

# Heavy Flavour & Quarkonia

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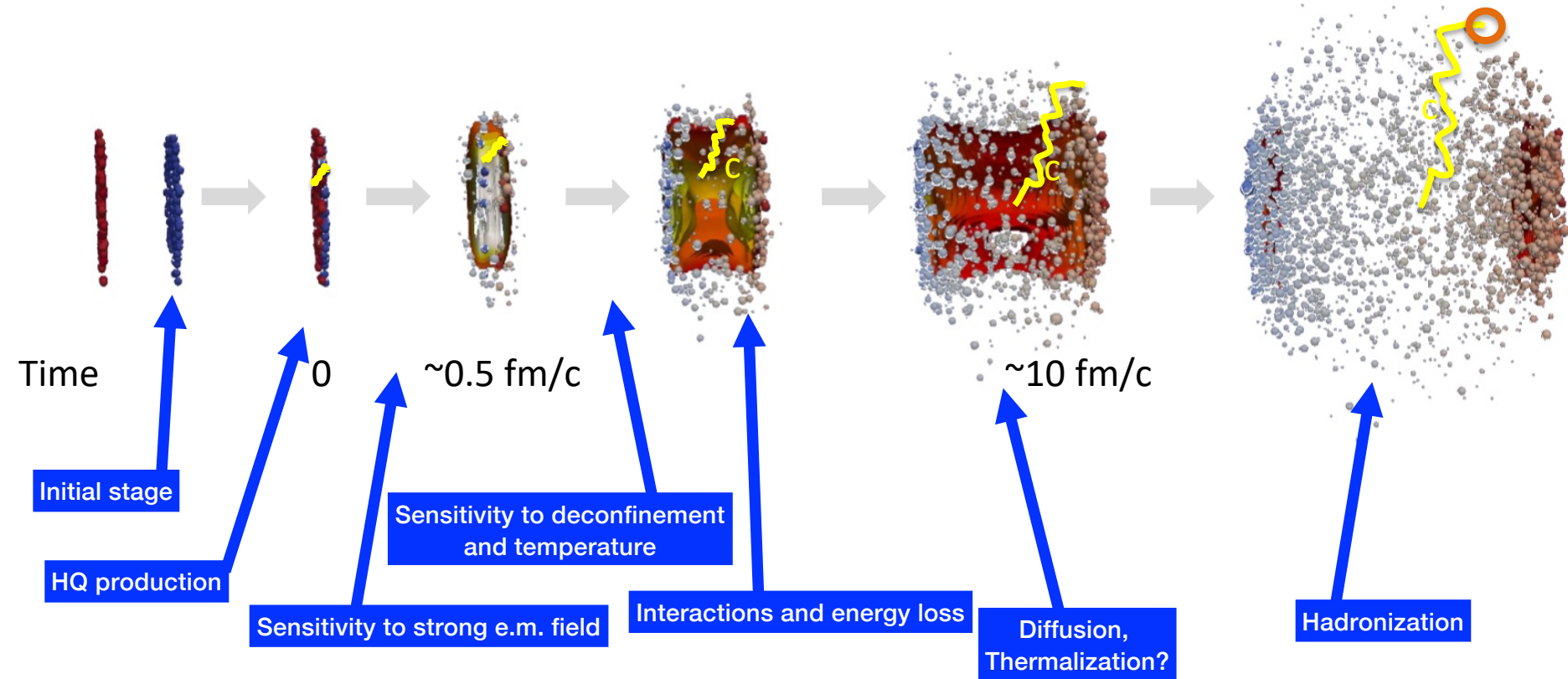


# Heavy quarks: probes through the full system history

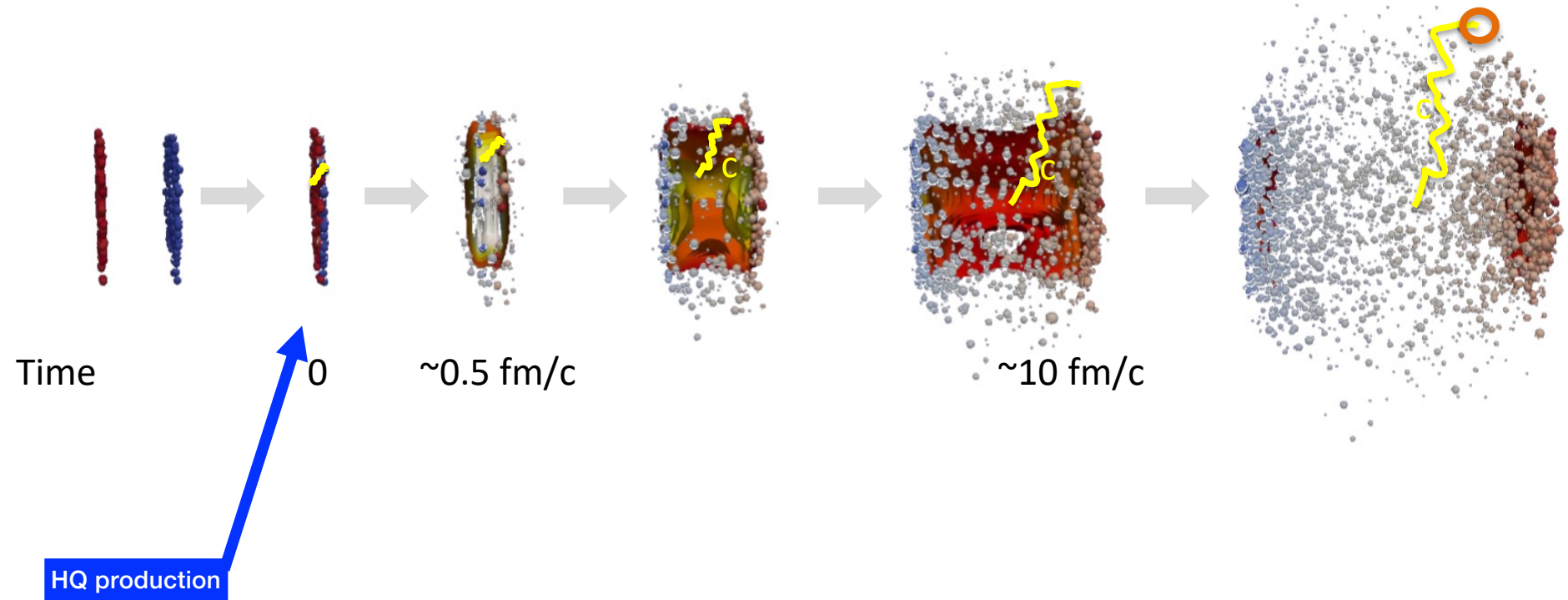
- ◆ Large mass ( $m_c \sim 1.5$  GeV,  $m_b \sim 5$  GeV)  $\rightarrow$  produced in large virtuality ( $Q^2$ ) processes at the initial stage of the collision with short formation time
$$\Delta t < 1/(2m_c) \sim 0.1 \text{ fm/c} \ll \tau_0 \sim 1 \text{ fm/c} \ll \tau_{\text{QGP}} \sim 10 \text{ fm/c}$$
- ◆ Production in QGP expected to be  $\sim$ negligible ( $\ll 10\%$  at LHC)
- ◆ Strong interactions with QGP conserve flavour
- $\rightarrow$  Uniqueness of heavy quarks: “see” full system evolution
- ◆ **Effective probes of:**
  - **Presence of deconfined colour charge and QGP temperature**
  - **The mechanisms of quark-medium interaction: energy loss (and gain)**
  - **The strength of the collective expansion of the system**

# Outline of this lecture:

Heavy Quarks as messengers from all stages of heavy-ion collisions

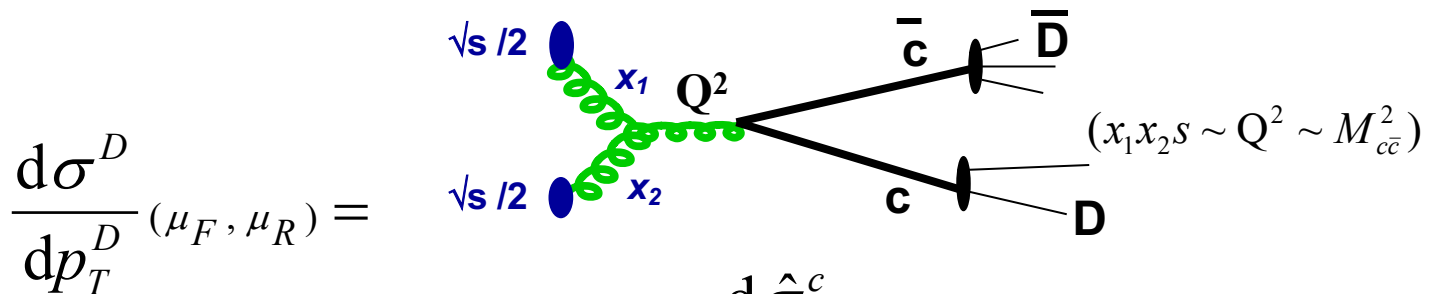


# Heavy quark production in pp collisions



# Heavy-flavour production: pp

**proton-proton collisions: factorised perturbative QCD approach**



$$= PDF(x_1)PDF(x_2) \otimes \frac{d\hat{\sigma}^c}{dp_T^c} \otimes D_{c \rightarrow D}(z = p_D / p_c)$$

$\mu_{F,R}^2 \sim Q^2$

**Parton Distribution Functions:**

- $Q^2$  evolution calculated in pQCD
- initial condition from data (ep DIS)

**Fragmentation Functions:**

- non-perturbative
- phenomenology + fit to data ( $e^+e^-$ )

**Partonic cross section:**

calculable as perturbative series of strong coupling  $\alpha_s(\mu_R)$

# pp $\rightarrow$ $Q\bar{Q}+X$ : partonic cross section

- ◆ Fixed-order massive quark calculations: perturbative expansion in powers of  $\alpha_s$

- Fixed-order massive calculation

$$\frac{d\sigma}{dp_T} = A(m) \alpha_s^2 + B(m) \alpha_s^3 + O(\alpha_s^4) \quad B(m) = \beta(m) + \gamma(m) \log(\mu/m) \quad \mu \approx p_T$$

**NLO: Mangano, Nason, Ridolfi (1992)**

**NNLO: Czakon et al. (2013), Catani et al. (2020)**

→ state-of-the-art for total cross section, but not  $p_T$  differential

- Fixed-order next-to-leading log (FONLL)

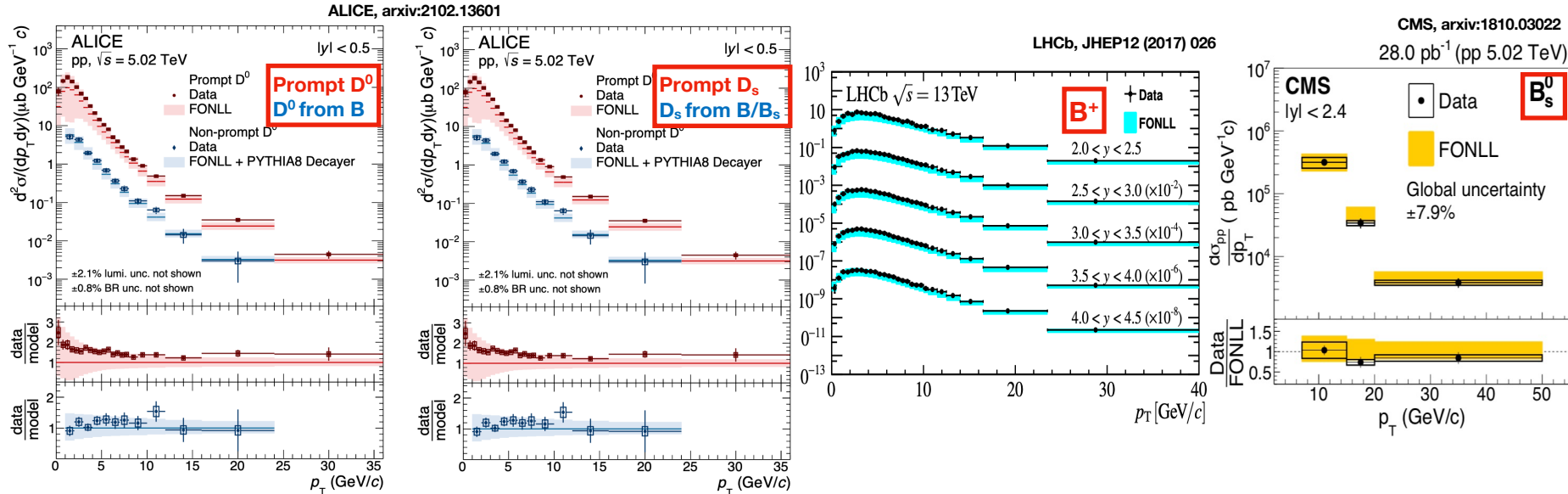
$$\frac{d\sigma}{dp_T} = A(m) \alpha_s^2 + B(m) \alpha_s^3 + G(m, p_T) \left[ \alpha_s^2 \sum_{i=2}^{\infty} a_i [\alpha_s \log(\mu/m)]^i + \alpha_s^3 \sum_{i=1}^{\infty} b_i [\alpha_s \log(\mu/m)]^i \right]$$

**FONLL: Cacciari, Nason, Frixione, Mangano (1998)**

→ more accurate than NLO at high  $p_T$

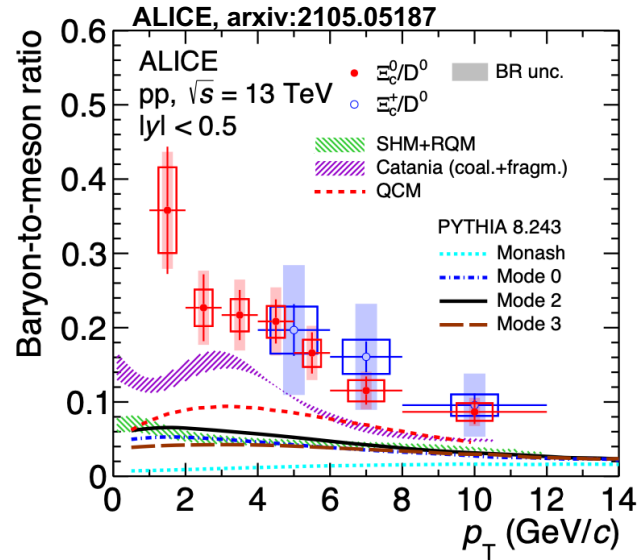
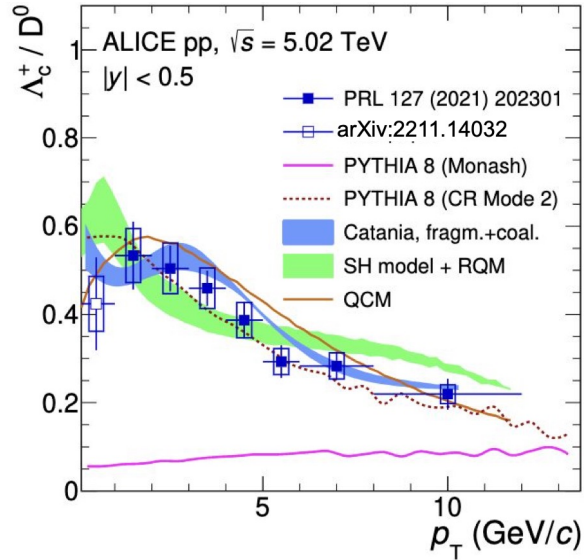
- ◆ Other approaches: Variable Flavour Number Scheme (Kniehl et al.),  $k_T$ -factorization (Maciula, Szczurek)

# D and B production in pp collisions: data vs theory



- Measurements from 0 to ~50-100 GeV/c for both charm and beauty hadrons
- **Systematic comparison with FONLL calculations:** charm data close to upper FONLL limit; beauty data close(r) to central value
- **Important for QGP studies: HQs are well-calibrated probes; most models of HQ-QGP interactions use FONLL for the baseline production**

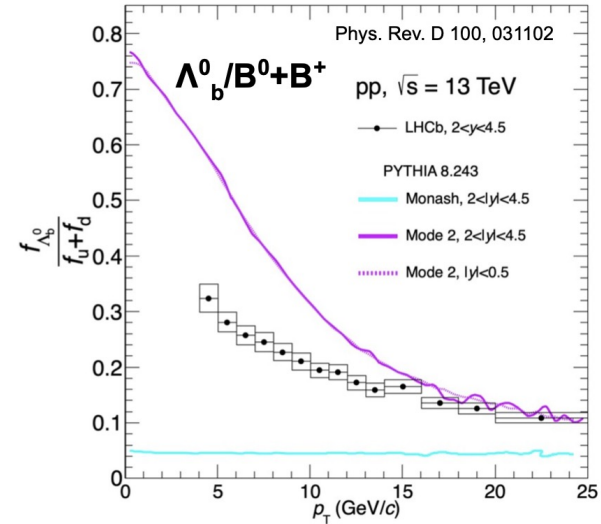
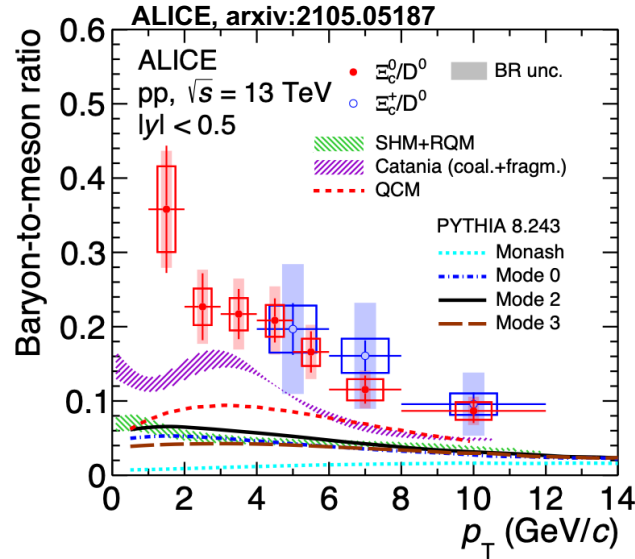
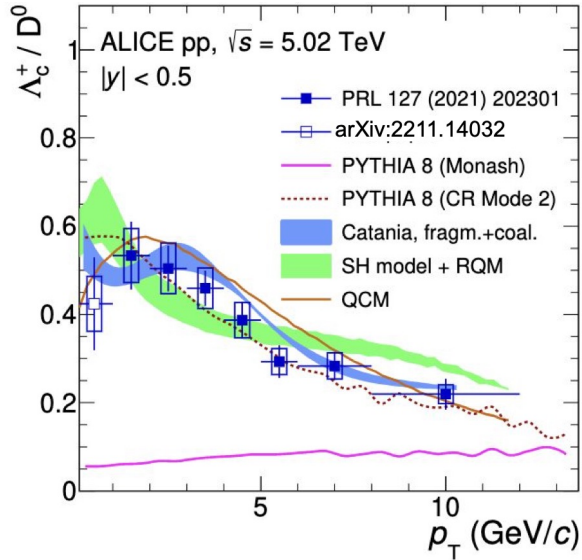
# The big surprise of HF baryons at the LHC



- ◆ Baryon fraction ( $\Lambda_c$  and  $\Xi_c$ ) at low  $p_T$  much larger than predicted by string fragmentation models tuned on  $e^+e^-$  data, e.g.  $\Lambda_c/D^0 \sim 0.6$ ,  $\Xi_c/D^0 \sim 0.3$
- ◆ Qualitatively described by models with baryon junctions (PYTHIA 8) or with hadronization via coalescence

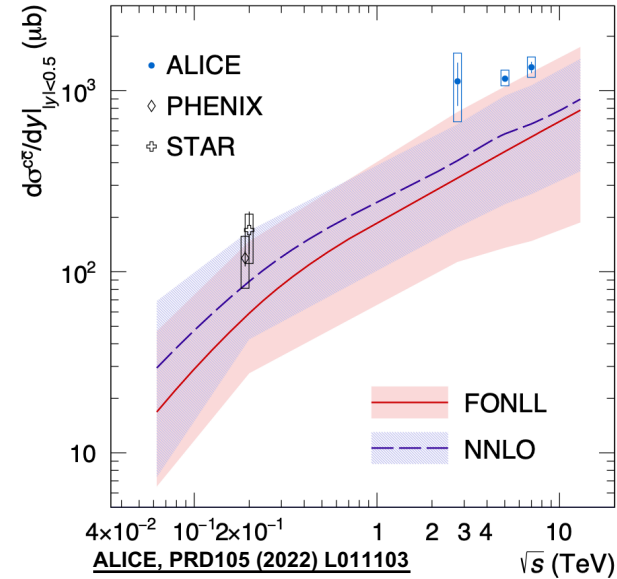
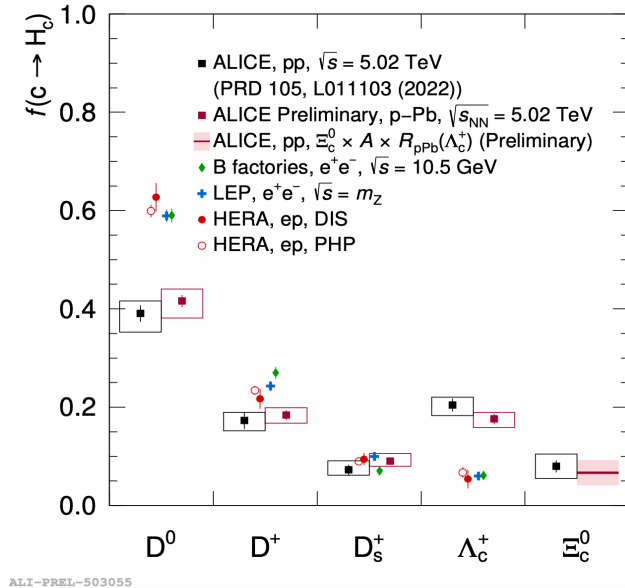


# The big surprise of HF baryons at the LHC



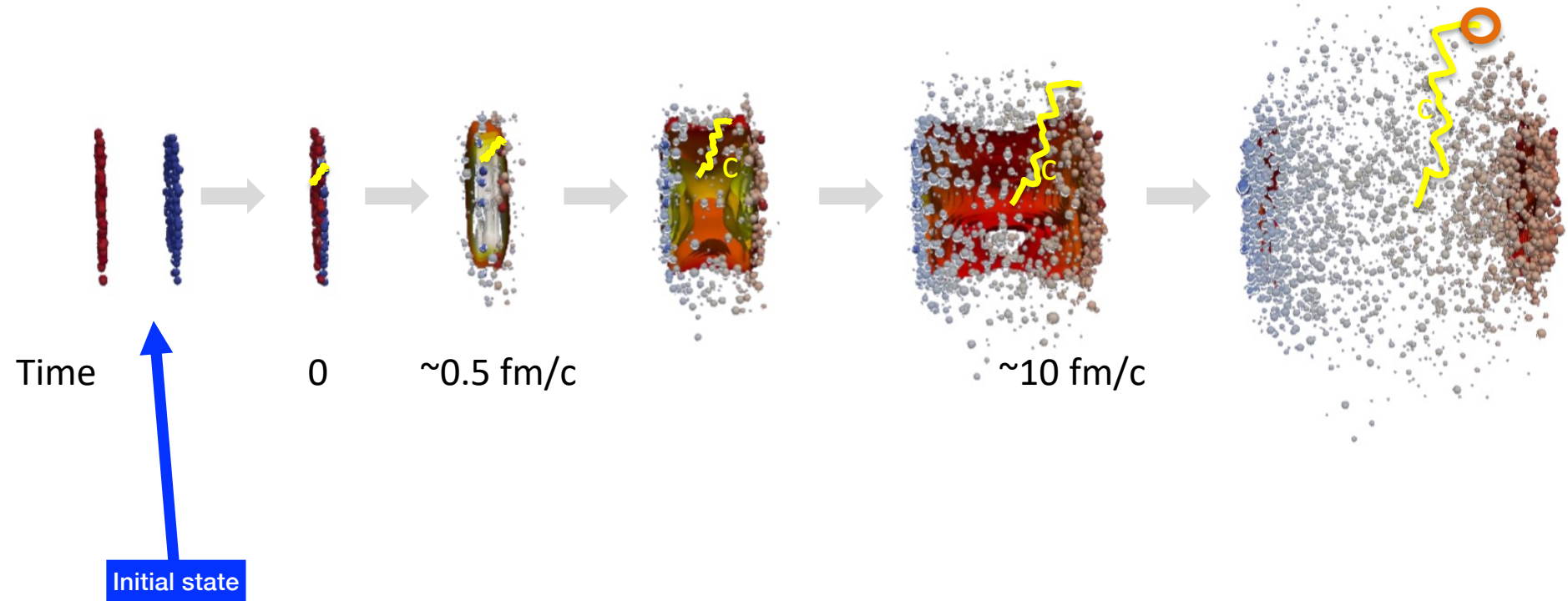
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- ◆ Qualitatively described by models with **baryon junctions (PYTHIA 8)** or with hadronization via **coalescence**
- ◆ Large baryon/meson ratio also measured in the beauty sector

# Charm-anticharm cross section



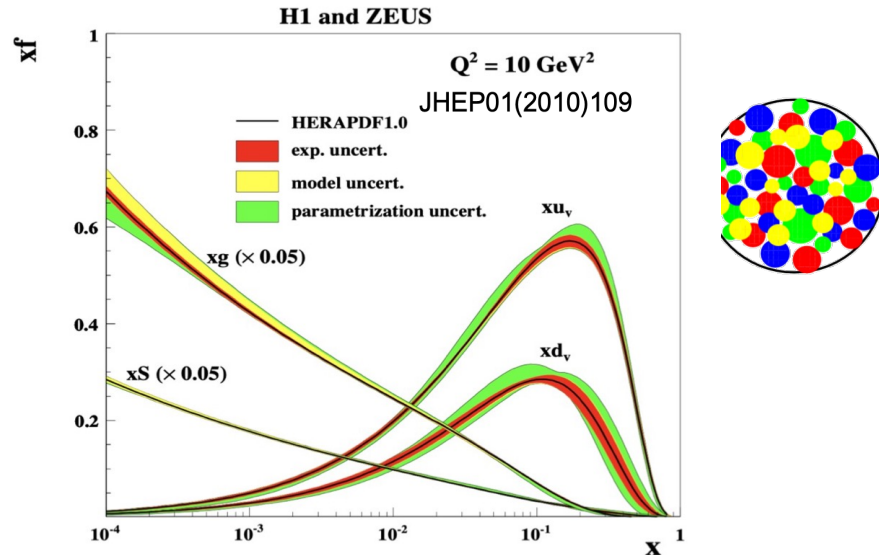
- ◆ **Measurement of charm cross section needs baryons!**
- ◆ Recent measurements (at mid-rapidity) that use the sum of observed mesons ( $D^0$ ,  $D^+$ ,  $D_s$ ) and baryons ( $\Lambda_c$  and  $\Xi_c$ ) give a cross section  $\sim 30\%$  larger than early measurements based on mesons and  $e^+e^-$  fragmentation fractions
- ◆ Forward rapidity charm baryon measurements needed to obtain total cross section

# Heavy quarks as probes of the initial state



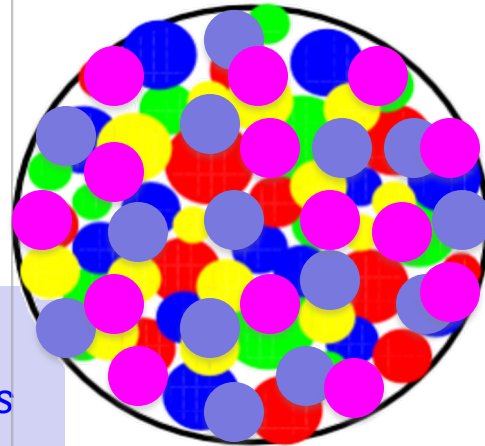
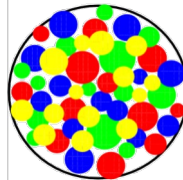
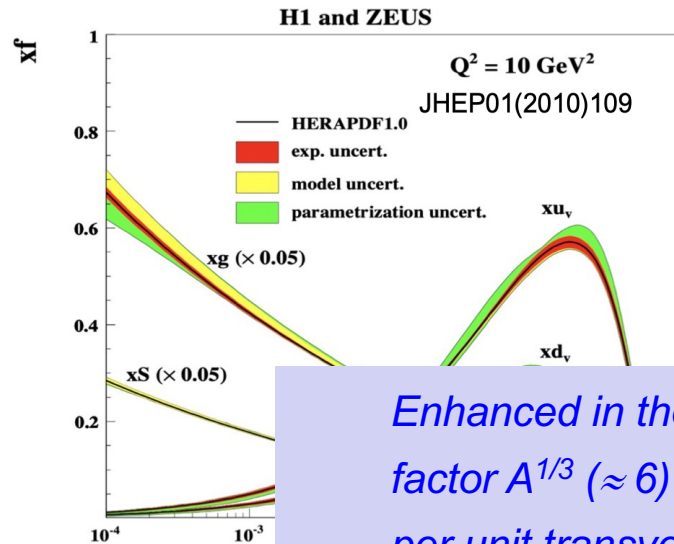
# Parton densities in nuclei at small $x_{\text{Bjorken}}$

- ◆ Initial state: high- $p$  nucleus = set of gluons with  $p^g$  distribution according to PDF  $g(x_{\text{Bjorken}}, Q^2)$ , with  $x=p^g/p^N$  and  $Q^2$  the scale of the process ( $\sim 1/\text{“area”}$  of the gluon)
- ◆ HERA DIS (ep) data: strong rise of  $xg(x, Q^2)$  at low- $x$  & low- $Q^2$
- ◆ New (unknown) regime of QCD: when gluons are numerous enough (low- $x$ ) & extended enough (low- $Q^2$ ) to overlap  $\rightarrow$  **Limits the low- $x$  rise, lead to saturation?**



# Parton densities in nuclei at small $x_{\text{Bjorken}}$

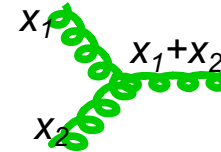
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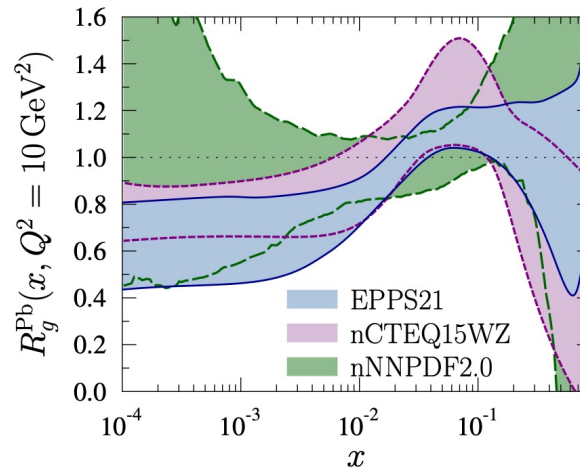
*Enhanced in the nucleus:  
factor  $A^{1/3}$  ( $\approx 6$ ) more gluons  
per unit transverse area*

# Nuclear PDFs

Effective reduction of the parton flux at small  $x$  (shadowing)  
 → can be described with nuclear-modified PDFs



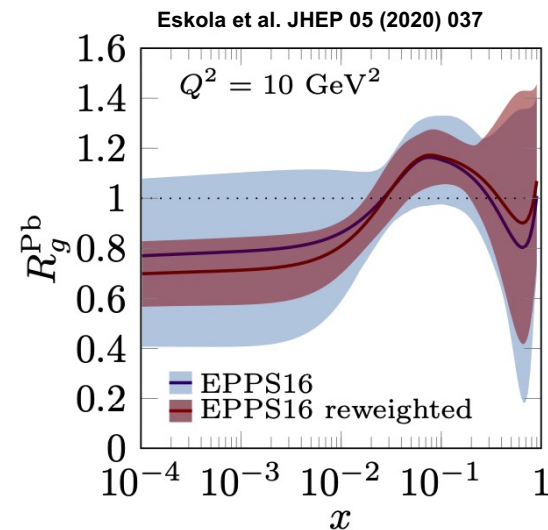
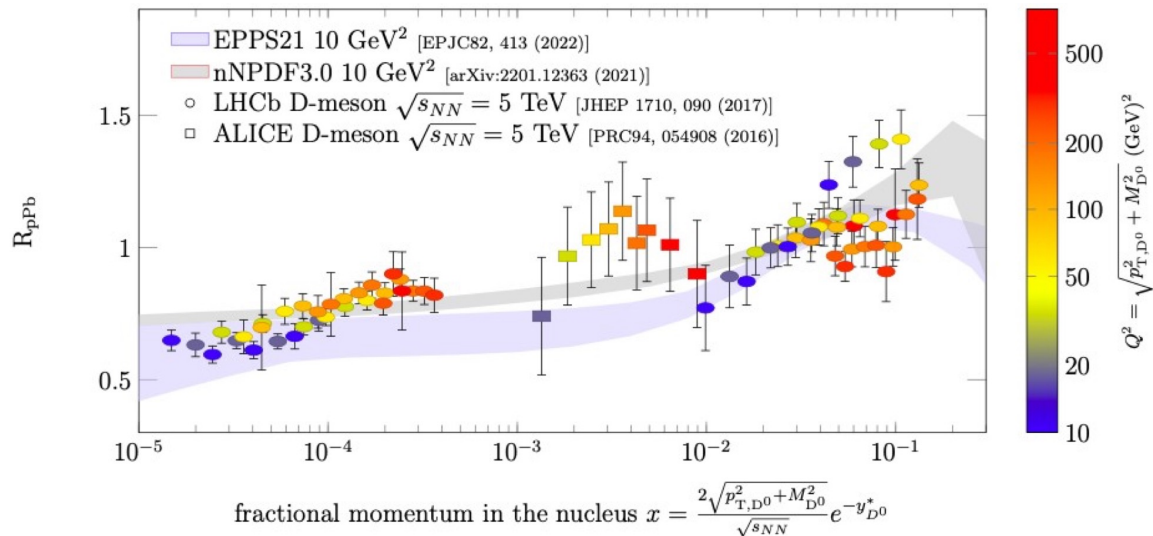
- ◆ Shadowing  $\approx$  small- $x$  gluon “fusion”:  $g_{x_1} + g_{x_2} \rightarrow g_{x_1+x_2}$
- ◆ Shadowing factor for PDFs:  $xG_A(x, Q^2) = A xg(x, Q^2) R_G^A(x, Q^2)$



see e.g. Eskola et al. Eur.Phys.J.C 82 (2022) 5, 413

- ◆ Limited data at small- $x$  (esp. before the LHC era) → large uncertainties and large differences between approaches → **uncertainty in initial HQ production in AA**

# Initial-state effects on charm in proton-nucleus

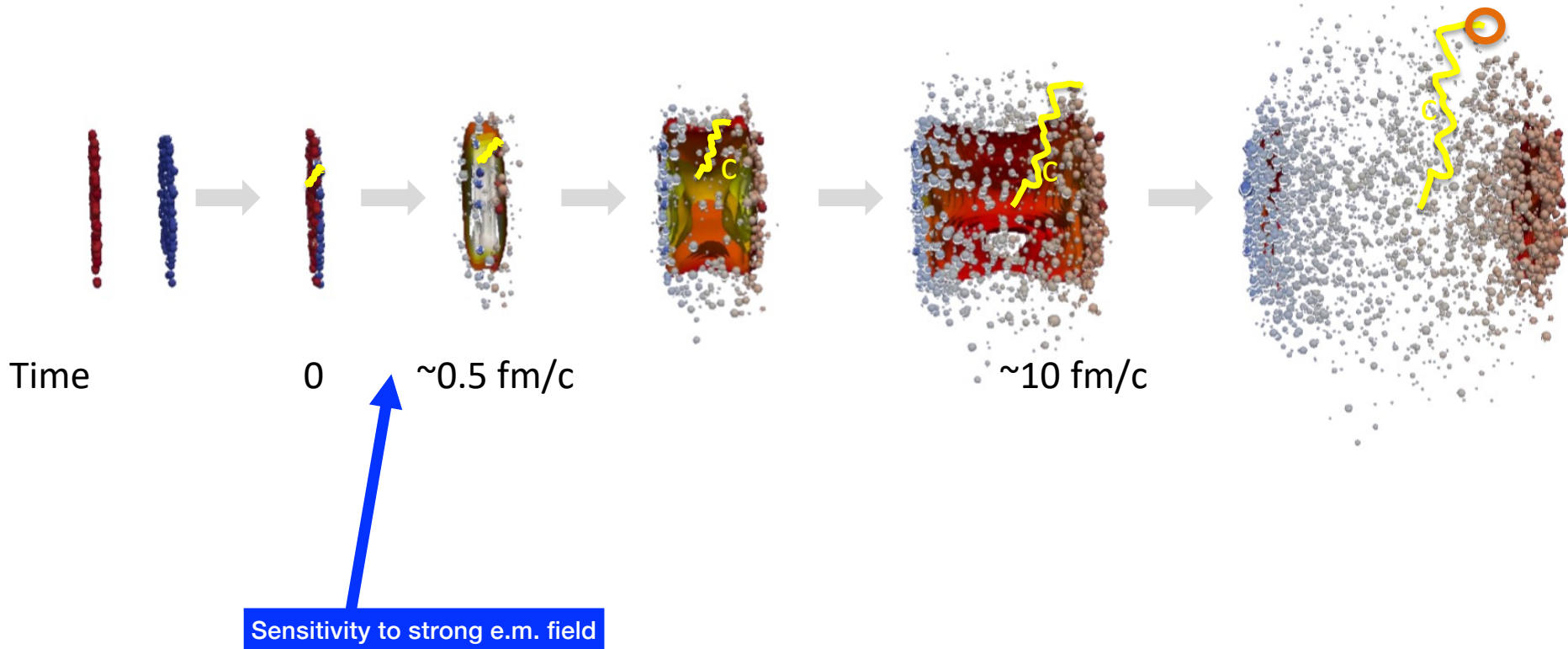


Cesar Luiz da Silva, QCD Challenges 2023 workshop

- ◆ nPDFs with shadowing describe D-meson p-Pb 5 TeV data: reduction of forward y (small x) and possible enhancement at backward y (large x)

- ◆ Precise LHCb fwd-y data constrain nPDFs down to small x
- ◆ However, the p-Pb charm data may be affected by final-state effects as well

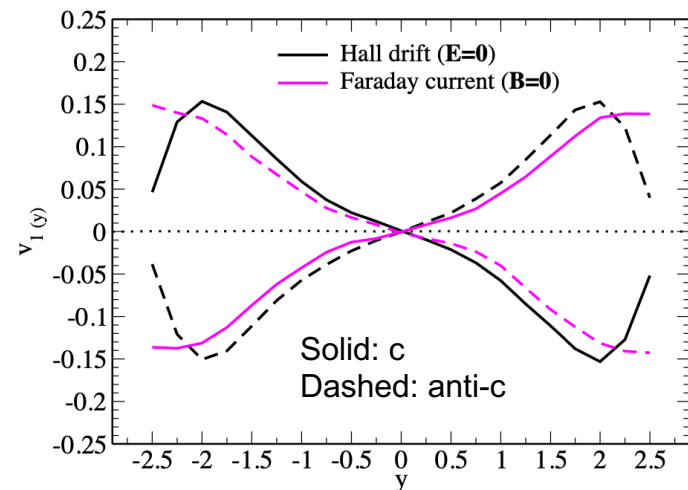
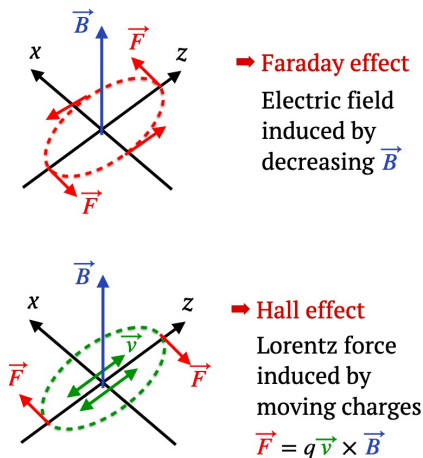
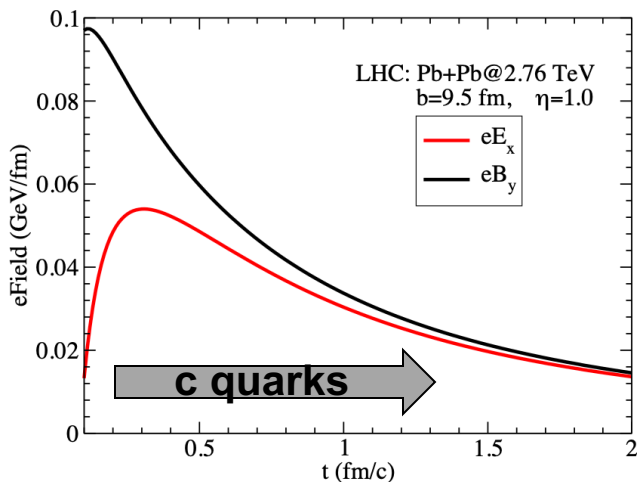
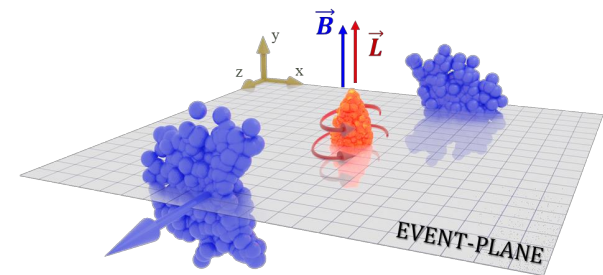
# Heavy quarks as probes of the early e.m. field





# Large magnetic field (B) in heavy-ion collisions

- ◆ B up to  $10^{14}$  T produced by crossing positive ions, and rapidly decreasing after crossing
- ◆ Expected to induce asymmetry vs rapidity or event plane for particle with opposite charge
- ◆ **Charm quarks produced at  $t < 0.1$  fm/c  $\rightarrow$  sensitive to the highest field values**

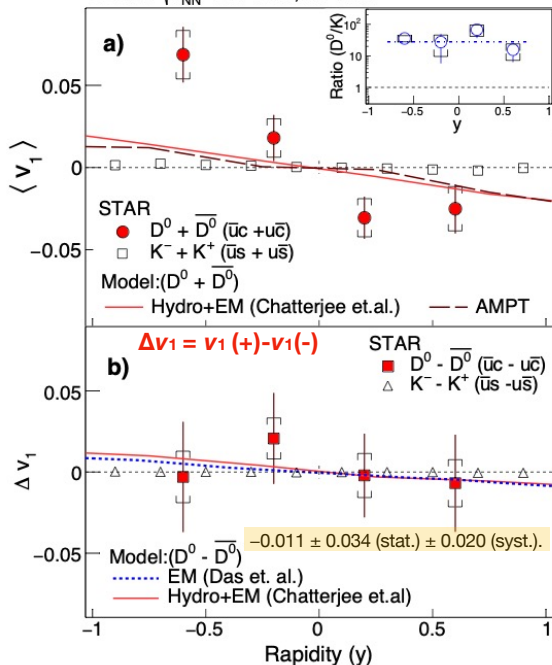


K. Das et al. PLB 768 (2017) 260

# Rapidity asymmetry: $v_1(D) - v_1(\text{anti-D})$

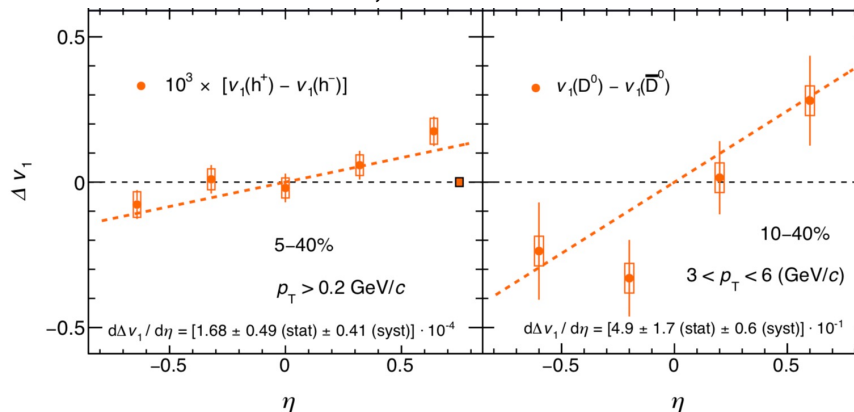
[STAR arXiv:1905.02052](#)

Au+Au  $\sqrt{s_{NN}}=200$  GeV, 10-80%



LHC: larger slope w.r.t. RHIC, effect due to larger B than the induced E?

**ALICE, arXiv:1910.14406**

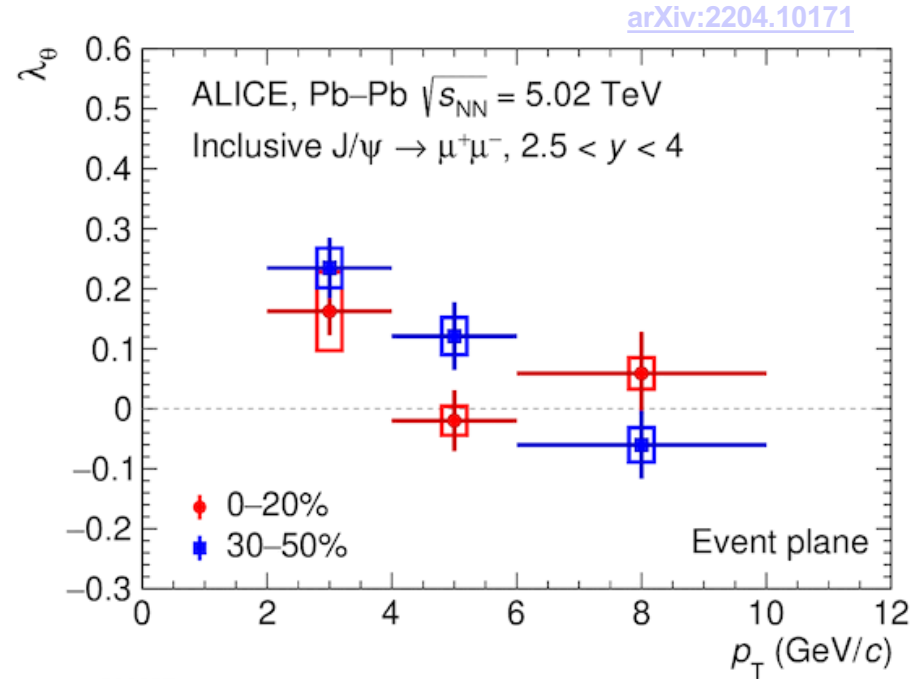
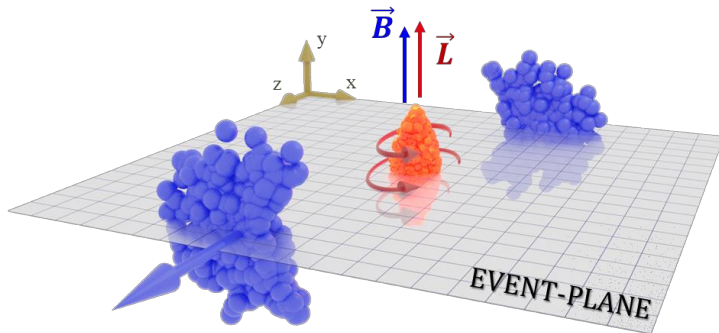


**Slope  $\neq 0$  significance  $2.7\sigma$**

3 orders of magnitude larger slopes w.r.t. **charged hadrons**

# J/ψ polarization w.r.t. event plane

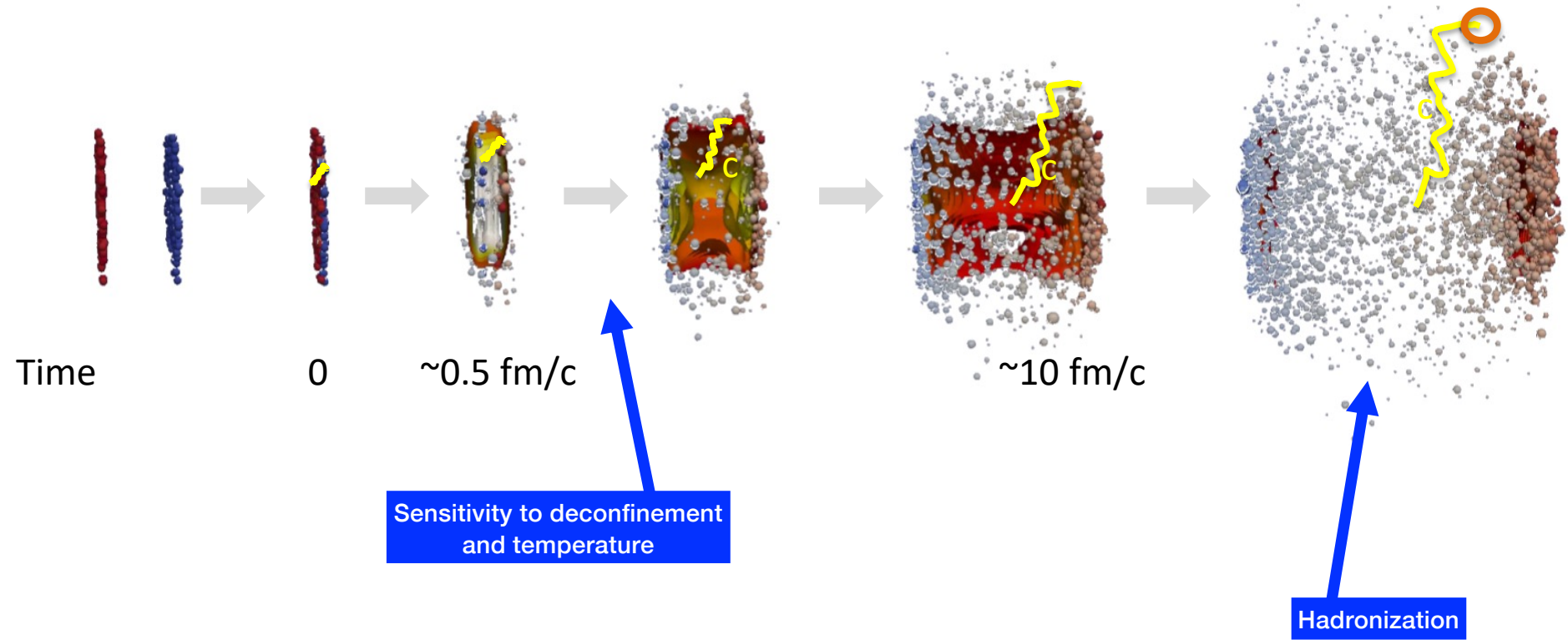
- ◆ J/ψ polarization with respect to event plane in Pb-Pb at the LHC
- ◆ Increases in **less central collisions** and at low  $p_T$  (reaching  $3.9\sigma$  effect)
- ◆ Interpretation in terms of early B field and/or angular momentum needs detailed theory calculations



$$W(\theta) \propto \frac{1}{3 + \lambda_\theta} (1 + \lambda_\theta \cos^2 \theta)$$

**polar angular distribution of dimuon decay**

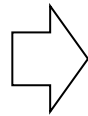
# Quarkonia: probing the strong force in the QGP



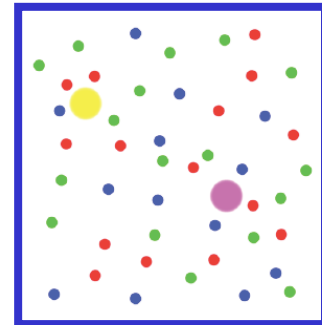
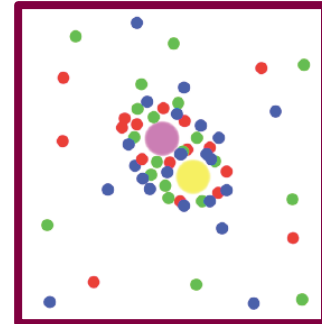
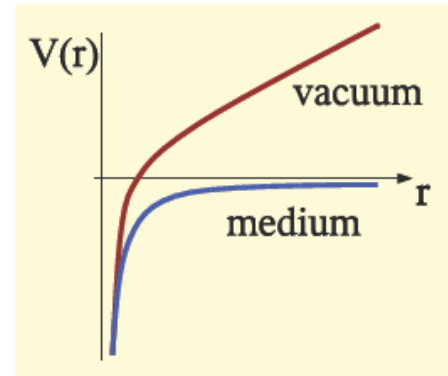
# Quarkonium melting due to colour screening

- QGP signature proposed by Matsui and Satz, 1986  
Matsui, Satz, PLB178 (1986) 416
- In the plasma phase the interaction potential is expected to be screened beyond the Debye length  $\lambda_D$  (analogous to e.m. Debye screening)
- Charmonium ( $c\bar{c}$ ) and bottomonium ( $b\bar{b}$ ) states with  $r > \lambda_D$  will not bind; their production will be suppressed

$$V(r) = -\frac{\alpha}{r} + kr$$

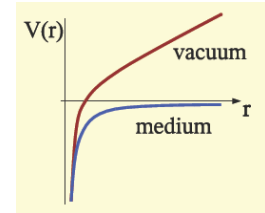


$$V(r) = -\frac{\alpha}{r} e^{-r/\lambda_D}$$



# Quarkonium melting due to colour screening

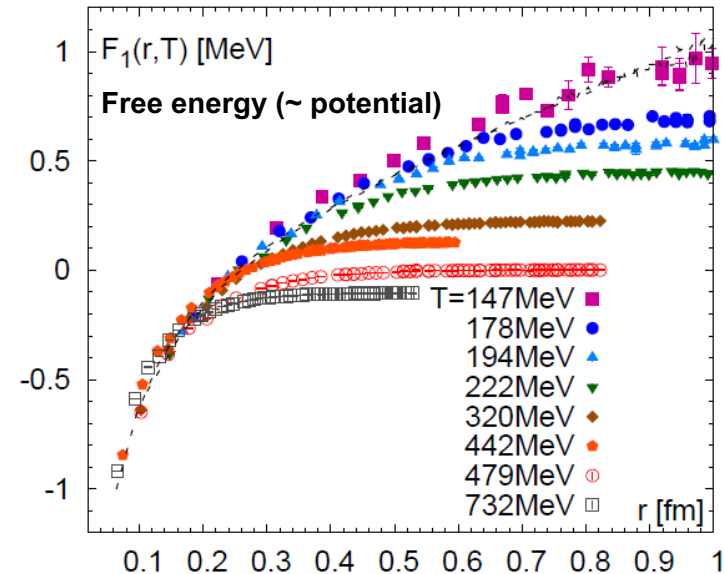
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$$V(r) = -\frac{\alpha}{r} + kr \quad \Rightarrow \quad V(r) = -\frac{\alpha}{r} e^{-r/\lambda_D}$$

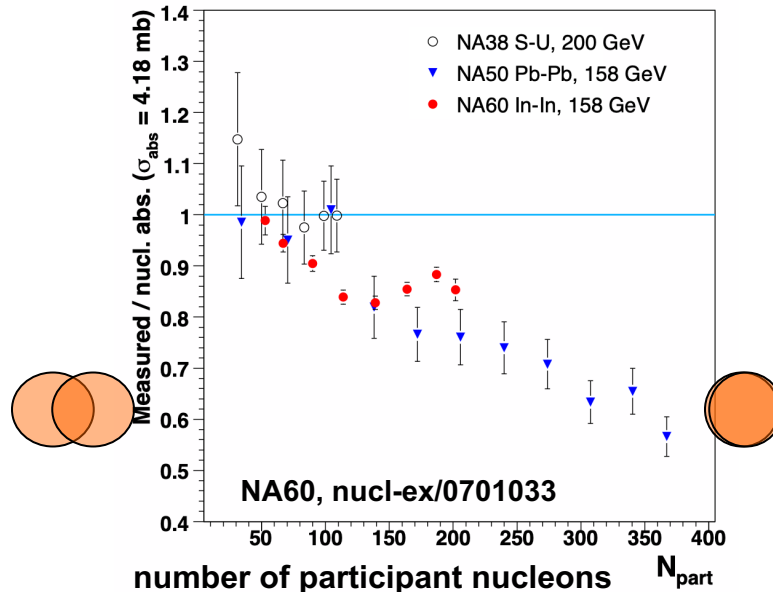
- In-medium potential calculated in lattice QCD:



Lattice QCD: e.g. Bazavov et al. 2013

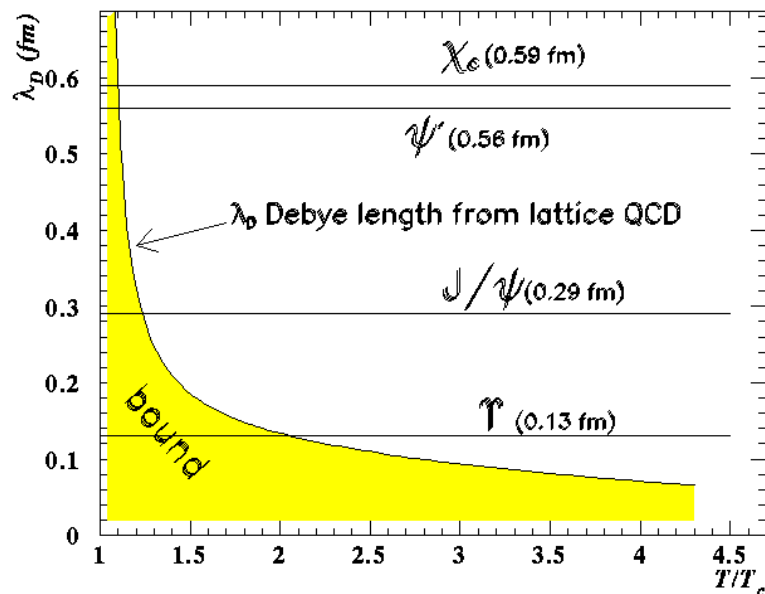
# $J/\psi$ suppression at the SPS

- ◆ **Suppression observed by the NA50 and NA60 experiments** in Pb-Pb and In-In at the SPS ( $\sqrt{s_{NN}} \sim 17$  GeV)
- ◆ “Measured / Expected”  $J/\psi$  yield vs. centrality drops below unity towards more central collisions



# Sequential quarkonium melting due to colour screening

Debye screening length  $\lambda_D$  rapidly decreases when  $T > T_c$  ( $T/T_c > 1$ ):

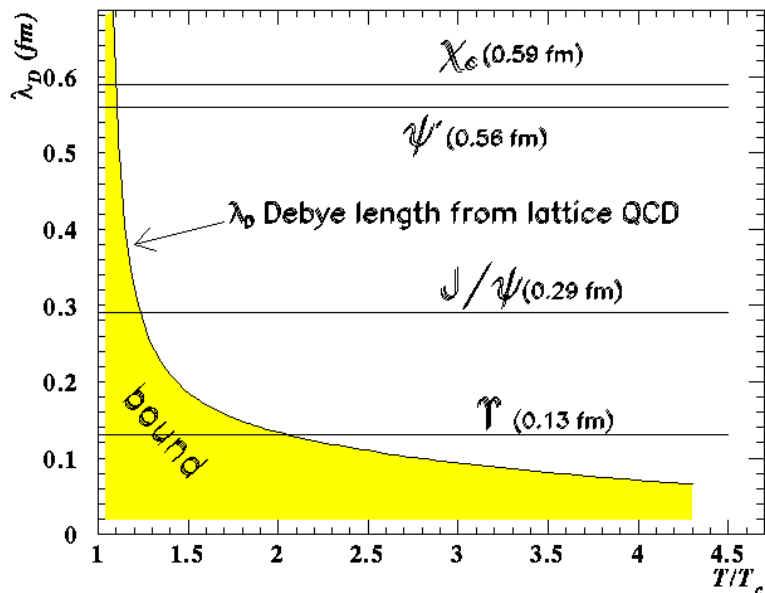


Digal, Petrecki, Satz PRD 64(2001) 0940150

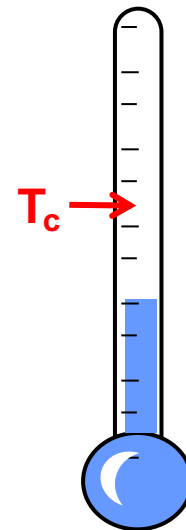
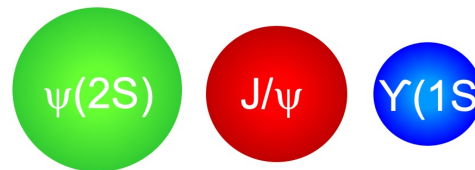


# Sequential quarkonium melting due to colour screening

Debye screening length  $\lambda_D$  rapidly decreases when  $T > T_c$  ( $T/T_c > 1$ ):



$T < T_c \rightarrow \lambda_D > 1 \text{ fm}$

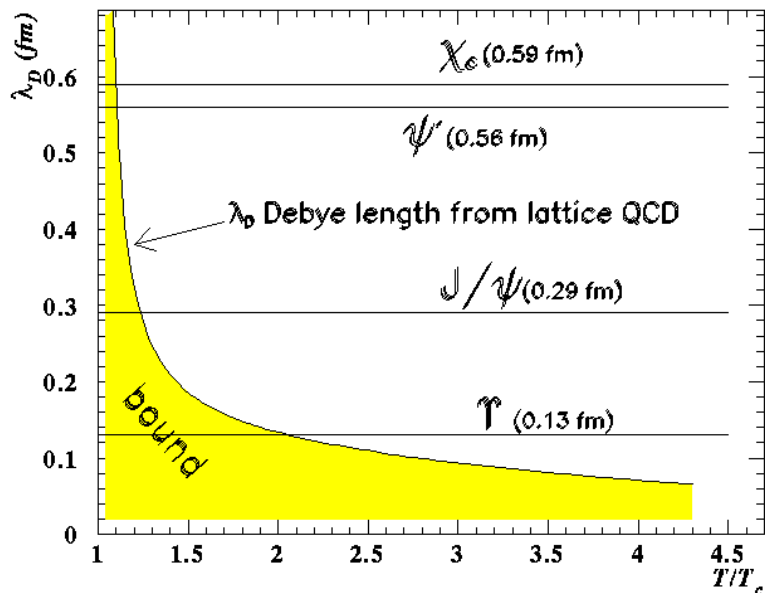


Courtesy R. Arnaldi

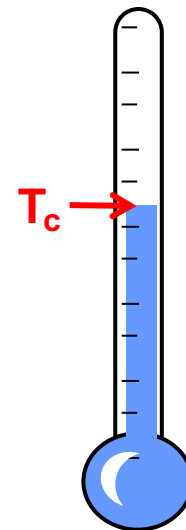
Digal, Petrecki, Satz PRD 64(2001) 0940150

# Sequential quarkonium melting due to colour screening

Debye screening length  $\lambda_D$  rapidly decreases when  $T > T_c$  ( $T/T_c > 1$ ):



$$T \sim T_c \rightarrow \lambda_D \sim 0.6 \text{ fm}$$

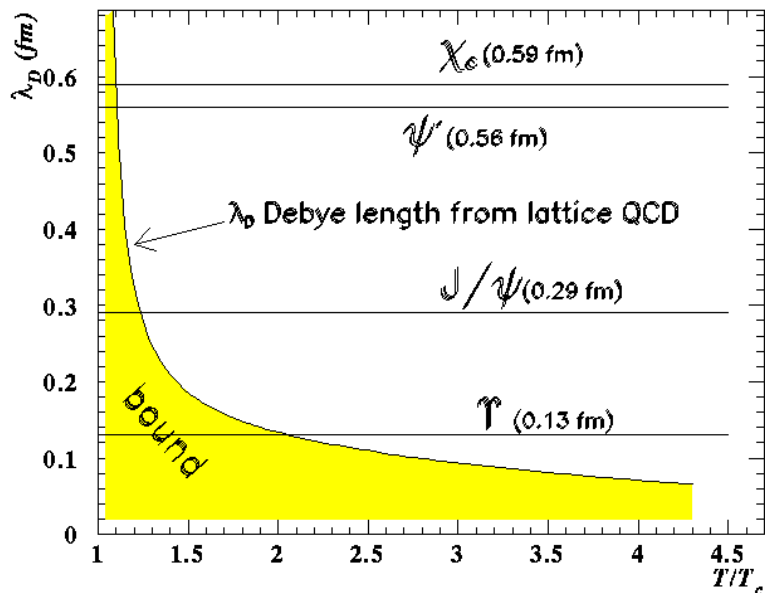


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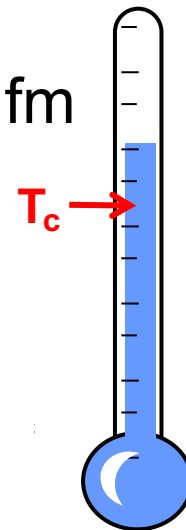
Digal, Petrecki, Satz PRD 64(2001) 0940150

# Sequential quarkonium melting due to colour screening

Debye screening length  $\lambda_D$  rapidly decreases when  $T > T_c$  ( $T/T_c > 1$ ):



$$T \sim 2 T_c \rightarrow \lambda_D \sim 0.15 \text{ fm}$$

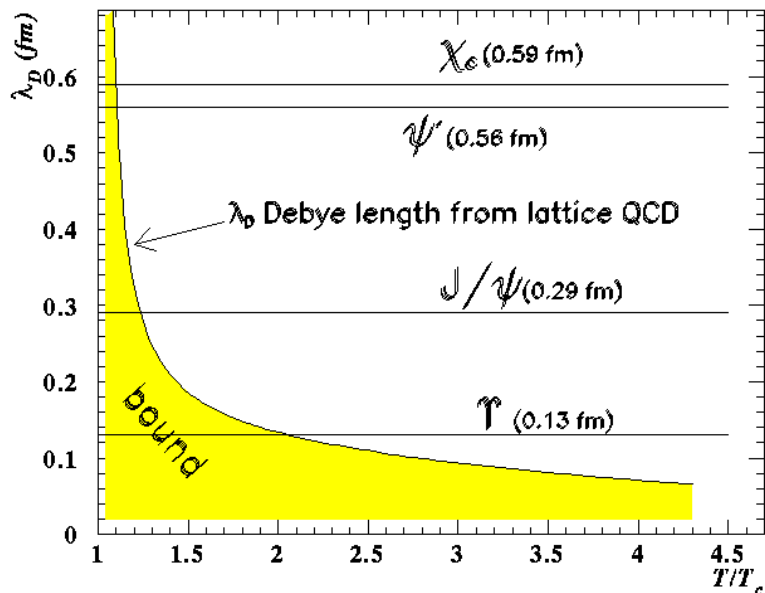


Courtesy R. Arnaldi

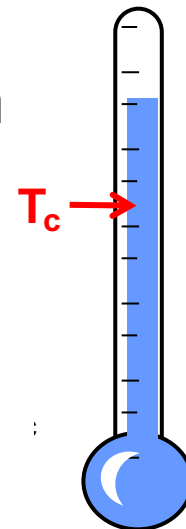
Digal, Petrecki, Satz PRD 64(2001) 0940150

# Sequential quarkonium melting due to colour screening

Debye screening length  $\lambda_D$  rapidly decreases when  $T > T_c$  ( $T/T_c > 1$ ):



$$T \sim 3 T_c \rightarrow \lambda_D \sim 0.1 \text{ fm}$$

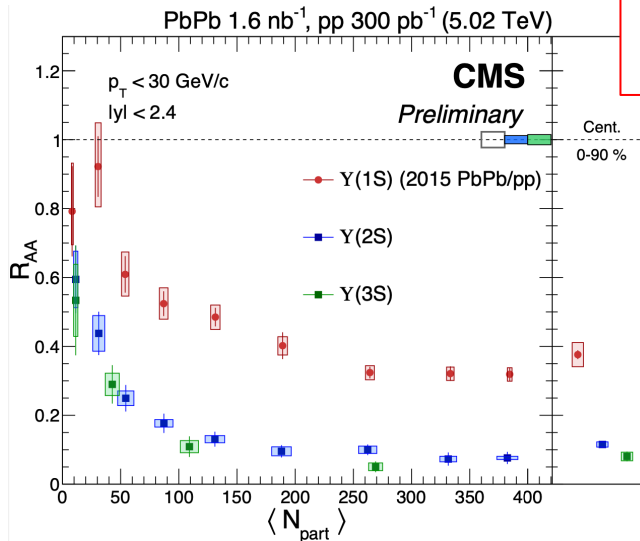
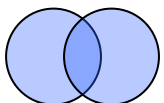
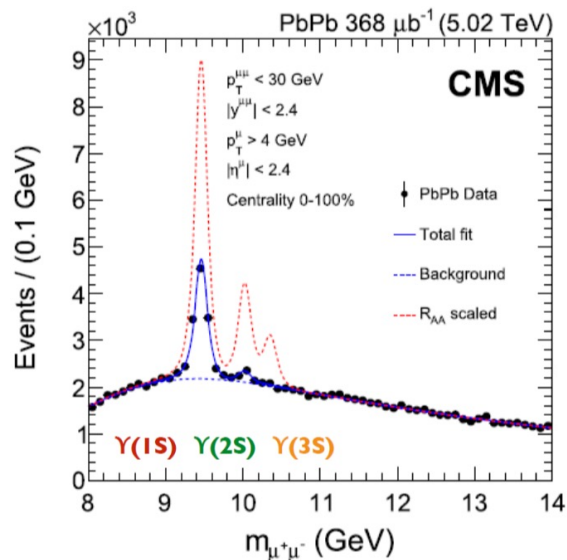


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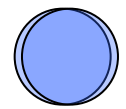
Digal, Petrecki, Satz PRD 64(2001) 0940150

# Bottomonium sequential suppression: $Y(1S)$ , $Y(2S)$ , $Y(3S)$

**Binding energy:  $\sim 1.1$     $\sim 0.5$     $\sim 0.2$  GeV**



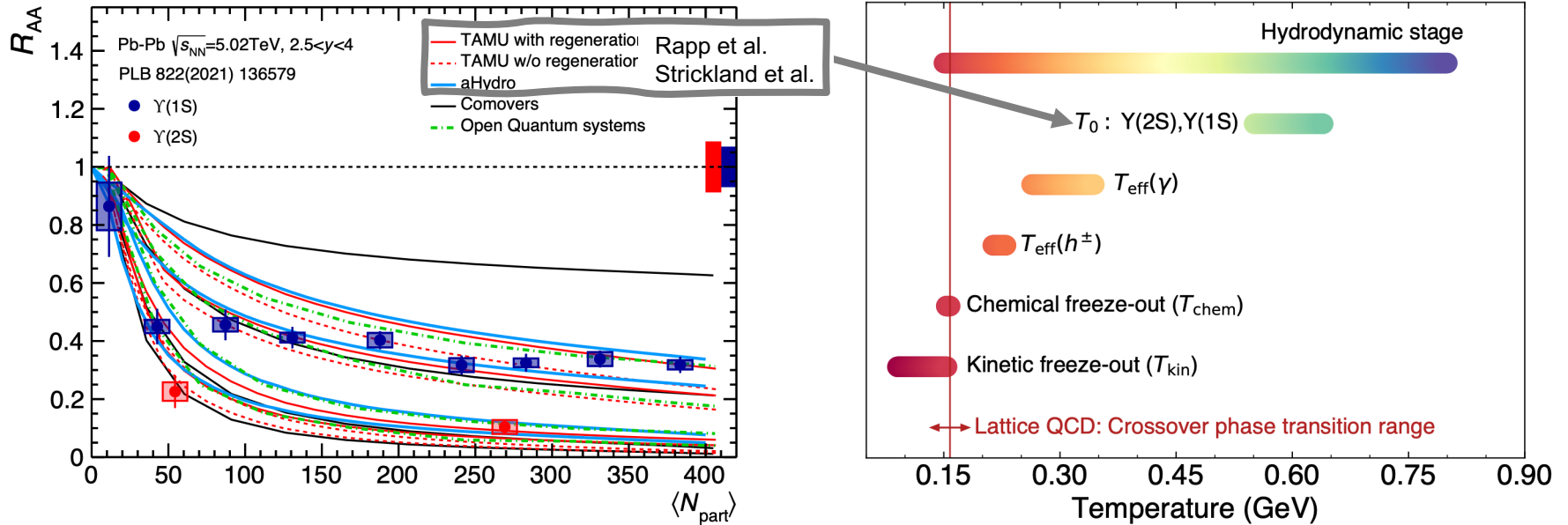
$$R_{AA} = \frac{1}{\langle N_{coll} \rangle} \frac{dN/dp_T|_{PbPb}}{dN/dp_T|_{pp}}$$



**CMS-HIN-21-07**

- $Y(2S)$  4x more suppressed than  $Y(1S)$ ;  $Y(3S)$  2x more suppressed than  $Y(2S)$
- **Consistent with sequential suppression, ordered with binding energy** (or radius of bound state)

# Towards QGP temperature from bottomonium suppression?



ALICE, A journey through QCD, arXiv:2211.04384

- Two **model calculations**, that describe Y suppression at the LHC, implement colour screening in hydro medium with **initial  $T_0 \sim 550\text{-}650\text{ MeV}$**

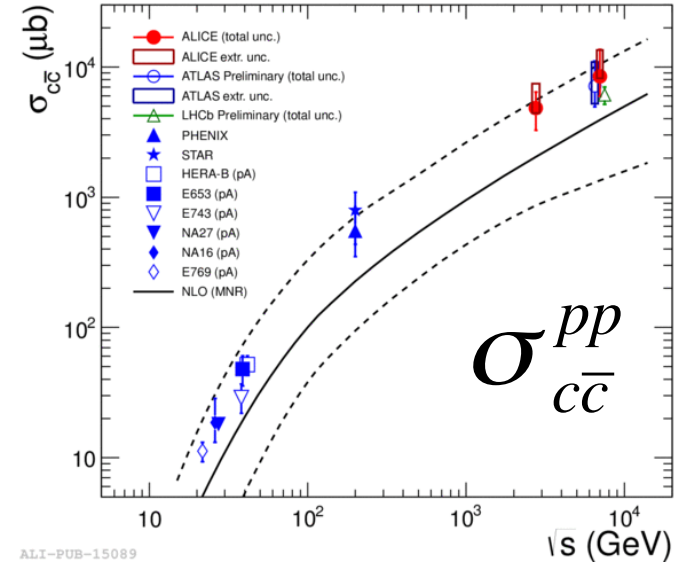
# Charmonium regeneration

- ◆ Uncorrelated c quarks from the medium could bind during QGP evolution and/or at hadronization (chemical freeze-out) and form charmonium
- ◆ At LHC, about 100  $c\bar{c}$  pairs in central collisions:

$$N_{c\bar{c}} = \frac{\sigma_{c\bar{c}}^{pp}}{\sigma_{inel}^{pp}} \cdot N_{coll} \sim \frac{\sigma_{c\bar{c}}^{pp}}{65 \text{ mb}} \cdot 1600$$

In most central A-A collisions	SPS 20 GeV	RHIC 200 Gev	LHC 2.76 TeV
$N_{c\bar{c}}$ /event	~0.1	~10	~100

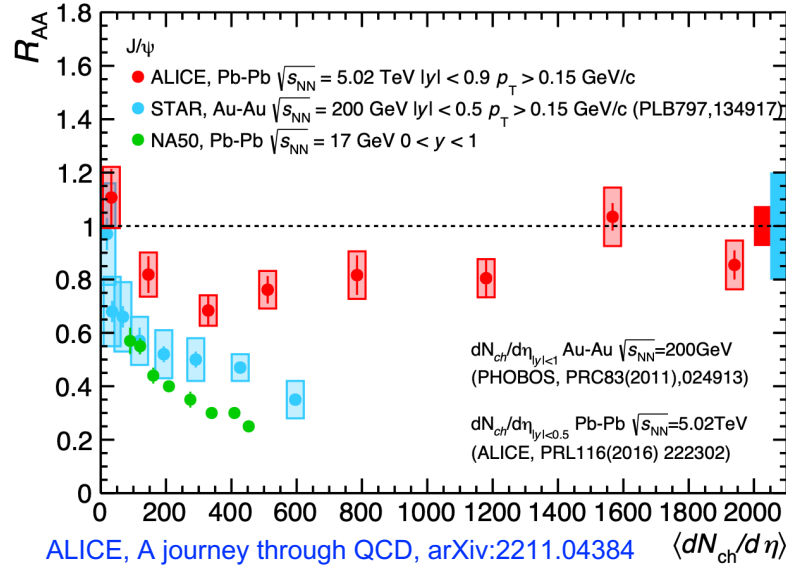
P. Braun-Munzinger and J. Stachel, Phys. Lett. B490(2000) 196  
 R. Thews et al, Phys. Rev. C63 (2001) 054905



To first approximation:

$$\frac{dN_{J/\psi}}{dy} \propto \left( \frac{dN_{c\bar{c}}}{dy} \right)^2$$

# J/ψ suppression + regeneration: SPS, RHIC, LHC

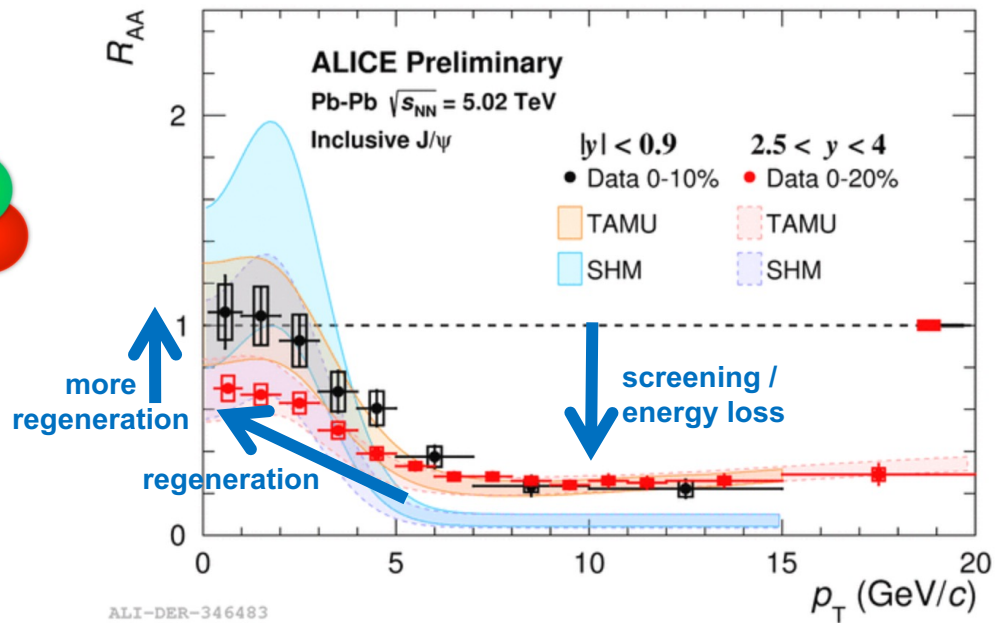
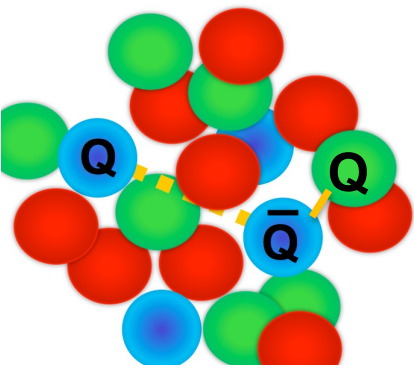


$$R_{AA} = \frac{1}{\langle N_{coll} \rangle} \frac{dN/dp_T|_{PbPb}}{dN/dp_T|_{pp}}$$

- ◆ Suppression expected from increasing temperature is countered by the **rapid increase of regeneration**:  $\left(\frac{dN_{c\bar{c}}}{dy}\right)^2$  increases by  $\sim 10^6$  from SPS to LHC
- ◆ J/ψ  $R_{AA} \sim 1$  at mid-rapidity in central collisions at LHC



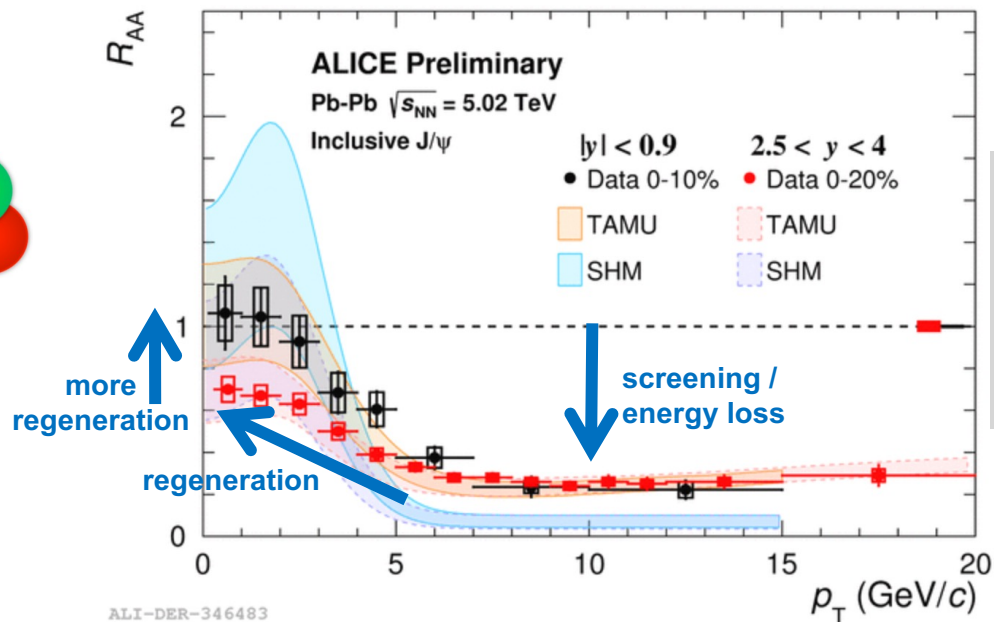
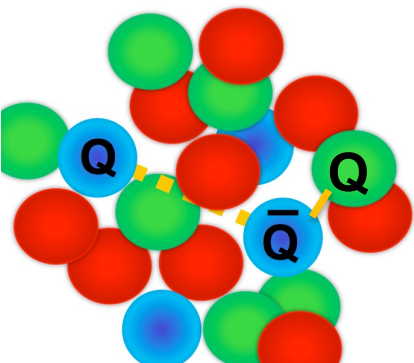
# J/ψ regeneration at LHC: $p_T$ and rapidity dependence



- ◆ J/ψ suppression reduced at low  $p_T$ 
  - $c\bar{c}$  regeneration balancing the dissociation in the QGP

- At low  $p_T$ , modification decreases from **forward** to central rapidity
  - Reflects rapidity dependence of the  $c\bar{c}$  cross section → regeneration probability

# J/ψ regeneration at LHC: $p_T$ and rapidity dependence



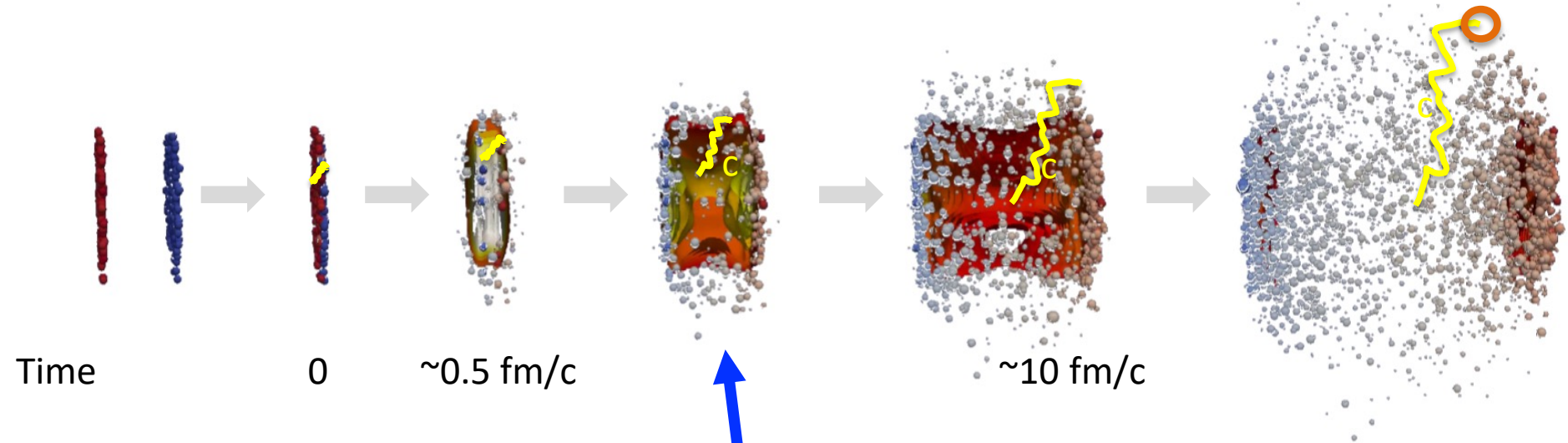
Large “theory” uncertainty mostly from  $\sigma_{c\bar{c}}$

Crucial to measure this precisely in AA!

- ◆ J/ψ suppression reduced at low  $p_T$ 
  - $c\bar{c}$  regeneration balancing the dissociation in the QGP

- At low  $p_T$ , modification decreases from **forward** to central rapidity
  - Reflects rapidity dependence of the  $c\bar{c}$  cross section → regeneration probability

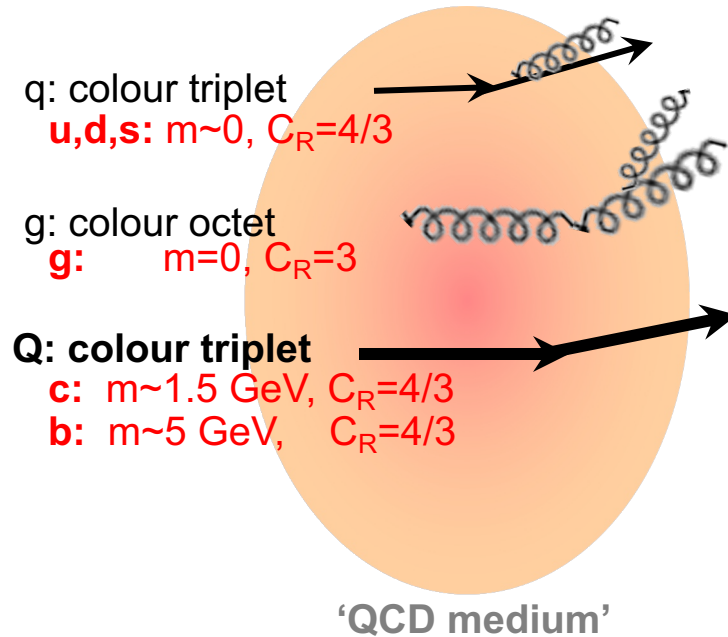
# Heavy quark interactions: energy loss



Interactions and energy loss

- What are the relevant energy loss mechanisms?
- Is there a flavour dependence (colour charge, mass)?

# The parton palette and the properties of QCD energy loss



## **Parton Energy Loss** by

- medium-induced gluon radiation
- collisions with medium gluons

$$\Delta E(\varepsilon_{medium}; C_R, m, L)$$

$C_R$ : colour charge dep.

$m$ : mass dependence

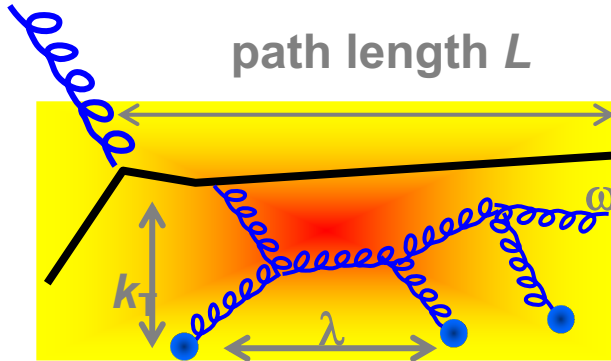
$$\Rightarrow \Delta E_g > \Delta E_{c \approx q} > \Delta E_b$$

See e.g.:

Dokshitzer and Kharzeev, PLB 519 (2001) 199. Armesto, Salgado, Wiedemann, PRD 69 (2004) 114003.

Djordjevic, Gyulassy, Horowitz, Wicks, NPA 783 (2007) 493.

# Radiative energy loss: colour charge dependence



**Example: BDMPS-Z formalism**

$$\hat{q} = \frac{\langle k_T^2 \rangle}{\lambda} \quad \text{transport coefficient}$$

Radiated-gluon energy distrib.:

$$\omega \frac{dI}{d\omega} \propto \alpha_s C_R \sqrt{\frac{\hat{q} L^2}{\omega}}$$

$C_R$  = Casimir coupling factor: 4/3 for q, 3 for g

→ Colour charge dependence of radiative energy loss

$$\Delta E_g > \Delta E_{c \approx q}$$

Baier, Dokshitzer, Mueller, Peigné, Schiff, NPB 483 (1997) 291.

Zakharov, JTEPL 63 (1996) 952.

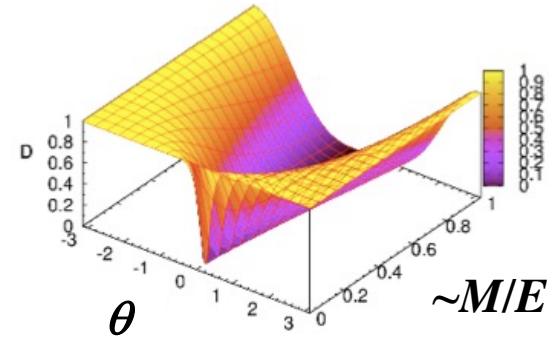
Salgado, Wiedemann, PRD 68(2003) 014008.

# Radiative energy loss: mass dependence

- ◆ In vacuum, gluon radiation suppressed at  $\theta < m_Q/E_Q$   
 → “dead cone” effect

Gluonsstrahlung probability

$$\frac{dP}{d\theta} \propto \frac{1}{[\theta^2 + (m_Q/E_Q)^2]^2}$$

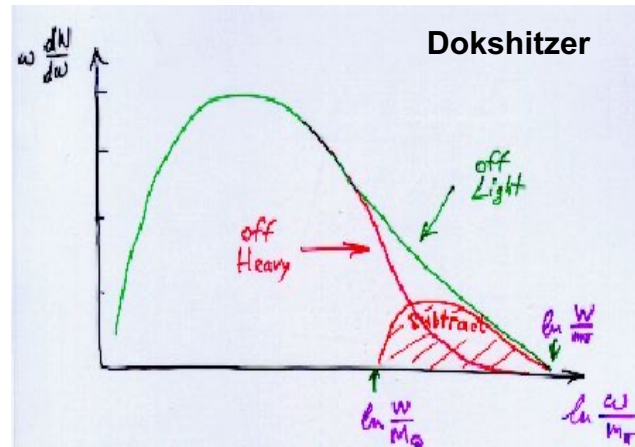


- ◆ **Dead cone implies lower energy loss:**

- ◆ energy distribution  $\omega dI/d\omega$  of radiated gluons suppressed by angle-dependent factor
- ◆ suppresses high- $\omega$  tail

$$\omega \frac{dI}{d\omega} \Big|_{HEAVY} = \omega \frac{dI}{d\omega} \Big|_{LIGHT} \times \left( 1 + \left( \frac{m_Q}{E_Q} \right)^2 \frac{1}{\theta^2} \right)^{-2}$$

$$\Delta E_c > \Delta E_b$$



Dokshitzer, Khoze, Troyan, JPG 17 (1991) 1602.

Dokshitzer and Kharzeev, PLB 519 (2001) 199.

# Mass dependence in collisional energy loss

## Example: Langevin formalism

- ◆ Langevin equation gives momentum ( $\mathbf{p}$ ) evolution vs. time ( $t$ ) for a HQ with mass  $m_Q$ :

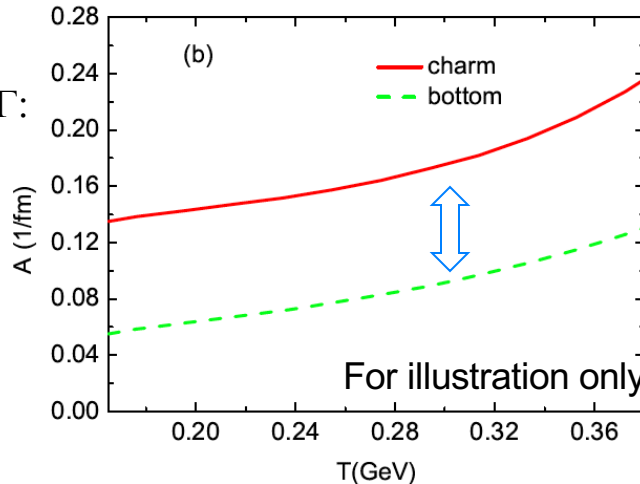
$$d\mathbf{p} = -\Gamma(p) \mathbf{p} dt + \sqrt{2D(\mathbf{p} + d\mathbf{p})} dt \rho$$

Loss term  $\rightarrow$  energy loss

Gain term

- ◆ Both  $\Gamma$  (drag) and  $D$  (diffusion)  $\sim 1/m_Q$

Thermal relaxation rate  $A \sim \Gamma$ :



$$\Delta E_c > \Delta E_b$$

# From energy loss to $R_{AA}$

$$\Delta E_g > \Delta E_{c \approx q} > \Delta E_b$$

$$R_{AA}(p_T) = \frac{1}{\langle N_{coll} \rangle} \frac{dN_{AA} / dp_T}{dN_{pp} / dp_T}$$

## ◆ What is the expected $R_{AA}$ pattern?

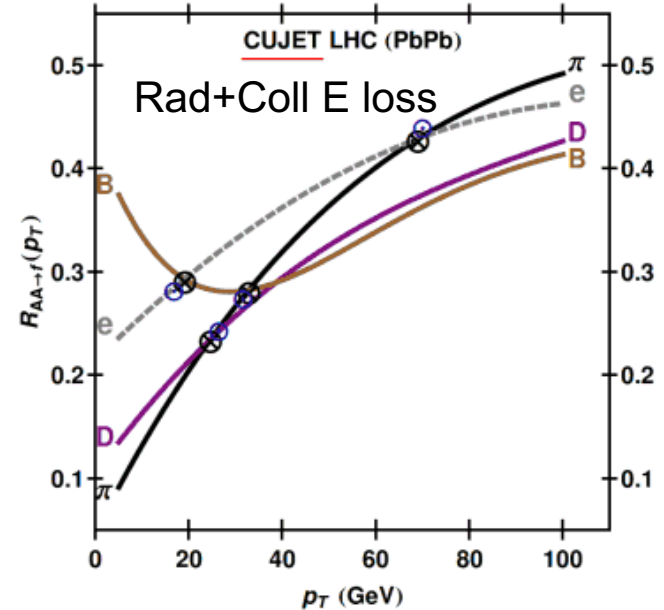
- No trivial relation between  $\Delta E$  and  $R_{AA}$
- Need to account for different steepness of partonic  $p_T$  spectrum, different hadronization mechanisms, effects of radial flow (system collective expansion)

$$R_{AA}^D < R_{AA}^B$$

→ **Clear prediction**

$$R_{AA}^\pi \leq R_{AA}^D$$

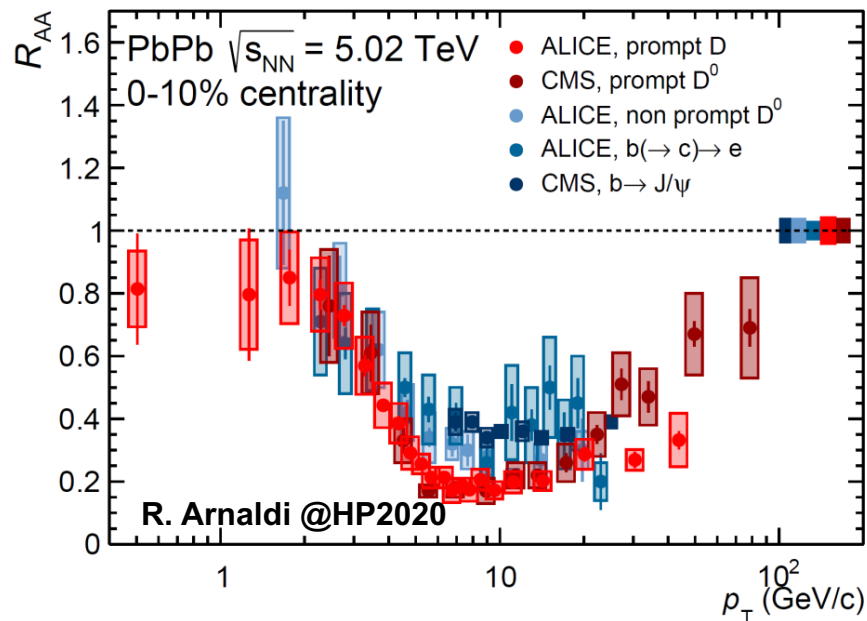
→ **Difficult to observe**



e.g.: A.Buzzatti et al., NPA904-905 (2013) 779c

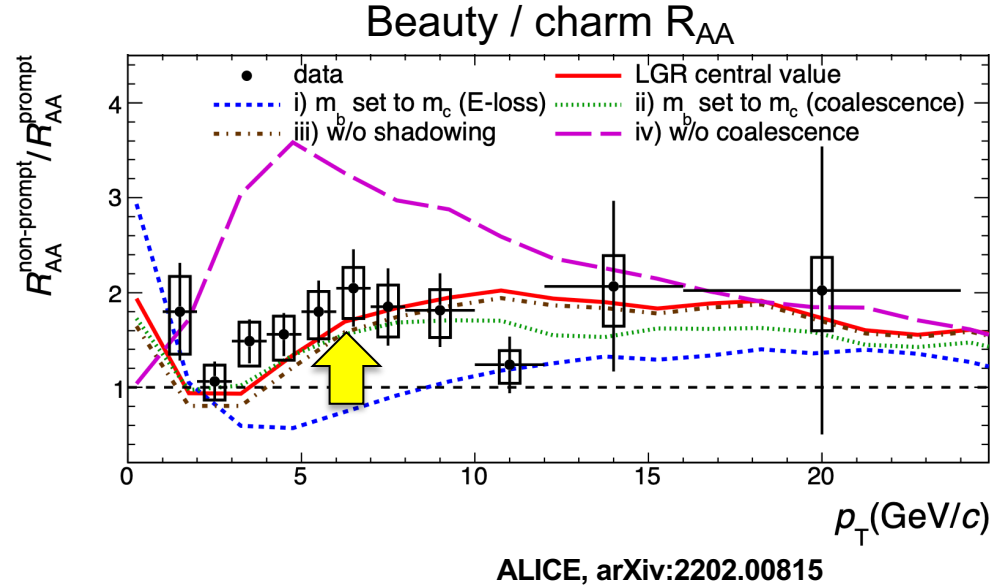
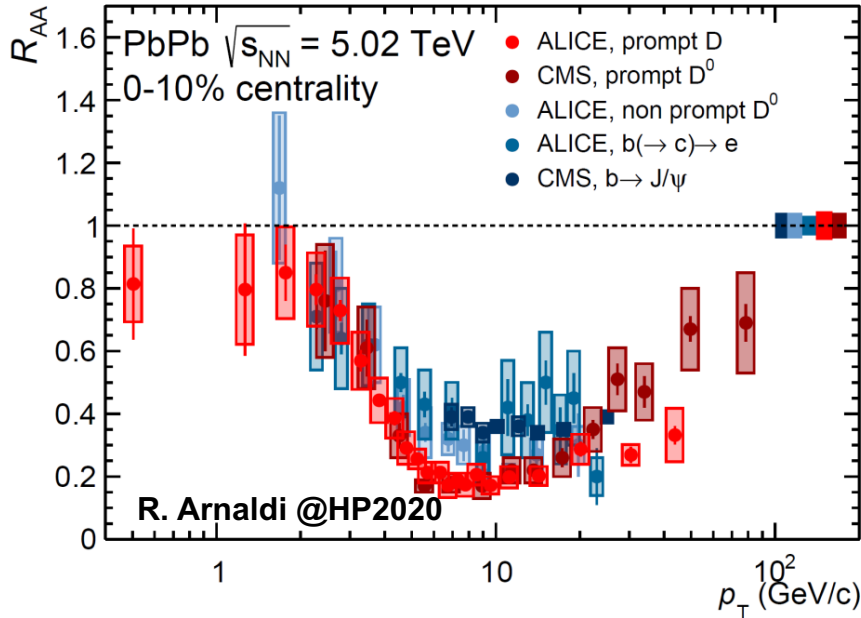


# Beauty vs charm $R_{AA}$



- Beauty  $R_{AA} >$  Charm  $R_{AA}$  at  $p_T \sim 5-15$  GeV/c

# Beauty vs charm $R_{AA}$



- Beauty  $R_{AA} >$  Charm  $R_{AA}$  at  $p_T \sim 5-15$  GeV/c

- Described by models with **smaller elastic coupling + dead cone for gluon radiation**

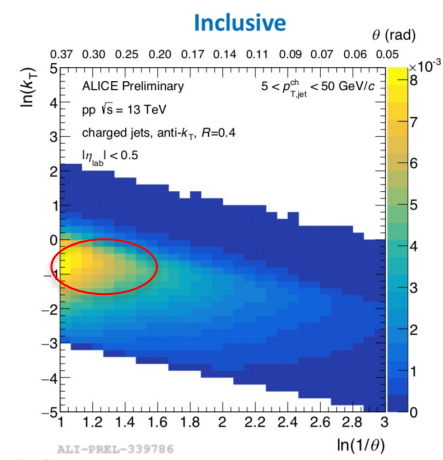
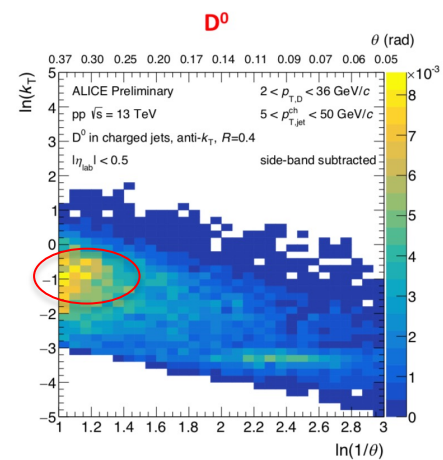
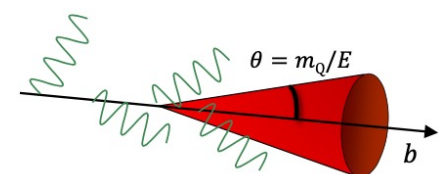
# Meanwhile: dead cone 'seen' in D-jets in pp

- ALICE Data
- PYTHIA 8 LQ / inclusive no dead-cone limit
- PYTHIA 8
- SHERPA
- SHERPA LQ / inclusive no dead-cone limit

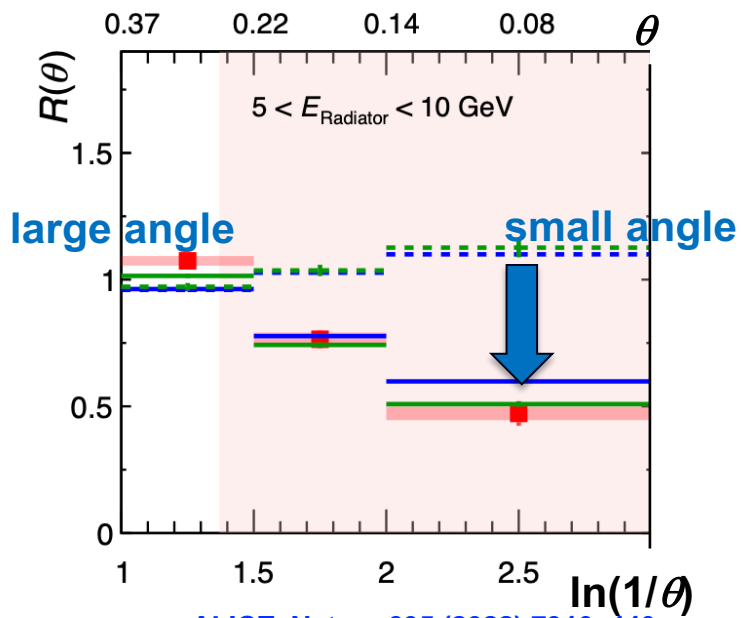
◆ First direct observation using jet iterative declustering and **Lund plane** analysis of jets that contain a soft  $D^0$  meson

**Lund plane:**

$\ln(k_T)$

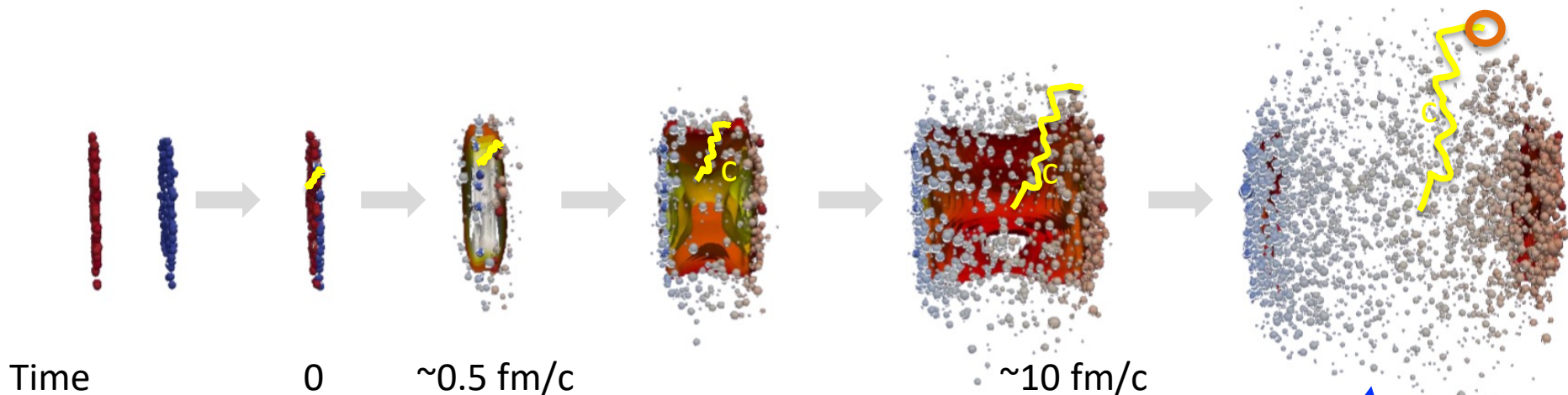


$\ln(1/\theta)$



ALICE, Nature 605 (2022) 7910, 440

# Heavy quark interactions: diffusion, thermalization?, hadronization



- Low- $p_T$  HQs could take part in QGP expansion:
  - Collisional E loss  $\rightarrow$  Diffusion
- Could they thermalize in the QGP?
- This also affects the hadronization mechanisms

Diffusion,  
Thermalization?

Hadronization

## Example: Langevin formalism

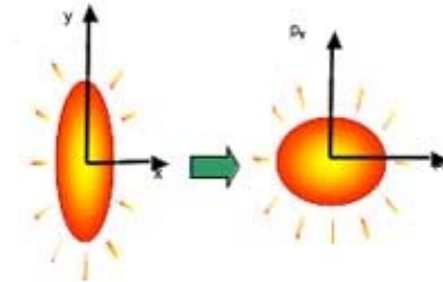
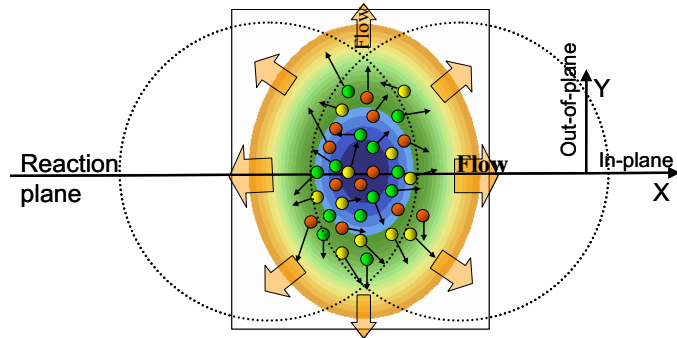
- ◆ Langevin equation gives momentum ( $\mathbf{p}$ ) evolution vs. time ( $t$ ) for a HQ with mass  $m_Q$ :

$$d\mathbf{p} = -\Gamma(p) \mathbf{p} dt + \sqrt{2D(\mathbf{p} + d\mathbf{p})} dt \rho$$

Loss term  $\rightarrow$  energy loss

Gain term

- ◆ If a low- $p$  heavy quark is embedded in an expanding QGP, the gain term  $d\mathbf{p}$ :
  - Increases significantly its momentum  $\rightarrow$  radial flow (i.e. increase of  $\langle p_T \rangle$ )
  - Imparts azimuthal asymmetry  $\rightarrow$  anisotropic flow ( $v_2$ , and higher harmonics)



# Heavy quark diffusion coefficient

## Example: Langevin formalism

- ◆ Langevin equation gives momentum ( $\mathbf{p}$ ) evolution vs. time ( $t$ ) for a HQ with mass  $m_Q$ :

$$d\mathbf{p} = -\Gamma(p) \mathbf{p} dt + \sqrt{2D(\mathbf{p} + d\mathbf{p})} dt \rho$$

- ◆ Diffusion coefficient  $D$ : one of the characterizing properties of the QGP

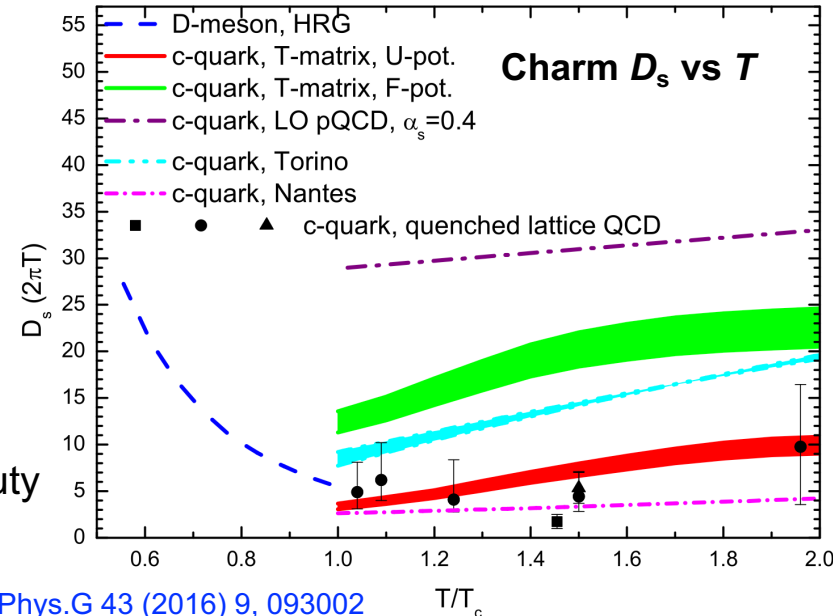
- ◆ Spatial diffusion coefficient:  $D_S \propto \frac{T}{m_Q \Gamma} \sim T$

- ~ same for charm and beauty
- Hard scale ( $m_Q$ ) enables calculations on the lattice

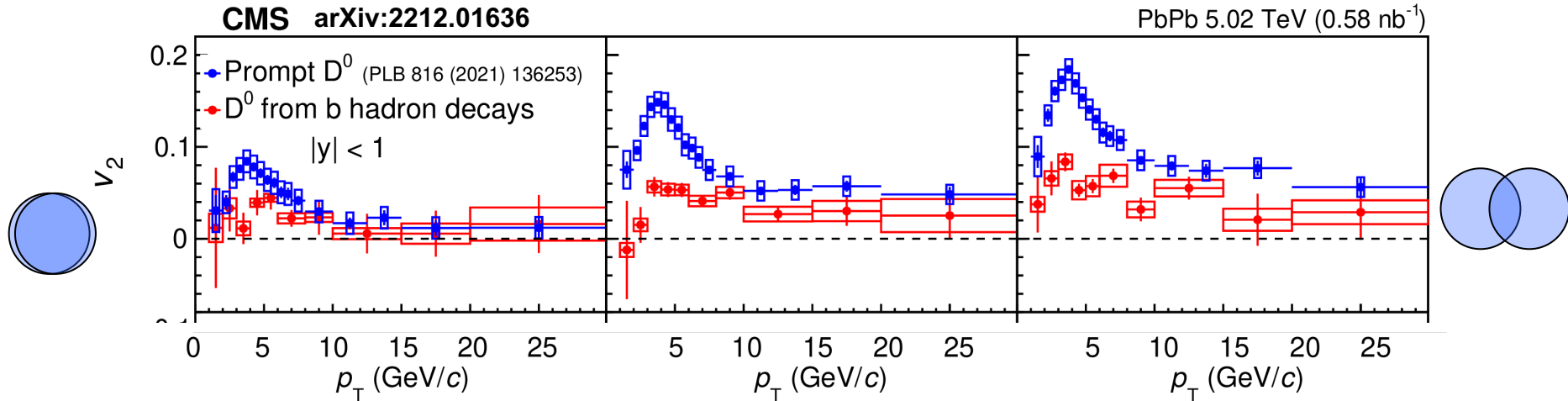
- ◆ Heavy quark thermalization time:

$$\tau_Q = (m_Q/T) D_S$$

- Charm expected to thermalize x 3-4 faster than Beauty



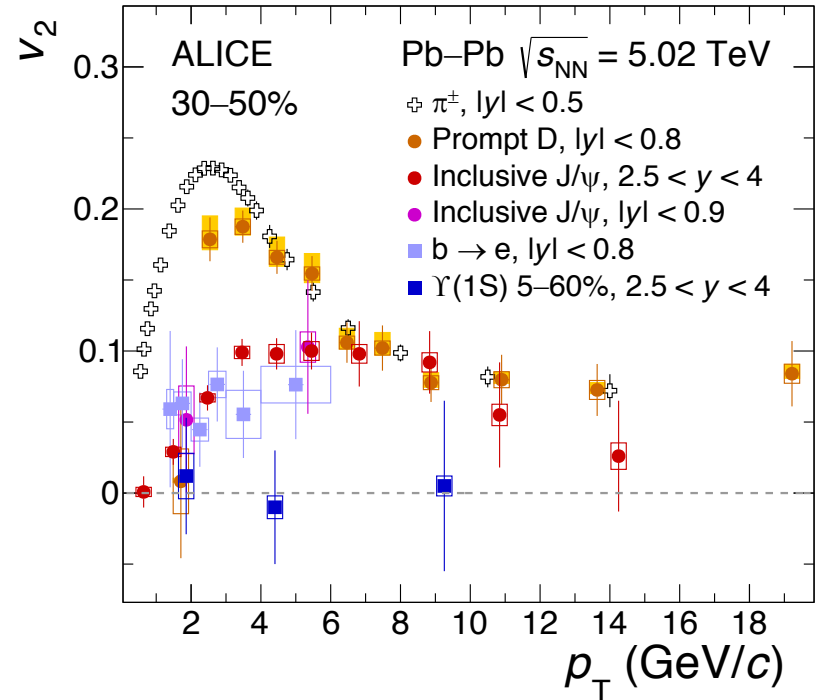
# Open charm and open beauty elliptic flow



- Prompt D mesons (charm) have large  $v_2$  at LHC (and RHIC)
- Non-prompt D mesons (beauty) have  $v_2 > 0$
- Low  $p_T$ : indication of  $0 < \text{beauty } v_2 < \text{charm } v_2$   
 → Smaller thermalization for beauty?
- High  $p_T$ :  $0 < \text{beauty } v_2 \sim \text{charm } v_2$   
 →  $v_2$  from path-length dependence of energy loss?

# Open and hidden HF $v_2$

- ◆  $J/\psi$  have clear  $v_2 > 0$ 
  - confirms formation by regeneration from flowing  $c$  and  $\bar{c}$  quarks
  
- ◆ No indication of  $Y(1S)$  flow
  - consistent with large  $Y$  mass and small  $b\bar{b}$  regeneration probability
  
- ◆ At intermediate  $p_T$ ,  $J/\psi < D < \text{pions}$ 
  - ➔ What is the role of the hadronization mechanism?



$\pi$ : JHEP1809(2018)006

$b \rightarrow e$ : arXiv:2005.11130

D: arXiv:2005.11131

$Y(1S)$ : PRL123(2019)192301

$J/\psi$ : arXiv:2005.14518



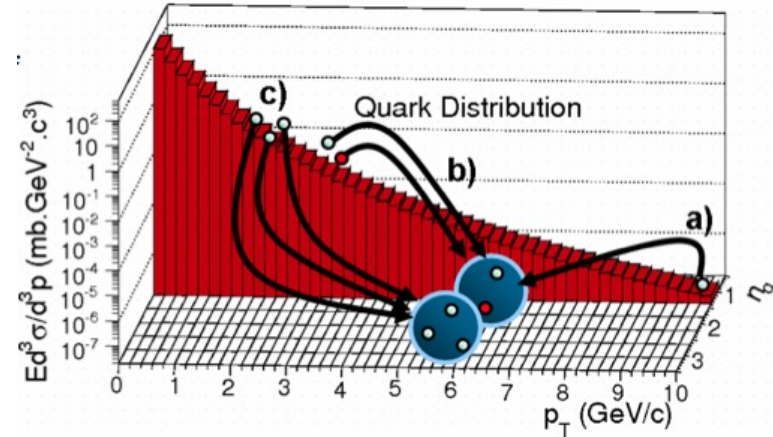
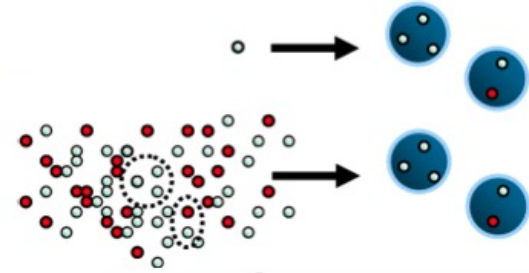
# HQ hadronization in heavy-ion collisions ?

- ◆ Even in high-energy pp collisions HQ hadronization differs from e+e-like fragmentation
- ◆ High parton density in QGP favours hadronization by recombination (or coalescence) of quarks

$$\vec{p}_{hadron} = \sum \vec{p}_{quark}$$

- ◆ Recombination dominant at low  $p$  for light quarks
- ◆ It affects the momentum distributions, enhances the baryon/meson ratios at intermediate  $p_T$ , and the azimuthal anisotropy of hadrons

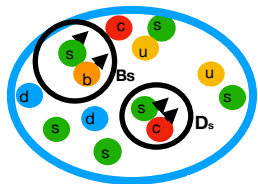
$$v_{n,hadron} = \sum v_{n,quark}$$



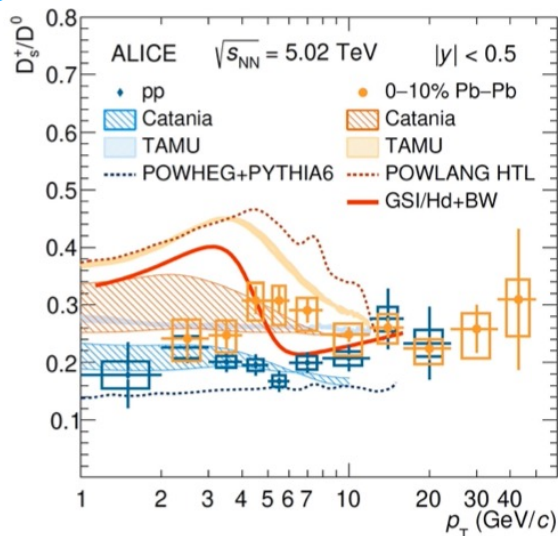
- a) 6 GeV/c pion from 1x 10 GeV/c quark fragmentation
- b) 6 GeV/c pion from 2x 3 GeV/c quark recombination
- c) 6 GeV/c proton from 3x 2 GeV/c quark recombination

## What about HQs in HI?

# Strange-HF mesons

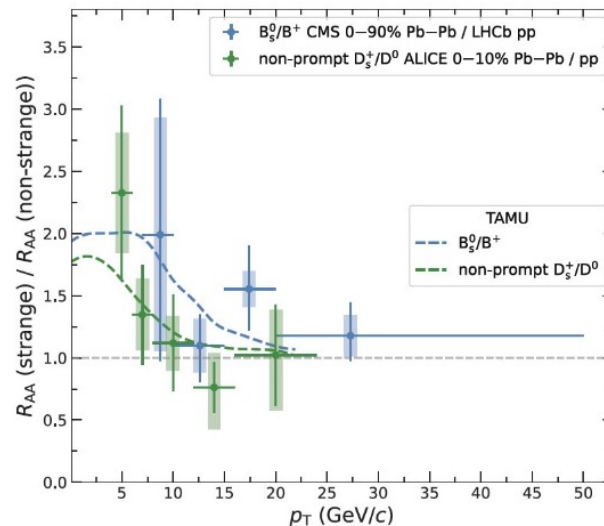


Expected to be enhanced, because QGP is strangeness-rich



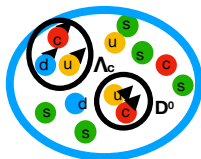
$D_s/D^0$  larger in central Pb-Pb w.r.t pp measurements

ALICE, arXiv:2110.10006

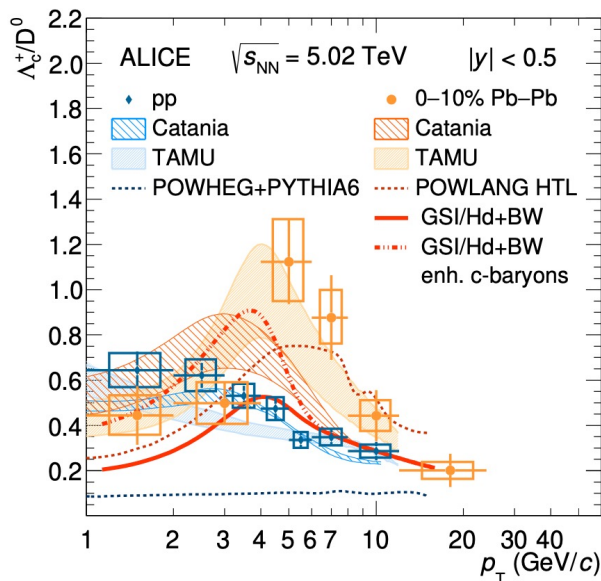
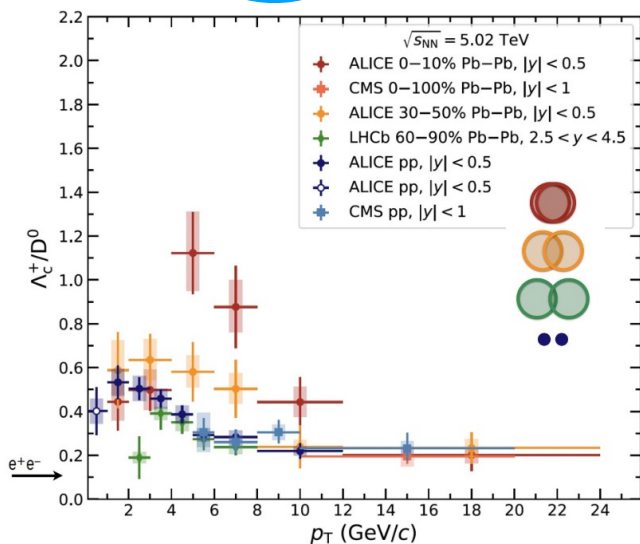


Hint of  $R_{AA}(B_s) > R_{AA}(B)$

CMS, arxiv:1810.03022  
ALICE, arXiv:2204.10386



# Charm baryon-to-meson ratio



ALICE pp arxiv:2011.06079  
 ALICE Pb-Pb arXiv:2112.08156  
 CMS, arXiv:1906.03322  
 LHCb, arXiv:2210.06939

$\Lambda_c/D^0$  larger in central and semi-central Pb-Pb than in pp at intermediate  $p_T$

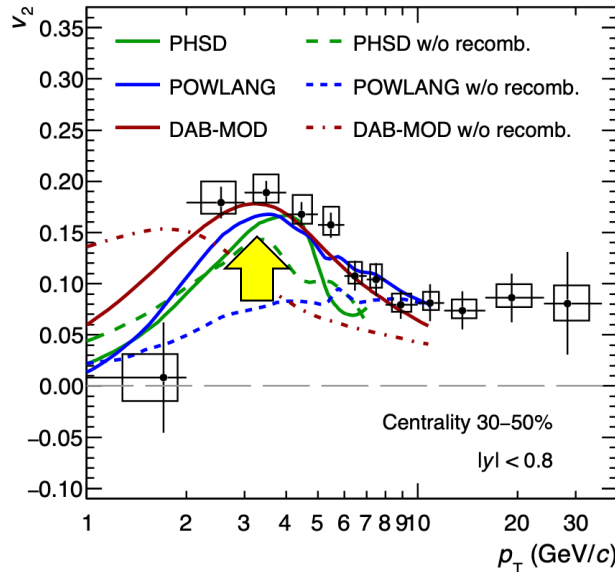
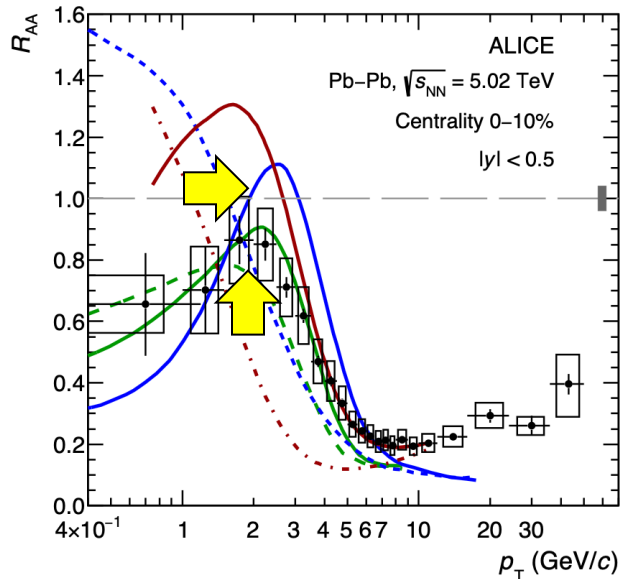
**Described by models with different implementations of quark recombination**

RHIC energy:  $\Lambda_c/D^0 \sim 1$  in Au-Au at low  $p_T$  (3-6 GeV/c), but no pp measurement

STAR, arXiv:1910.1462

# HF hadronization ↔ HF diffusion

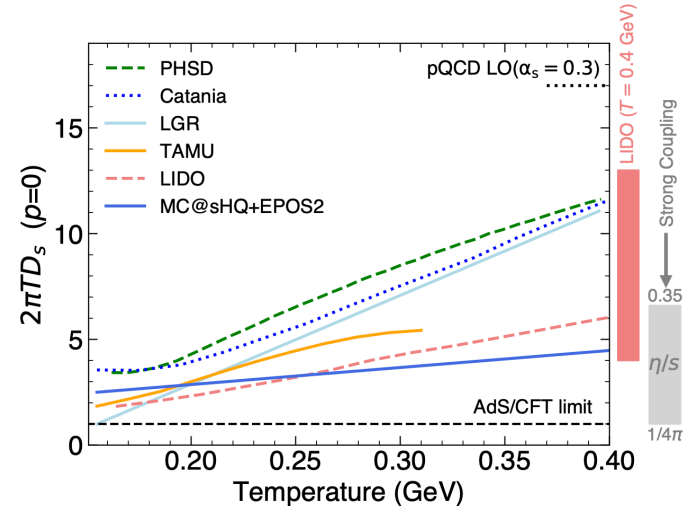
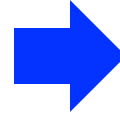
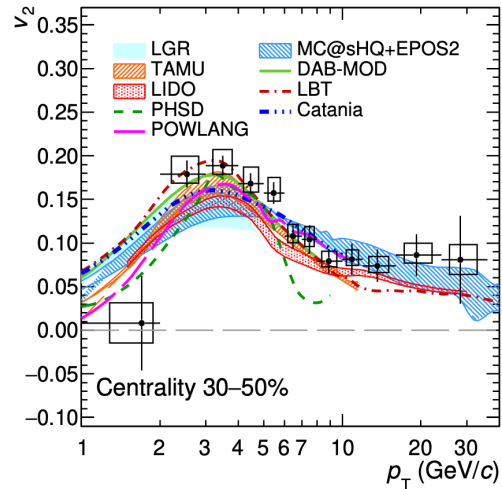
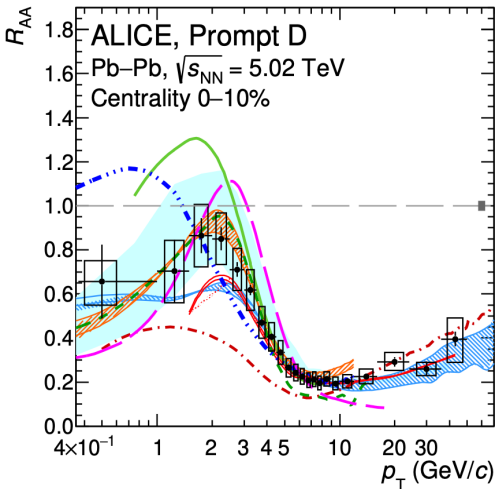
- ◆ Understanding HF hadronization in a high-density partonic environment
  - fundamental, and one of the handles on the emergence of collectivity in QCD
  - instrumental to measuring the QGP diffusion coefficient with HF flow



**D-meson  $R_{AA}$  and  $v_2$  only described when including recombination**

ALICE, arXiv:2110.09420

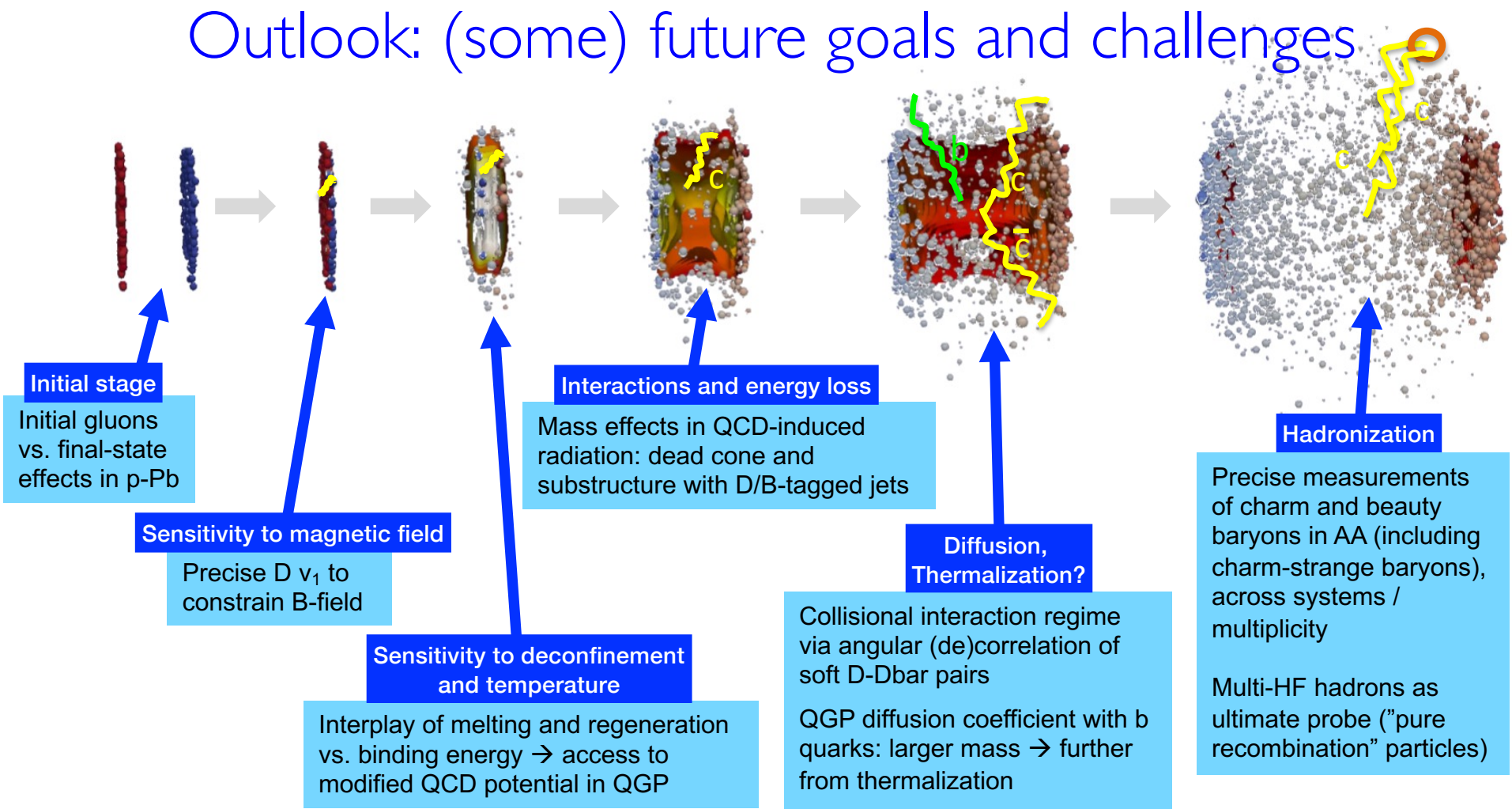
# Charm diffusion coefficient from “LHC data”



ALICE, A journey through QCD, arXiv:2211.04384

- ◆ Estimates of  $D_s$  vs  $T$  using the inputs of models that describe the LHC data
  - E.g. latest ALICE estimate:  $1.5 < 2\pi D_s(T)T < 4.5$  at  $T_c$   $\longrightarrow$   $\tau_{\text{charm}} = 3-9$  fm/c
- ◆ Next steps:  $D_s$  and other parameters from global Bayesian analyses of light and heavy flavour observables

# Outlook: (some) future goals and challenges



# Outlook: (some) future goals and challenges

**Comprehensive theory frameworks  
→ global analyses (Bayesian, ML, ...)**

**Initial stage**

Initial gluons vs. final-state effects in p-Pb

**Sensitivity to magnetic field**

Precise  $D v_1$  to constrain B-field

**Sensitivity to deconfinement and temperature**

Interplay of melting and regeneration vs. binding energy → access to modified QCD potential in QGP

**Interactions and energy loss**

Mass effects in QCD-induced radiation: dead cone and substructure with D/B-tagged jets

**Diffusion, Thermalization?**

Collisional interaction regime via angular (de)correlation of soft D-Dbar pairs

QGP diffusion coefficient with b quarks: larger mass → further from thermalization

**Hadronization**

Precise measurements of charm and beauty baryons in AA (including charm-strange baryons), across systems / multiplicity

Multi-HF hadrons as ultimate probe ("pure recombination" particles)

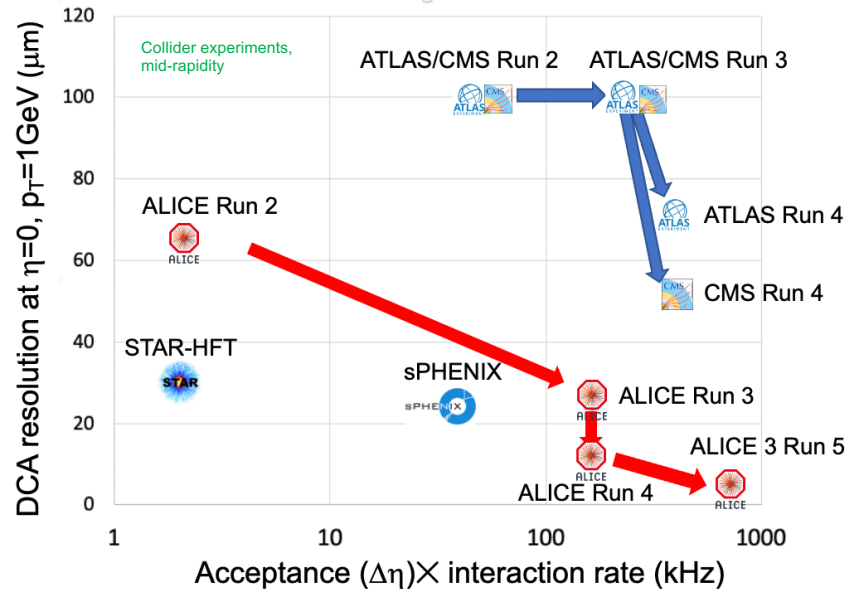
# Outlook: experiment → faster and “sharper”



◆ Main frontiers to enhance HF physics reach:

- rate capabilities & acceptance
- tracking precision

→ high precision, reduce backgrounds, access to rarer processes

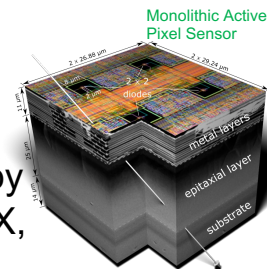


◆ x10-100 in “statistics” at RHIC and LHC

- Increased interaction rate at both machines
- Faster readout and larger acceptance

◆ Monolithic pixel trackers bring DCA resolution to 20-30  $\mu\text{m}$  at  $p_T=1\text{ GeV}/c$

- Pioneered by STAR; key development by ALICE, will be adopted also by sPHENIX, CBM, NA61, NA60+





Thanks for your attention!  
Questions?

Have an interesting and productive  
Hard Probes 2023!

# Don't miss these plenary talks!

Monday PM

<b>Open heavy flavors: Theory</b>	<i>Santosh Kumar Das</i>
<i>Cranach-Saal, Stadthalle</i>	15:15 - 15:40
<b>Open heavy flavors: Experiment</b>	<i>Zaida Conesa del Valle</i>
<i>Cranach-Saal, Stadthalle</i>	15:40 - 16:05

Thursday AM

<b>Quarkonia: Theory</b>	<i>Miguel Angel Escobedo Espinosa</i>
<i>Cranach-Saal, Stadthalle</i>	11:10 - 11:35
<b>Quarkonia: Experiment</b>	<i>Krista Smith</i>
<i>Cranach-Saal, Stadthalle</i>	11:35 - 12:00
<b>Nuclear PDFs: new results from global fits</b>	<i>Tomas Jezo</i>
<i>Cranach-Saal, Stadthalle</i>	12:00 - 12:25

Thursday PM

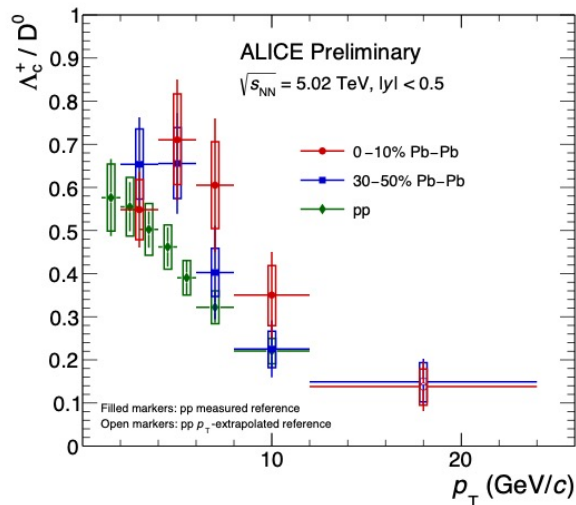
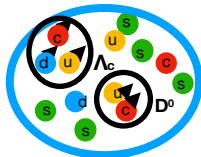
<b>Hadronization mechanism (via heavy-flavor hadrons): Experiment</b>	<i>Andrea Rossi</i>
<i>Cranach-Saal, Stadthalle</i>	14:25 - 14:45
<b>Hadronization mechanism (via heavy-flavor hadrons): Theory</b>	<i>Andrea Beraudo</i>
<i>Cranach-Saal, Stadthalle</i>	14:45 - 15:05

Friday AM

<b>Highlights, open questions and perspectives: Heavy flavors and quarkonia</b>	<i>Andrea Dubla</i>
<i>Cranach-Saal, Stadthalle</i>	11:15 - 11:40

# EXTRA SLIDES

# Charm baryons vs mesons

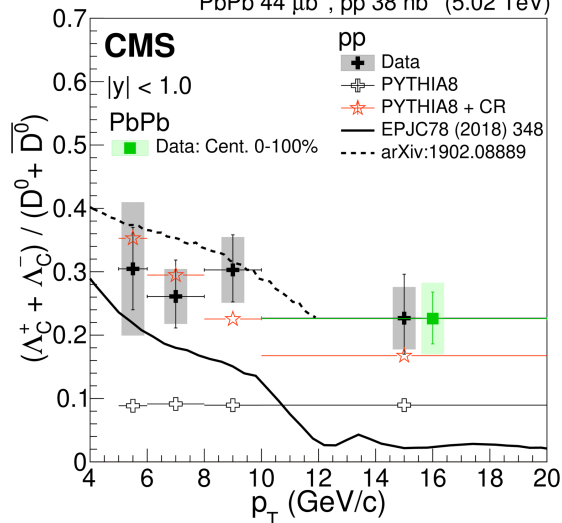


ALI-PREL-321702

ALICE: hint of larger  $\Lambda_c/D^0$  in **Pb-Pb** w.r.t. to **pp** for  $4 < p_T < 6 \text{ GeV}/c$

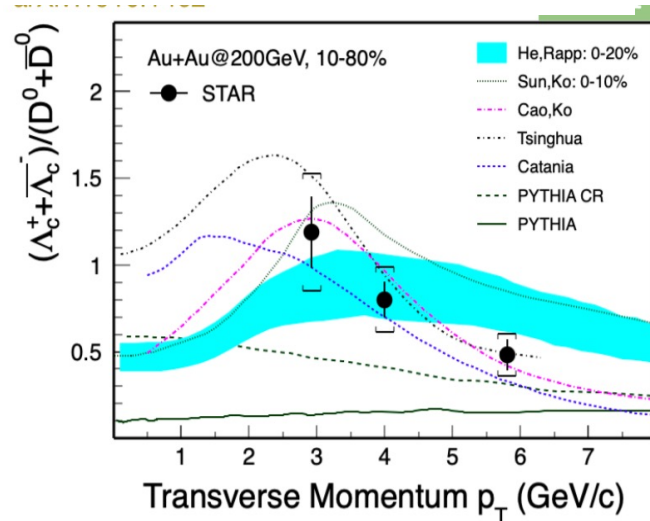
arXiv:1906.03322

PbPb 44  $\mu\text{b}^{-1}$ , pp 38  $\text{nb}^{-1}$  (5.02 TeV)



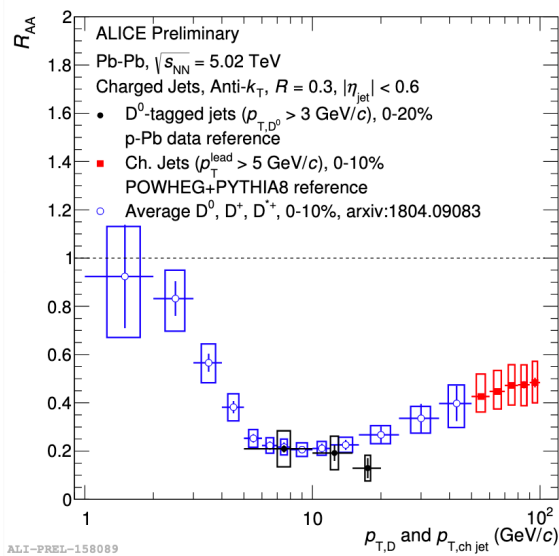
CMS: similar  $\Lambda_c/D^0$  in **Pb-Pb** and **pp** at high  $p_T$

arXiv:1910.1462

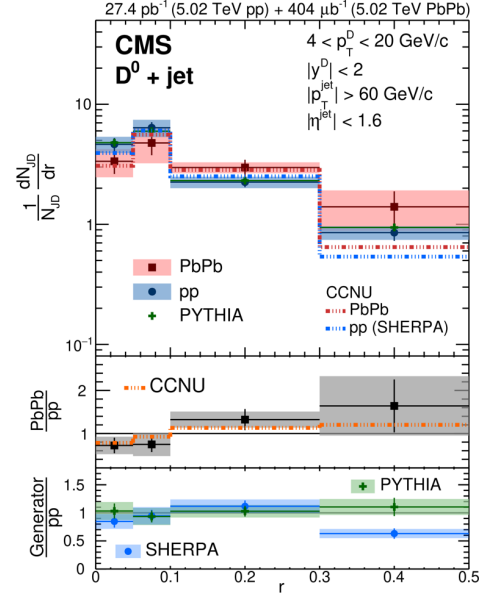


STAR shows higher value for  $\Lambda_c/D^0 \sim 1$  in Au-Au at low  $p_T$  (3-6 GeV/c), but no pp comparison

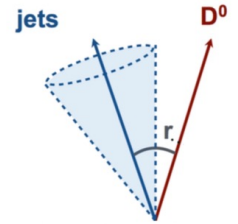
# D-jets also studied in Pb-Pb



ALI-PREL-158089



arXiv:1911.01461

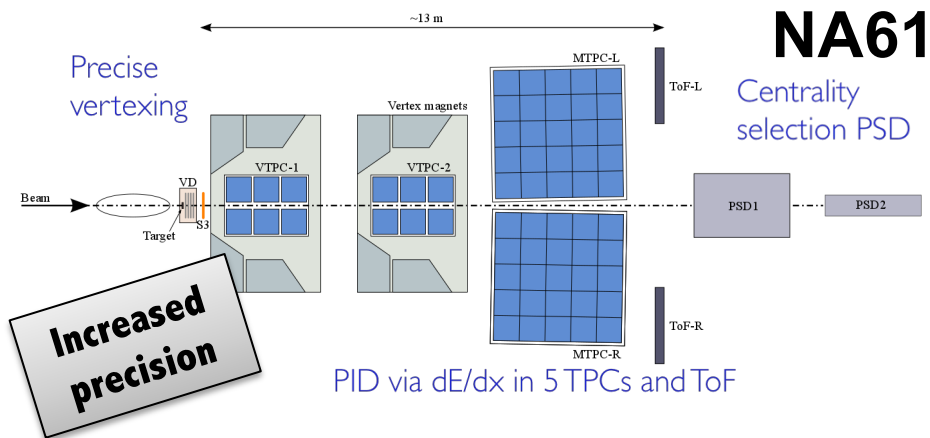


- **D-meson tagged jets**  $R_{AA}$  consistent with **D-meson**  $R_{AA}$
- Hint of larger suppression for **low  $p_T$  D-jets** than **high  $p_T$  charged jets**

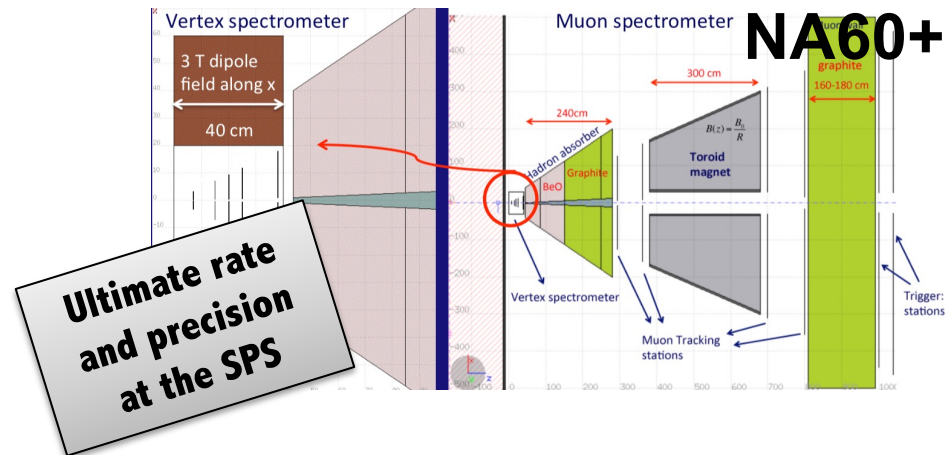
- Broader radial distribution of D meson with respect to the jet axis in Pb-Pb?

# Experimental outlook

# NA61/SHINE (2022), NA60+ (>2029?) @ SPS

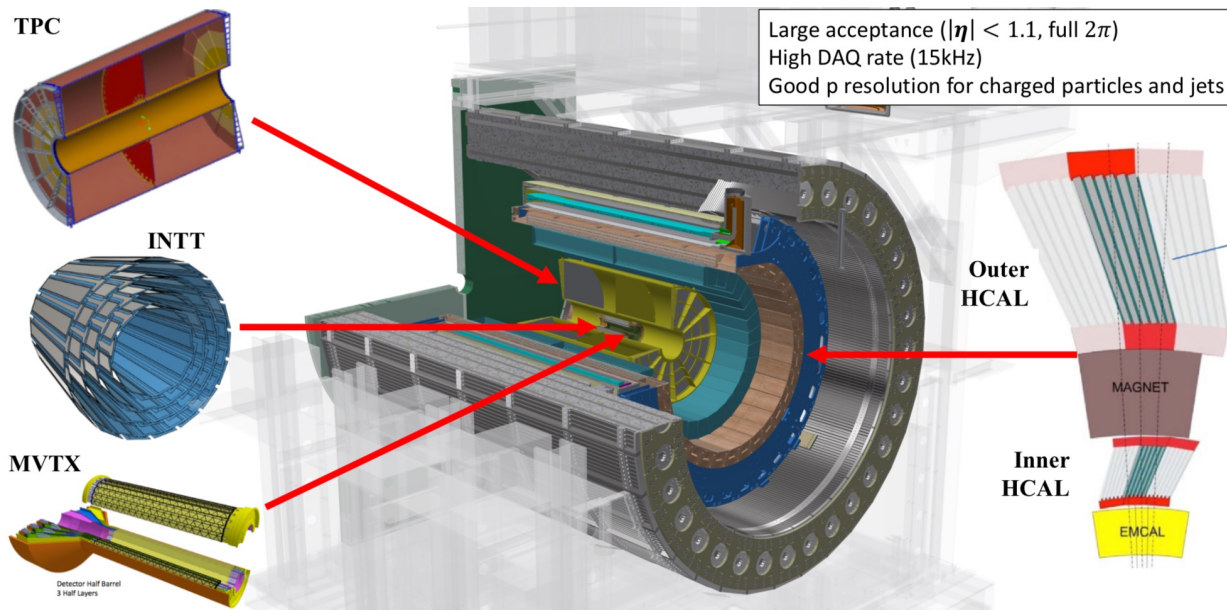


- ◆ Ongoing upgrade: pixel tracker, TPC readout at 1 kHz
- ◆ Pb-Pb at  $\sqrt{s_{NN}} = 5$  and 17 GeV in 2022-24
- ◆ Main goals: **open charm cross section with ~10% precision**, critical fluctuations with higher precision



- ◆ Proposal for a high-rate dimuon spectrometer with a silicon pixel tracker
  - EoI submitted to SPSC, Lol in prep.
- ◆ 10 MHz Pb-Pb at  $\sqrt{s_{NN}} = 5-17$  GeV
- ◆ Main goals: caloric curve with thermal dimuons, characterize  $\chi$ -symmetry restoration, **charmonia and open charm (~1% precision)**

# sPHENIX @ RHIC (2023)



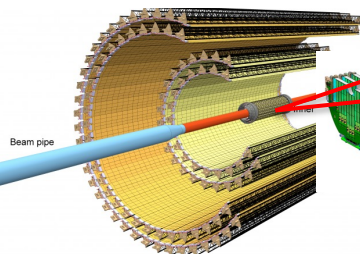
- ◆ Compact and hermetic design
- ◆ Continuous readout at 15 kHz
  - ~100B Au-Au events per year

- ◆ Focus on:
  - Fully reconstructed jets, with HCAL
  - Bottomonium states
  - HF mesons and baryons, with MAPS

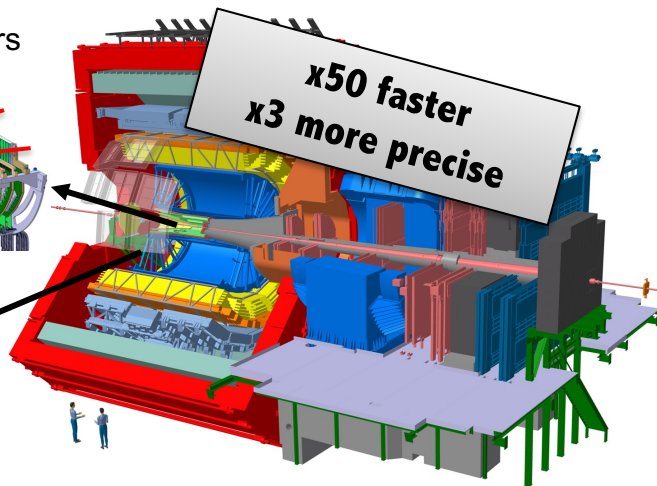
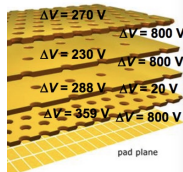


# ALICE in Run 3 (2022) and ideas for Run 4 (2029)

All-pixel central and fwd trackers



GEM-based TPC readout



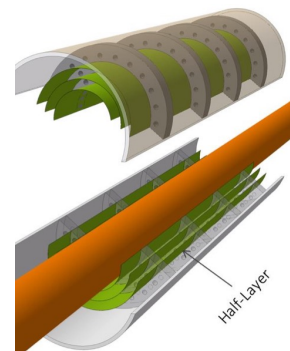
... and more:

- Fast Interaction Trigger
- New Online-Offline system
- Readout upgrade of several detectors

- ◆ x3 better tracking precision
  - ◆ Continuous readout at 50 kHz
- ~100B Pb-Pb in Runs 3+4

◆ Upgrade proposal for LS3 (2026): replace **inner barrel** with a **truly-cylindrical ultralight** one: x3 less material

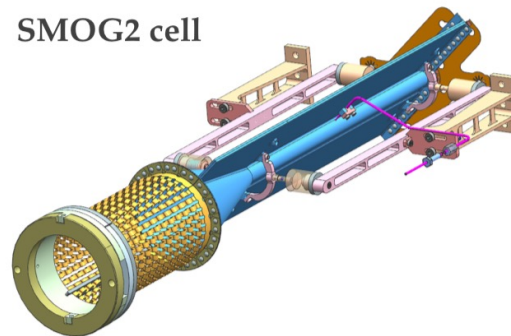
- e.g. improves by a factor 4 the precision for the  $\Lambda_c$
- More HF studies ongoing:  $\Lambda_b$ ,  $B_s$ , search for “super-nuclei”



## ◆ LHCb: LS2 upgrade:

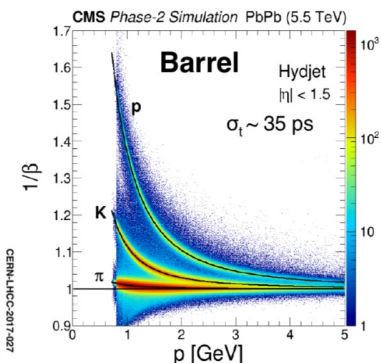
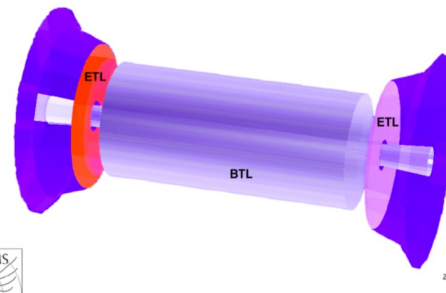
- Tracker with higher granularity  
→ **Pb-Pb 30-100%**
- New **storage cell for fixed-target collisions** at up to x100 higher rates (p ... Ne ... Xe)

SMOG2 cell

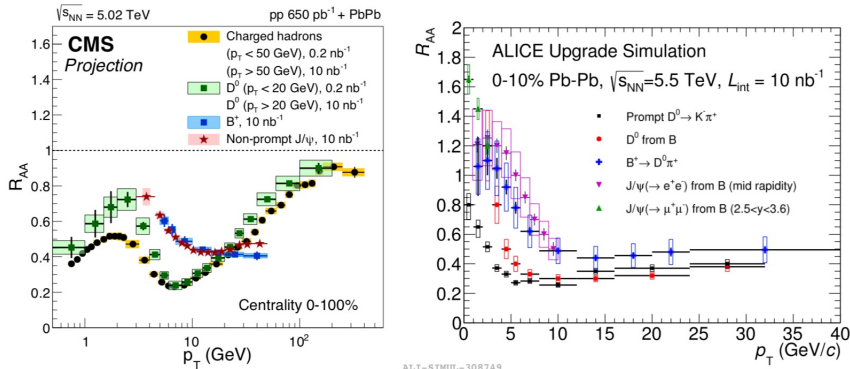


## ◆ ATLAS and CMS: major Phase-2 upgrades for HL-LHC

- Extension of tracker acceptance to  $|\eta| < 4$
- Precise timing detectors for pile-up rejection  
→ t.o.f. PID
  - ATLAS  $2.5 < |\eta| < 5$
  - CMS  $|\eta| < 4$



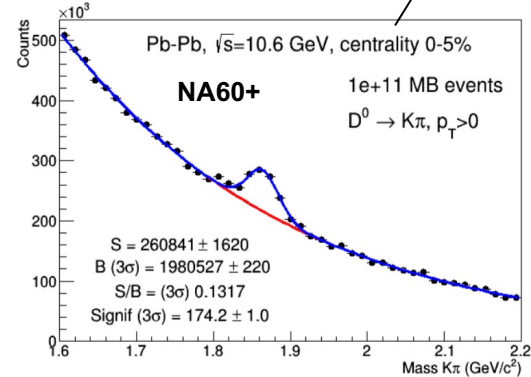
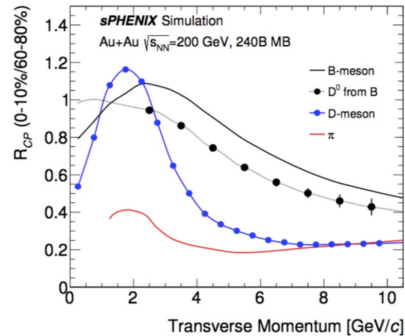
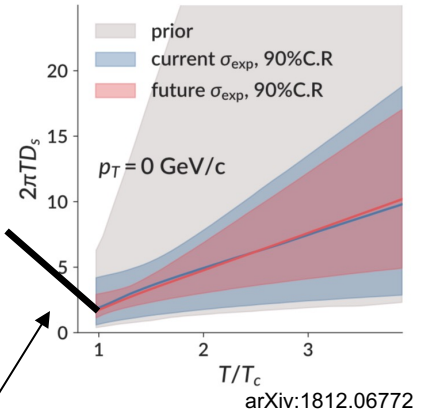
# HF performance outlook: energy loss and transport



D and B at LHC and RHIC  
 → **Heavy quark diffusion coefficients**  $2\pi TD_S(T)$

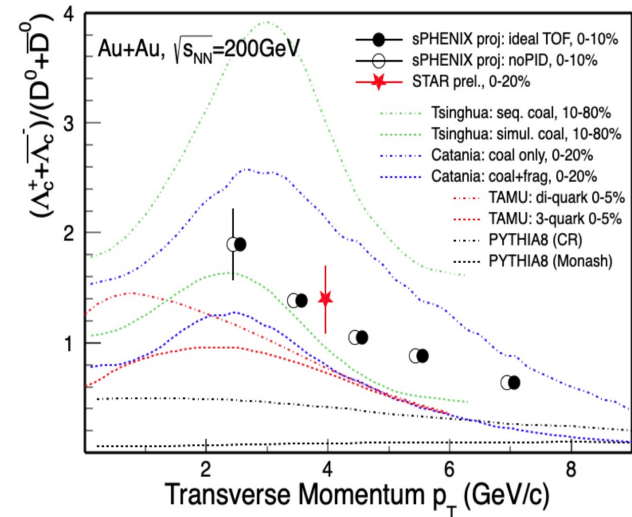
- e.g. Bayesian analysis with modified Langevin (Bass et al.)

$2\pi TD_S$  in hadronic matter ( $T < T_C$ ) with NA60+ at SPS?

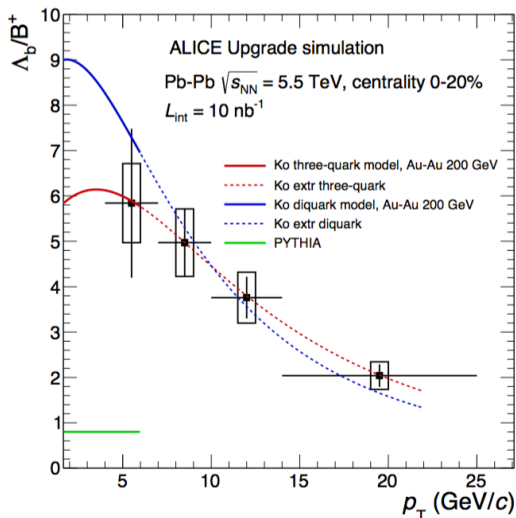


# HF performance outlook: hadronisation of HQs

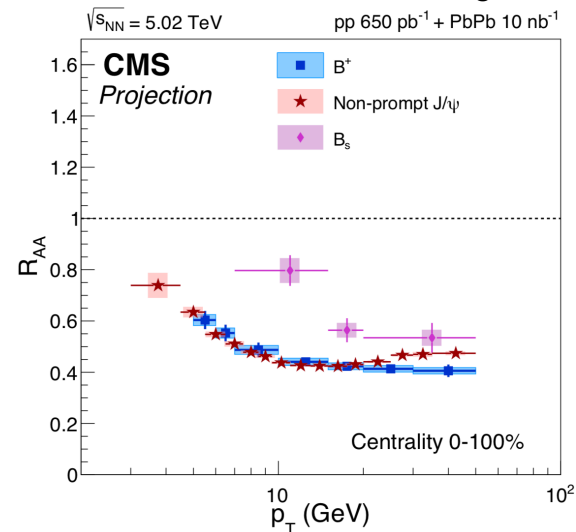
## sPHENIX $\Lambda_c / D$



## ALICE $\Lambda_b / B$



## CMS B and B<sub>s</sub>

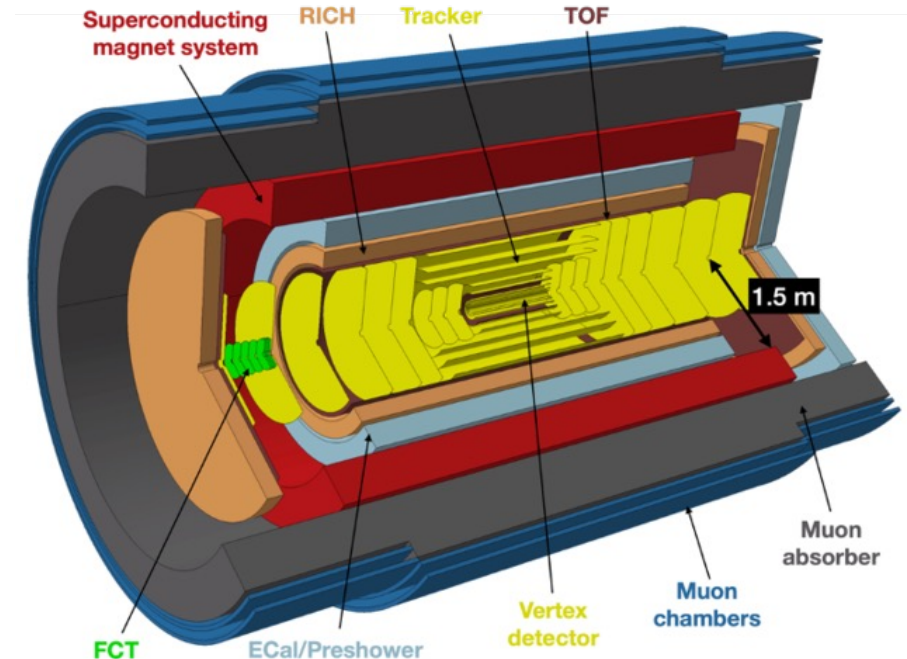


Some examples, many more studies available and ongoing

# Next-generation HI detector for LHC Runs 5-6

- ◆ Fast, ultra-thin detector with precise tracking and timing

- Exploit full AA lumi
- Ultimate performance for (multi-)HF, thermal radiation and soft hadrons (<50 MeV)



- ◆ All-pixel tracking and PID detector  $|\eta| < 4$
- ◆ Timing layers  $\sigma \sim 20$  ps for t.o.f. ID of hadrons and low- $p$  electrons
- ◆ RICH, Muon ID, ECal
- ◆ Insertable converter layer for photon detection
- ◆ Innermost layers inside the beam pipe

[arXiv:2211.02491](https://arxiv.org/abs/2211.02491)