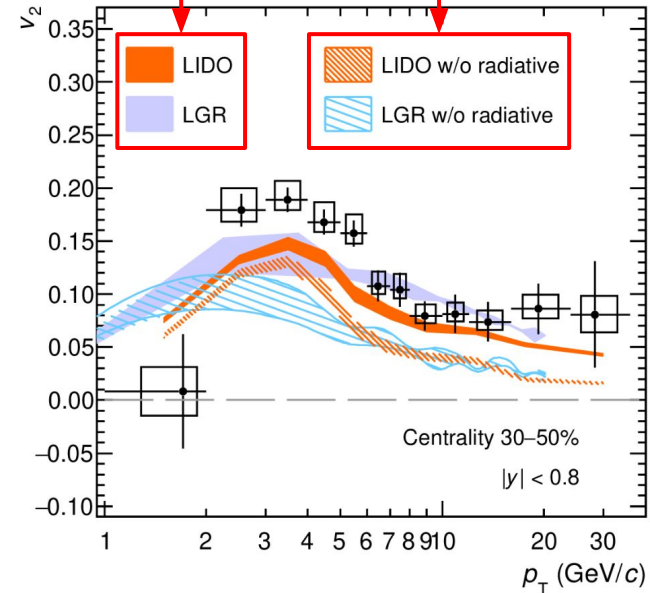


Radiative energy loss

 R_{AA}


with

without


 v_2

Measured R_{AA} and v_2 compared to transport models to **understand** the **relevant effects** on **charm-quark dynamics** in QGP

- **Radiative energy loss** important to describe the results at **high p_T** , while it is less relevant at low p_T



ALICE: [JHEP 01 \(2022\) 174](#)

TAMU: PRL 124, 042301 (2020)

DAB-MOD: PRC 96, 064903 (2017)

LBT: PLB 777 (2018) 255-259

LIDO: PRC 98, 064901 (2018)

Catania: PRC 96, 044905 (2017)

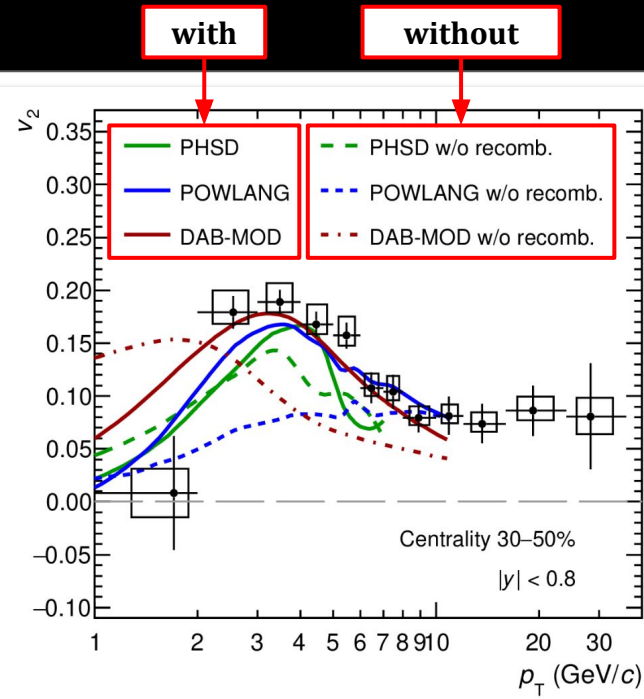
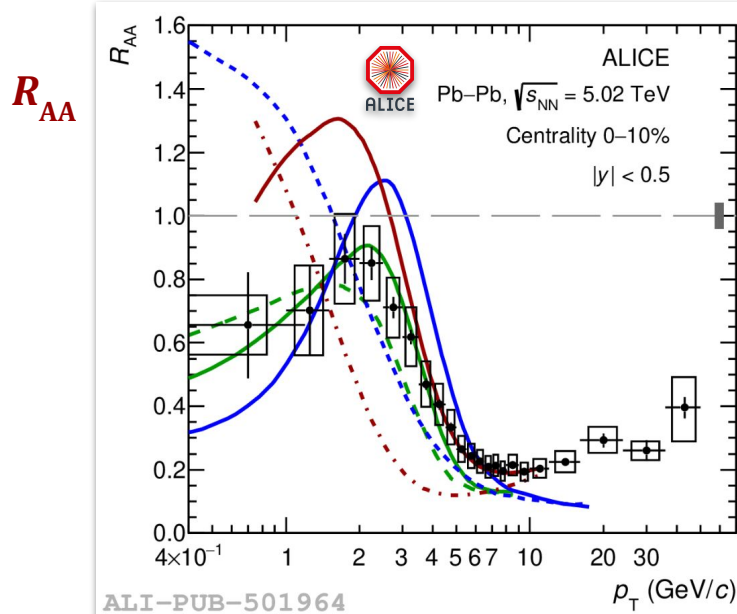
POWLANG: EPJC 75 (2015) 3, 121

PHSD: PRC 93, 034906 (2016)

MC@sHQ: PRC 91, 014904 (2015)

LGR: EPJC 80 (2020) 7, 671

Hadronization via coalescence



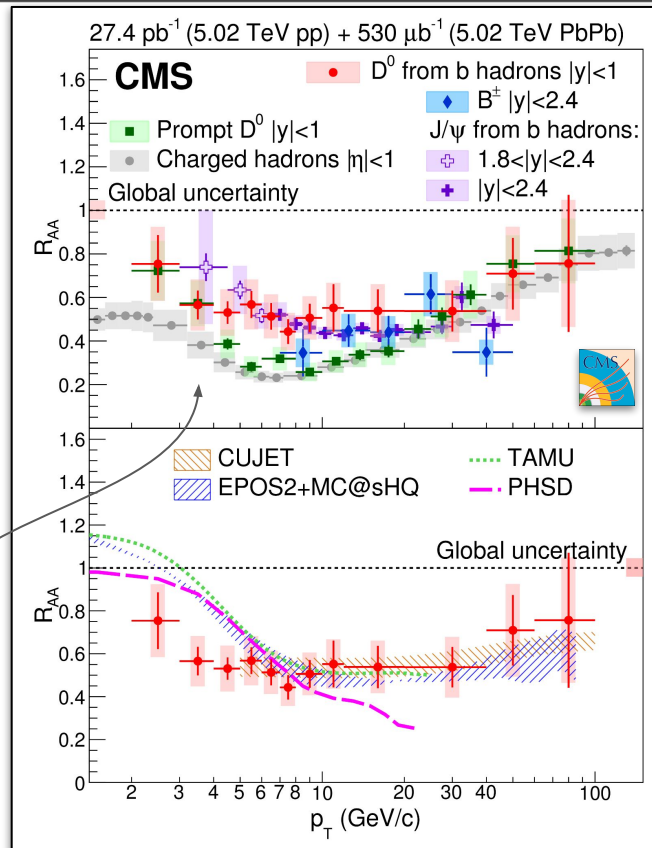
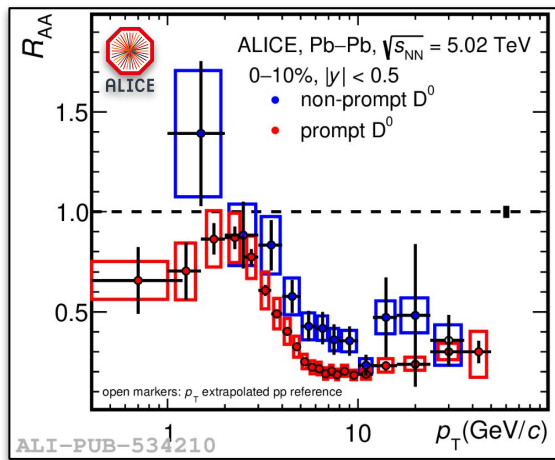
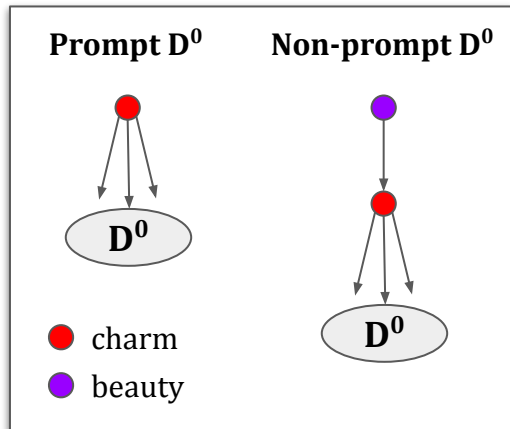
Measured R_{AA} and v_2 compared to transport models to **understand** the **relevant effects** on **charm-quark dynamics** in QGP

- Radiative energy loss important to describe the results at high p_T , while it is less relevant at low p_T
- **Hadronization** via **coalescence** important to describe the results at **low** and **intermediate** p_T



ALICE: [JHEP 01 \(2022\) 174](#)

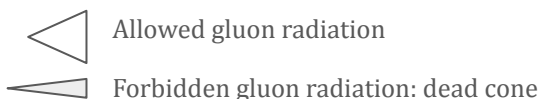
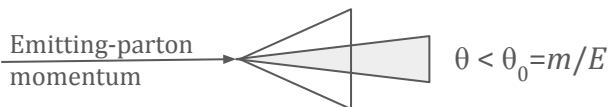
Beauty-quark dynamics from non-prompt D mesons



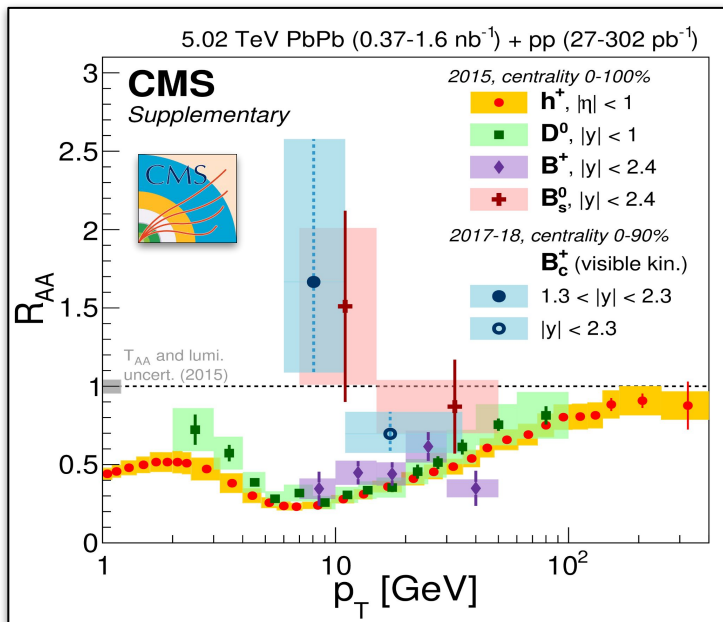
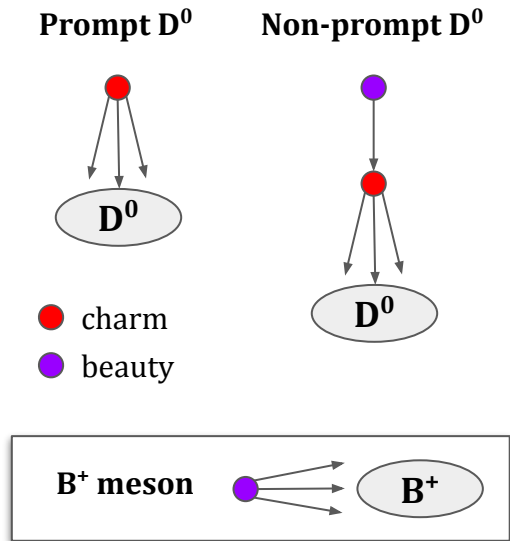
- R_{AA} (non-prompt D^0) described by models including radiative E -loss for $p_T > 5$ GeV/c
- The hierarchy

$$R_{AA}(\text{non-prompt } D^0) \sim R_{AA}(\text{non-prompt } J/\psi) \sim R_{AA}(B^\pm) > R_{AA}(\text{prompt } D) > R_{AA}(\text{ch. hadrons})$$

can be explained with the $m_b > m_c$ hierarchy and the **dead-cone effect**



Beauty-quark hadronization from B mesons R_{AA}

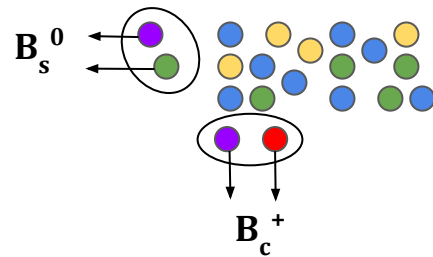


☞ CMS: [PRL 128 \(2022\) 252301](#)

☞ CMS: [PRL 123.022001 \(2019\)](#)

● beauty
● charm
● strange

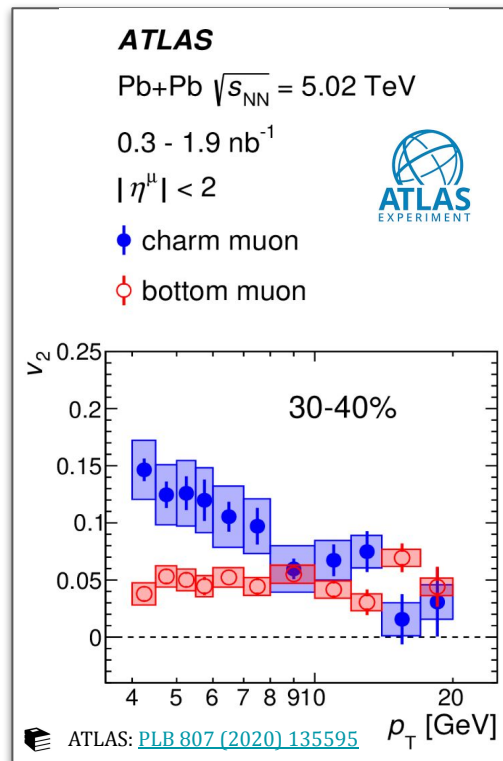
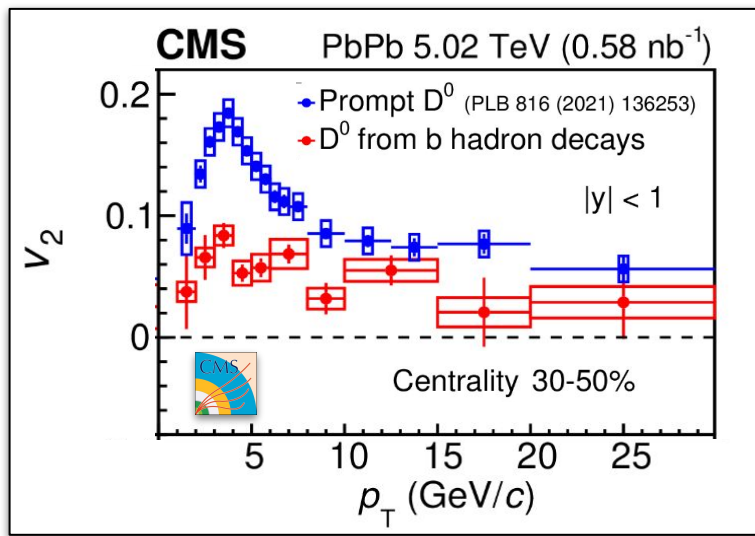
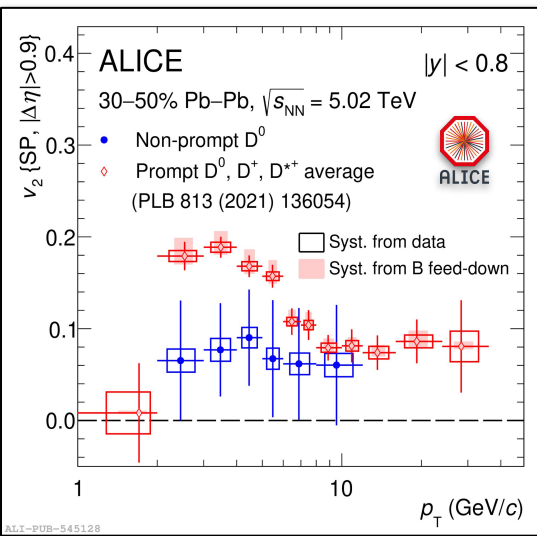
● up
● down



R_{AA} of B_s^0 (bottom-strange) and B_c^+ (bottom-charm) **larger** than that of other B mesons at **intermediate p_T**

- B_s^0 : coalescence between b-quark and s-quark from the QGP
- B_c^+ : **recombination** between **c-quark and b-quark**, despite they are not thermally produced?
 - B_c^+ : new particle to study the interplay between enhancement (hadronization at intermediate p_T) and suppression (E -loss at high p_T)

Beauty-hadron flow from non-prompt D-meson v_2



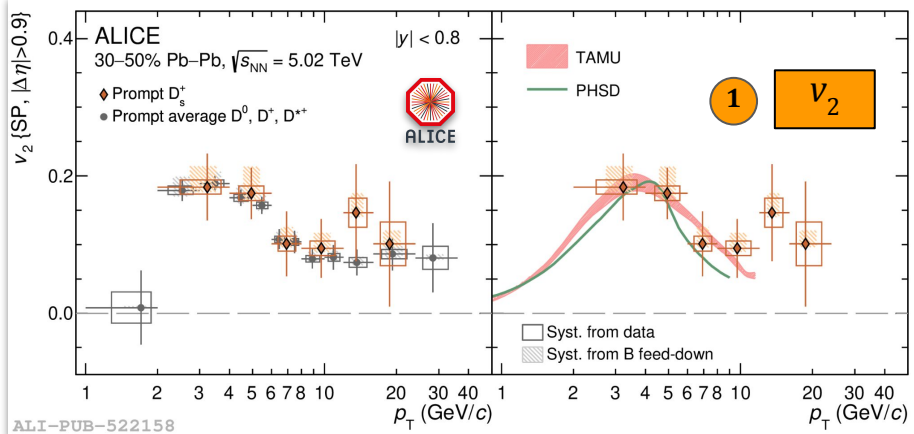
- **Flow larger than 0 for non-prompt D^0 mesons** (ALICE: 2.7σ)

- Indication of strong interaction of b-quark with the QGP

- v_2 **lower than** that of **prompt D mesons** (ALICE: 3.2σ)

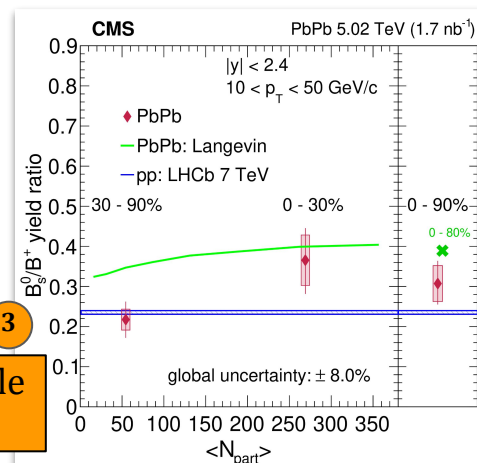
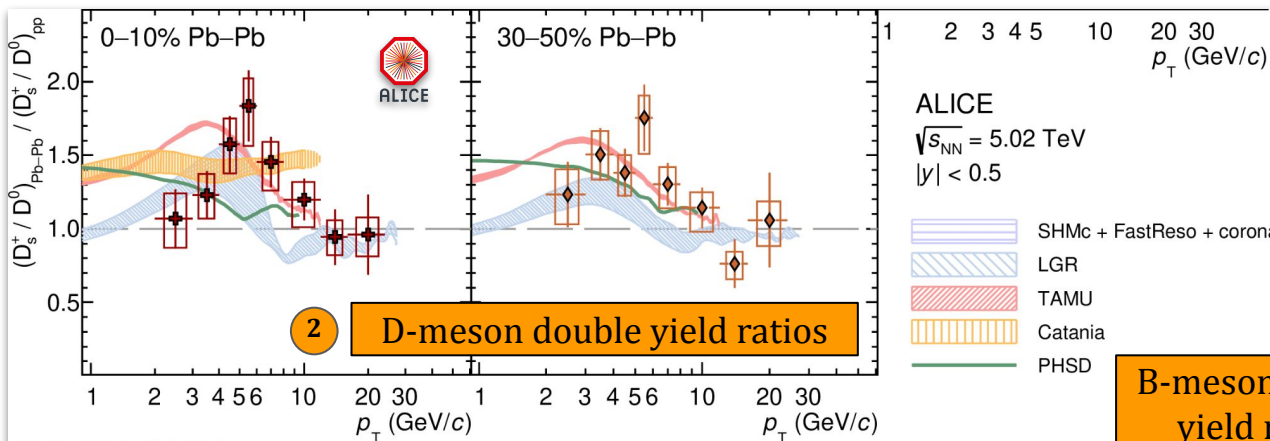
- **Different degree of participation** to the QGP collective motion between **charm** and **beauty quarks**
- Consistent with the expectation of a **weaker interaction** for b-quark than c-quark

Heavy-strange-meson production

ALICE: [PLB 827 \(2022\) 136986](#)CMS: [PLB 829 \(2022\) 137062](#)

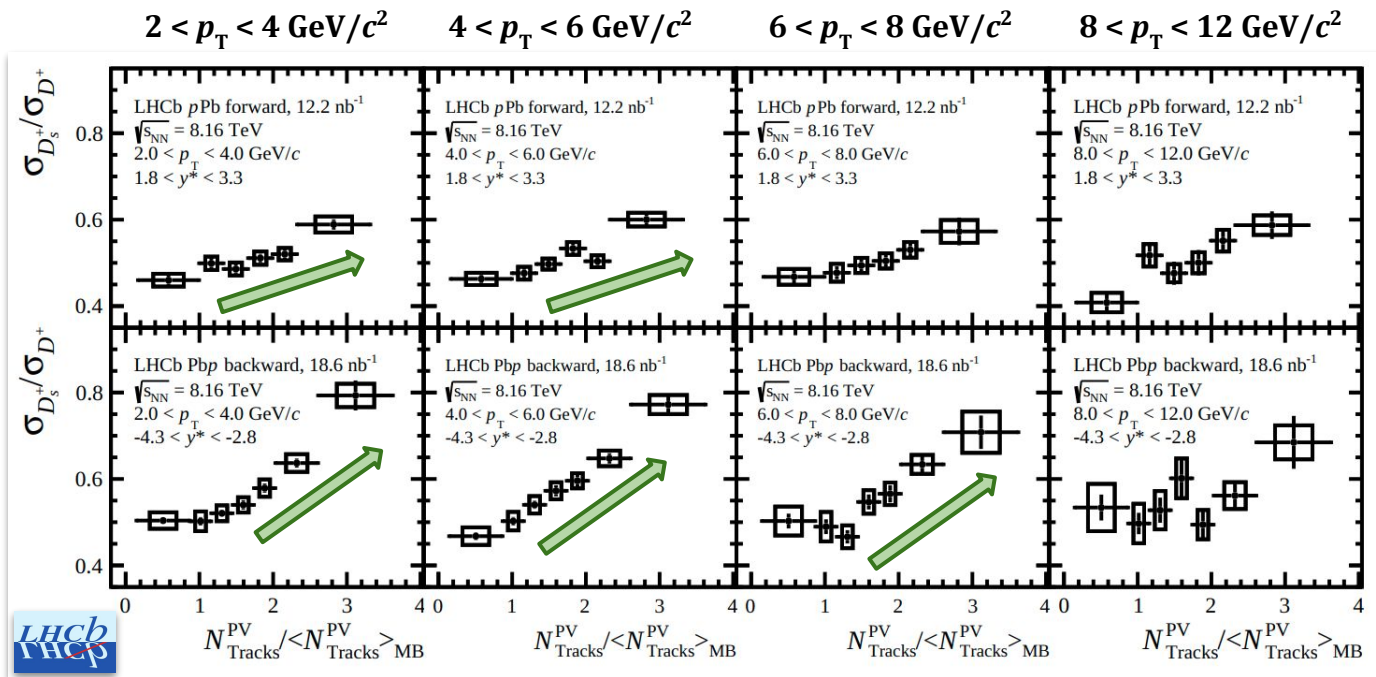
Sensitivity to coalescence and strangeness enhancement

- v_2 described by models including charm-quark coalescence with strange quarks flowing in the QGP
- Hint of higher D_s^+/D^0 ratio in Pb–Pb collisions than that in pp collisions (2.3 – 2.4σ at intermediate p_T)
- Similar for B_s^0/B^+ , with a hint of dependence vs. centrality

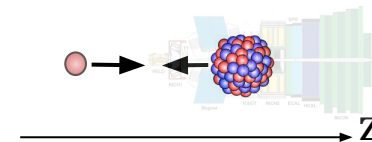


B-meson double yield ratios

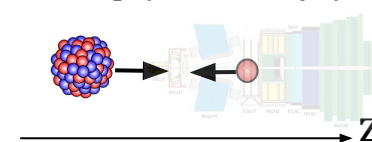
D_s^+ enhancement in high-multiplicity p-Pb collisions

 LHCb: [arXiv:2311.08490](https://arxiv.org/abs/2311.08490)


p-Pb (forward y^*)

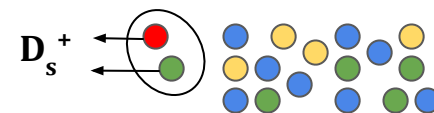


Pb-p (backward y^*)



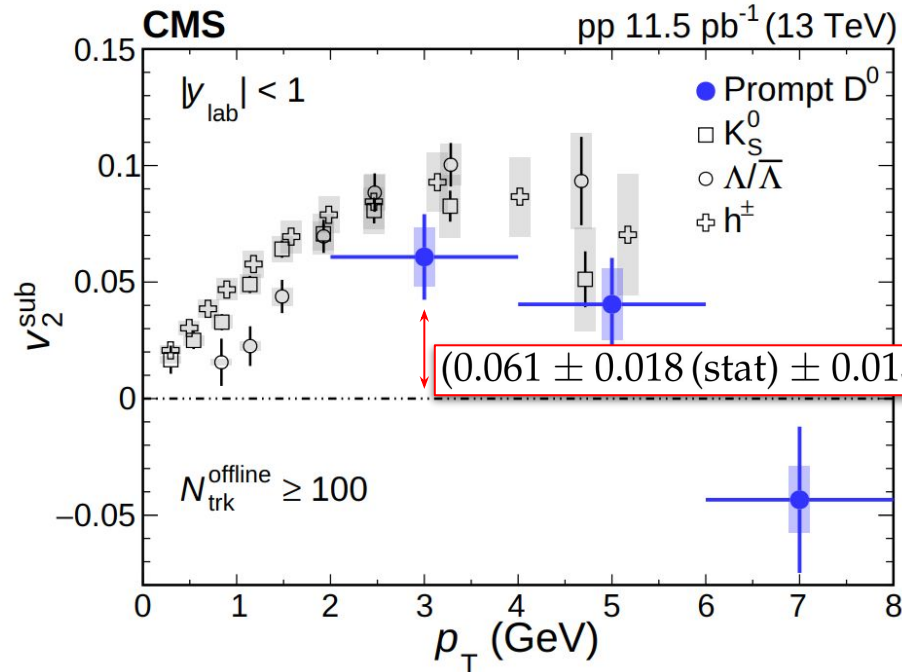
- Significant **increase vs. multiplicity** of prompt D_s^+/D^+ ratio in **p-Pb** collisions
 - **more pronounced for backward collisions**
- In line with a scenario including hadronization via **coalescence** and **strangeness enhancement** in **high-multiplicity p-Pb** collisions

● charm ● up
● strange ● down



Elliptic flow measurements in pp collisions

CMS: [Phys. Lett. B 813 \(2021\) 136036](#)



Track “Event properties and hydro in small and large systems”

- Prompt D⁰ v_2 in **pp collisions** at $\sqrt{s} = 13$ TeV measured by the CMS Collaboration
- **Hint of $v_2(\text{D}^0) > 0$** in $2 < p_T < 4$ GeV/c ($\sim 2.7\sigma$)



→ *Collectivity in small systems?*

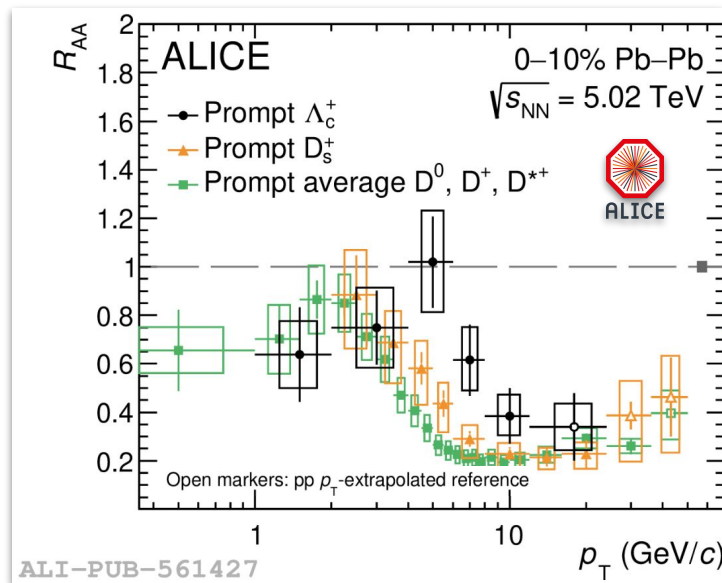
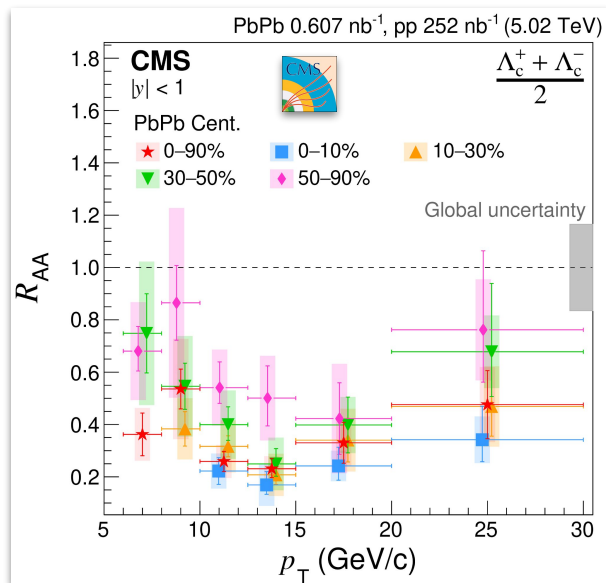
→ Influence of *non-flow effects* (initial-state effects, jets, resonance decays, ...)?



“Collectivity in high-energy pp collisions”

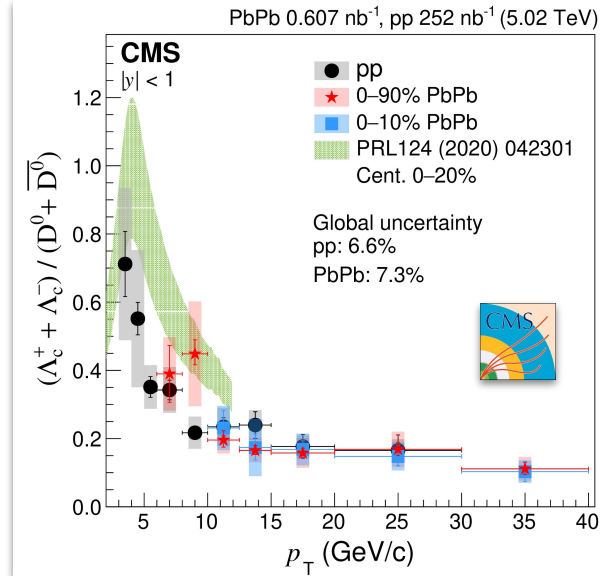
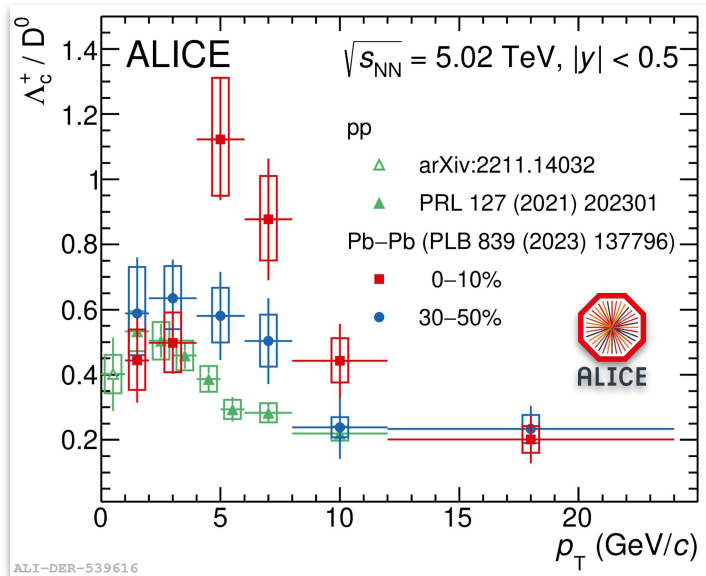
Y. Zhou, SQM 2024 ([link](#))

Nuclear modification factor of Λ_c^+ baryon

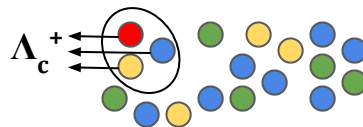


- Hint of $R_{AA}^{\text{central}}(\Lambda_c^+) < R_{AA}^{\text{peripheral}}(\Lambda_c^+) \rightarrow$ sensitivity to **different system size and energy density**
- Minimum value of $R_{AA}^{\text{central}}(D^0)$ at around $p_T = 6-8$ GeV/c, which is lower than that of $R_{AA}^{\text{central}}(\Lambda_c^+)$
- Hint of hierarchy $R_{AA}(\Lambda_c^+) > R_{AA}(D_s^+) > R_{AA}(\text{non-strange D})$ for $4 < p_T < 12$ GeV/c in most central collisions
 - Indication of larger enhancement for baryons due to **coalescence**
 - Interplay with **radial flow**?

Λ_c^+ / D^0 ratio in Pb–Pb collisions

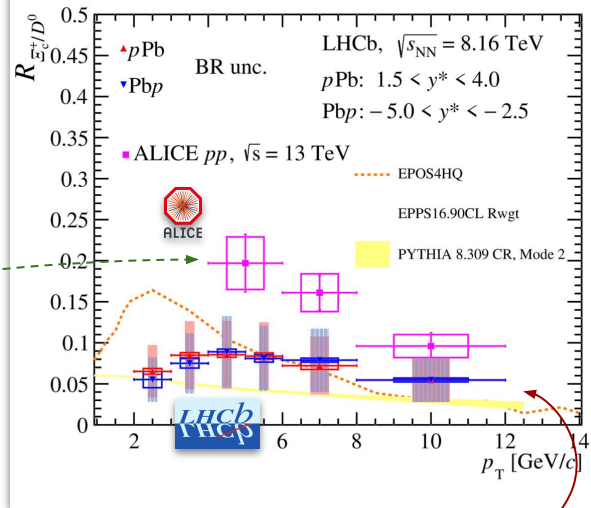
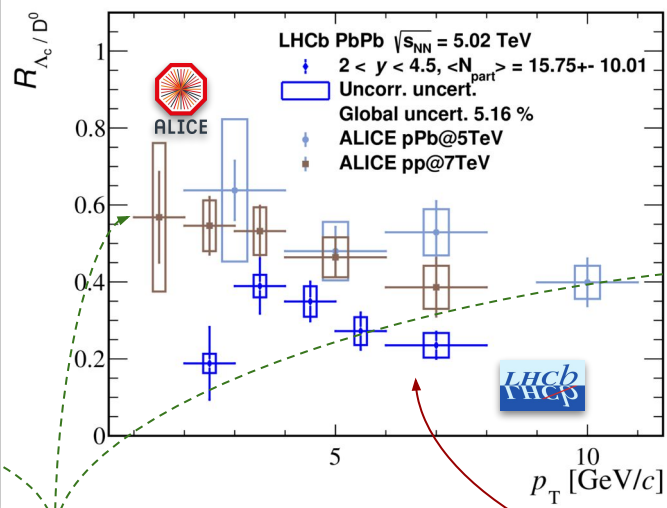
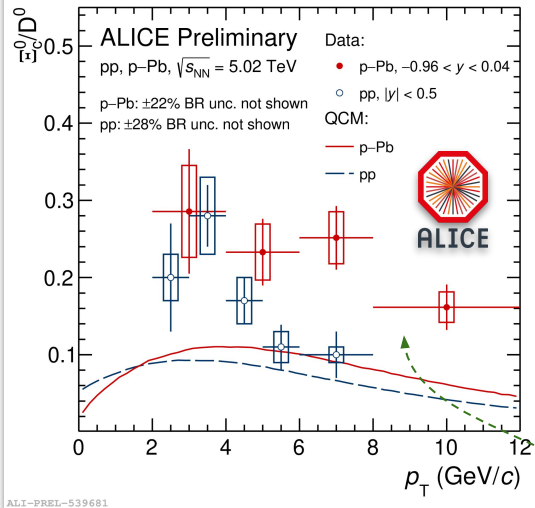


- Λ_c^+ / D^0 baryon-to-meson ratio **at midrapidity** significantly **higher** (ALICE: 3.7σ) in **central Pb-Pb** collisions than in **pp** collisions in the interval $4 < p_T < 8 \text{ GeV}/c$
 - Measurement in central Pb-Pb collisions described by **transport models** with **recombination**
- No significant collision-system and centrality dependence for $p_T > 12 \text{ GeV}/c$



ALICE: [PLB 839 \(2023\) 137796](#)
CMS: [arXiv:2307.11186 \[nucl-ex\]](#)

Charm-baryon production at the LHC - open points (1/2)



Midrapidity ($|y| < 0.5$)

Forward rapidity

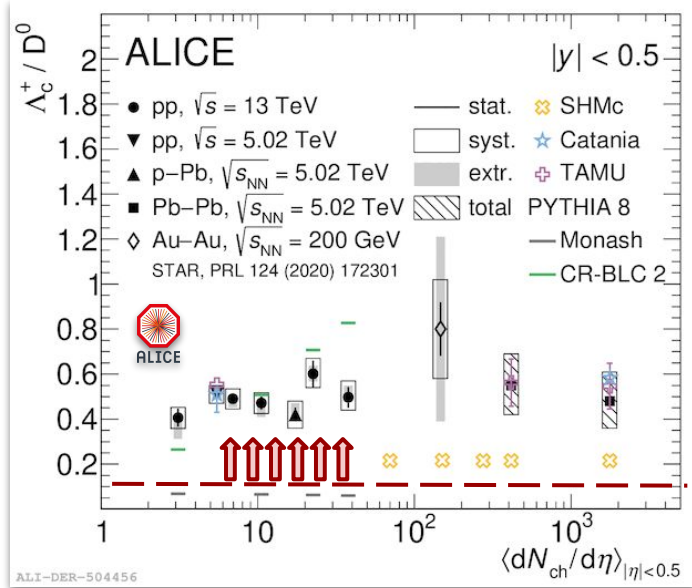
- Baryon-to-meson ratio at midrapidity compatible in pp and p-Pb collisions
 → hint of larger Ξ_c^0/D^0 in p-Pb collisions at $p_T > 4$ GeV/c
- **Baryon-to-meson ratio at forward rapidity systematically lower** than those at **midrapidity** across collision systems
 - influence of different parton and/or heavy-flavour quark densities in different rapidity ranges?

LHCb: [JHEP 06 \(2023\) 132](https://arxiv.org/abs/2305.06711)

LHCb: <https://arxiv.org/abs/2305.06711>



Charm-baryon production at the LHC - open points (2/2)



LEP: [EPIC 75.19 \(2015\)](#)

ALICE: [PLB 839 \(2023\) 137796](#)



Charm
baryon-to-mes
on ratio

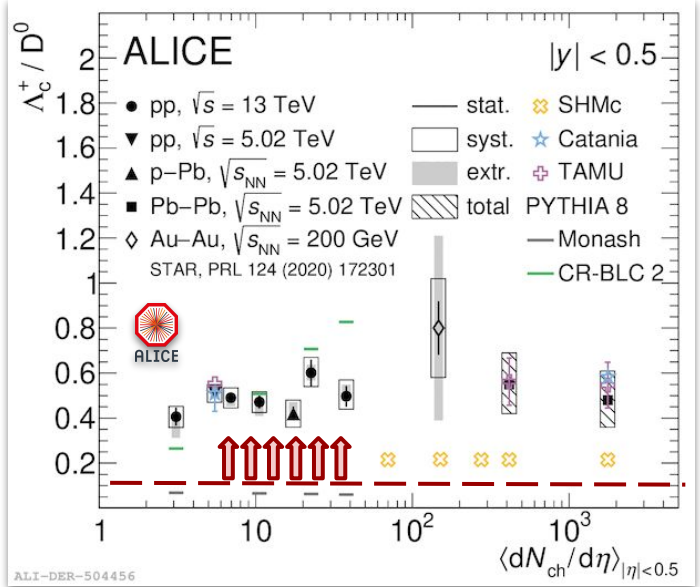
$e^+e^-: 0.113 \pm 0.013 \pm 0.006$

- **No significant dependence vs. multiplicity** of the p_T -integrated Λ_c^+ / D^0 ratio at mid- y across collision systems
- Ratio described by Catania (fragmentation + coalescence) and TAMU (SHM+RQM + 4-momentum conserving coalescence in Pb-Pb)
- PYTHIA 8 CR-BLC prediction does not reproduce the trend vs. multiplicity in pp collisions

→ Is the p_T -differential Λ_c^+ / D^0 enhancement just a consequence of radial flow and recombination?

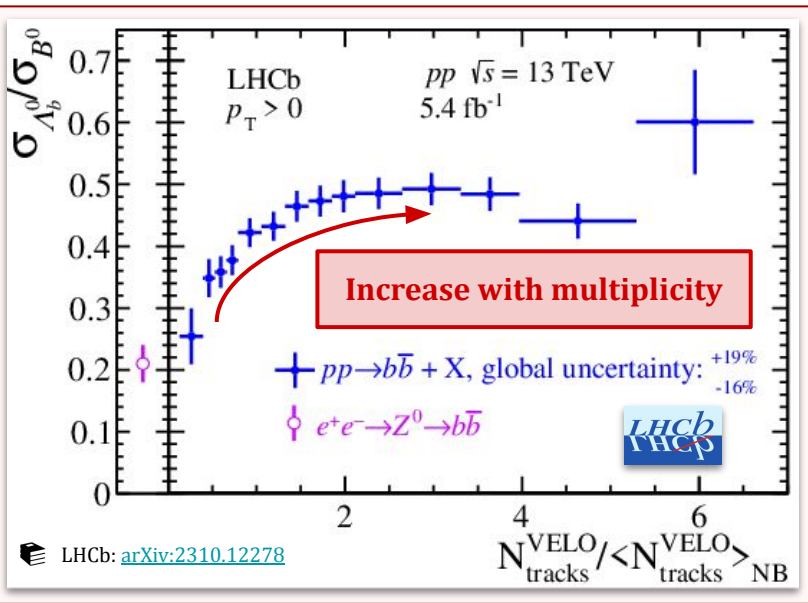


Charm-baryon production at the LHC - open points (2/2)



pp collisions

Beauty baryon-to-meson ratio



- No significant dependence vs. multiplicity of the p_T -integrated Λ_c^+ / D^0 ratio across collision systems
- Significant dependence versus multiplicity of the p_T -integrated Λ_b^0 / B^0 ratio at forward-y in pp collisions
 - increase of about a factor 2 from low to high multiplicity

→ **Influence of different parton and/or heavy-flavour quark densities in different rapidity ranges?**

→ Is the p_T -differential Λ_c^+ / D^0 enhancement just a consequence of radial flow and recombination?



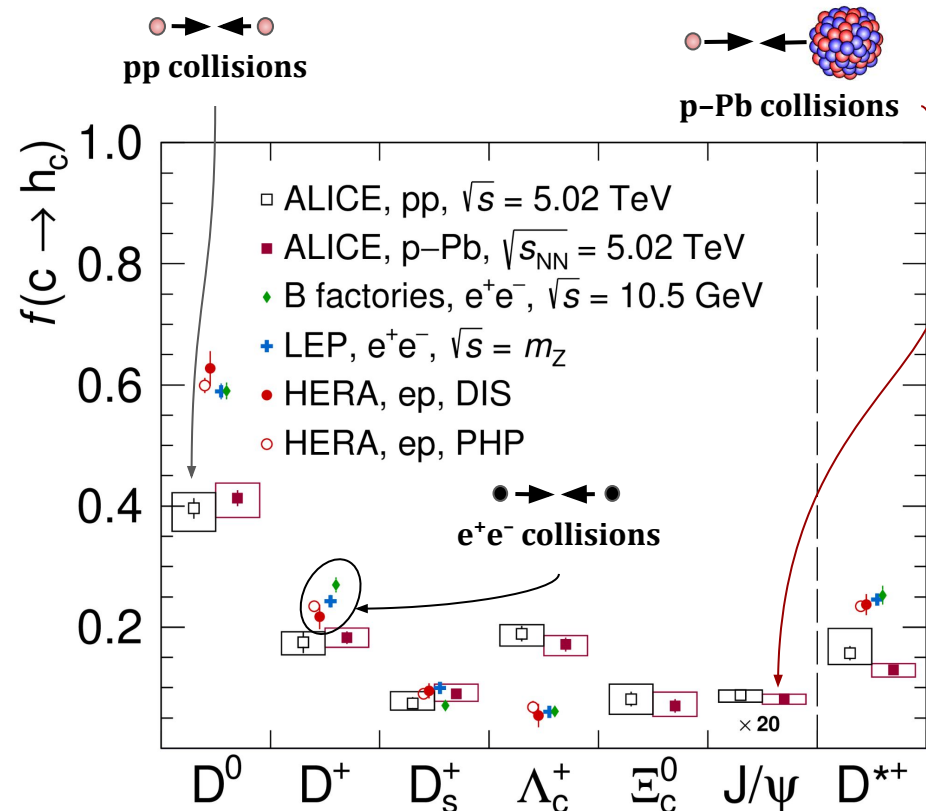
Charm-baryon production across collision systems

Baryon enhancement in all collision systems at the LHC compared to e^+e^-

- D mesons: $\downarrow\downarrow\downarrow \times 1.4-1.6$ with respect to e^+e^-
- Λ_c^+ baryon: $\uparrow\uparrow\uparrow \times \sim 3$ with respect to e^+e^-
- **No significant system dependence of charm fragmentation fractions**

Modification of hadronization mechanisms already in pp and p-Pb collisions, i.e. without QGP formation?

Track “Hadronization of light and heavy flavour across collision systems”



ALI-PUB-570972

Food for thinking ...



... actually, just an
unsatisfactory appetizer ...



Experiment vs. theory

1

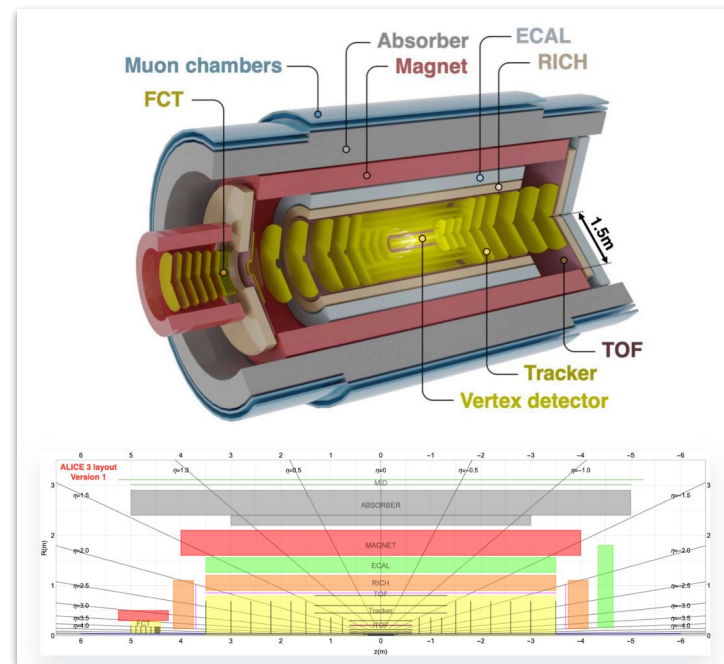
- **Direct beauty** measurement **more challenging** than **non-prompt charm** (at least fro ALICE)
- **Do we really gain** in physics knowledge by measuring beauty hadrons rather than non-prompt charm?
 - decay kinematics non trivial? (e.g. polarization)

Future experiments

2

- Increase of statistics and acceptance (e.g. ALICE 3 up to $|\eta| < 4$)
- Possible measurements: $D\bar{D}$ correlation, ... what else?!
- Can larger acceptance detectors be useful for other observables (e.g. hadronization vs. rapidity)?

ALICE 3 LOI: [CERN-LHCC-2022-009](https://cds.cern.ch/record/2811113/files/CERN-LHCC-2022-009)



2

3

4

...

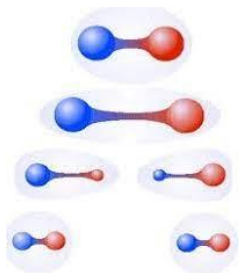


Backup

Charm and beauty hadronization from e^+e^- to Pb–Pb



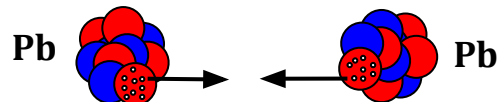
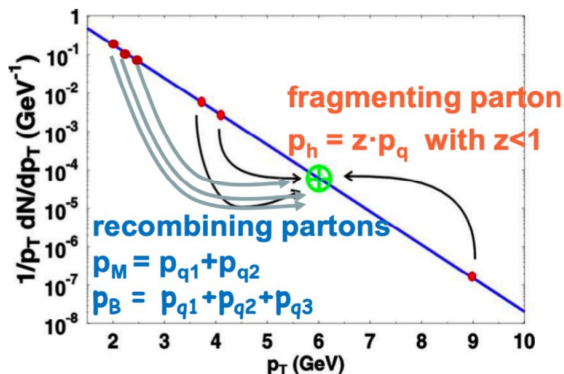
- “**Point-like**” object interaction
- **Fragmentation** in the vacuum



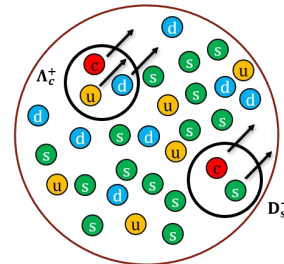
Fragmentation

- Hard scattering: $e^+e^- \rightarrow \bar{q}q$
- Color string: $V_{\text{Cornell}}(r) \sim \kappa r$
- New $q\bar{q}$ pairs from multiple string breaking (confinement)

Hadronization: a key ingredient in all collision systems!



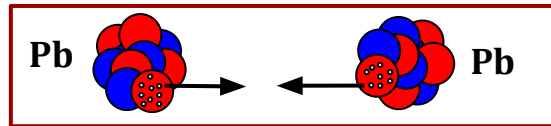
- **QGP**: complex system with **partonic d.o.f**
- Hadronization can be influenced by **coalescence** and **strangeness enhancement**



Coalescence

- Heavy quark recombines with light quarks in the QGP
- Expected increase of hadrons at intermediate-low p_T
- QGP: interplay with fragmentation

Charm and beauty hadronization from pp collisions



- Superposition of many “ e^+e^- ” collisions
- Changes in hadronization due to the surrounding color charges and those from MPI?
- **Do the model calculations based on the factorization approach describe the experimental results?**



DO NOT MISS OUT!

A. Rossi, Monday at 10:10
“Heavy-quark production and hadronisation as a function of event multiplicity with ALICE”

This talk: more focused on results in **heavy-ion collisions**

Spatial diffusion coefficient

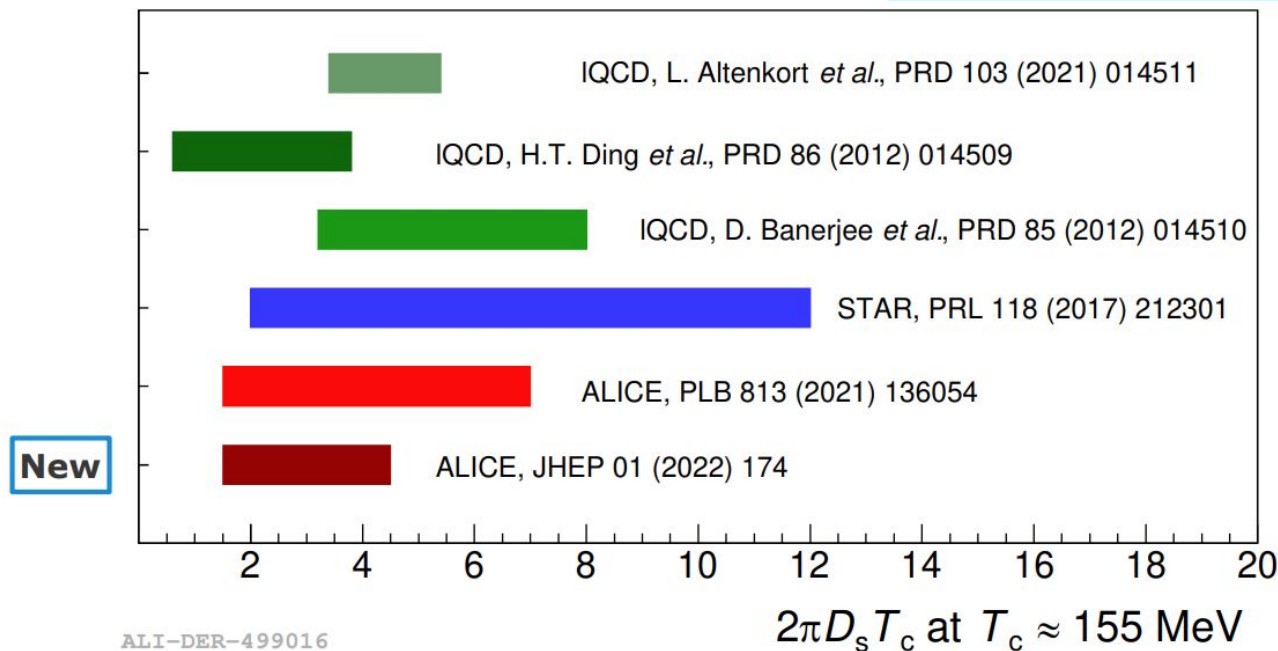
Constraining the spatial diffusion coefficient via the **data-to-model agreement**

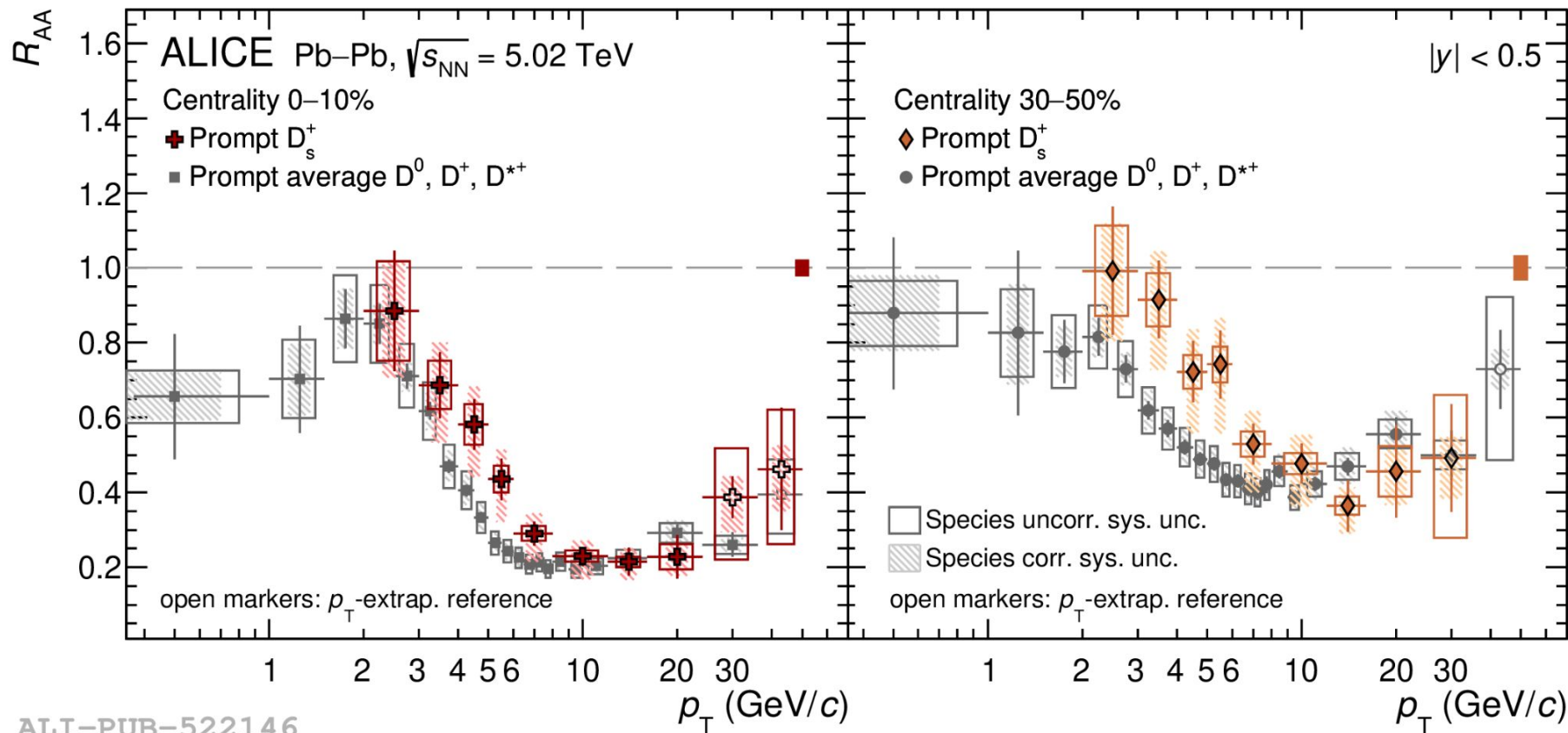
→ Using R_{AA} (with $\chi^2/\text{ndf} < 5$) and v_2 (with $\chi^2/\text{ndf} < 2$) non-strange D measurements

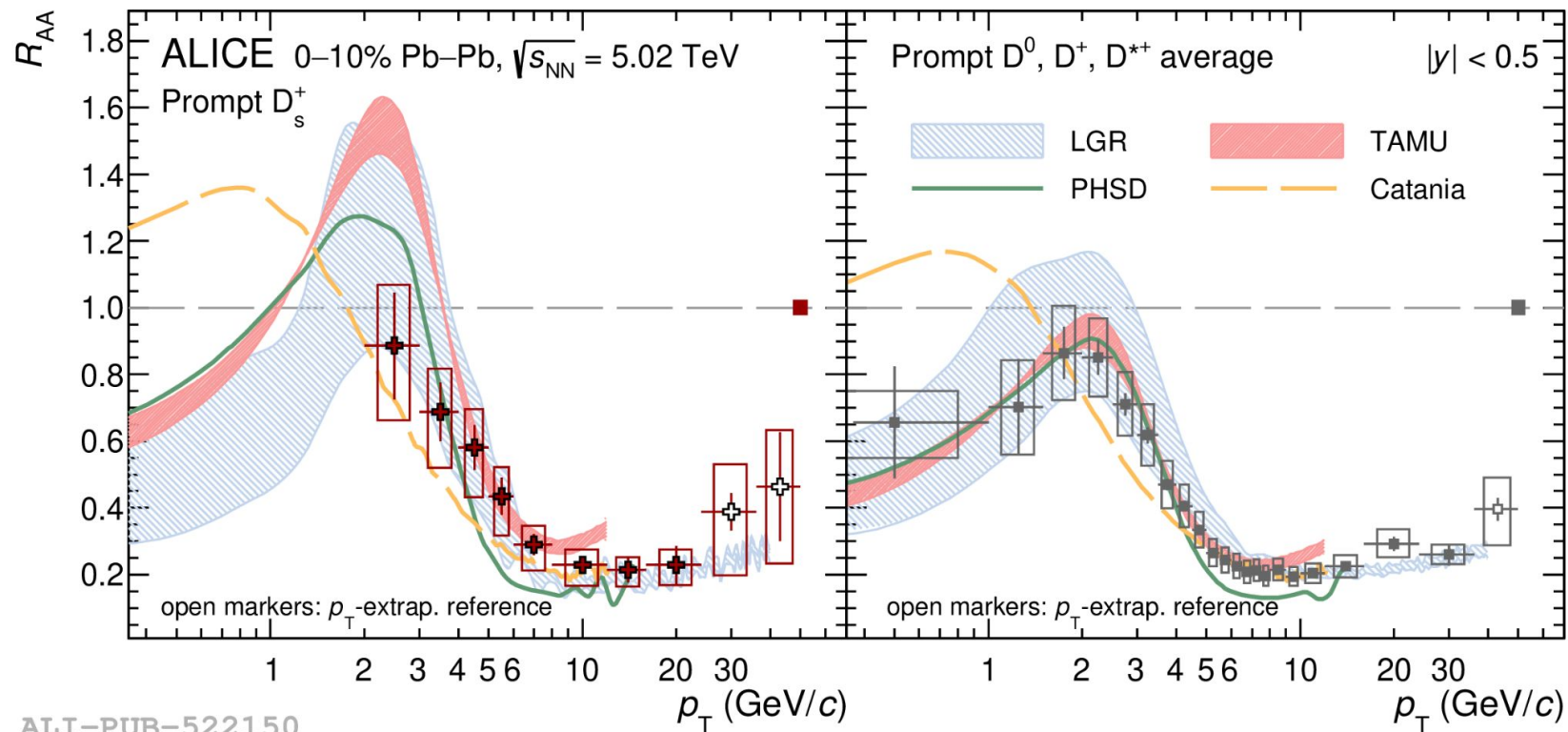
→ TAMU, MC@shQ, LIDO, LGR, and Catania "selected"

$$\rightarrow 1.5 < 2\pi D_s T_c < 4.5$$

$$\rightarrow \tau_{\text{charm}} \simeq 3-8 \text{ fm}/c$$

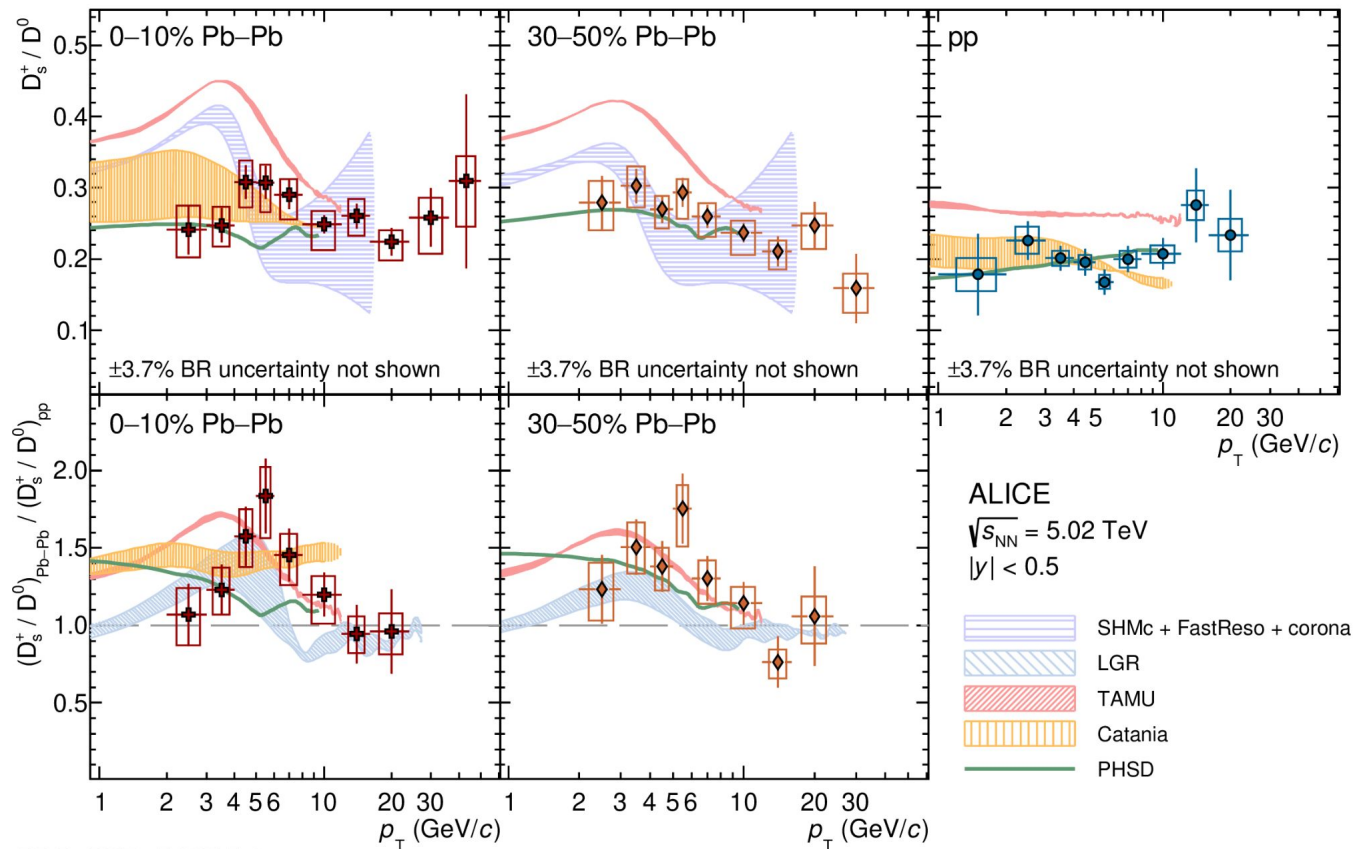


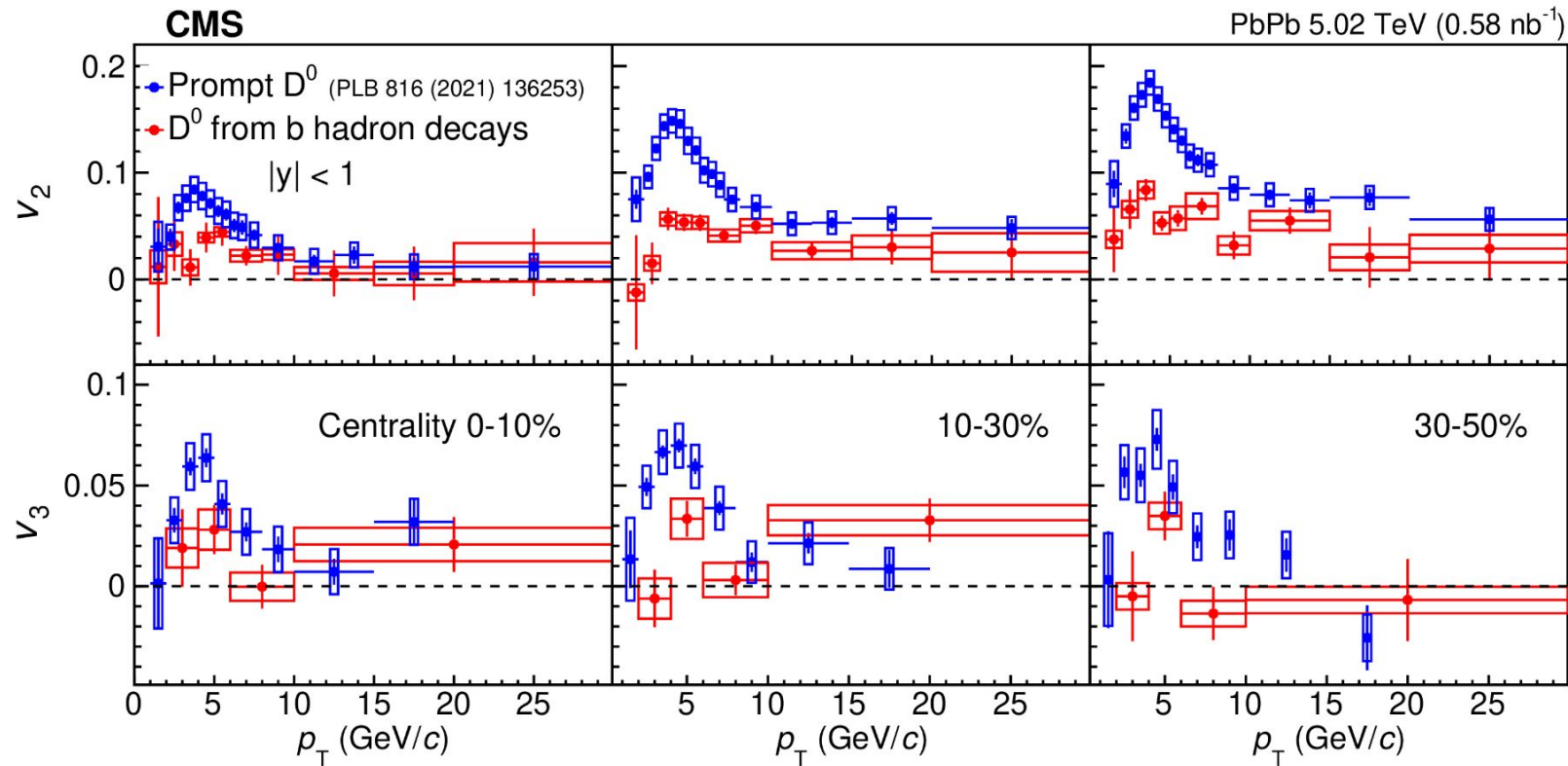


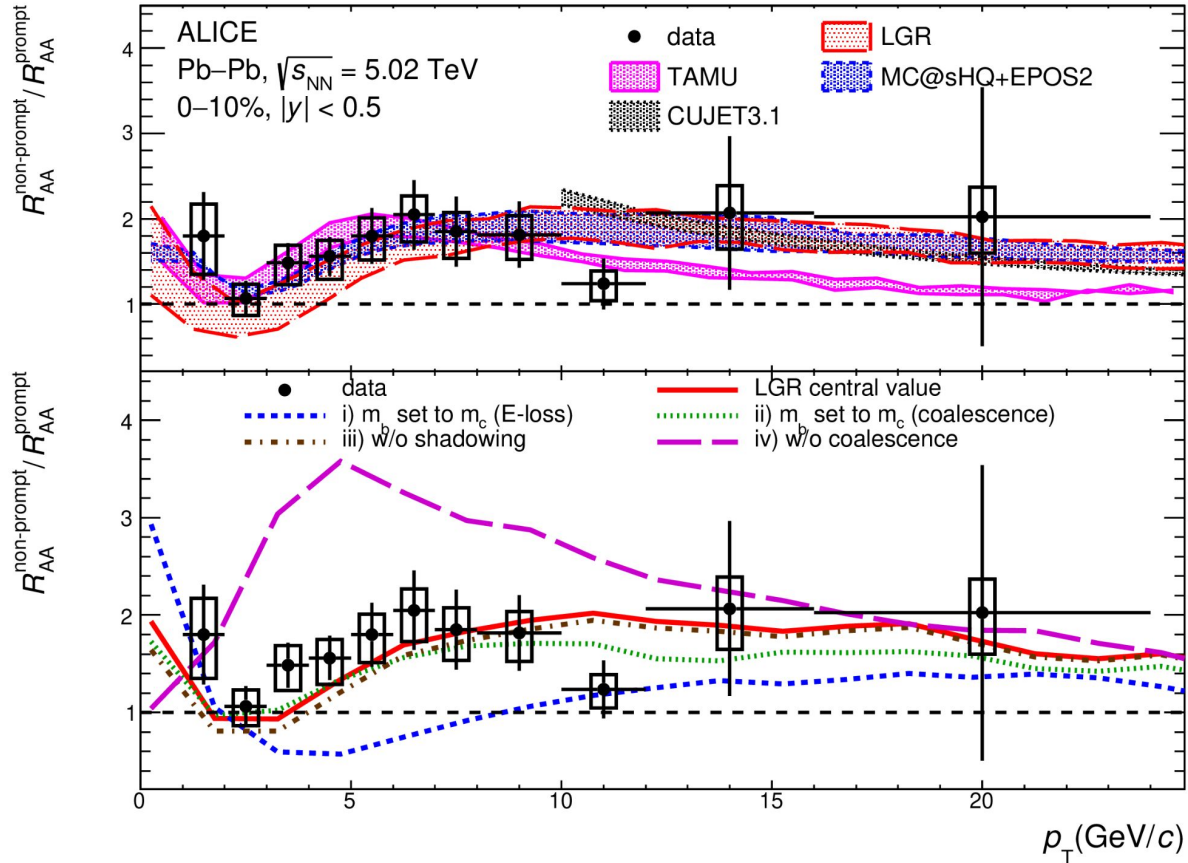


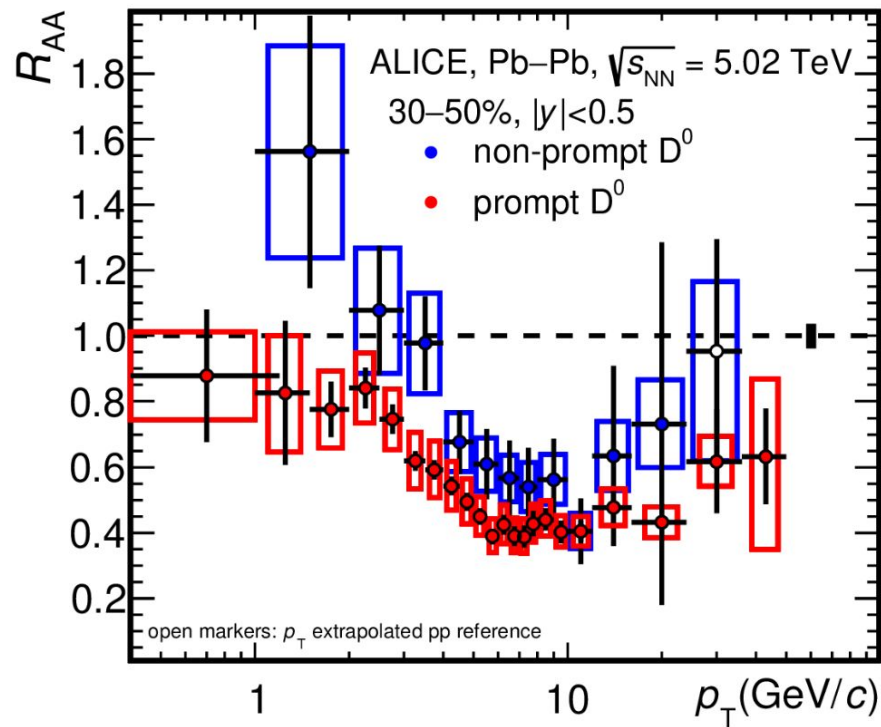
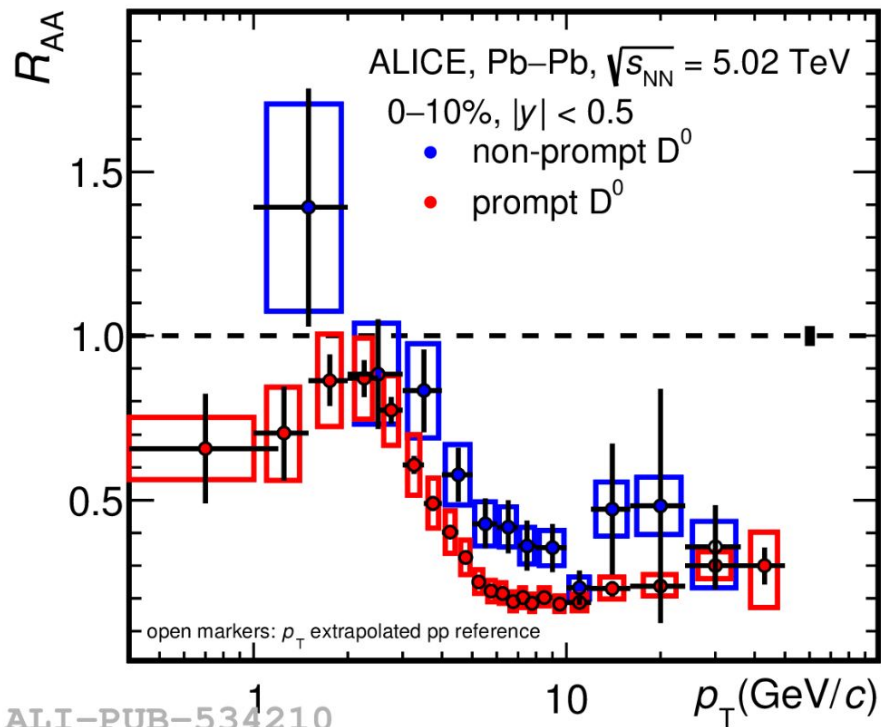
Prompt Ds+

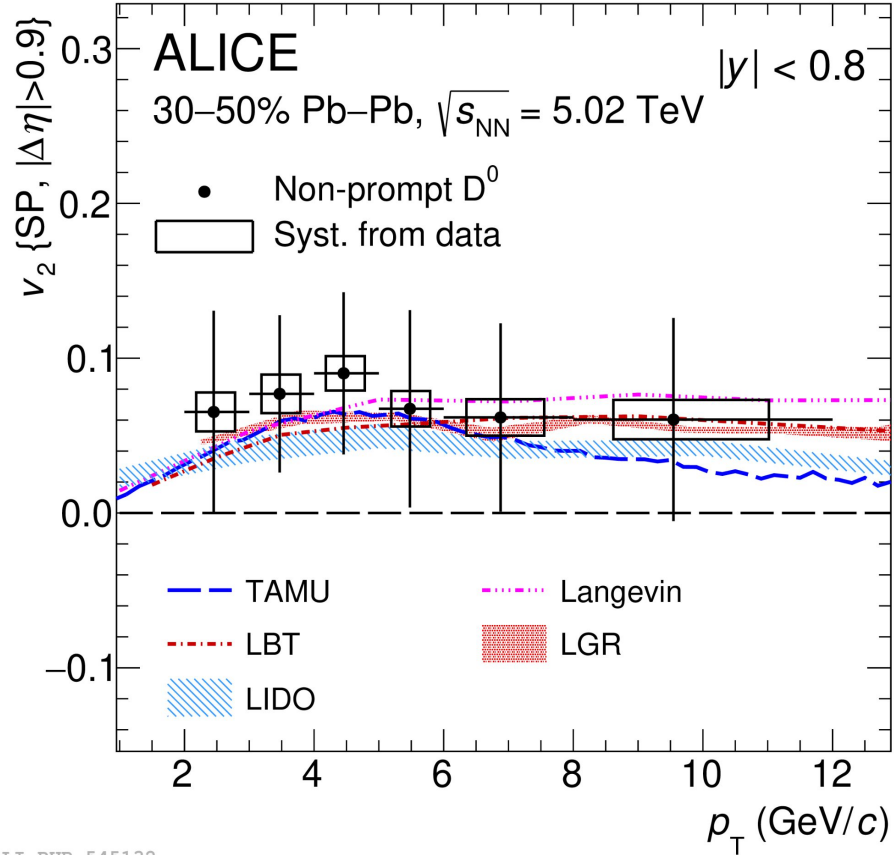
ALICE: <https://arxiv.org/pdf/2210.10006.pdf>

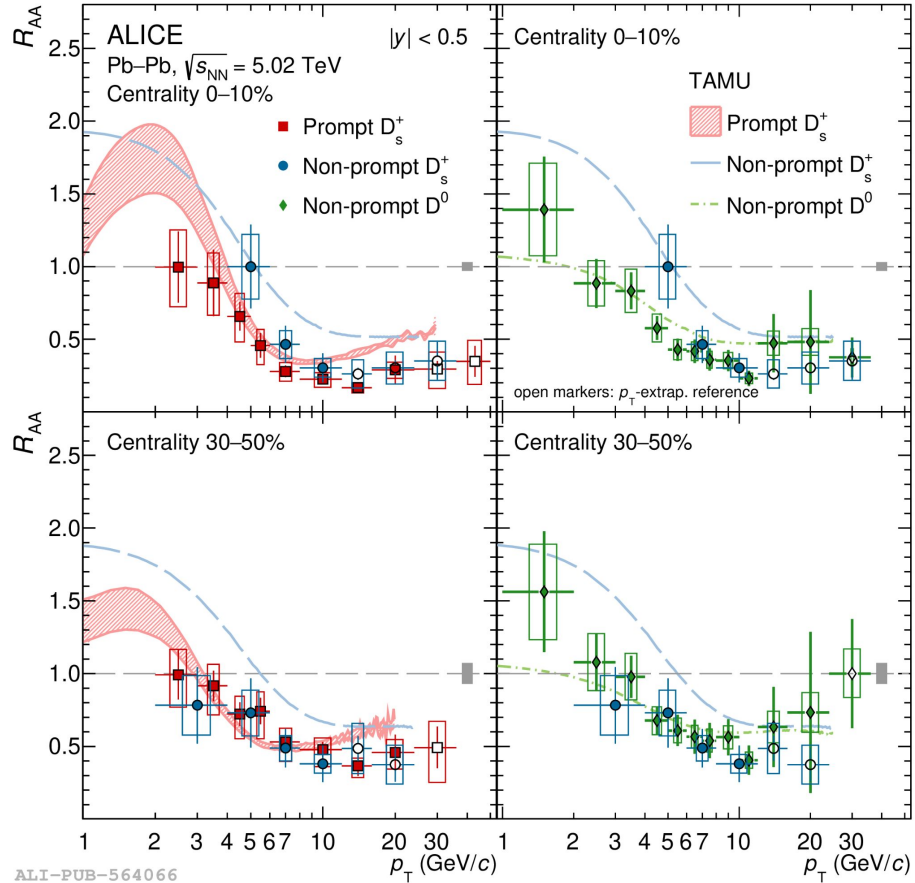


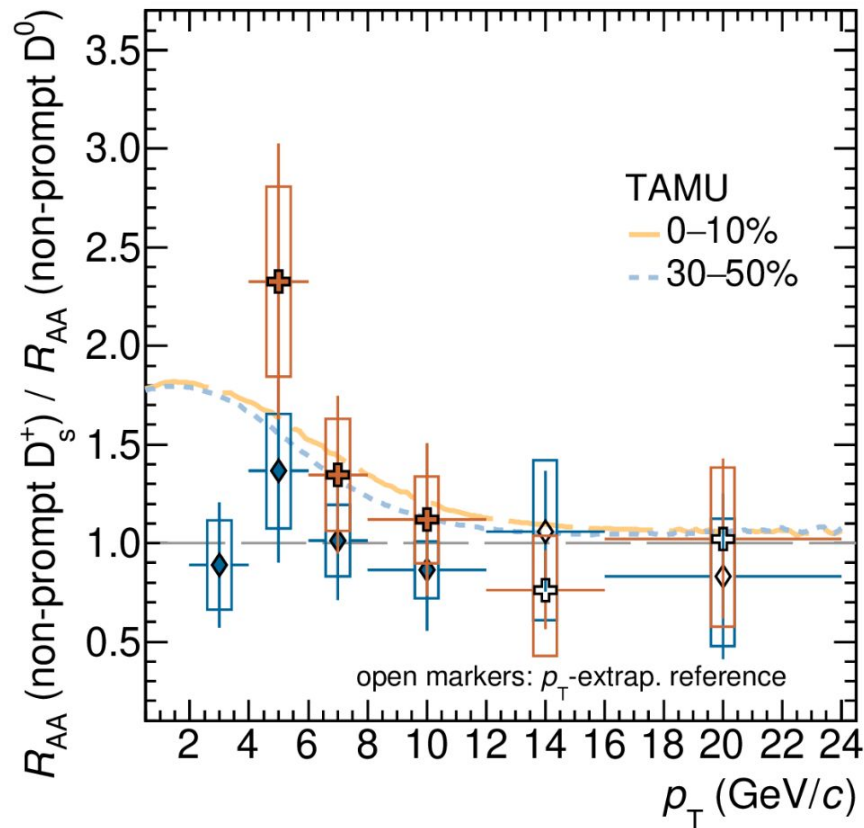
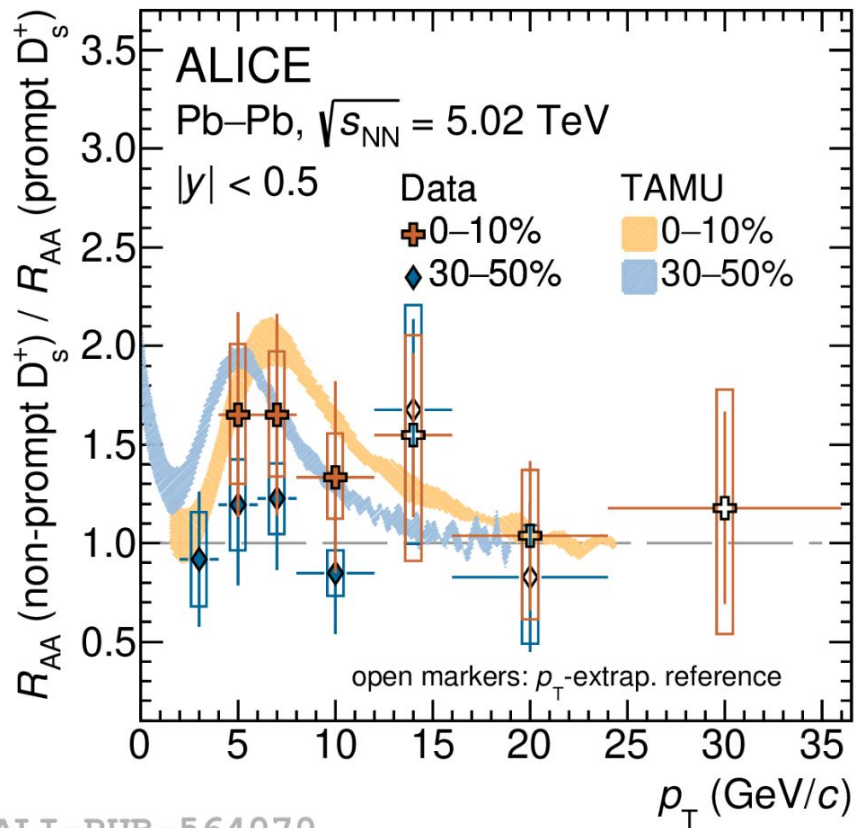


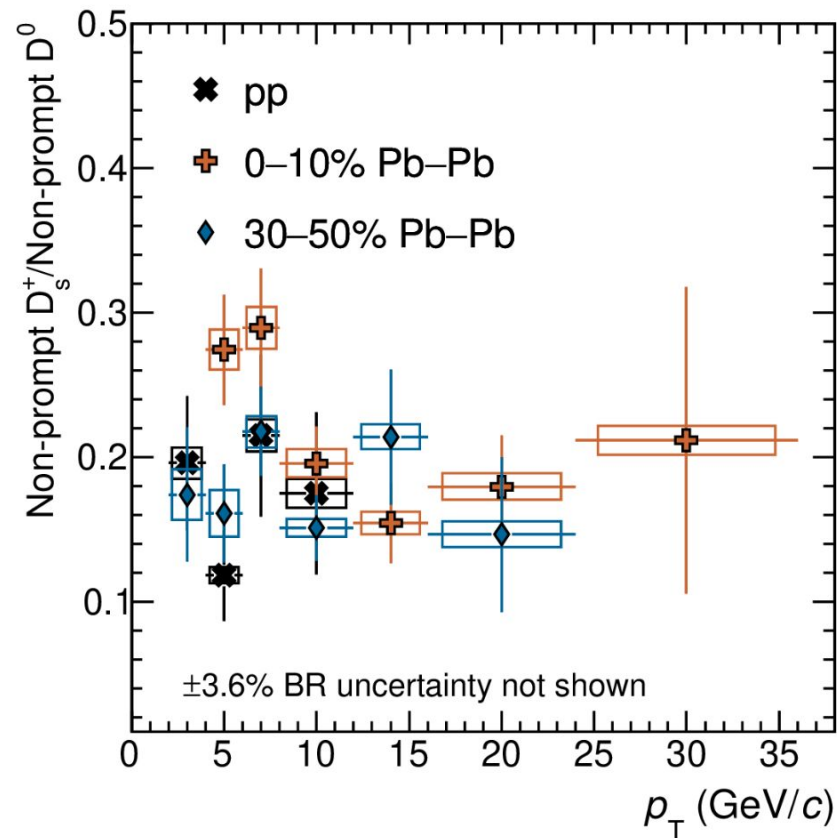
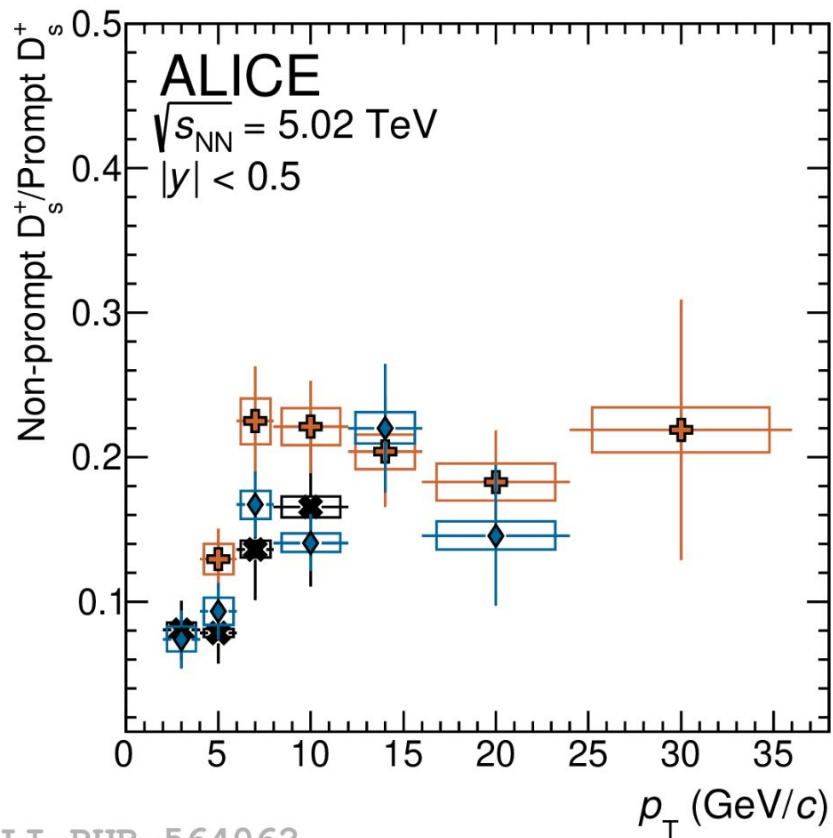












E-loss and transport models

	Collisional en. loss	Radiative en. loss	Coalescence	Hydro	nPDF
CUJET 3.1	✓	✓	✗	✓	✓
DREENA-A	✓	✓	✗	✓	✗
SCET _{M,G}	✓	✓	✗	✗	✓

	Collisional en. loss	Radiative en. loss	Coalescence	Hydro	nPDF
TAMU	✓	✗	✓	✓	✓
LIDO	✓	✓	✓	✓	✓
PHSD	✓	✗	✓	✓	✓
DAB-MOD	✓	✓	✓	✓	✗
Catania	✓	✗	✓	✓	✓
MC@shQ+EPOS	✓	✓	✓	✓	✓
LBT	✓	✓	✓	✓	✓
POWLANG+HTL	✓	✗	✓	✓	✓
LGR	✓	✓	✓	✓	✓

But more importantly: different **implementations** and **input parameters**.

The observables in Pb-Pb collisions

1 Production spectra and R_{AA}

$$R_{AA}(p_T, y) = \frac{1}{\langle T_{AA} \rangle} \frac{d^2 N_{AA} / dp_T dy}{d^2 \sigma_{pp} / dp_T dy}$$

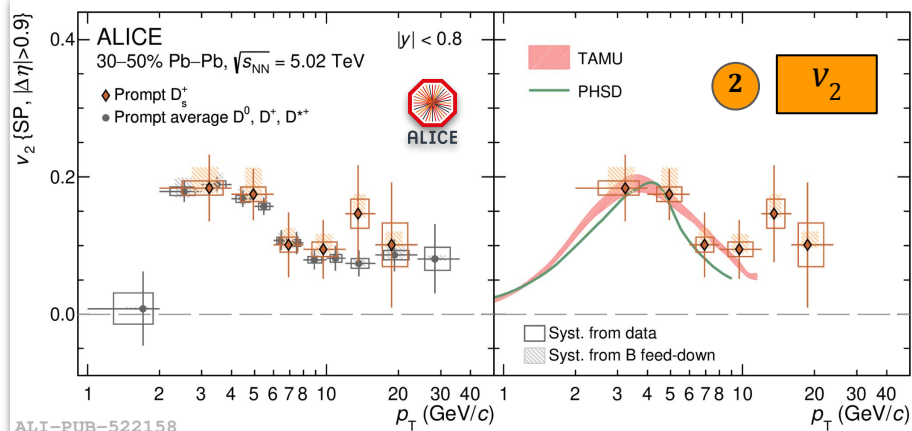
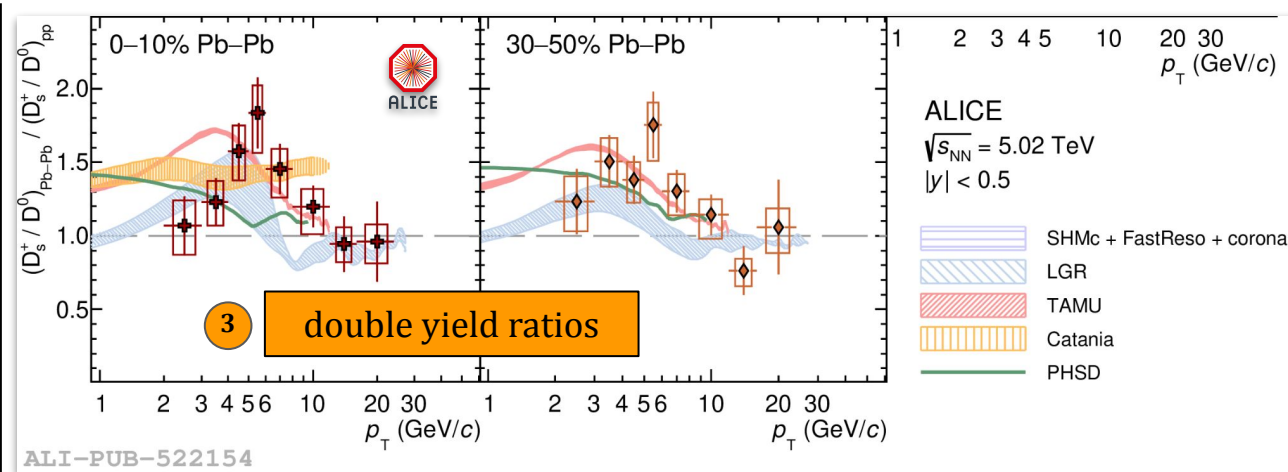
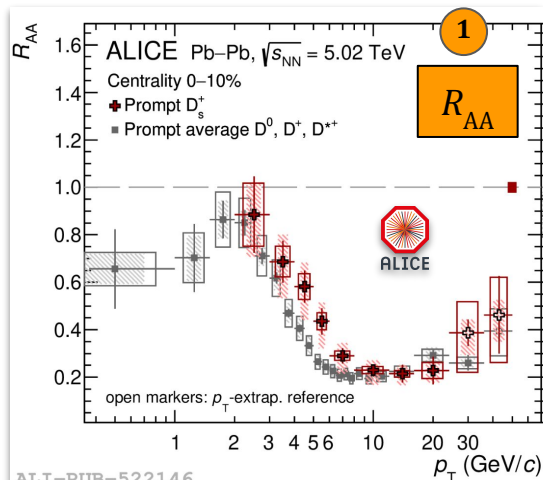
$$R_{AA}(p_T, y) = \frac{1}{\langle N_{coll} \rangle} \cdot \frac{d^2 N_{AA} / dp_T dy}{d^2 N_{pp} / dp_T dy}$$

2 Anisotropic flow

$$v_n(p_T) = \langle \cos[n(\varphi - \Psi_n)] \rangle$$

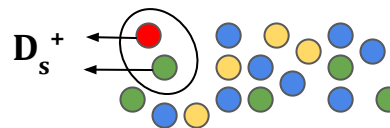
3 ... and particle ratios!

Heavy-strange-meson production



Sensitivity to coalescence and strangeness enhancement

1. hint of $R_{AA}(D_s^+) > R_{AA}(\text{non-strange } D)$ at intermediate p_T
2. v_2 described by models including charm-quark coalescence with strange quarks flowing in the QGP
3. D_s^+/D^0 ratio in Pb-Pb collisions higher than that in pp collisions of about $2.3\text{-}2.4\sigma$ at intermediate p_T



● charm ● up
 ● strange ● down

Charm-baryon production at the LHC - open points (2/2)

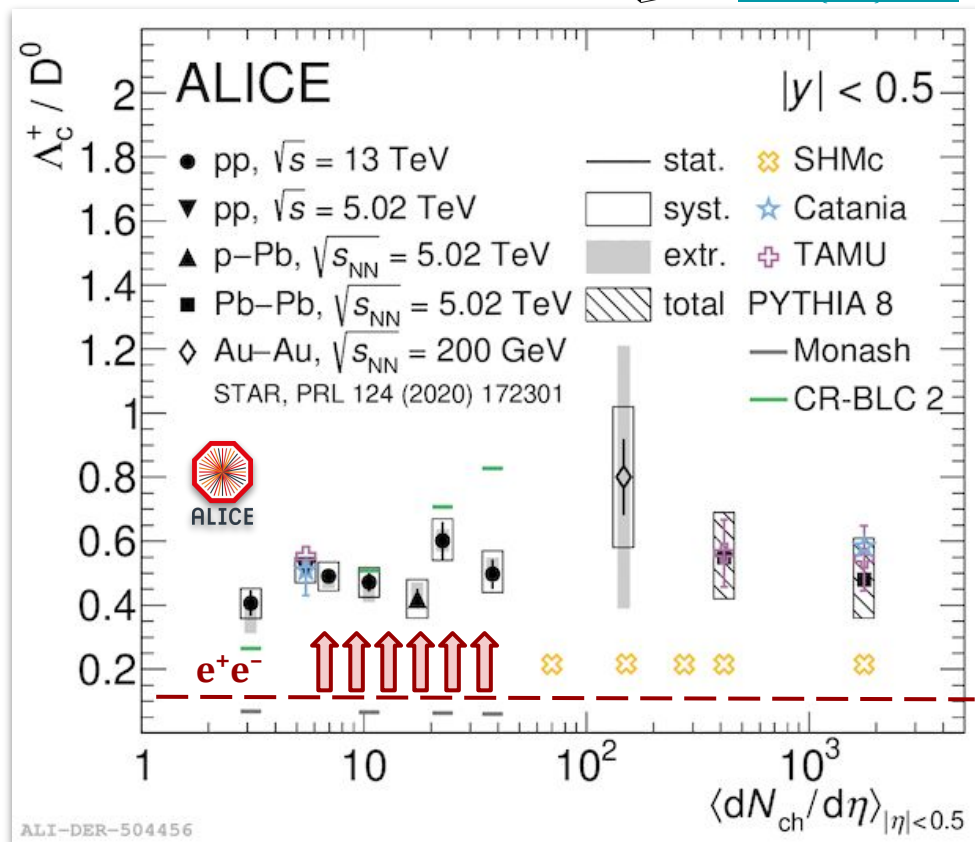
Baryon enhancement in all collision systems at the LHC compared to e^+e^-

- **No significant dependence** versus multiplicity of the p_T -integrated Λ_c^+/D^0 ratio **across collision systems**
- Ratio described by Catania (fragmentation + coalescence) and TAMU (SHM+RQM + 4-momentum conserving coalescence in Pb-Pb)
- PYTHIA CR-BLC prediction does not reproduce the trend vs. multiplicity in pp collisions

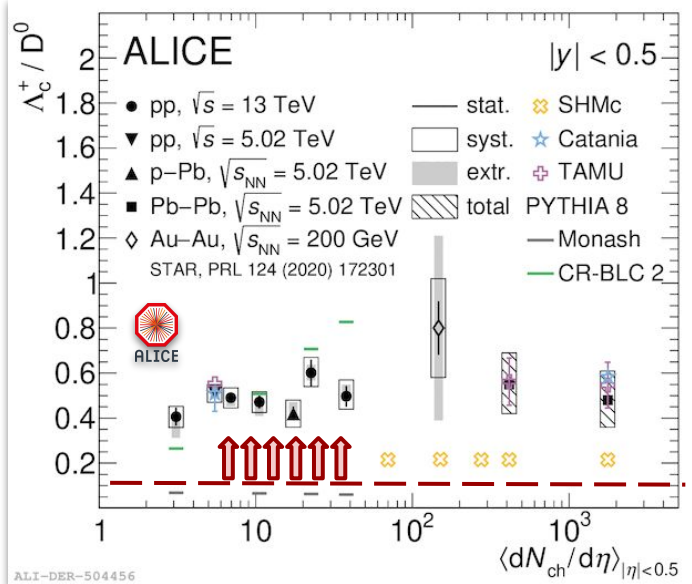
→ Is the p_T -differential Λ_c^+/D^0 **enhancement** just a consequence of **radial flow** and **recombination**?



LEP: [EPJ C 75, 19 \(2015\)](#)
ALICE: [PLB 839 \(2023\) 137796](#)



Charm-baryon production at the LHC - open points (2/2)

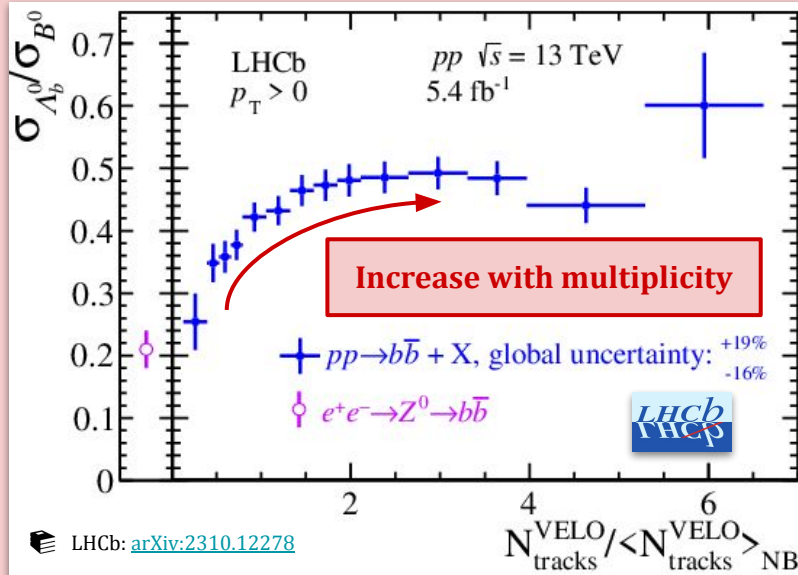


e^+e^-

pp collisions



Beauty
baryon-to-meson
ratio



- **No significant dependence** versus multiplicity of the p_T -integrated Λ_c^+ / D^0 ratio **across collision systems**
- Ratio described by Catania (fragmentation + coalescence) and TAMU (SHM+RQM + 4-momentum conserving coalescence in Pb-Pb)
- PYTHIA 8 CR-BLC prediction does not reproduce the trend vs. multiplicity in pp collisions

→ Is the p_T -differential Λ_c^+ / D^0 enhancement just a consequence of radial flow and recombination?

