



# Hadronization mechanisms (via heavy-flavour hadrons): Experiment

A. Rossi, INFN Padova

Hard Probes 2023, Aschaffenburg, 30<sup>th</sup> March 2023

# Introduction

Hadronisation is a fundamental process in nature

- High-energy particle physics
- Astrophysics (neutron stars, early Universe, cosmic-ray physics, ...)

Soft QCD processes involved → intrinsically non-perturbative

Heavy quarks, formed in initial hard scatterings, are “calibrated probes” of hadronisation

- different hadron species
  - different collision systems
- lever arm to investigate different hadronisation mechanisms

Viceversa, constraining hadronisation fundamental to understand energy loss, collective phenomena, transport

Only a selection of new and recent results (among many)

- Focus on open-HF hadronisation: HF baryon formation not understood in proton-proton collisions
- Quarkonia
- Exotic states → [K. Smith, M. Espinosa, this morning](#)

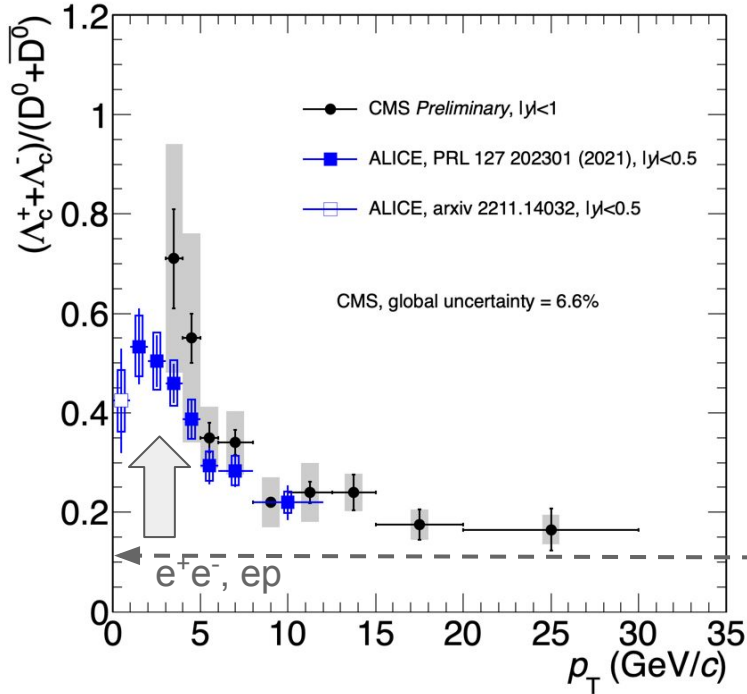
If you do not find an important result or your preferred one, my apologies!

Thanks for inputs and discussions to

A. Andronic, R. Arnaldi, A. Dainese, A. Dubla, Y. Sara Amhis, R. Rapp, J. Wang, J. Wilkinson

# $\Lambda_c^+ / D^0$ ratio in pp collisions at 5 TeV

Y. Zhang, Tuesday  
A. S. Kalteyer, Wednesday



$\Lambda_c^+ / D^0$  ratio higher (x4-5) values at low  $p_T$  than  $e^+e^-$ , ep

Significantly decreasing with  $p_T$ , approaching  $e^+e^-$  at high  $p_T$

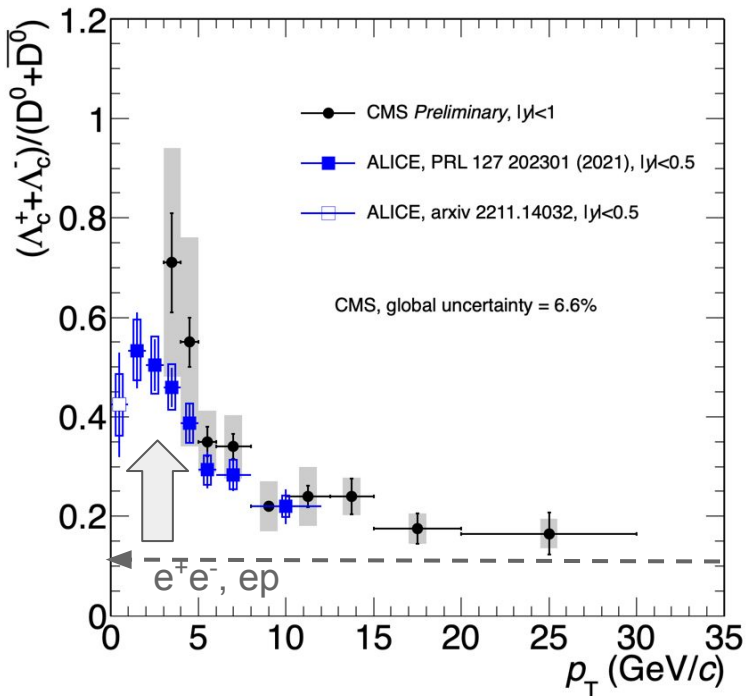
	$\Lambda_c^+ / D^0 \pm \text{stat} \pm \text{syst.}$	System	$\sqrt{s}$ (GeV)	Notes
ALICE	$0.51 \pm 0.04 \pm 0.04^{+0.01}_{-0.02}$	pp	5020	$p_T > 0,  y  < 0.5$
ALICE	$0.43 \pm 0.03 \pm 0.05^{+0.05}_{-0.03}$	p-Pb	5020	$p_T > 0, -0.96 < y < 0.04$
CLEO [16]	$0.119 \pm 0.021 \pm 0.019$	$e^+e^-$	10.55	
ARGUS [15, 17]	$0.127 \pm 0.031$	$e^+e^-$	10.55	
LEP average [18]	$0.113 \pm 0.013 \pm 0.006$	$e^+e^-$	91.2	
ZEUS DIS [21]	$0.124 \pm 0.034^{+0.025}_{-0.022}$	$e^-p$	320	$1 < Q^2 < 1000 \text{ GeV}^2,$ $0 < p_T < 10 \text{ GeV}/c, 0.02 < y < 0.7$
ZEUS $\gamma p,$ HERA I [19]	$0.220 \pm 0.035^{+0.027}_{-0.037}$	$e^-p$	320	$130 < W < 300 \text{ GeV}, Q^2 < 1 \text{ GeV}^2,$ $p_T > 3.8 \text{ GeV}/c,  \eta  < 1.6$
ZEUS $\gamma p,$ HERA II [20]	$0.107 \pm 0.018^{+0.009}_{-0.014}$	$e^-p$	320	$130 < W < 300 \text{ GeV}, Q^2 < 1 \text{ GeV}^2,$ $p_T > 3.8 \text{ GeV}/c,  \eta  < 1.6$

ALICE, PRC 104 054905 (2021)  
ALICE, PRL 127 202301 (2021)  
ALICE, arxiv 2211.14032  
CMS, PAS-HIN-21-004

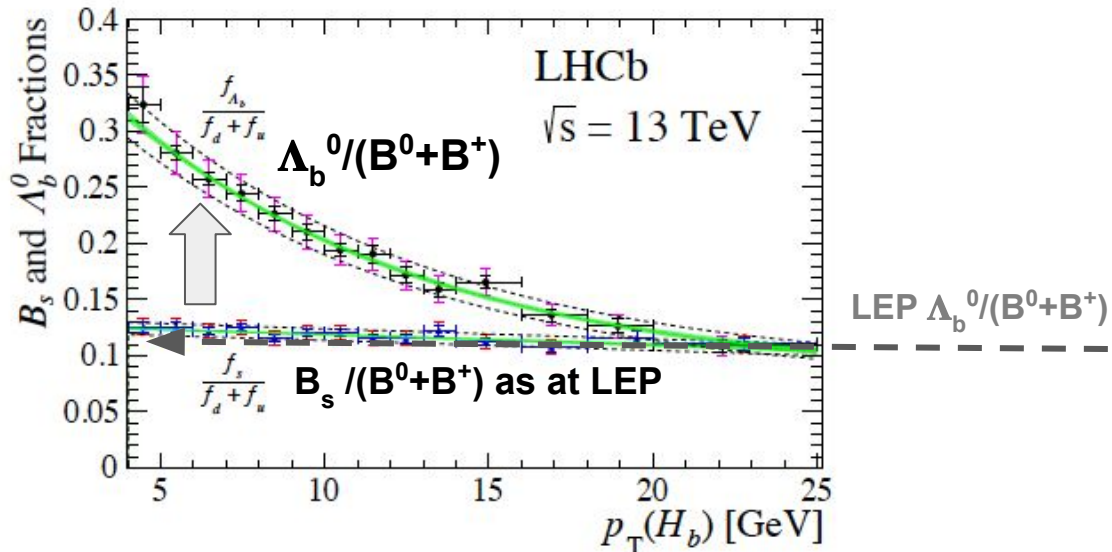
# $\Lambda_c^+ / D^0$ ratio in pp collisions at 5 TeV

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PRD100 (2019) no.3, 031102



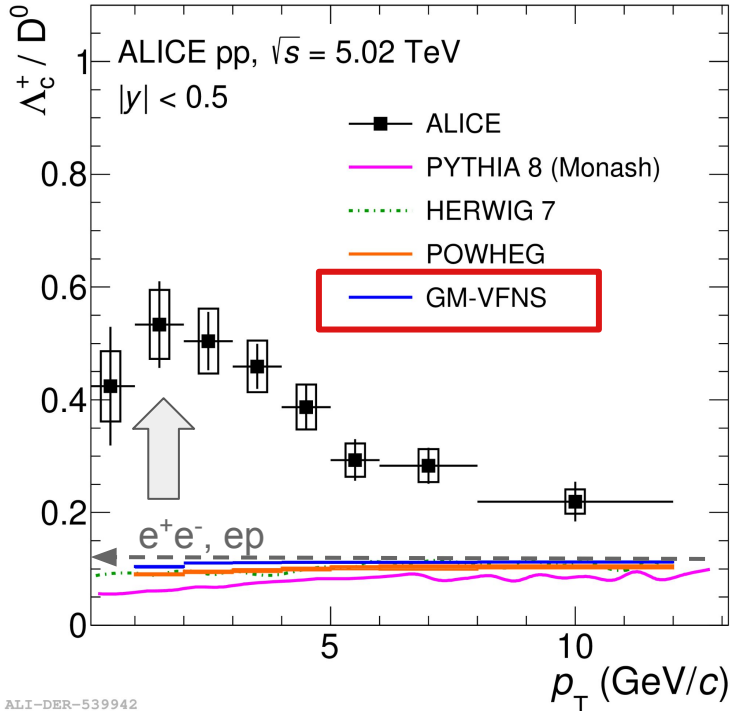
ALICE, PRC 104 054905 (2021)  
ALICE, PRL 127 202301 (2021)  
ALICE, arxiv 2211.14032  
CMS, PAS-HIN-21-004



**Similar trend in charm and beauty sectors**

$b$ hadron	Fraction at Z [%]	Fraction at $p\bar{p}$ [%]	HFLAV, EPJC 77 (2017) 895
$B^+, B^0$	$41.2 \pm 0.8$	$34.0 \pm 2.1$	$p\bar{p}$ : CDF PRD 77 072003 (2008)
$B_s^0$	$8.8 \pm 1.3$	$10.1 \pm 1.5$	
$b$ baryons	$8.9 \pm 1.2$	$21.8 \pm 4.7$	

# $\Lambda_c^+ / D^0$ ratio in pp collisions vs. models (1)



ALI-DER-539942

Data far from **pQCD-based calculations** based on **factorisation** approach, which works well for mesons (plethora of results at RHIC, Fermilab, LHC,...)

**Hadronisation** → **Fragmentation functions** ( $D_{c \rightarrow D}$ )  
 often **assumed** “**universal**”: once constrained to  $e^+e^-$  and ep data they are used in different collision systems and energies.

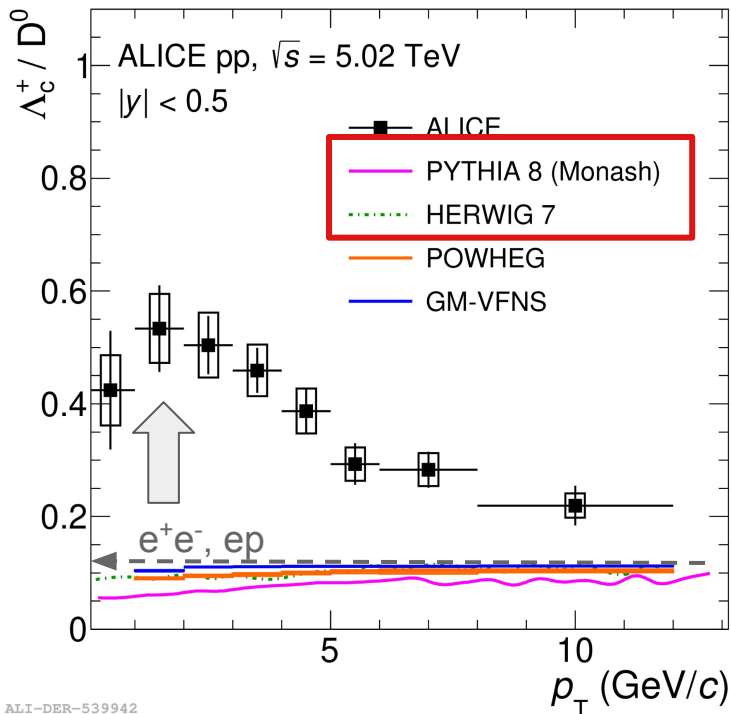
**Naïve expectation: ratios of particle-species yields independent from collision system**

→ **Universality of fragmentation function does not hold already in pp collisions**

$$\frac{d\sigma^D}{dp_T} (p_T^D; \mu_F; \mu_R) = PDF(x_1, \mu_F) PDF(x_2, \mu_F) \otimes \frac{d\sigma^c}{dp_T^c} (x_1, x_2; \mu_F; \mu_R) \otimes D_{c \rightarrow D}(z = \frac{p_D}{p_c}; \mu_F)$$

fragmentation function

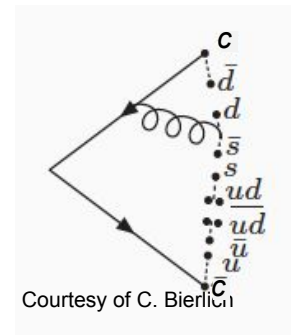
# $\Lambda_c^+ / D^0$ ratio in pp collisions vs. models (2)



ALI-DER-539942  
 ALICE, PRC 104 054905 (2021)  
 ALICE, PRL 127 202301 (2021)  
 ALICE, arxiv 2211.14032

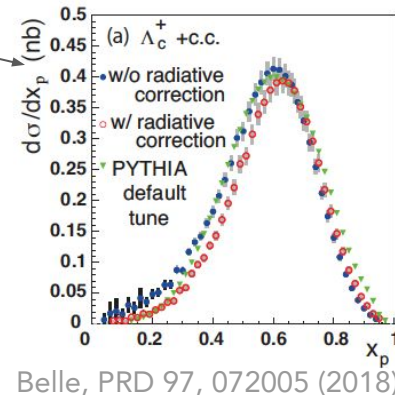
Default PYTHIA8 (Monash, EPJC 74 (2014) 3024), standard Lund string fragmentation

- Light quark/diquark pairs popping out from QCD color-confinement potential ( $\leftarrow$  strings)
  - Diquarks  $\leftrightarrow$  baryons
- Hadronisation of different MPI products largely independent
- Reproduces  $e^+e^-$  data  $\sim$  fragmentation functions used in pQCD-based calculations



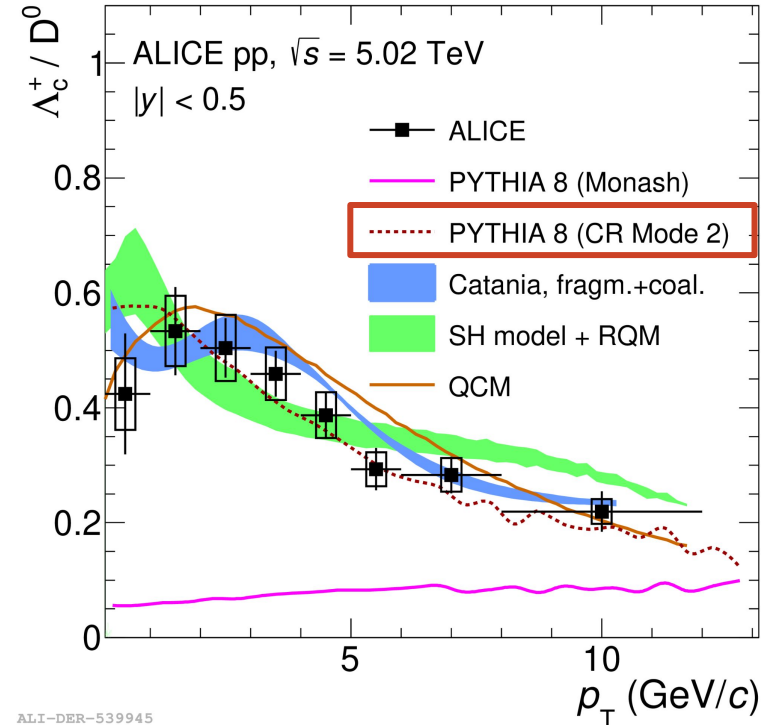
HERWIG7 (EPJC 58 (2008) 639-707), cluster hadronisation

**Undershoot data by a factor of about 5 and do not catch  $p_T$  shape**



Belle, PRD 97, 072005 (2018)

# $\Lambda_c^+ / D^0$ ratio in pp collisions vs. models (3)



Data described by:

**PYTHIA8 with String Formation beyond Leading Colour**

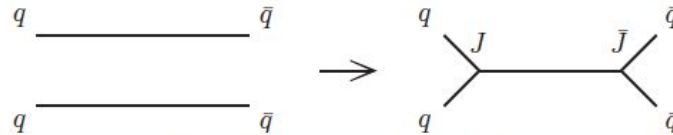
approximation (JHEP 1508 (2015) 003).

More complete and realistic (=closer to QCD) colour-reconnection

(CR) scheme

- “...*between which partons do confining potentials arise?*”

**Junction reconnection topologies** → enhance baryons.



(b) Type II: junction-style reconnection

**Support need of abandoning independent hadronisation of different MPI**  
**A hadronic environment matters**

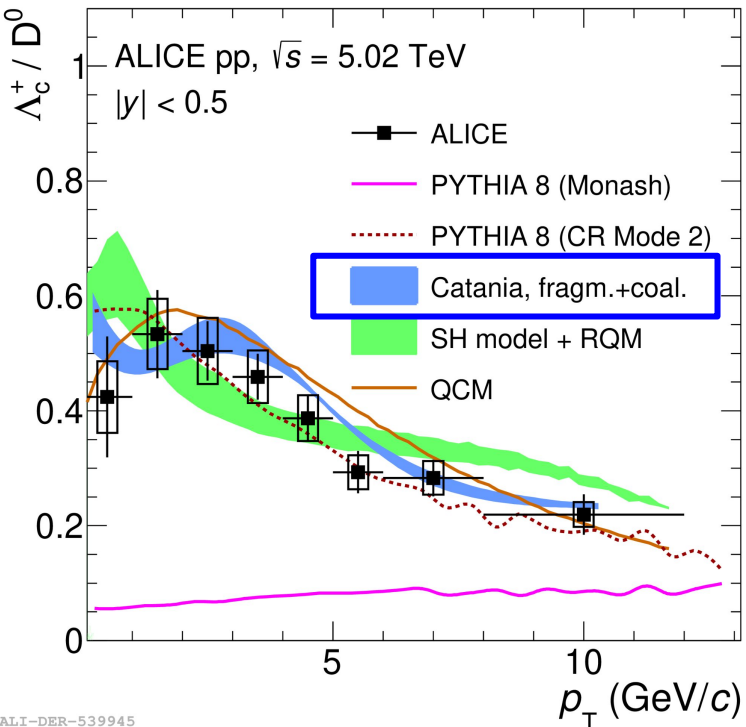
ALI-DER-539945

ALICE, PRC 104 054905 (2021)

ALICE, PRL 127 202301 (2021)

ALICE, arxiv 2211.14032

# $\Lambda_c^+ / D^0$ ratio in pp collisions vs. models (4)



Data described by:

**PYTHIA8 with String Formation beyond Leading Colour**

**Catania model: coalescence + “vacuum” fragmentation** [\(arxiv 2012.12001\)](#)

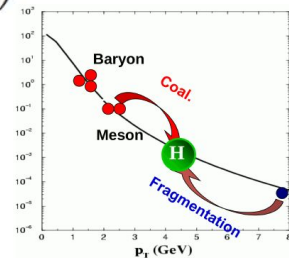
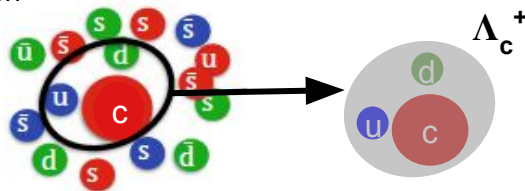
Expanding system of thermalised light quarks and gluons  
 “Sudden” (fixed temperature) coalescence:

$$\frac{dN_H}{dyd^2P_T} = g_H \int \prod_{i=1}^{N_q} \frac{d^3p_i}{(2\pi)^3 E_i} p_i \cdot d\sigma_i f_{q_i}(x_i, p_i) \leftarrow$$

$f_q$  = phase-space distributions of quarks in the system

$$\times f_H(x_1 \dots x_{N_q}, p_1 \dots p_{N_q}) \delta^{(2)} \left( P_T - \sum_{i=1}^n p_{T,i} \right)$$

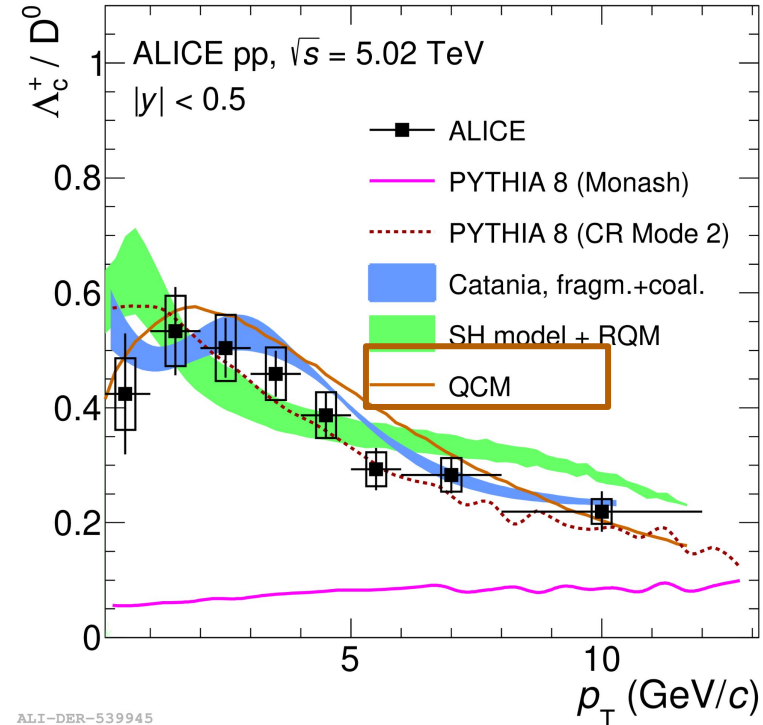
$f_H$  = phase-space distributions of quarks within hadron



ALI-DER-539945  
 ALICE, PRC 104 054905 (2021)  
 ALICE, PRL 127 202301 (2021)  
 ALICE, arxiv 2211.14032



# $\Lambda_c^+ / D^0$ ratio in pp collisions vs. models (5)



Data described by:

**PYTHIA8 with String Formation beyond Leading Colour**

**Catania model: coalescence + “vacuum” fragmentation**

**QCM: recombination model based on statistical weights + “equal quark-velocity” (EPJC 78, 2018 4, 344)**

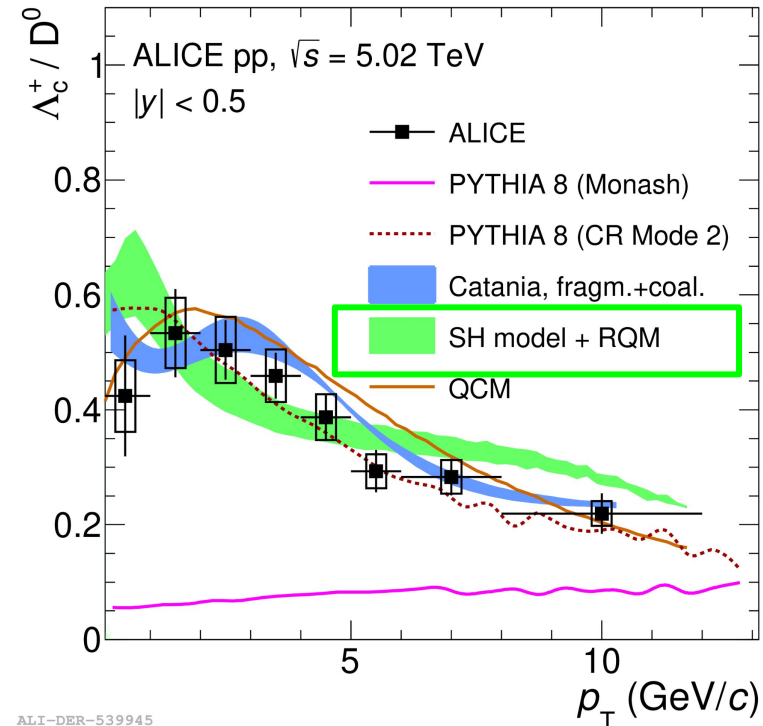
ALI-DER-539945

ALICE, PRC 104 054905 (2021)

ALICE, PRL 127 202301 (2021)

ALICE, arxiv 2211.14032

# $\Lambda_c^+ / D^0$ ratio in pp collisions vs. models (6)



Data described by:

**PYTHIA8 with String Formation beyond Leading Colour**

**Catania model: coalescence + “vacuum” fragmentation**

**QCM**: recombination model based on statistical weights + “equal quark-velocity” (EPJC 78, 2018 4, 344)

**SH+PDG/RQM**, PLB 795 117-121 (2019):

Hadron abundances based on **statistical hadronisation model** + feed-down from **augmented set of charm-baryon states** (from Relativistic Quark Model)

→ PDG: 5  $\Lambda_c$ , 3  $\Sigma_c$ , 8  $\Xi_c$ , 2  $\Omega_c$

→ RQM: additional 18  $\Lambda_c$ , 42  $\Sigma_c$ , 62  $\Xi_c$ , 34  $\Omega_c$

ALI-DER-539945

ALICE, PRC 104 054905 (2021)

ALICE, PRL 127 202301 (2021)

ALICE, arxiv 2211.14032

# ions vs. models (6)

Data described by:

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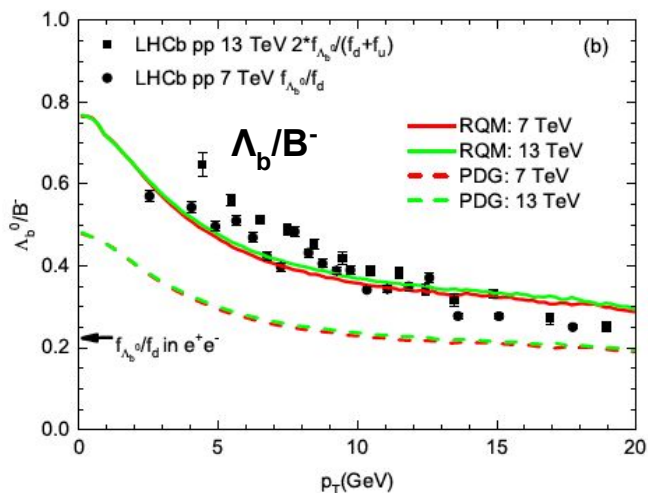
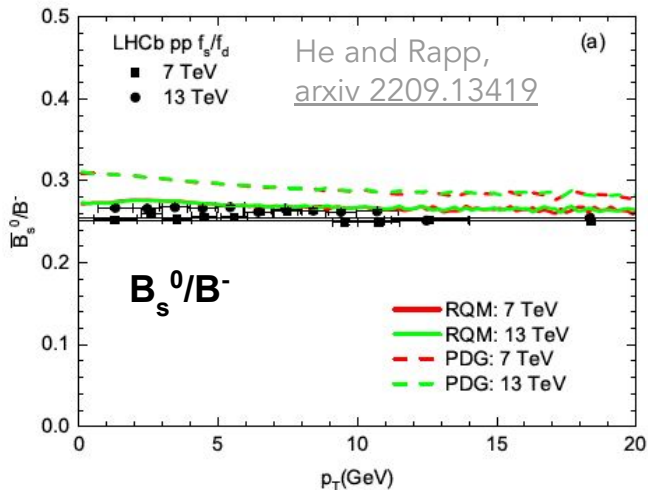
→ PDG: 5  $\Lambda_c$ , 3  $\Sigma_c$ , 8  $\Xi_c$ , 2  $\Omega_c$

→ RQM: additional 18  $\Lambda_c$ , 42  $\Sigma_c$ , 62  $\Xi_c$ , 34  $\Omega_c$

**Works also for beauty**

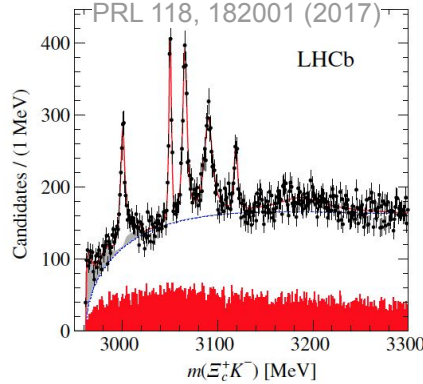
**Do these states exist?**

**Why not discovered in  $e^+e^-$ ?**

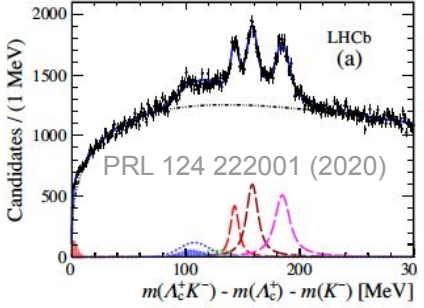


# Higher-mass states: new states popping up

## $\Omega_c$ excited states

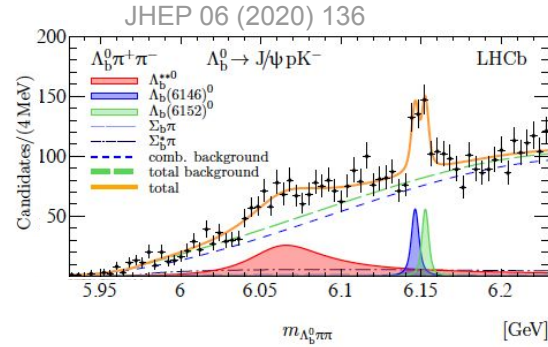


## $\Xi_c$ excited states



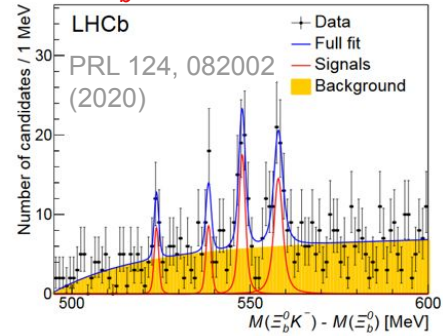
- $\Xi_c(2923)^0 \rightarrow \Lambda_c^+ K^-$
- $\Xi_c(2939)^0 \rightarrow \Lambda_c^+ K^-$
- $\Xi_c(2965)^0 \rightarrow \Lambda_c^+ K^-$
- $\Xi_c(2923)^+ \rightarrow \Lambda_c^+ K^- \pi^+$
- $\Xi_c(3055)^+ \rightarrow \Sigma_c^{*+} (\rightarrow \Lambda_c^+ \pi^+) K^-$
- $\Xi_c(3055)^0 \rightarrow \Sigma_c^{*+} (\rightarrow \Lambda_c^+ \pi^0) K^-$
- $\Xi_c(3080)^+ \rightarrow \Sigma_c^{*+} (\rightarrow \Lambda_c^+ \pi^+) K^-$
- $\Xi_c(3080)^0 \rightarrow \Sigma_c^{*+} (\rightarrow \Lambda_c^+ \pi^0) K^-$
- Background
- Additional component

## $\Lambda_b$ excited states



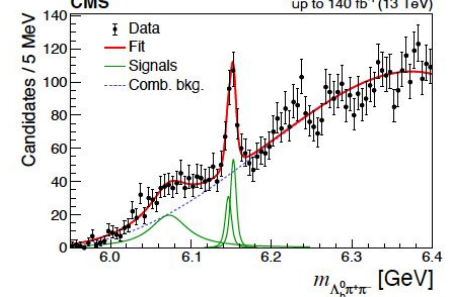
- $\Lambda_b(6146)^0$
- $\Lambda_b(6152)^0$
- $\Sigma_b \pi$
- $\Sigma_b \pi$
- comb. background
- total background
- total

## $\Omega_b$ excited states

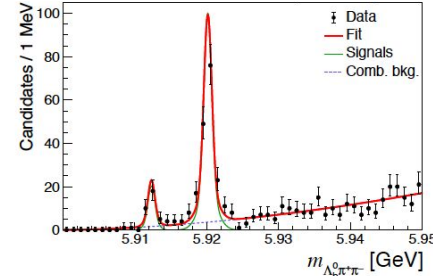


- + Data
- Full fit
- Signals
- Background

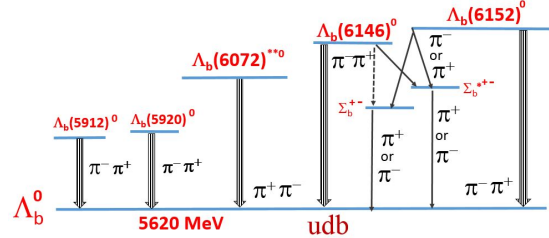
PLB 803 (2020) 135345



- Data
- Fit
- Signals
- Comb. bkg.



- Data
- Fit
- Signals
- Comb. bkg.

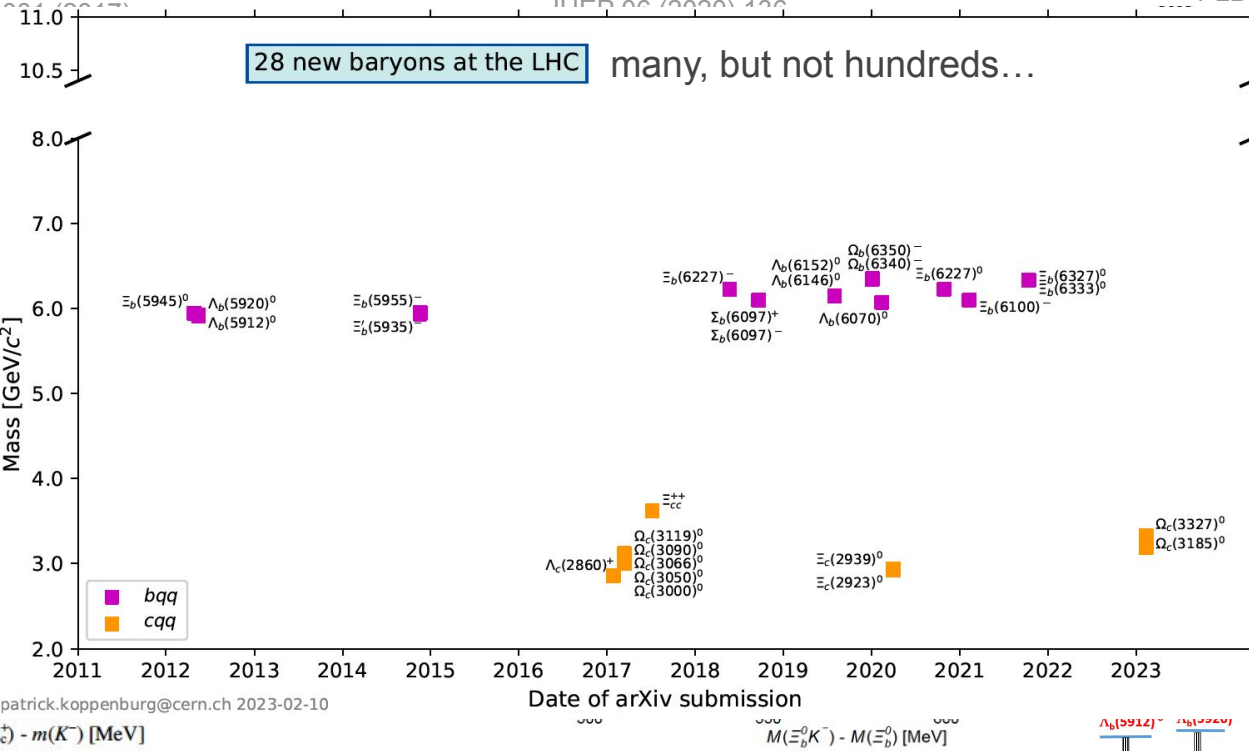
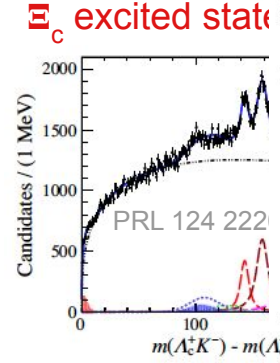
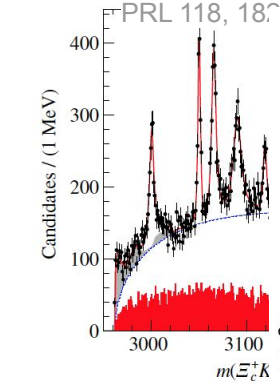


Many states with relatively narrow widths,  $\Gamma \sim 10$  MeV  
Also several lifetime measurements, very important spectroscopy results  
Typically not measurements of (prompt) cross sections. Prospects?

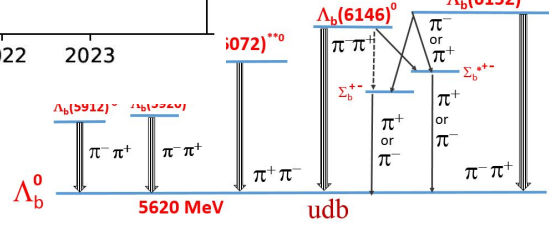
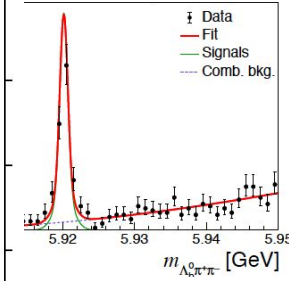
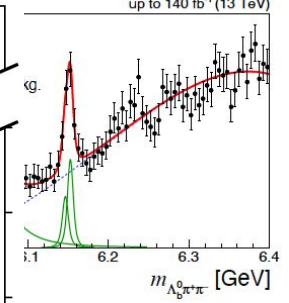
# Higher-mass states: new states popping up

$\Omega_c$  excited states

$\Lambda_b$  excited states

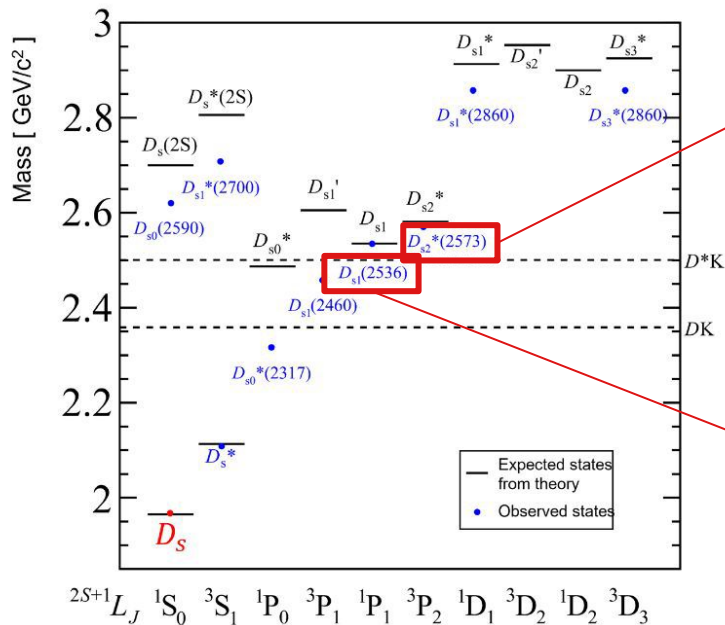


PLB 803 (2020) 135345

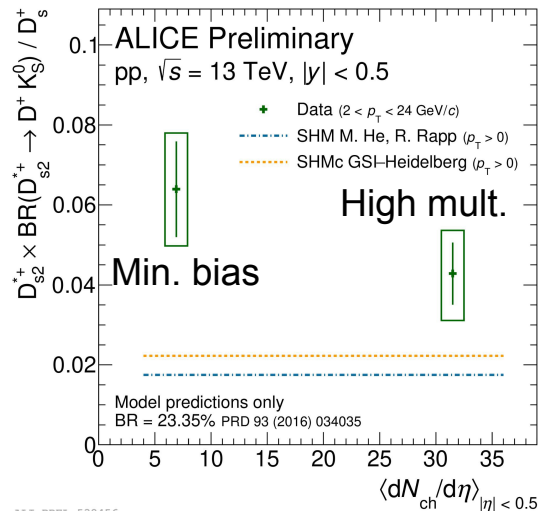


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 Also several lifetime measurements, very important spectroscopy results.  
 Typically not measurements of (prompt) cross sections. Prospects?

# Excited $D_s$ mesons

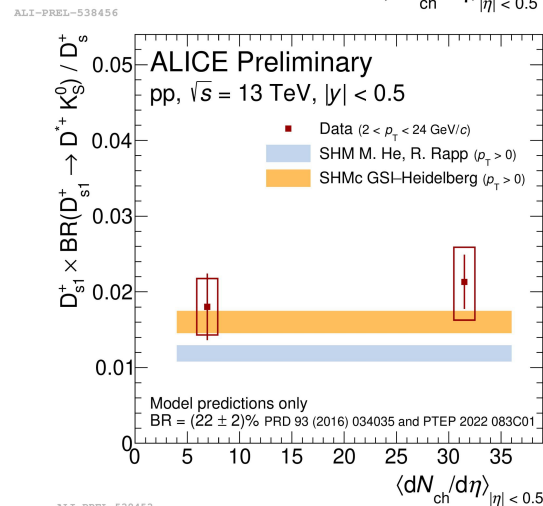


(More precise) studies of multiplicity dependence of states with different widths may reveal possible role of hadronic rescattering.



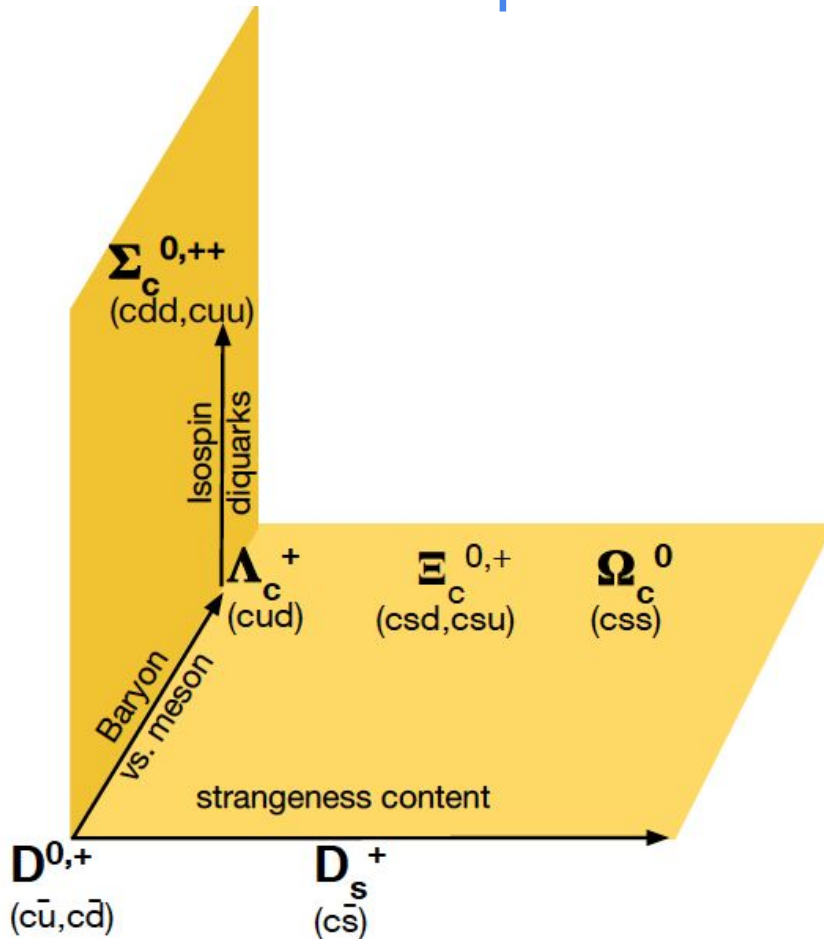
S. Politano,  
Wednesday

$\Gamma \sim 16.9$  MeV  
 $\tau \sim 11.6$  fm/c



$\Gamma \sim 0.92$  MeV  
 $\tau \sim 219$  fm/c

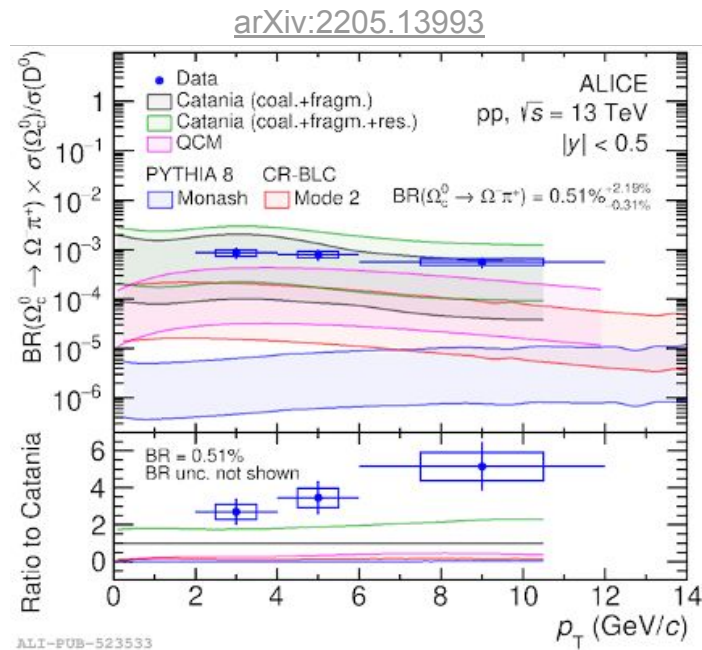
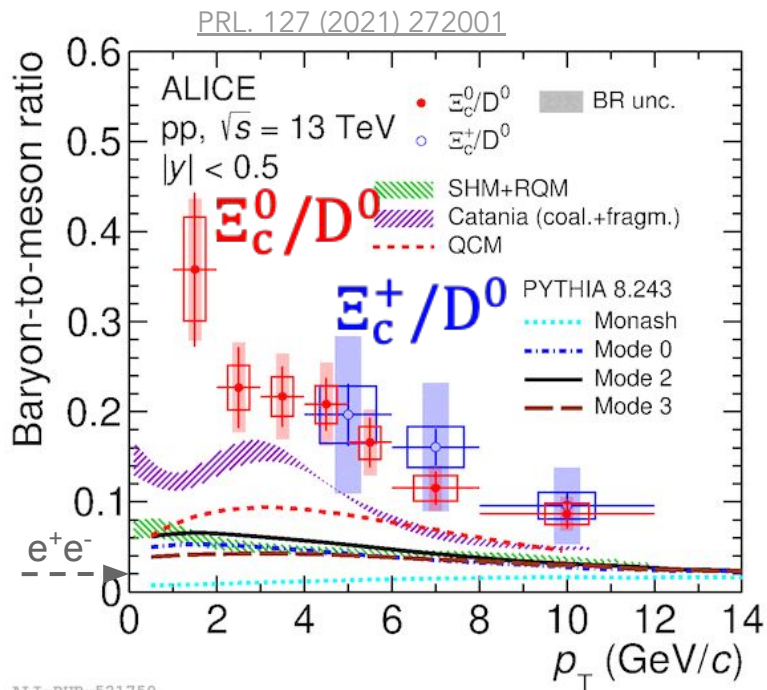
# Several arrows in the quiver



Particle	Mass (GeV/c <sup>2</sup> )
$D^0$	1.865
$D^+$	1.870
$D_s^+$	1.968
$\Lambda_c^+$	2.286
$\Sigma_c^{0,++}$	2.454
$\Xi_c^0$	2.470
$\Xi_c^+$	2.468
$\Omega_c^0$	2.695

# Charm-strange baryons: $\Xi_c^{0,+}$ and $\Omega_c^0$

A. S. Kaltefleiter,  
Wednesday



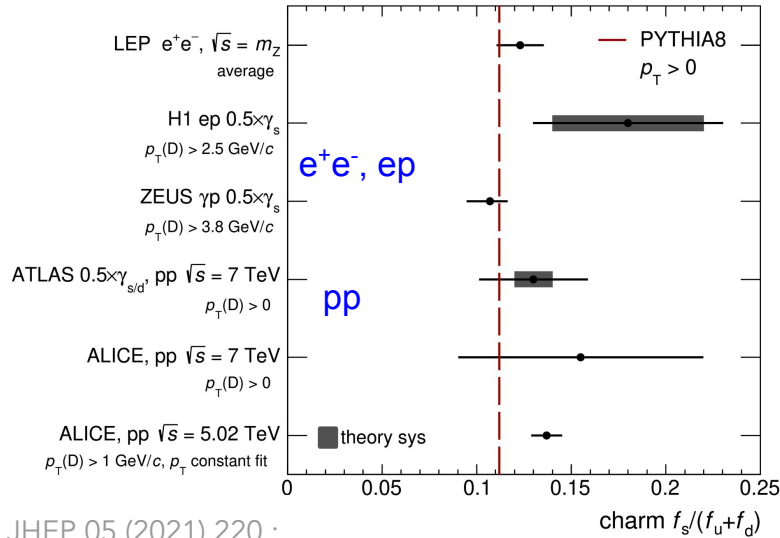
- Both  $\Xi_c^{0,+}/D^0$  and  $\Omega_c^0/D^0 \times BR(\Omega_c^0 \rightarrow \Omega^- \pi^+)$  ratios significantly larger than in  $e^+e^-$  collisions
- Only **Catania** model (coalescence) close to the data.

→ **Additional challenges from strange-quark production?**

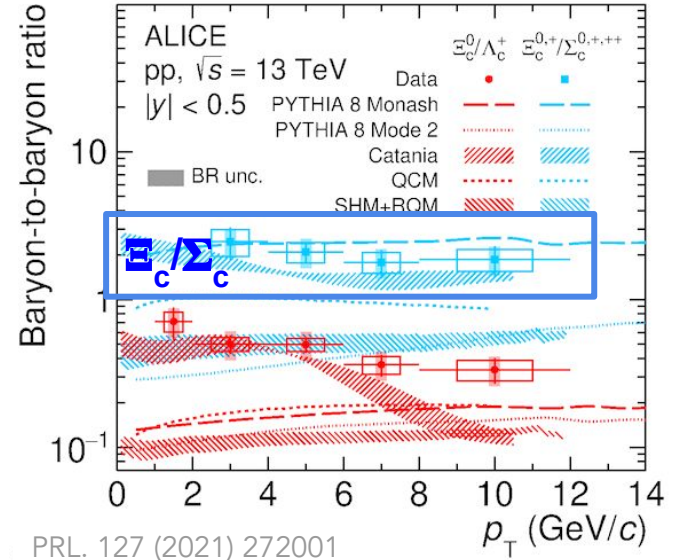


# Baryon-to-baryon: $\Xi_c^{0,+}$ and $\Sigma_c^{0,++}$

$$D_s^+/(D^0+D^+)$$



JHEP 05 (2021) 220 ;  
EPJC 79 (2019) 5, 388



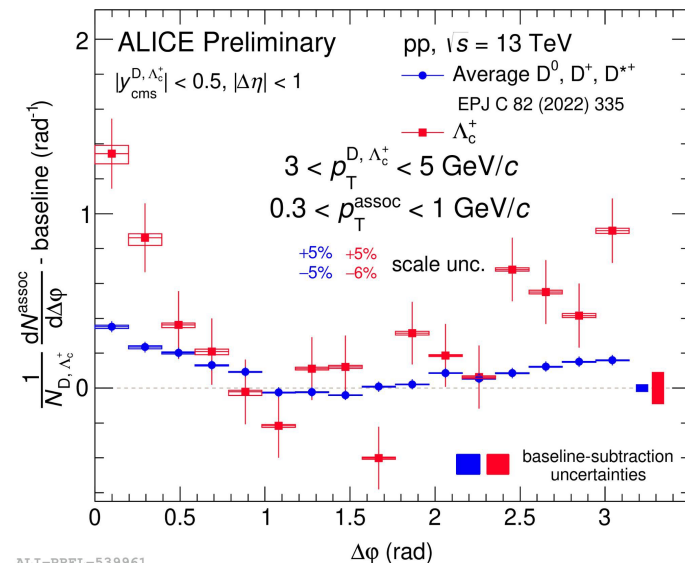
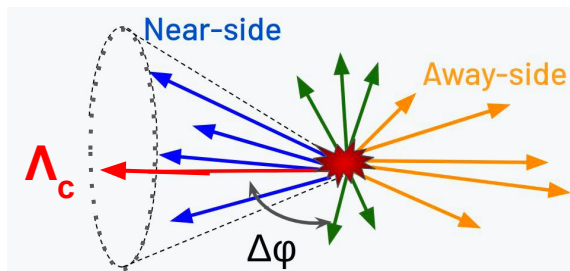
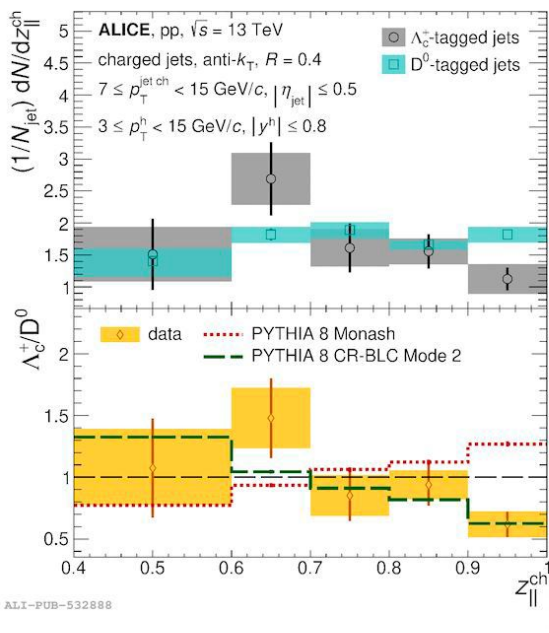
PRL. 127 (2021) 272001

- $D_s^+/(D^0+D^+)$  (prompt and non-prompt) compatible with expectations from  $e^+e^-$
- $\Xi_c^{0,+}/\Sigma_c^{0,++}$  ratio close to default PYTHIA8, which strongly underestimates their production! (described by Catania as well)  
→ similar suppression in  $e^+e^-$ ? Related to diquark rather than quarks?  
(note mass of spin-1  $(dd,ud,uu)_1$  diquarks might be similar to spin-0  $(us,ds)_0$  diquarks)

# Jets and correlations

A. Palasciano,  
Wednesday

ALICE, arXiv:2301.13798



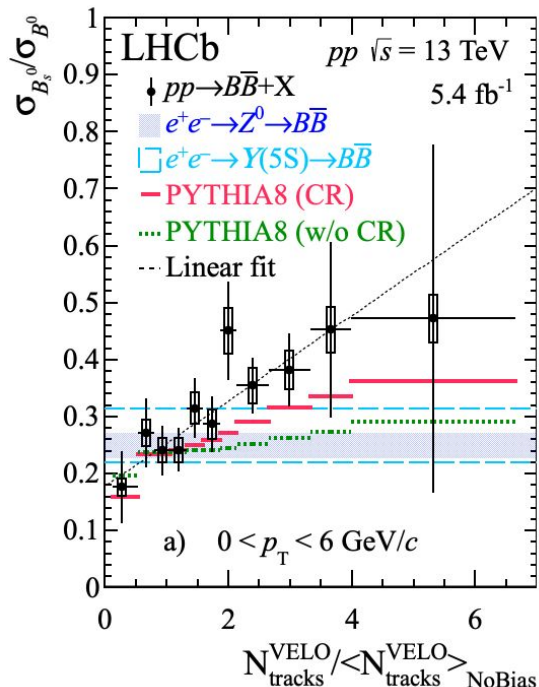
ALI-PREL-539961

- Jets: indication of **softer fragmentation**  $c \rightarrow \Lambda_c$  than  $c \rightarrow D$
- Coherent with higher associated yield in the nearside of  $\Lambda_c^+$  - hadron azimuthal correlations w.r.t. D-hadron ... **away side surprisingly high!!! No straightforward explanation**  
 Higher-mass states + decay kinematics? Production process?

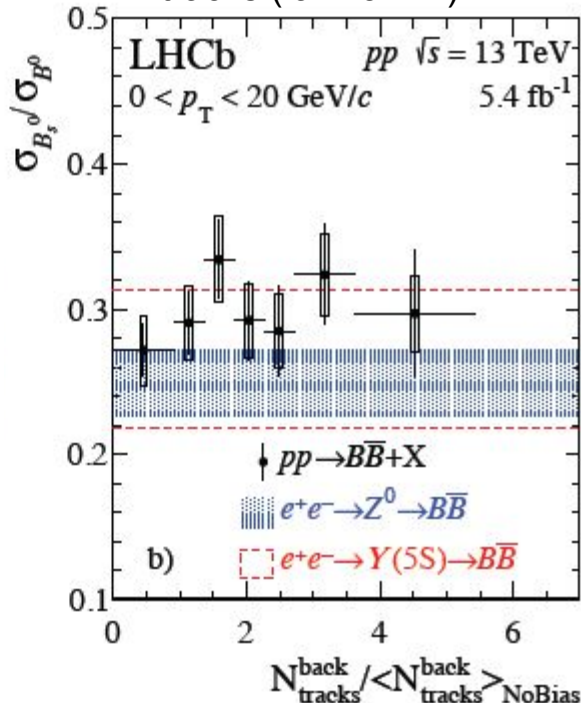
# Evolution with event activity in pp: $B_s^0/B^0$ at forward $y$

C. Gu, Tuesday

All VELO tracks



Only backward VELO tracks (far from B)



LHCb, [arxiv 2204.13042](https://arxiv.org/abs/2204.13042)

Indication of a dependence of  $B_s^0/B^0$  ratio on event multiplicity

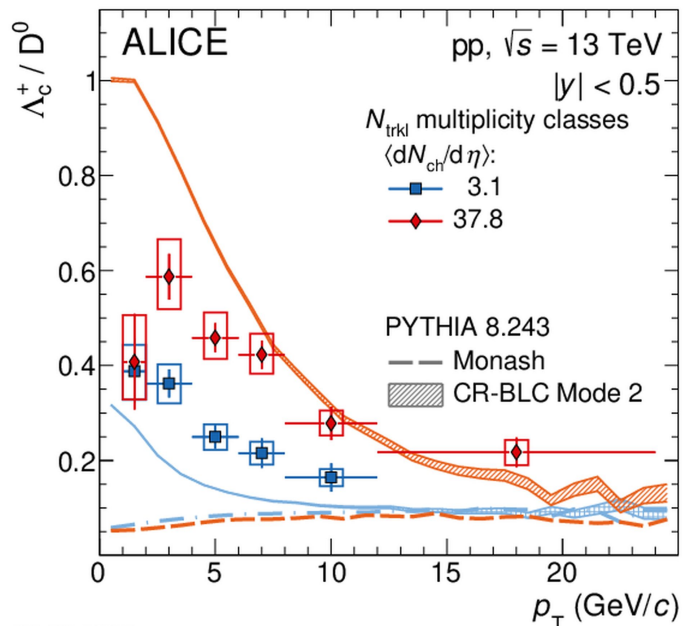
- Stronger than PYTHIA expectation at low  $p_T$
- Not observed when multiplicity estimated far from B mesons

N.B.  $D_s^+/D^0$  at mid- $y$  not increasing with multiplicity (backup)

# Evolution with event activity in pp and p-Pb: $\Lambda_c^+ / D^0$

Y. Zhang, Tuesday

PLB 829 (2022) 137065

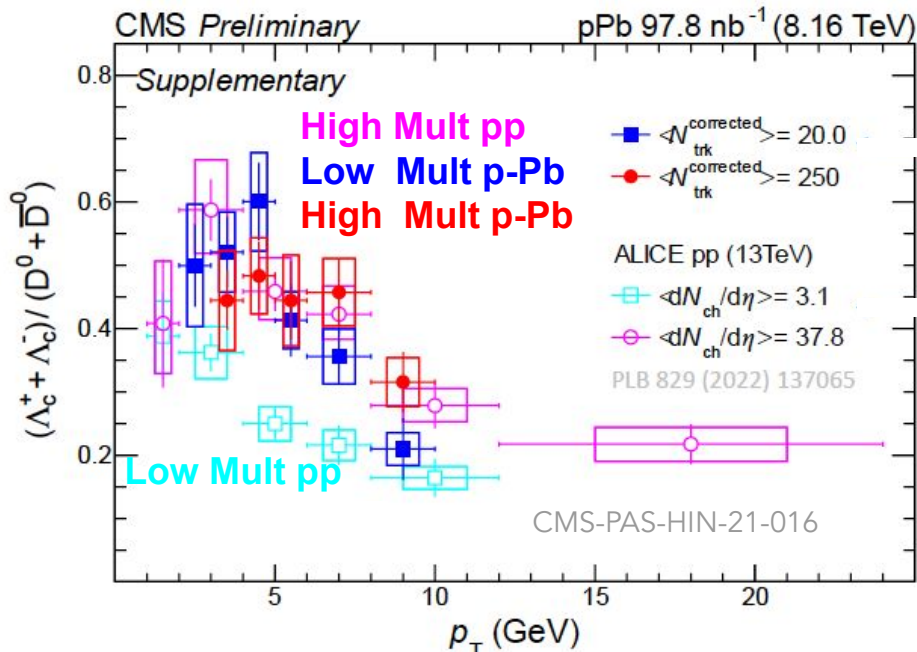


pp (ALICE):

$\Lambda_c^+ / D^0$  increases with multiplicity from  $p_T > 2$  GeV/c

Qualitatively reproduced by **PYTHIA8** with **CR-BLC**  
 → interplay of CR and MPI

ALI-DER-501055



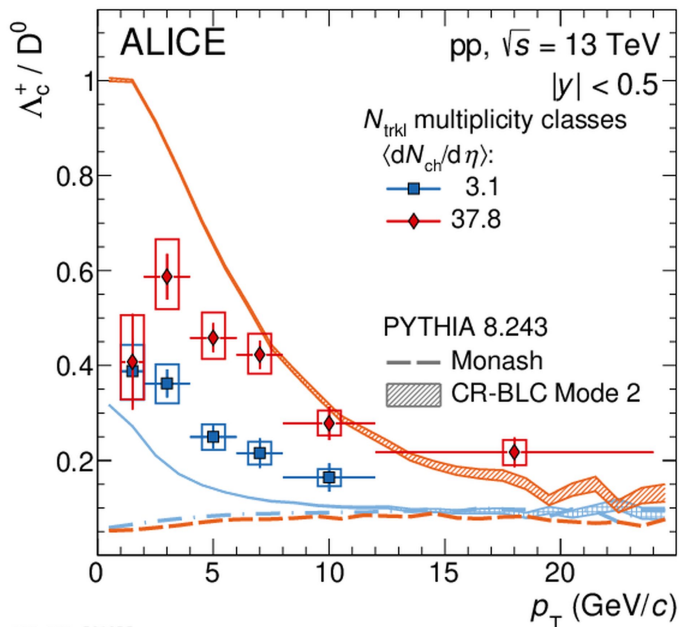
p-Pb (CMS):

$\Lambda_c^+ / D^0$  does not evolve significantly with multiplicity  
 Close to ALICE pp high-multiplicity data

# Evolution with event activity in pp and p-Pb: $\Lambda_c^+ / D^0$

Y. Zhang, Tuesday

PLB 829 (2022) 137065

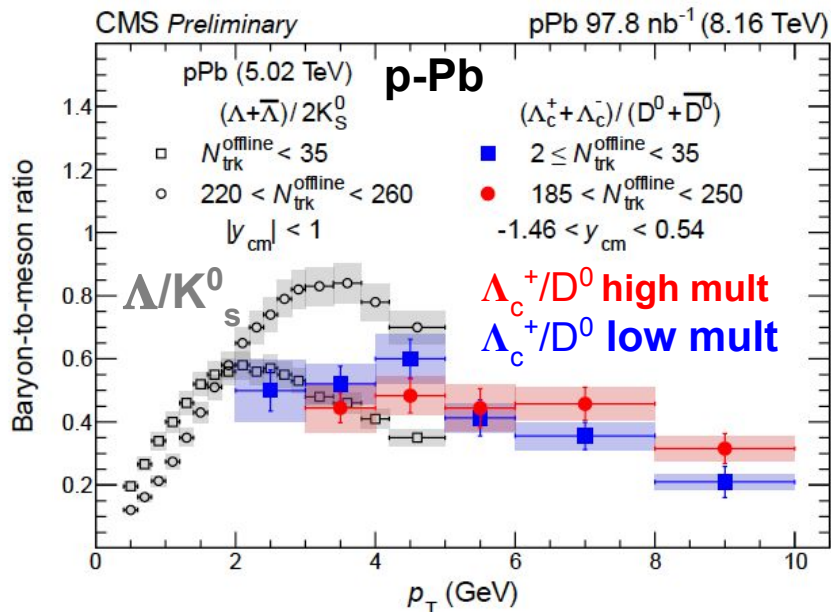


pp (ALICE):

$\Lambda_c^+ / D^0$  increases with multiplicity from  $p_T > 2$  GeV/c

Qualitatively reproduced by **PYTHIA8** with **CR-BLC**  
 → interplay of CR and MPI

ALI-DER-501055



p-Pb (CMS):

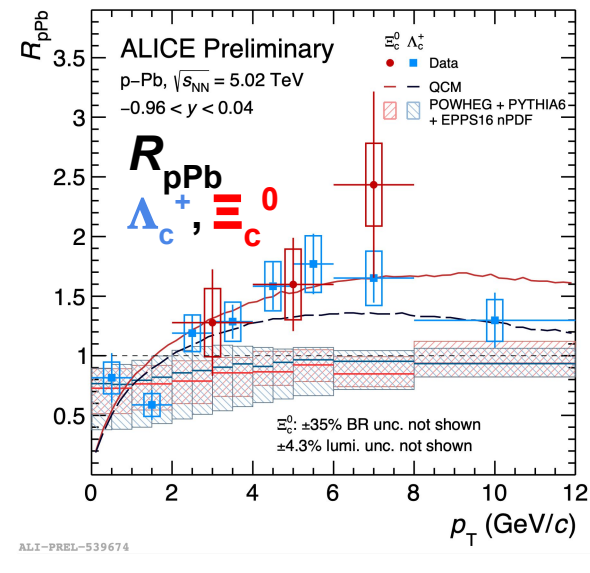
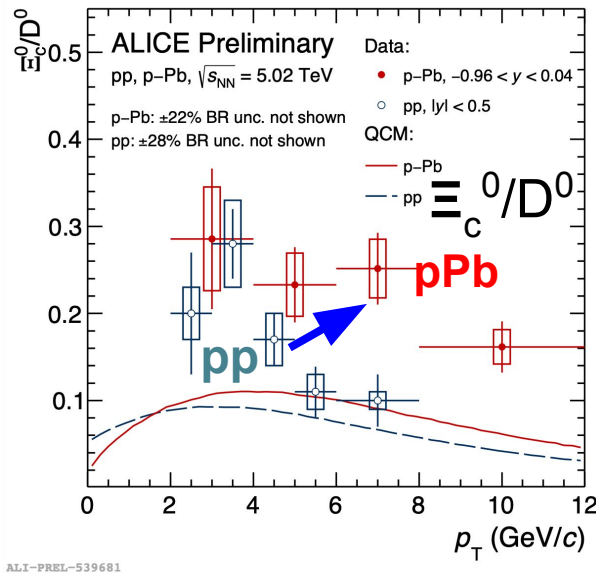
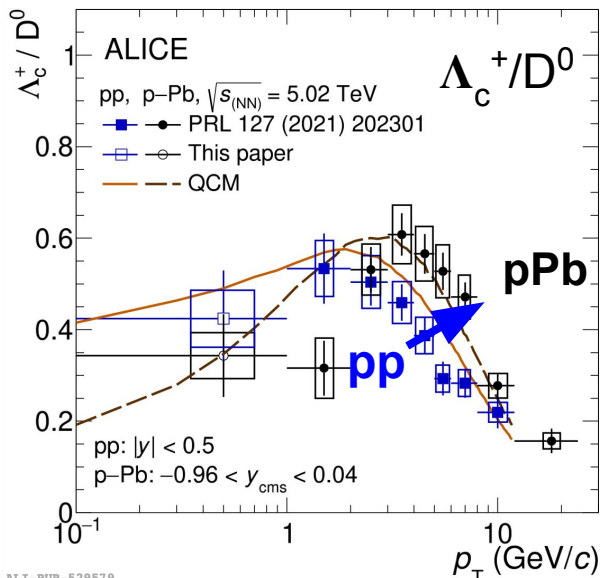
$\Lambda_c^+ / D^0$  does not evolve significantly with multiplicity  
 Close to ALICE pp high-multiplicity data

**Breaking the similarity with  $\Lambda / K^0_s$  observed in pp**  
 (see backup)

# Evolution with event activity: pp vs. p-Pb

R. Litvinov, Tuesday  
A. S. Kalteyer Tuesday

PRC 104 054905 (2021), PRL 127 202301 (2021), arxiv 2211.14032

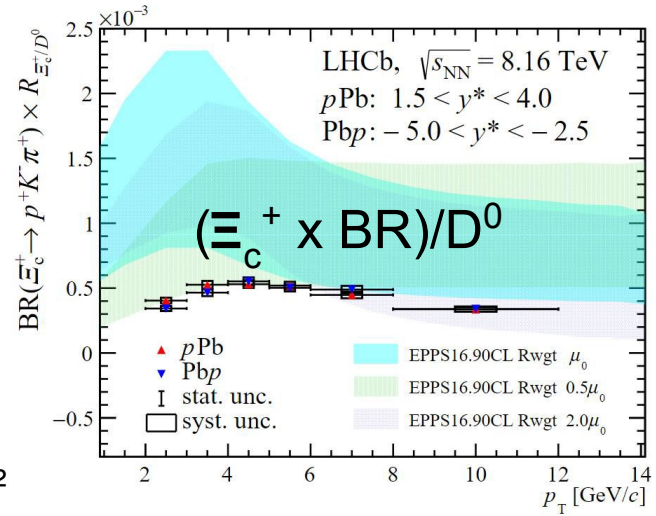
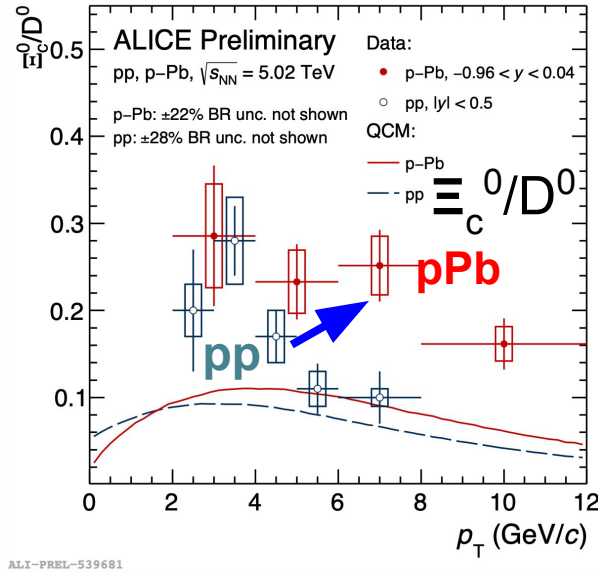
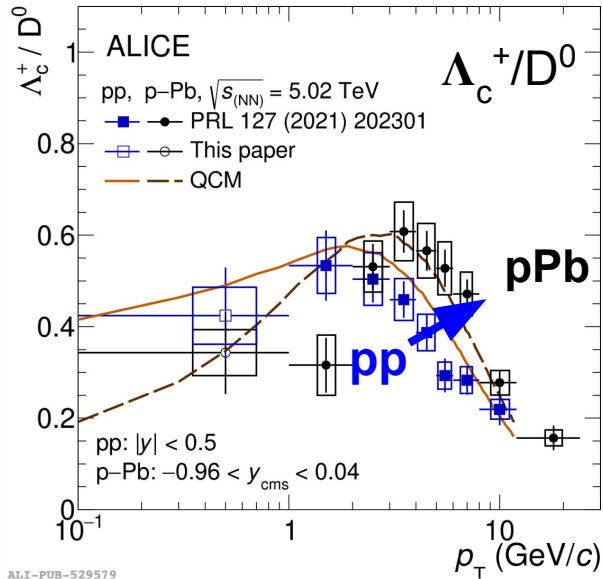


- Similar push towards higher  $p_T$  of  $\Lambda_c^+ / D^0$  and  $\Xi_c^0 / D^0$  ratio from pp to p-Pb?
  - $R_{pPb}$  described by QCM within uncertainties QCM: PRC 97 064915 (2018)

# Evolution with event activity: pp vs. p-Pb

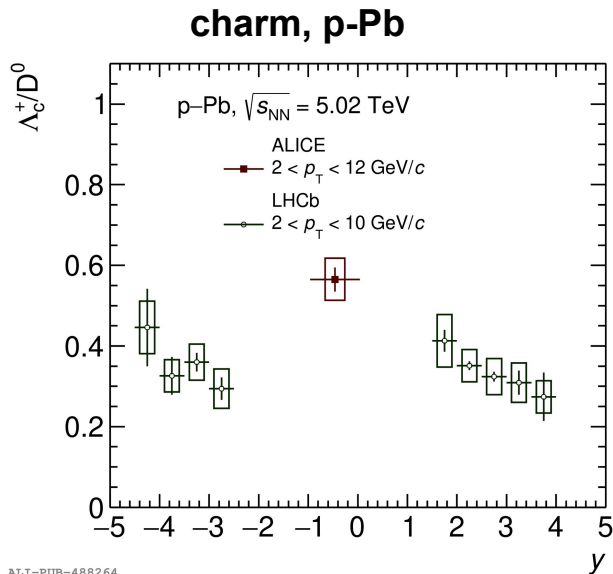
R. Litvinov, Tuesday  
A. S. Kalteyer Tuesday

PRC 104 054905 (2021), PRL 127 202301 (2021), arxiv 2211.14032



- Similar push towards higher  $p_T$  of  $\Lambda_c^+ / D^0$  and  $\Xi_c / D^0$  ratio from pp to p-Pb
  - $R_{pPb}$  described by QCM within uncertainties<sub>QCM</sub>: PRC 97 064915 (2018)
- Branching ratio (and data) uncertainties limit comparison of ALICE and LHCb data, useful to study rapidity dependence

# Rapidity dependence



Possible trend, to be revisited with run 3 data (also in pp)?

What should we expect in coalescence models and SHM? Flat?

ALICE, JHEP 04 (2018) 108

ALICE, PRC 104 054905 (2021)

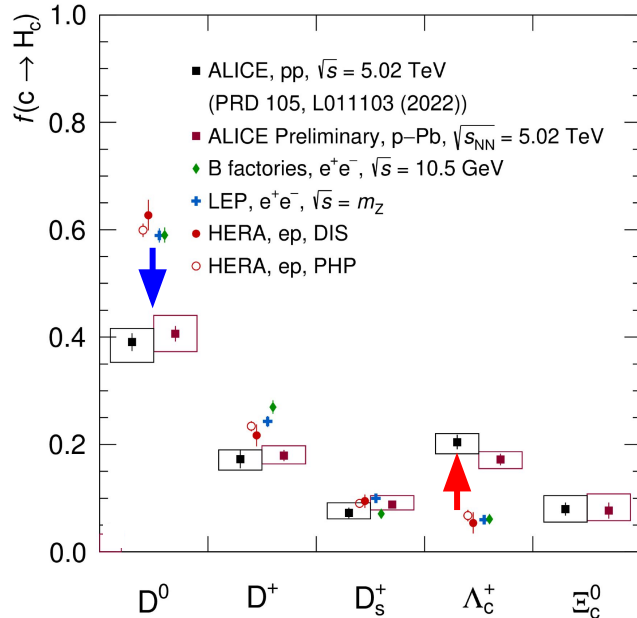
LHCb (pp), Nucl.Phys.B 871 (2013)

LHCb (p-Pb), JHEP 02 102 (2019)



# Fragmentation fractions from all ground-state baryons

PRD 105 (2022) 1, L011103, arxiv 2211.14032



Direct measurement of all ground-state baryons ( $\Xi_c^+$  similar to  $\Xi_c^0$ , checked at 13 TeV)  
 → new Fragmentation Fractions

Large increase for  $c \rightarrow \Lambda_c^+$  and  $c \rightarrow \Xi_c^0$  w.r.t  $e^+e^-$

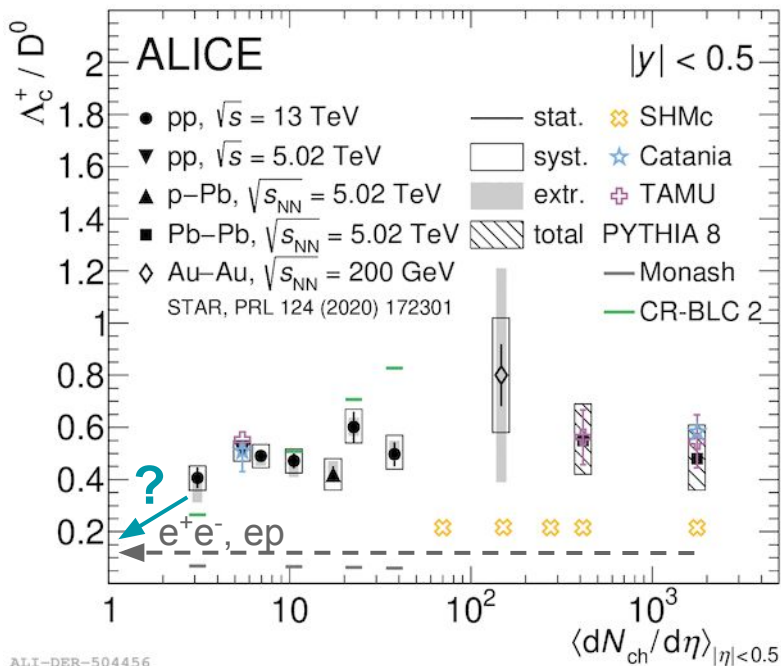
More than 1/3 of charm quarks go to baryons!

No significant modification of  $p_T$ -integrated yield ratios from pp to p-Pb

ALI-PREL-539822

# $\Lambda_c^+ / D^0$ from pp to central AA: $p_T$ -integrated

PRC 104 054905 (2021) , PRL 127 202301 (2021), PLB 829 (2022) 137065, [arxiv 2112.08156](https://arxiv.org/abs/2112.08156)



**No evidence of evolution of  $p_T$ -integrated  $\Lambda_c^+ / D^0$  ratio despite strong modification of  $p_T$ -differential trend.**

Data uncertainty large

Significantly higher values than  $e^+e^-$

STAR Au-Au data compatible with ALICE

**PYTHIA8 CR-BLC expects increase with multiplicity**

SHMc (Pb-Pb) about flat trend but below data

TAMU, Catania: similar values in pp and Pb-Pb

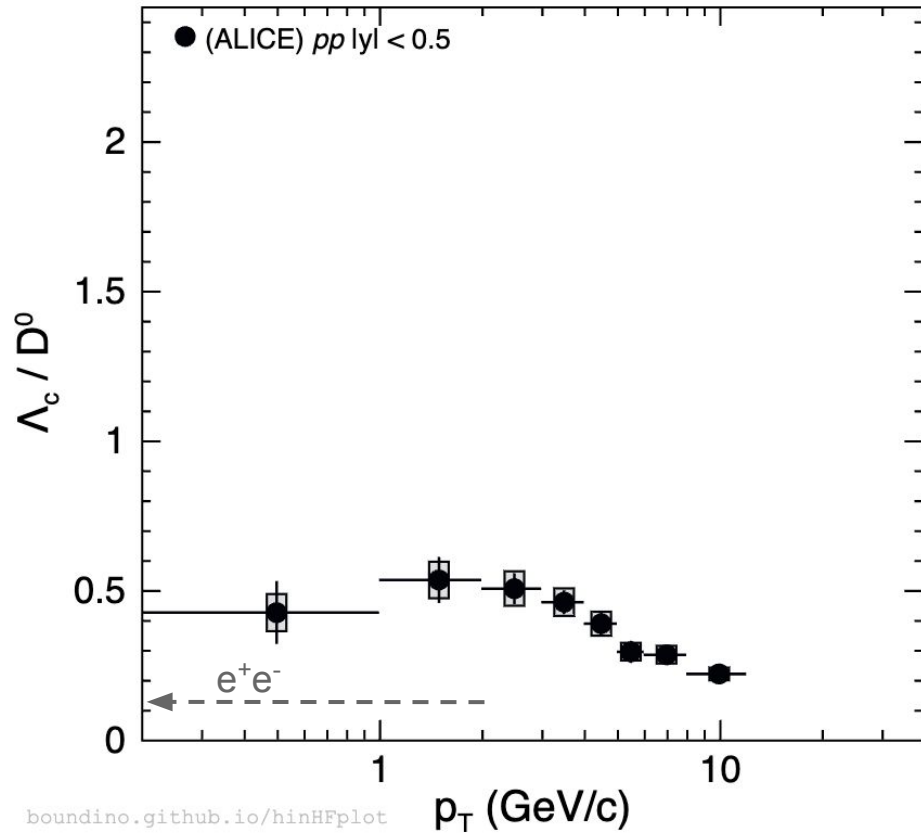
ALI-DER-504456

**Lowest multiplicity still to be covered: recover  $e^+e^-$ ?**

→ more precise measurements from LHC new runs awaited

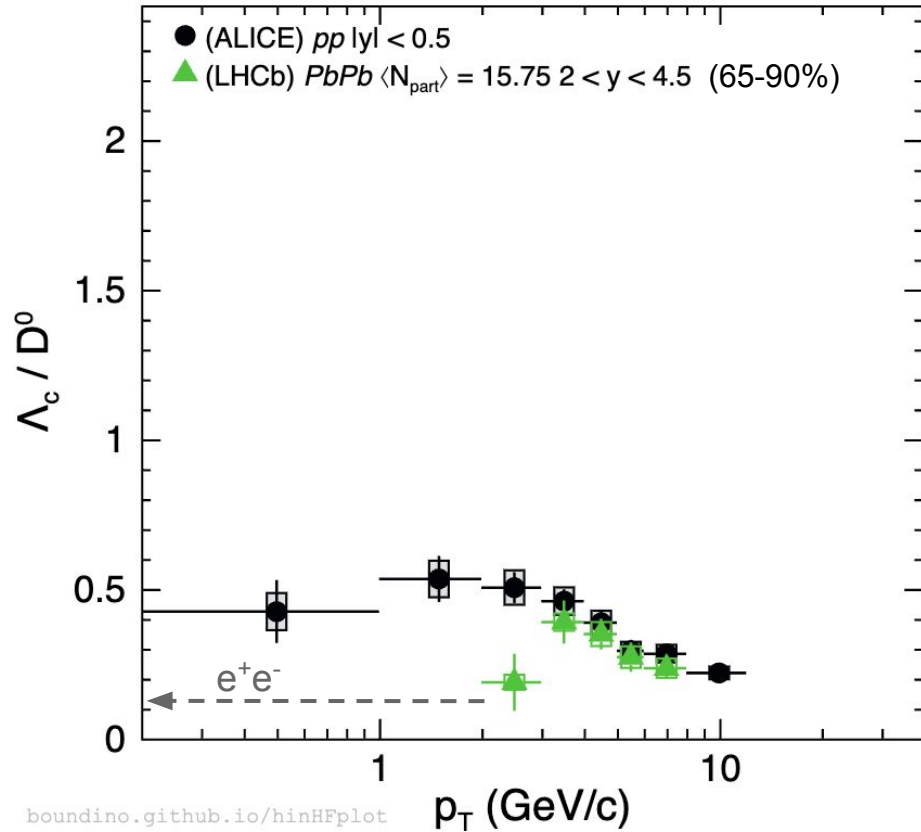
# $\Lambda_c^+ / D^0$ from pp to central AA: $p_T$ -differential

A. S. Kalteyer Tuesday



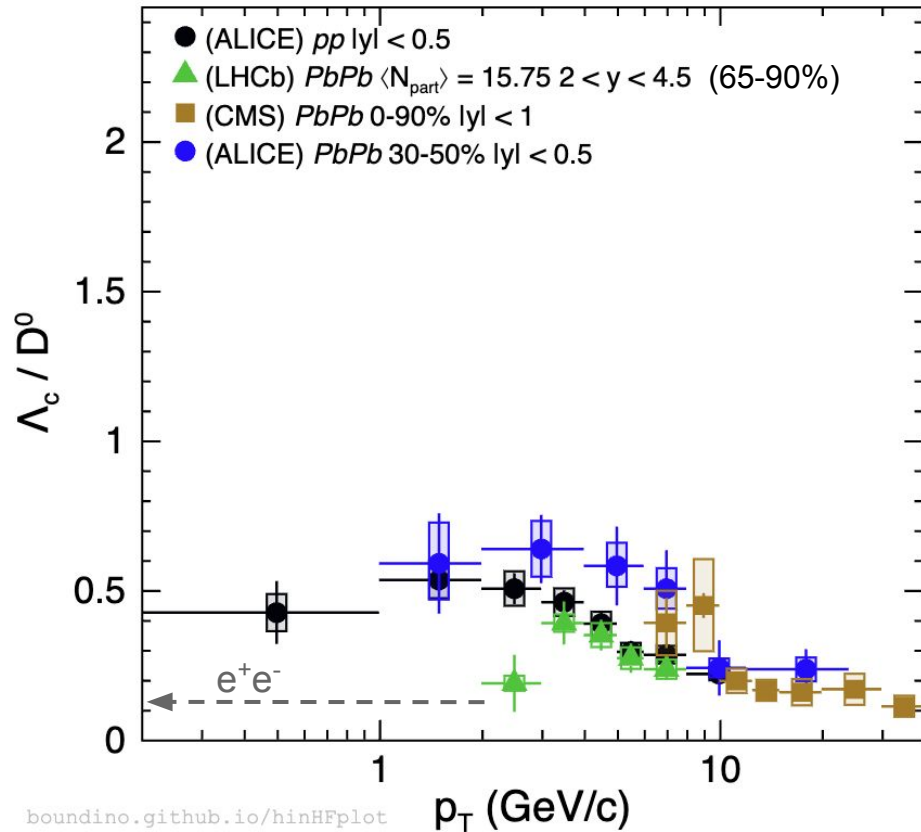
# $\Lambda_c^+ / D^0$ from pp to central AA: $p_T$ -differential

A. S. Kalteyer Tuesday  
R. Litvinov, Tuesday



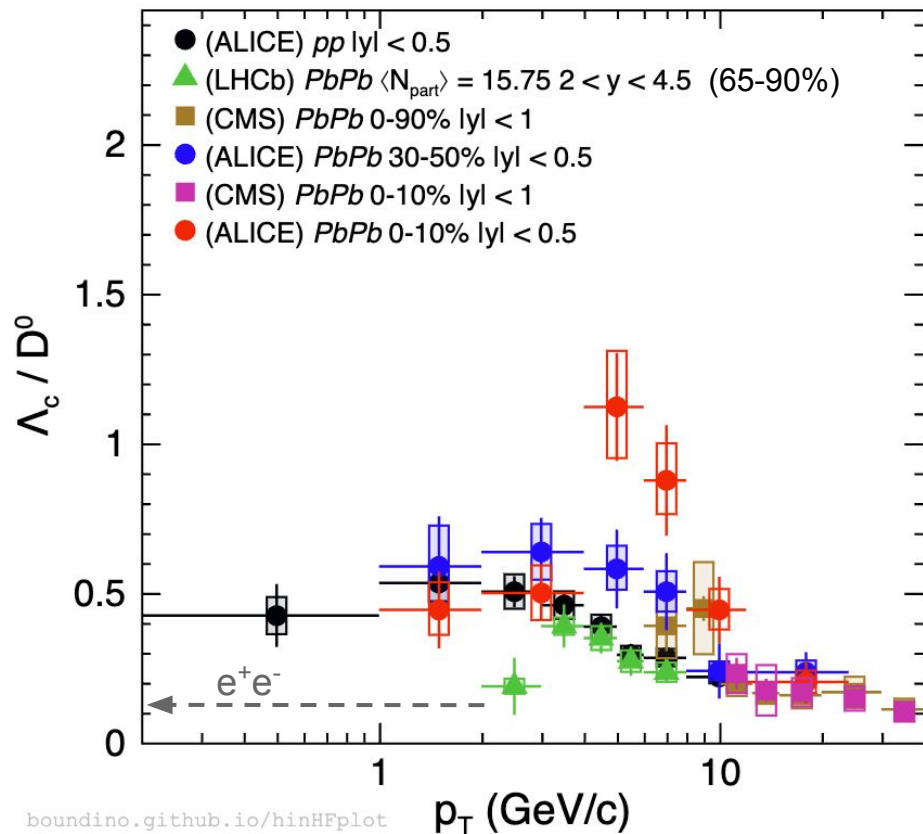
# $\Lambda_c^+ / D^0$ from pp to central AA: $p_T$ -differential

A. S. Kalteyer Tuesday  
R. Litvinov, Tuesday  
S. Chandra, M. Stojanovic, Poster



# $\Lambda_c^+ / D^0$ from pp to central AA: $p_T$ -differential

A. S. Kalteyer Tuesday  
R. Litvinov, Tuesday  
S. Chandra, M. Stojanovic, Poster



Significant evolution of  $p_T$ -differential yield ratio

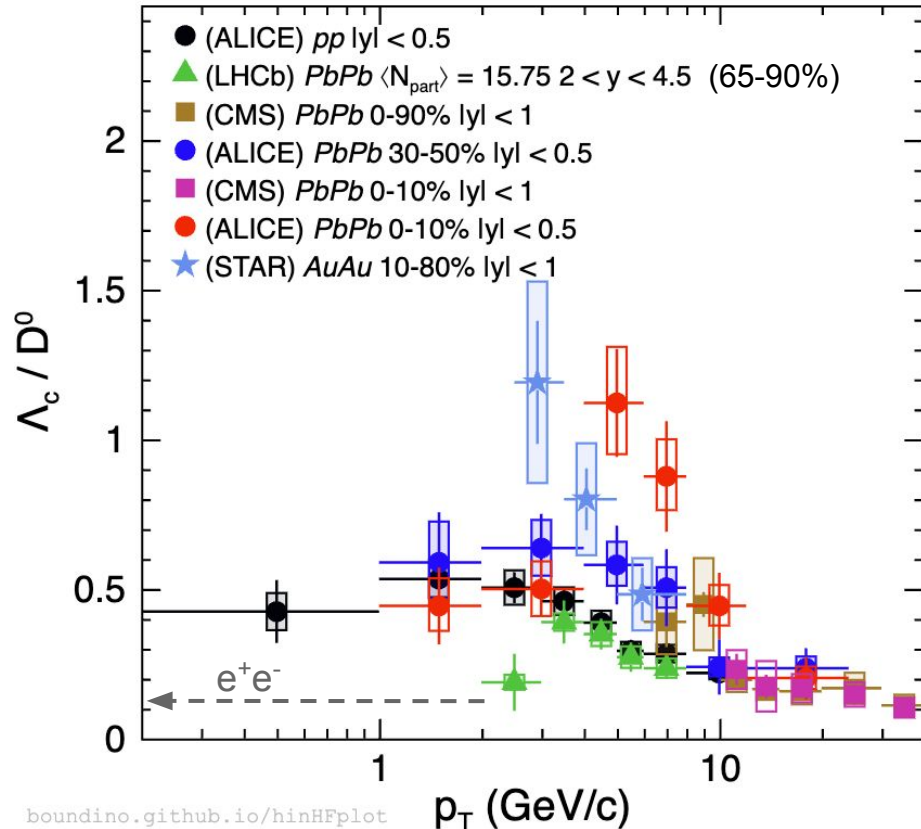
Biggest jump from  $e^+e^-$  to pp

“Radial-flow” like peak ← coalescence?

ALICE pp, PRL 127 (2021) 202301  
LHCb Pb-Pb, arXiv:2210.06939  
CMS Pb-Pb, CMS-PAS-HIN-21-004  
ALICE Pb-Pb, arXiv:2112.08156

# $\Lambda_c^+ / D^0$ from pp to central AA: $p_T$ -differential

A. S. Kalteyer Tuesday  
R. Litvinov, Tuesday  
S. Chandra, M. Stojanovic, Poster



Significant evolution of  $p_T$ -differential yields

Biggest jump from  $e^+e^-$  to pp

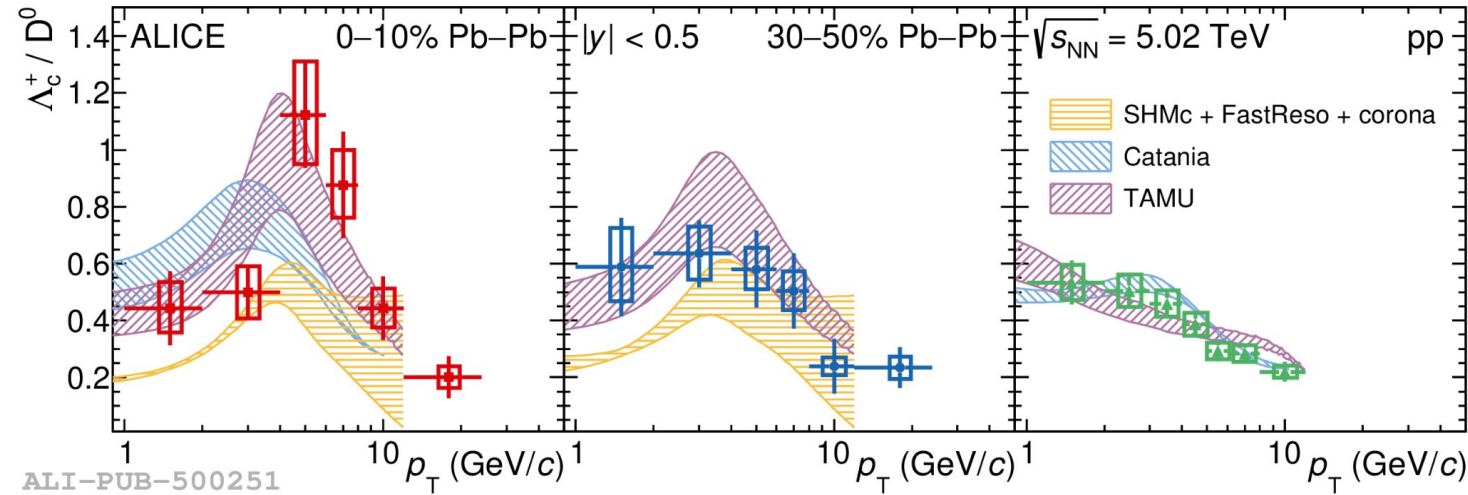
“Radial-flow” like peak ← coalescence?

Large ratio also at STAR, “shifted” in  $p_T$  w.r.t. LHC

ALICE pp, PRL 127 (2021) 202301  
LHCb Pb-Pb, arXiv:2210.06939  
CMS Pb-Pb, CMS-PAS-HIN-21-004  
ALICE Pb-Pb, arXiv:2112.08156  
STAR Au-Au, PRL 124 (2020) 172301

# $\Lambda_c^+ / D^0$ in Pb-Pb vs. models

arxiv 2112.08156



Catania, EPJC 78 4 (2018) 348  
TAMU, PRL 124, 4 (2020) 042301  
SHM, JHEP 07 035 (2021)

**TAMU** (hadronisation via Relativistic Resonant Scattering model + RQM states) and **Catania** (sudden coalescence + fragmentation) describe data within uncertainties

**SHMc** + FastReso + corona tends to underestimate data

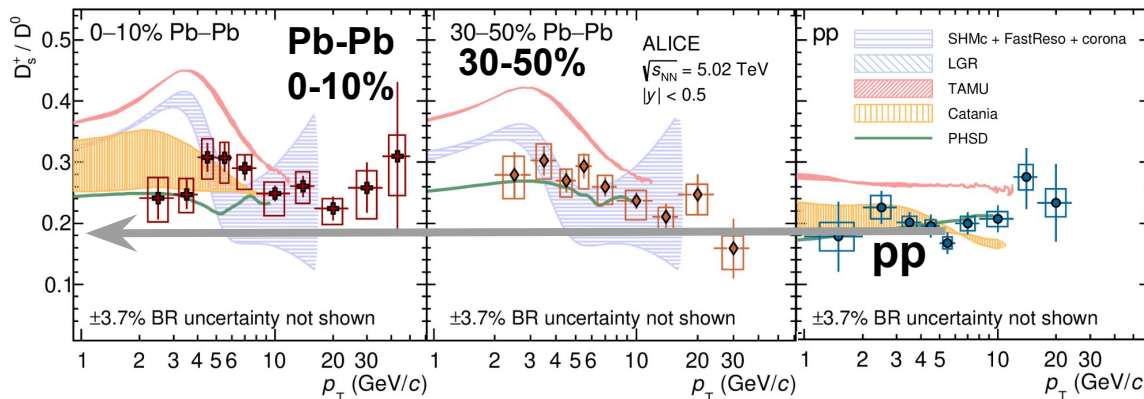
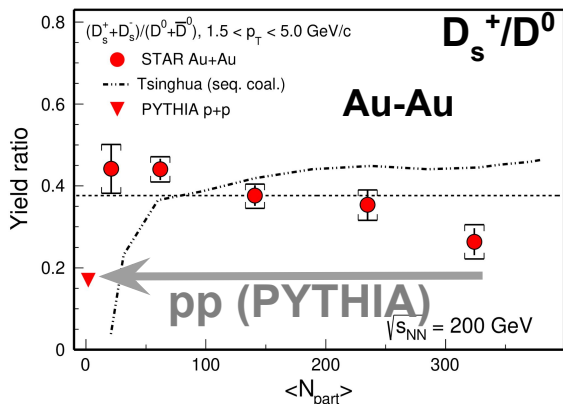
Important specific constraints to model features (hadronisation, space-momentum correlations) needed to describe D meson flow and  $R_{AA}$

More in  
Beraudo's talk  
(next one!)



# $D_s^+$ and $B_s^0$ in nucleus-nucleus collisions

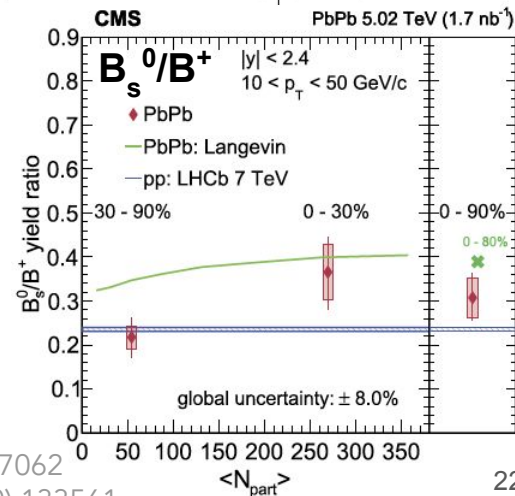
Tzu-An Sheng, Thursday



ALI-PUB-522154

Indication of higher  $D_s^+ / D^0$  ratio in Au–Au at RHIC and Pb–Pb at LHC w.r.t. pp  
Also hint for higher  $B_s^0 / B^+$  at the LHC

More data needed to extend at lower  $p_T$   
Awaiting  $\Xi_c$  in Pb–Pb!



STAR, PRL 127 092301 (2021)  
Tsinghua, arxiv 1805.10858, Springer  
Proc. Phys. 250 (2020) 275-278

ALICE, PLB 827 (2022) 136986  
SHMc, JHEP 07 035 (2021)  
LGR, EPJC 80 671 (2020)  
PHSD, PRC 92, 014910 (2015)  
TAMU: PRL 124, 042301 (2020)  
Catania: EPJC 78, 348 (2018)

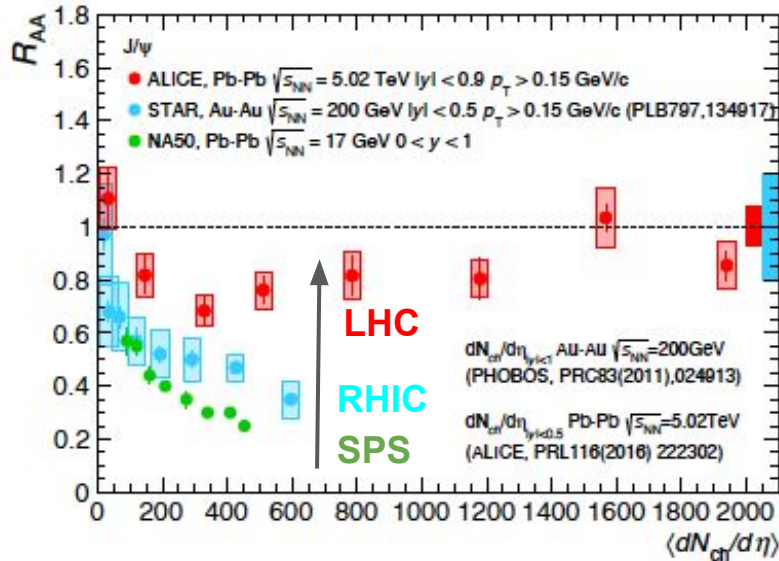
CMS, PLB 829 (2022) 137062  
Langevin: PLB 807 (2020) 133561

# Quarkonia regeneration

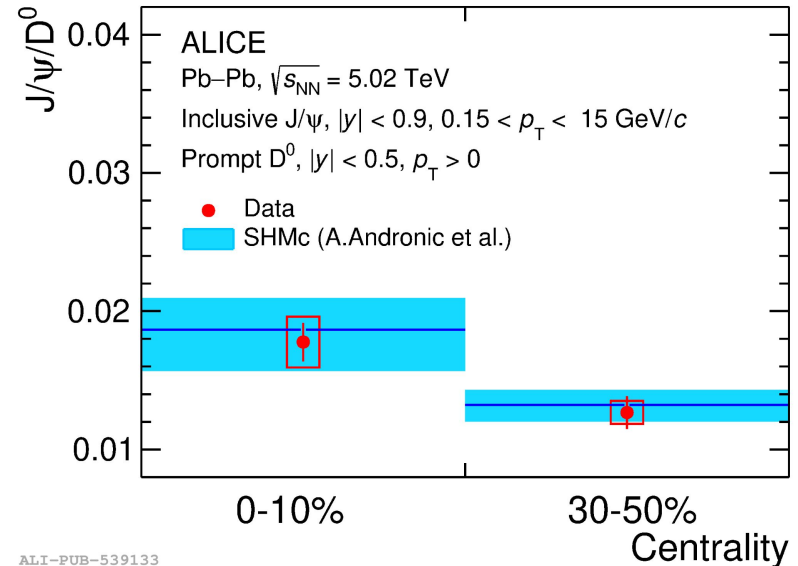
→ K. Smith, M. Espinosa, this morning

Pengzhong Lu, Tuesday

- Debye screening & dynamic dissociation → loss of initial  $Q\bar{Q}$  colour connection & suppression
- **Regeneration via recombination**
  - **$J/\psi$  in line with statistical hadronisation model expectation at LHC energies**



ALICE, arxiv:2211.04384



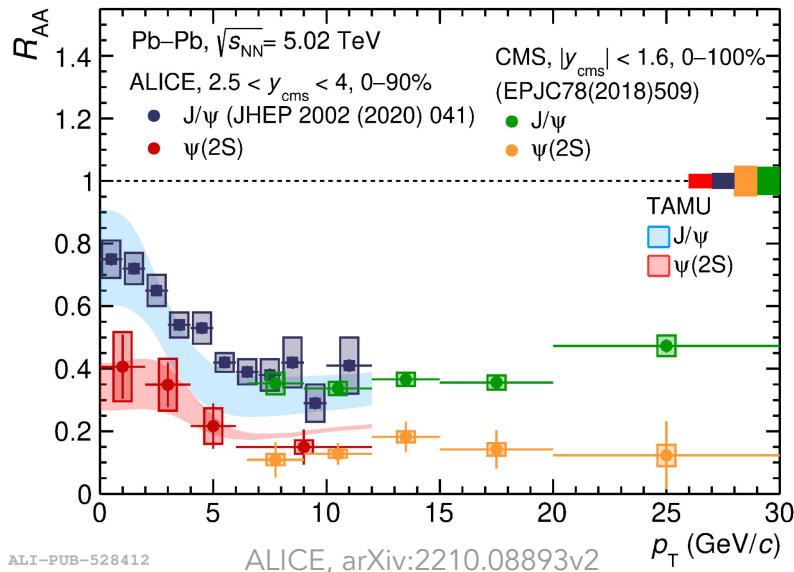
ALI-PUB-539133

ALICE, arxiv:2303.13361

# Quarkonia regeneration

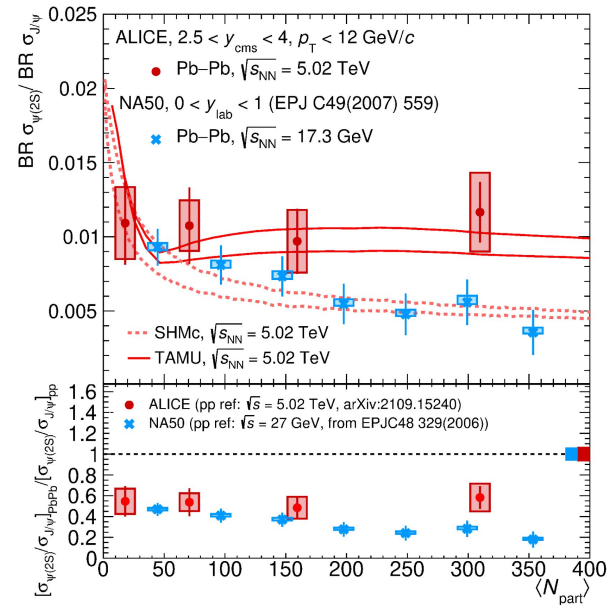
V. Feuillard, Thursday

- Debye screening & dynamic dissociation → loss of initial  $Q\bar{Q}$  colour connection & suppression
- **Regeneration via recombination**
  - $J/\psi$  in line with statistical hadronisation model expectation at LHC energies
  - **relevant also for low- $p_T$   $\psi(2S)$** 
    - Data described by TAMU transport model, SHMc tends to underestimate  $\psi(2S)/J/\psi$  ratio in central collisions



ALI-PUB-528412

ALICE, arXiv:2210.08893v2

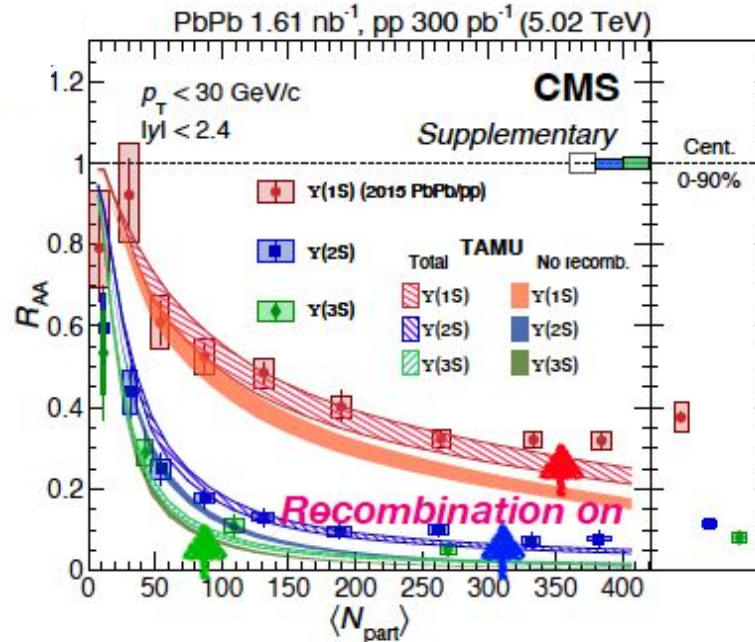


ALI-PUB-528400

# Quarkonia regeneration

JaeBeom Park, Tuesday

- Debye screening & dynamic dissociation → loss of initial  $Q\bar{Q}$  colour connection & suppression
- **Regeneration via recombination**
  - $J/\psi$  in line with statistical hadronisation model expectation at LHC energies
  - relevant also for low- $p_T$   $\psi(2S)$ 
    - Data described by TAMU transport model, SHMc tends to underestimate  $\psi(2S)/J/\psi$  ratio in central collisions
  - **relevant also for bottomonia?**



CMS, HIN-21-007

TAMU: PRC 96 (2017) 054901

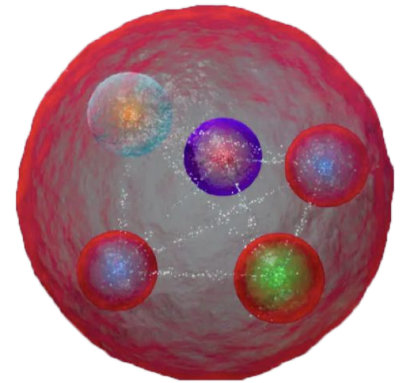
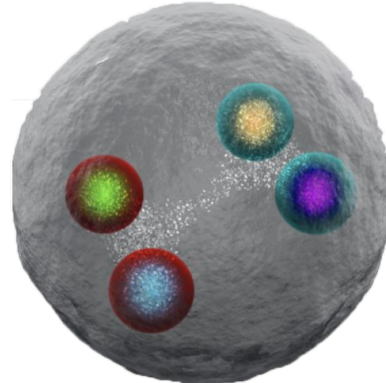
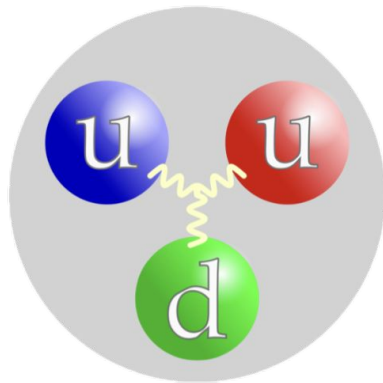
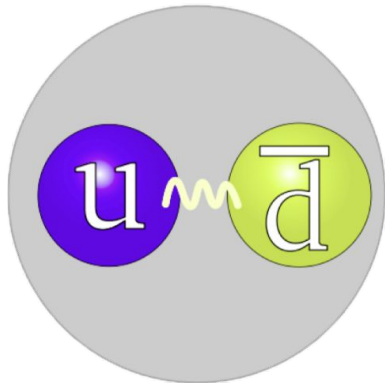
# Exotic states → K. Smith, this morning

Understanding “exotic”-state  
production yields  
and formation mechanism

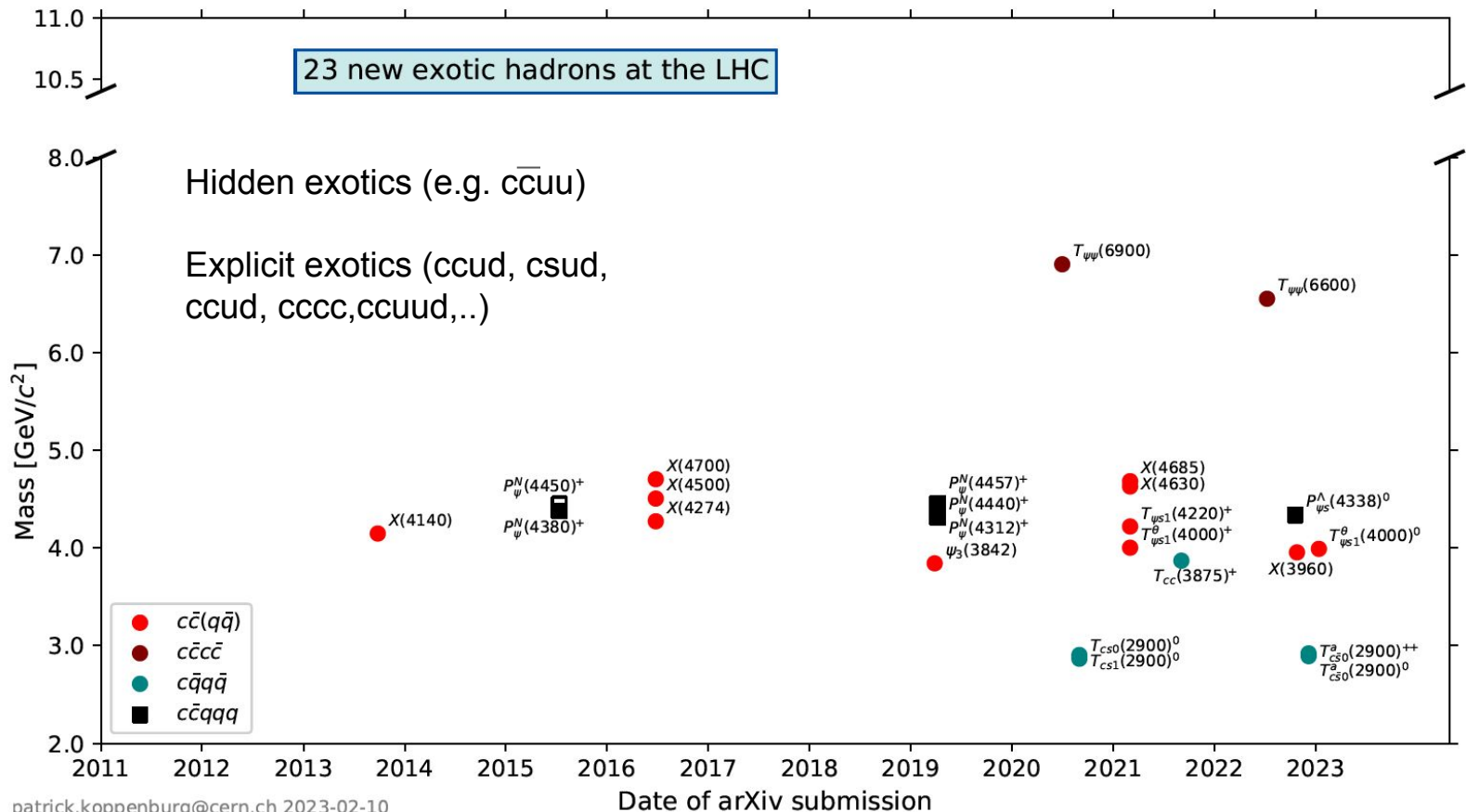
Understanding their nature

- Compact objects (tetra/pentaquarks)
- Meso-barionic molecules
- Hybrid..

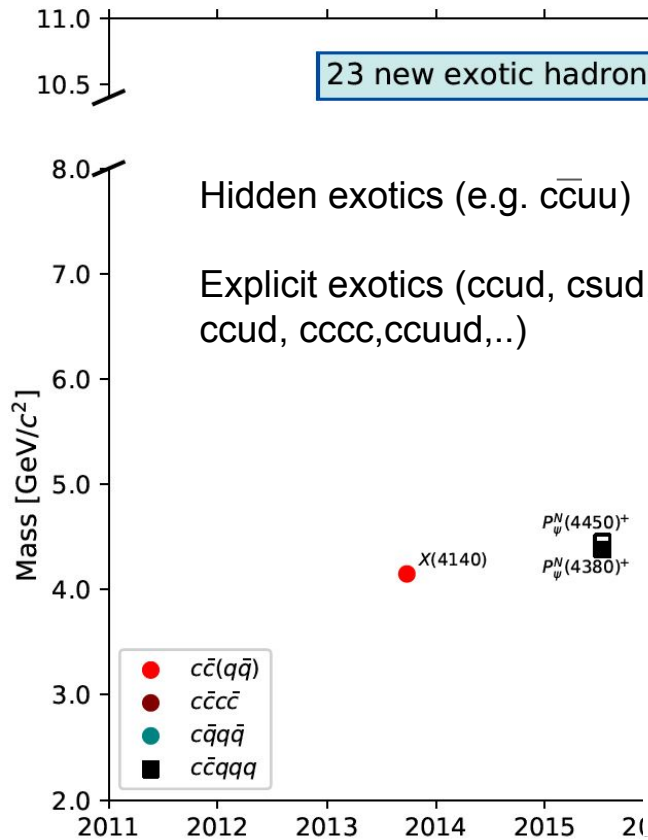
Insight into hadronisation  
processes in different collision  
systems



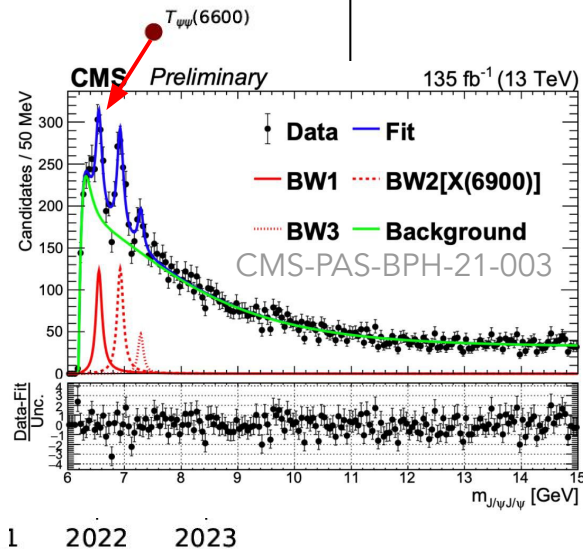
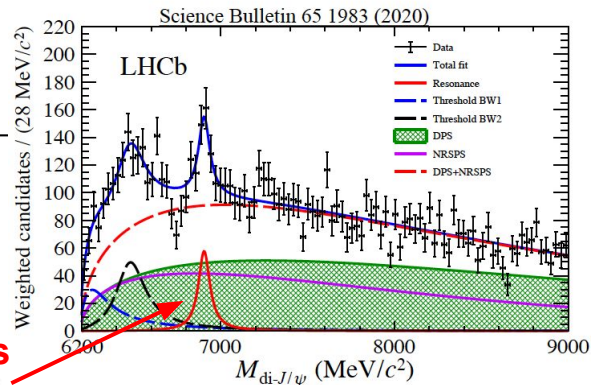
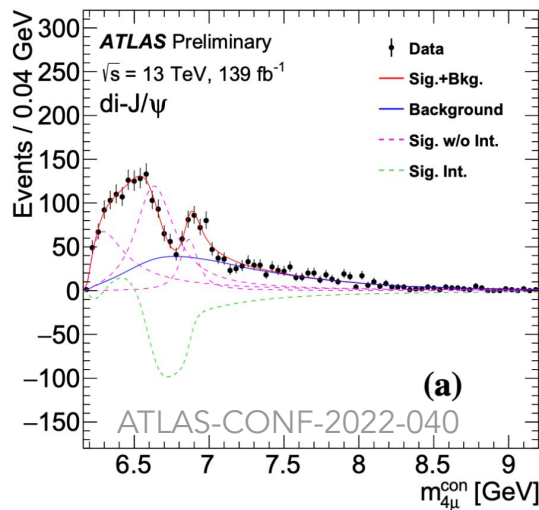
# A new galaxy for spectroscopy



# A new galaxy for spectroscopy



4 charm-quark states



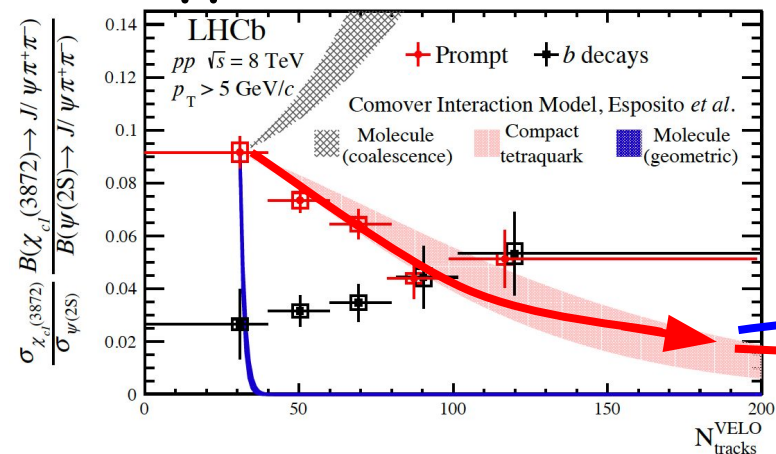
# X(3872)/ $\psi(2S)$ in different collision systems

C. L. Gomez, Wednesday

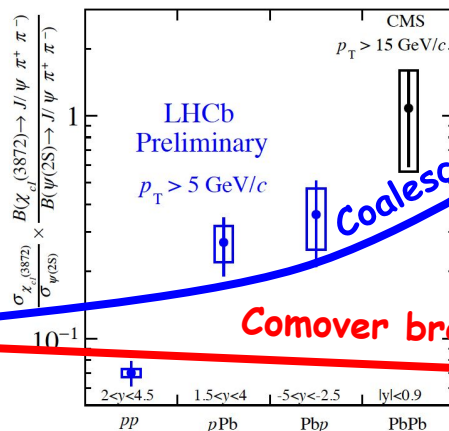
CMS, [PRL 128 \(2022\) 032001](#)

LHCb, [PRL 126 \(2021\) 092001](#)

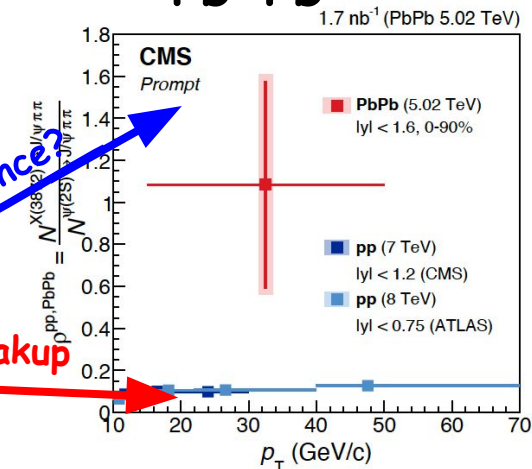
## pp vs. mult



## p-Pb



## Pb-Pb



$\psi(2S)$  suppressed in p-Pb and Pb-Pb ( $R_{AA} \sim 0.1$  at high  $p_T$ ): better to use a different reference?

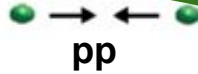
Coalescence likely to be an important mechanism of X(3872) production in nuclear collisions, compensating breakup from interaction with comovers



# Summary: HF hadronisation in our QCD laboratories

Fragmentation functions universality violated already in pp collisions  
 Multiple parton interactions in pp build a system rich of quarks or gluons,  
 dense enough to alter hadronisation w.r.t.  $e^+e^-$

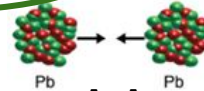
$e^+e^-$  = "vacuum"



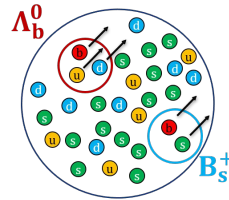
~~not far from vacuum ~ many independent scatterings (for HF at least)~~

Dynamical model  
 "Local" dynamical constraints  
 (e.g. Lund string fragmentation,  
 quarks and diquarks popping out  
 from QCD potential)

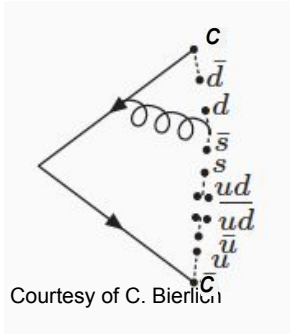
MPI, system size



**A-A**  
 Dense, extended-size system  
 Equilibrium  
 Flow



(Semi)phenomenological models sufficient  
 to describe relative particle abundances  
*once ingredients are tuned?*



Courtesy of C. Bierlich

# What's next (and my personal wishlist)

**Run 3-4 at the LHC: quantum leap in precision for HF measurements in pp and Pb-Pb**

+  $\Lambda_b$ ,  $\Xi_c$  in Pb-Pb

+ new measurements of jets and correlations

→ **fundamental tests to constrain models!**

**Understand role of diquarks**

Not only high multiplicity and nucleus-nucleus:

**We need to check if (and “where”) we recover LEP!**

→ low multiplicity, high  $p_T$

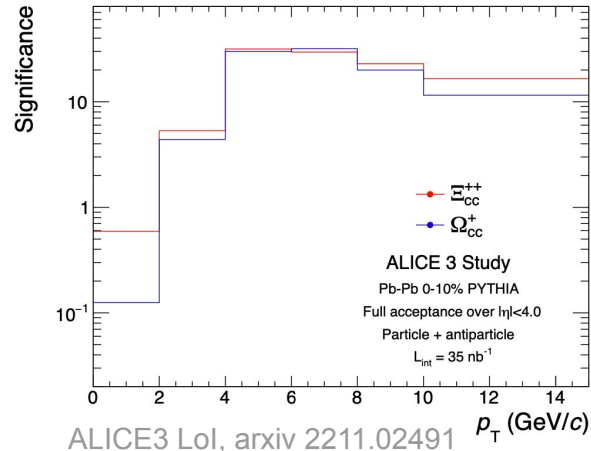
**Flow in small systems: what's the role of coalescence?**

**Spectroscopy & production measurement of excited states**

**Double charm in AA with ALICE3**

... though need to wait beyond run 4

→ Compelling test for coalescence and SHM in Pb-Pb



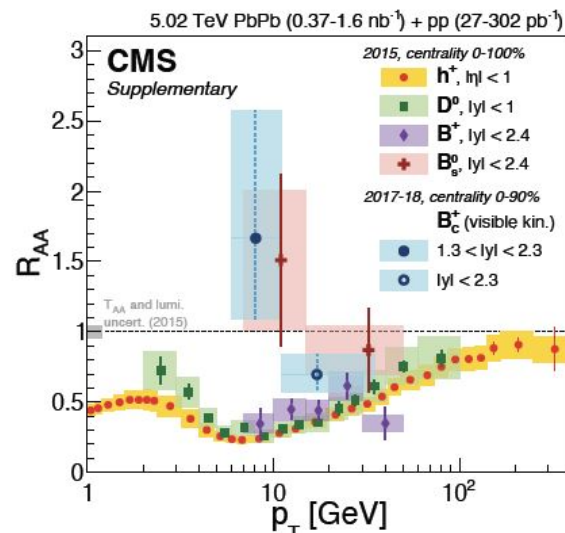
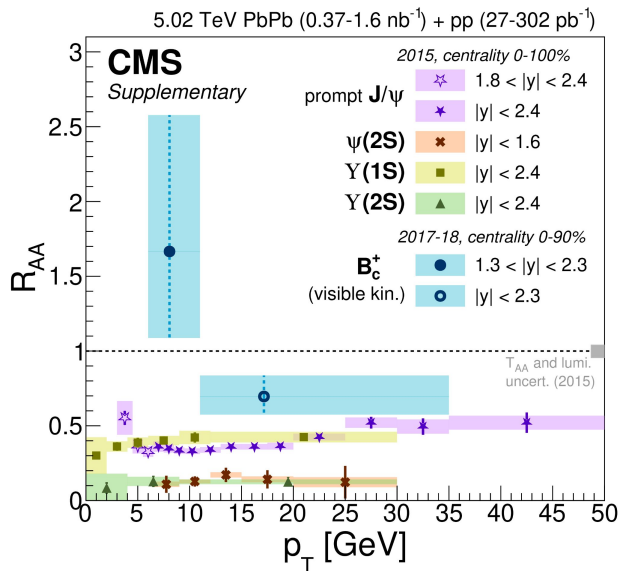
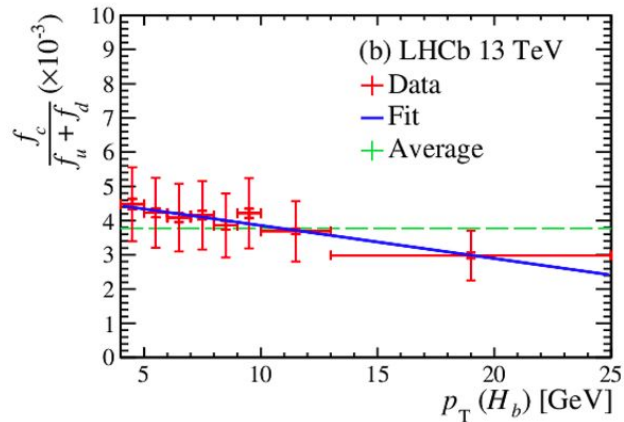
Extra

# $B_c^+$ nuclear-modification factor

Tzu-An Sheng, Thursday

CMS, PRL 128 (2022) 252301

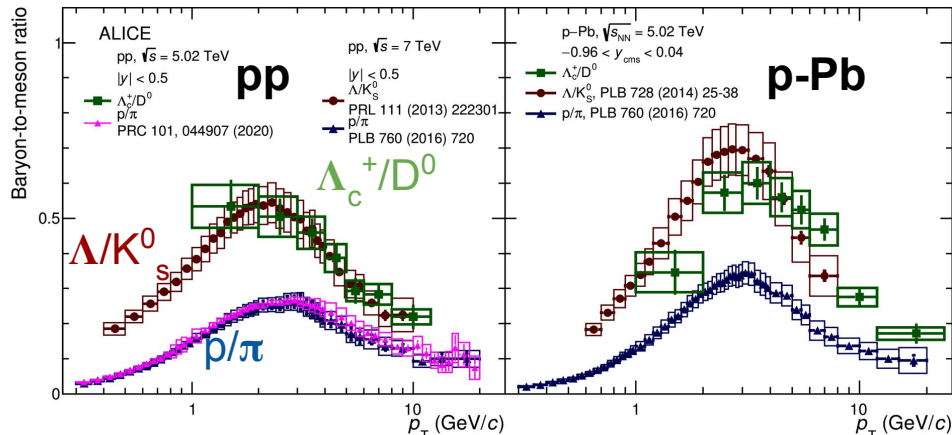
LHCb, PRD100 (2019) 112006



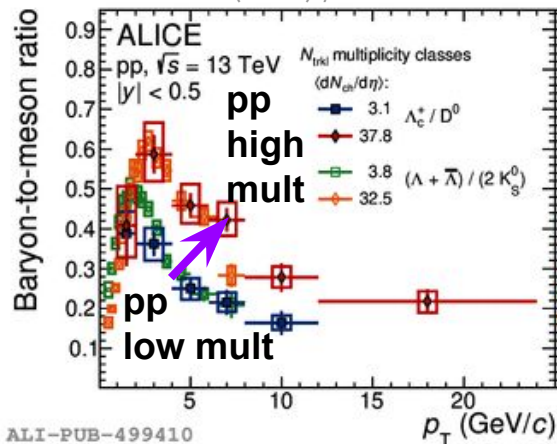
- $B_c^+$  production in pp not yet theoretically well understood
  - Contribution from **double-parton-scattering possibly large in pp**: U. Egede et al., Eur. Phys. J. C 82, 773 (2022)
- Enhanced in Pb-Pb at low  $p_T$ ? Less suppressed than other quarkonia?
- Production dominated by recombination in both pp and Pb-Pb?

# Similarity of charm and light-flavour baryon-to-meson ratios?

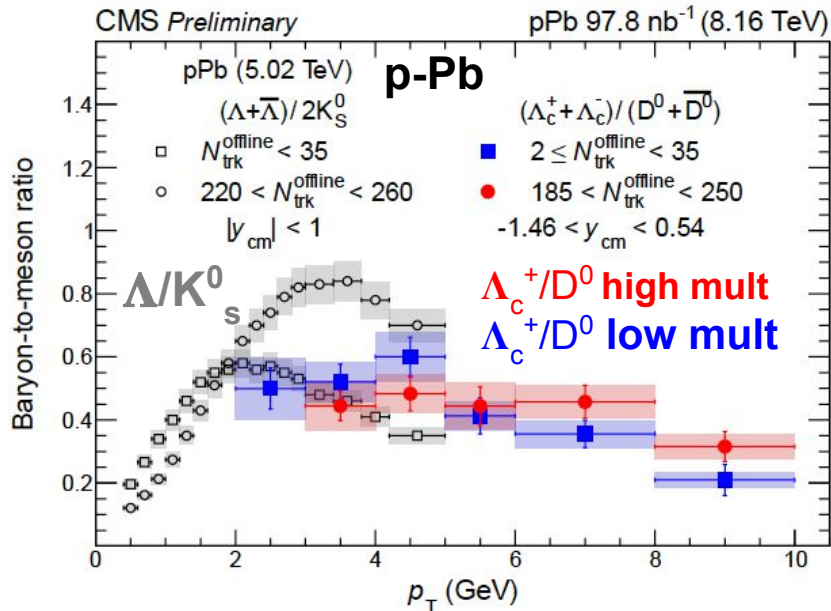
Y. Zhang, Tuesday



PRC 104 054905 (2021), PRL 127 202301 (2021), PLB 829 (2022) 137065



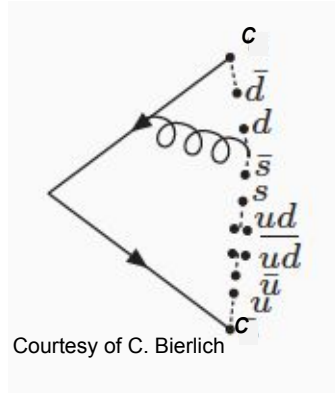
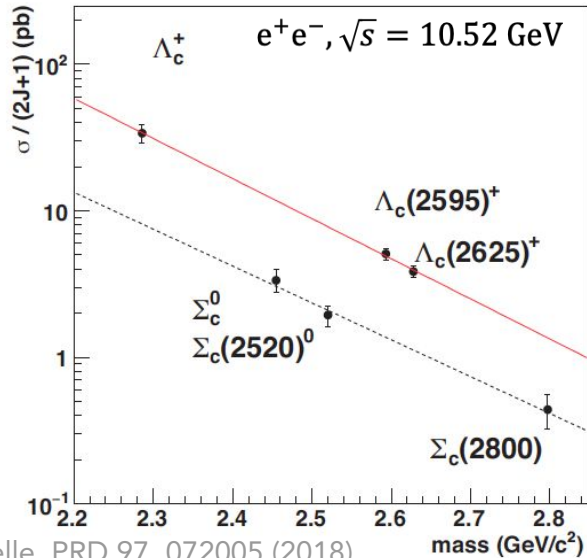
ALI-PUB-499410



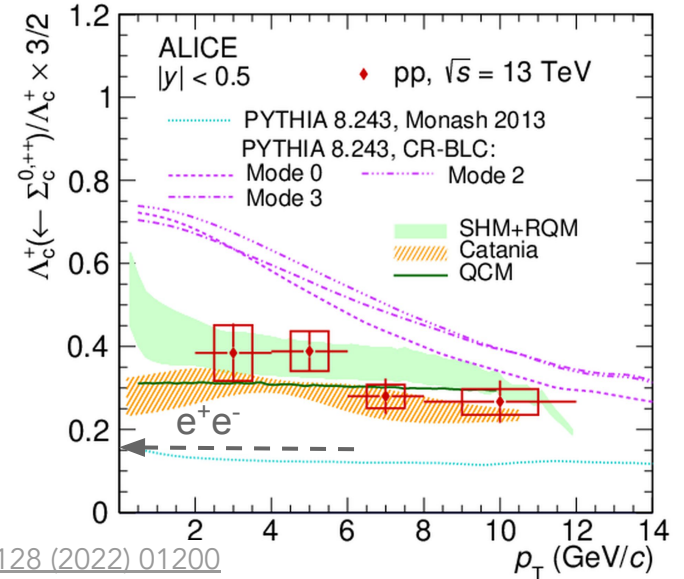
$\Lambda_c^+ / D^0$  vs.  $\Lambda / K_S^0$ : striking similarity in pp collisions, also vs. multiplicity  
Weaker in p-Pb min. Bias  
Lost in p-Pb vs. multiplicity with new CMS data

# $\Sigma_c^{0,++}$ production and $\Lambda_c^+ \leftarrow \Sigma_c^{0,++}$ feeddown

$e^+e^-$  collisions



pp collisions

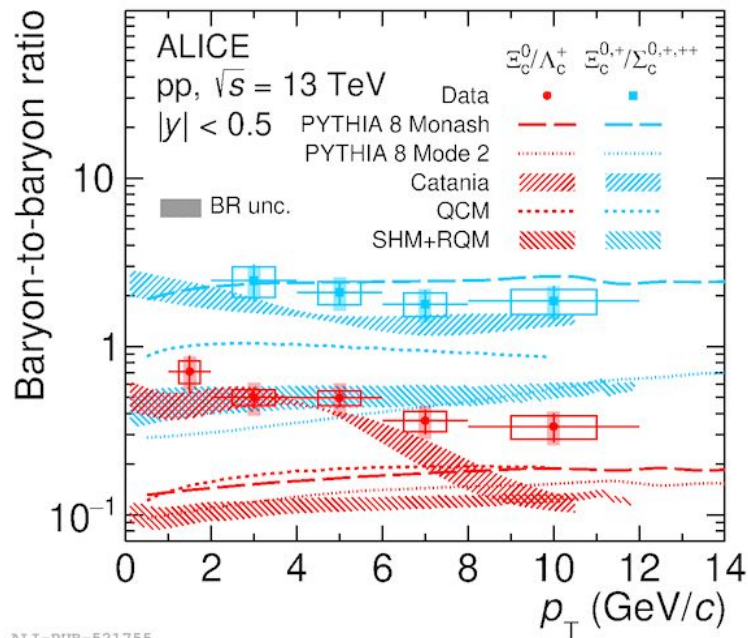
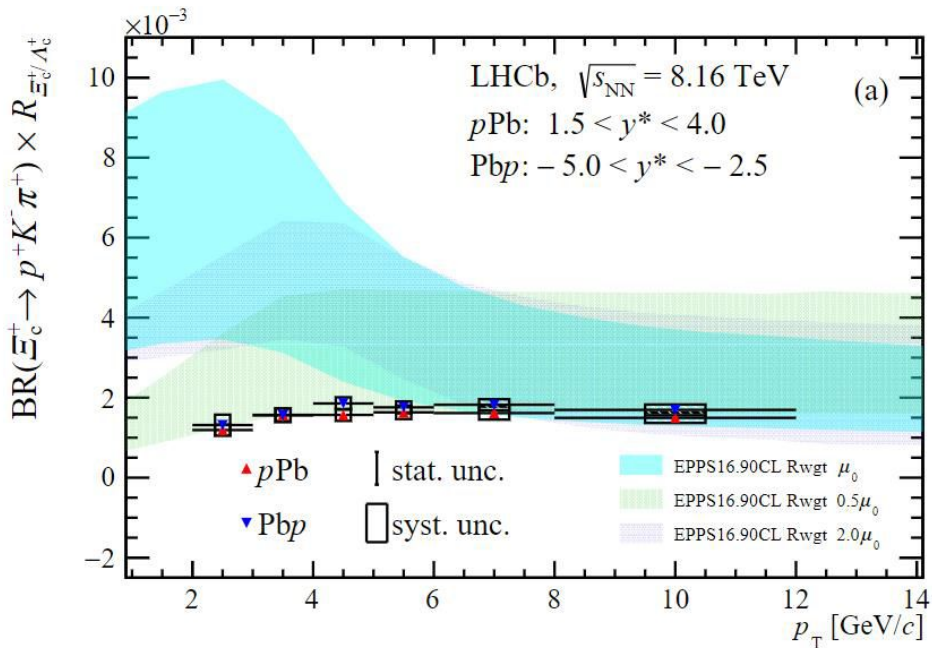


## $\Sigma_c$ states suppressed w.r.t. $\Lambda_c$ states

In string fragmentation: from heavier diquark-antidiquark pair from vacuum  $\Lambda_c$  (isospin = 0) needs spin = 0 diquark  $(ud)_0$   
 $\Sigma_c$  (isospin = 1) needs spin = 1 diquark  $(ud, dd, uu)_1$ , which is heavier than  $(ud)_0$

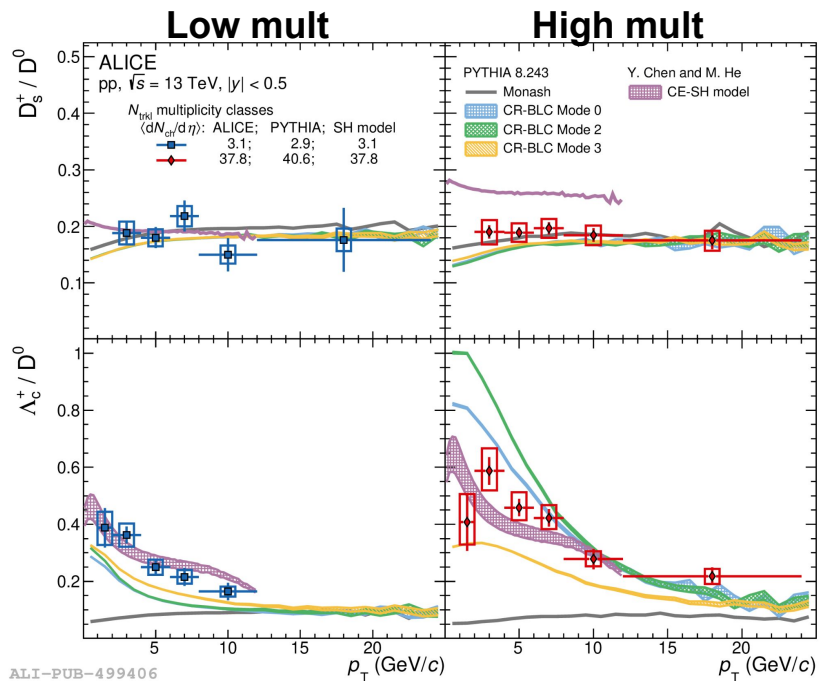
$\Lambda_c^+ \leftarrow \Sigma_c^{0,++}$  feed-down about twice larger than in  $e^+e^-$   
 $\rightarrow \Sigma_c^{0,++}$  “enhancement” larger than  $\Lambda_c^+$  one  
 $\rightarrow \Sigma_c^{0,++}$  produced differently in pp than  $e^+e^-$   
 $\rightarrow$  suppression from  $(ud, dd, uu)_1$  diquark creation reduced/absent, as comparison to models suggests

# $\Xi_c / \Lambda_c^+$ in p-Pb: ALICE vs. LHCb



BR  $\sim 0.45\%$ - $1.1\%$   $\rightarrow$  likely LHCb below ALICE

# Evolution with event activity in pp: $\Lambda_c^+/D^0$ and $D_s^+/D^0$



$D_s^+/D^0$  independent from multiplicity

$\Lambda_c^+/D^0$  increases with particle multiplicity at midrapidity

Trends qualitatively reproduced by **PYTHIA8** with **CR-BLC**  
→ interplay of Color Reconnection (CR) and Multiple Parton Interactions

**Canonical Ensemble-SH (+ RQM baryons) catches  $\Lambda_c^+/D^0$  but not  $D_s^+/D^0$** : ratios decrease at low multiplicity from baryon and strangeness number conservation in smaller volume

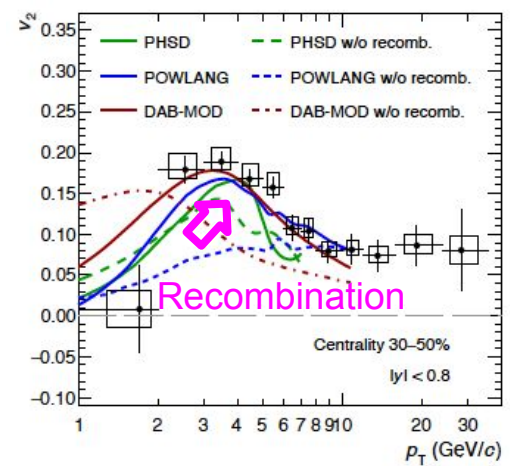
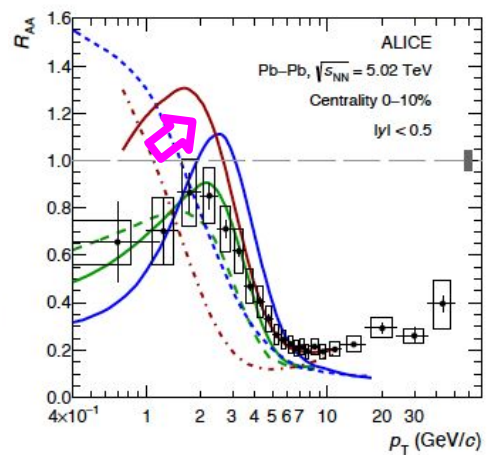
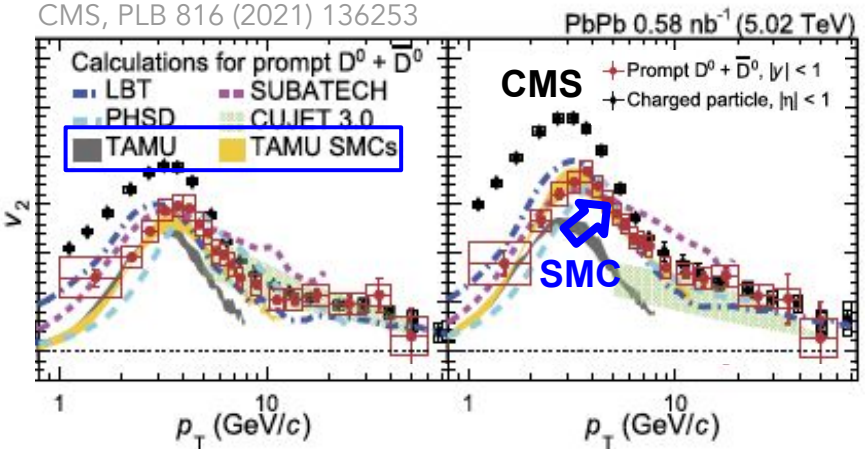


# Hadronisation impact on D meson $R_{AA}$ and flow

More in Beraudo's talk (next one!)

ALICE, JHEP 01 (2022) 174

CMS, PLB 816 (2021) 136253



Models reproducing  $\Lambda_c^+/D^0$  and D meson  $v_2$  and  $R_{AA}$  include

- Recombination
- Medium expansion
  - Space-momentum correlations (TAMU SMCs vs. TAMU)

## Interplay of hadronisation with medium expansion

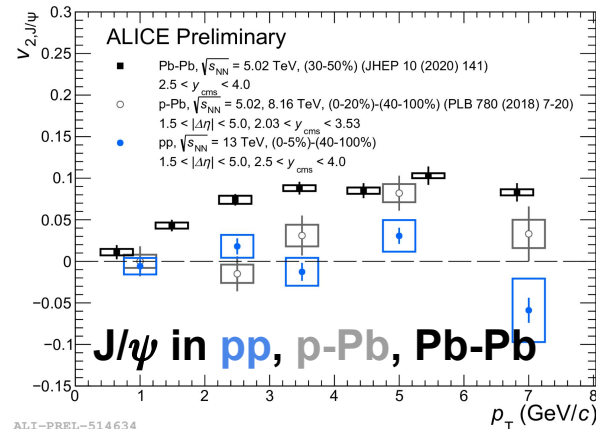
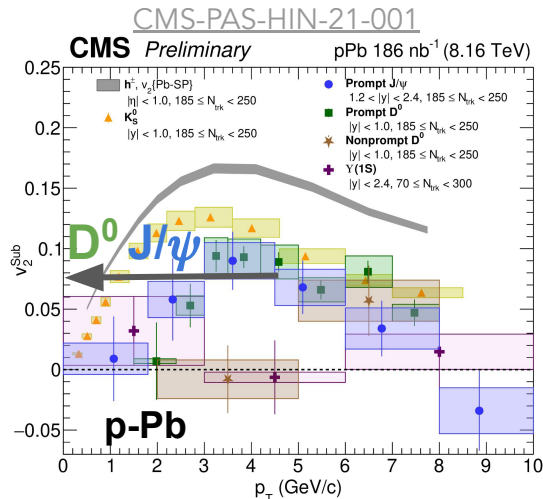
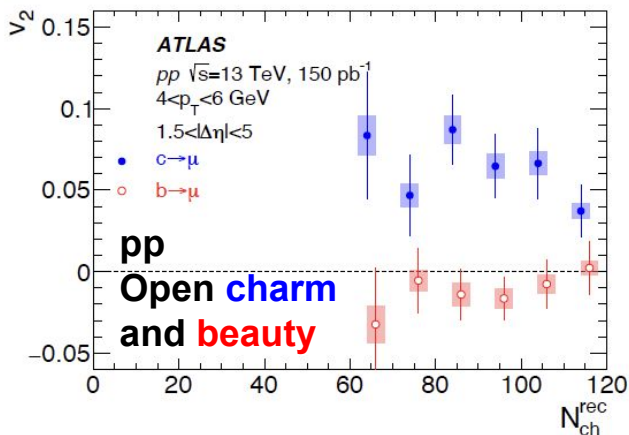
N.B. Hadronic phase expected to have small impact (models, femtoscopy data)

LBT: PRC 94 (2016) 014909  
 CUJET3.0: JHEP 02 (2016) 169  
 SUBATECH: PRC 91 (2015) 014904  
 TAMU: PLB 735 (2014) 445  
 TAMU SMCs: PRL124 (2020) 042301  
 PHSD: PRC 93 034906 (2016)  
 DAB-MOD: PRC 96 064903 (2017)  
 POWLANG: EPJC 75 3 121 (2015)

# Charmonia and open HF flow in small systems

K. Lee,  
Tuesday

ATLAS, PRL 124 (2020) 082301



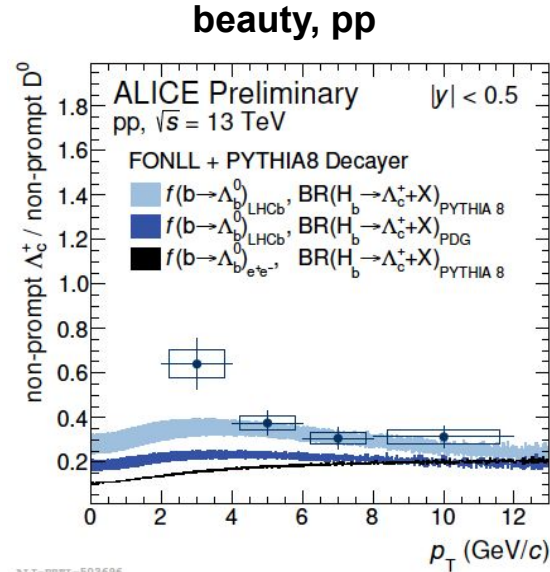
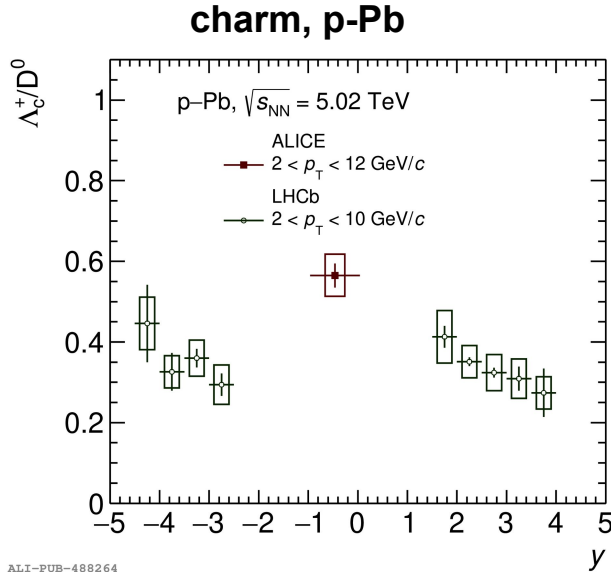
Does coalescence contribute to open HF flow in small systems as a result of charm recombination with flowing light quarks?

However, also  $J/\psi$  flows in p-Pb collisions... also other effects needed

Evolution of charm and beauty flow across collision systems can set important constraints to hadronisation

# Rapidity dependence

A. S. Kalteyer,  
Tuesday



Possible trend, to be revisited with run 3 data (also in pp)?

Beauty: non-prompt  $\Lambda_c^+$  ALICE data consistent with LHCb  $\Lambda_b^0$  data  
→ low  $p_T$  region to be explored with run 3 data

What should we expect in coalescence models and SHM? Flat?

ALICE, JHEP 04 (2018) 108

ALICE, PRC 104 054905 (2021)

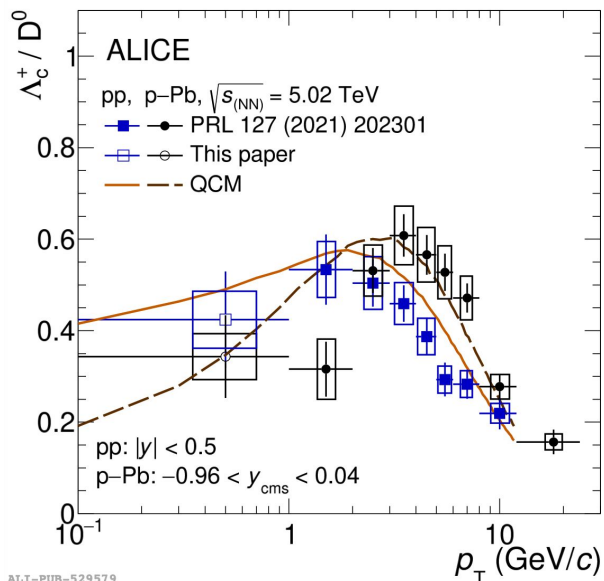
LHCb (pp), Nucl.Phys.B 871 (2013)

LHCb (p-Pb), JHEP 02 102 (2019)

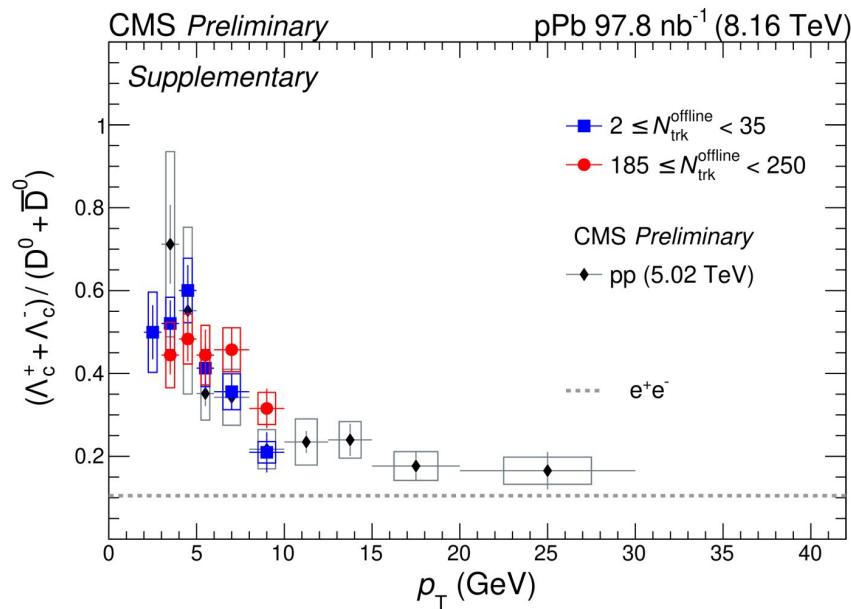
# Evolution with event activity: pp vs. p-Pb

R. Litvinov, Tuesday  
A. S. Kalteyer, Wednesday

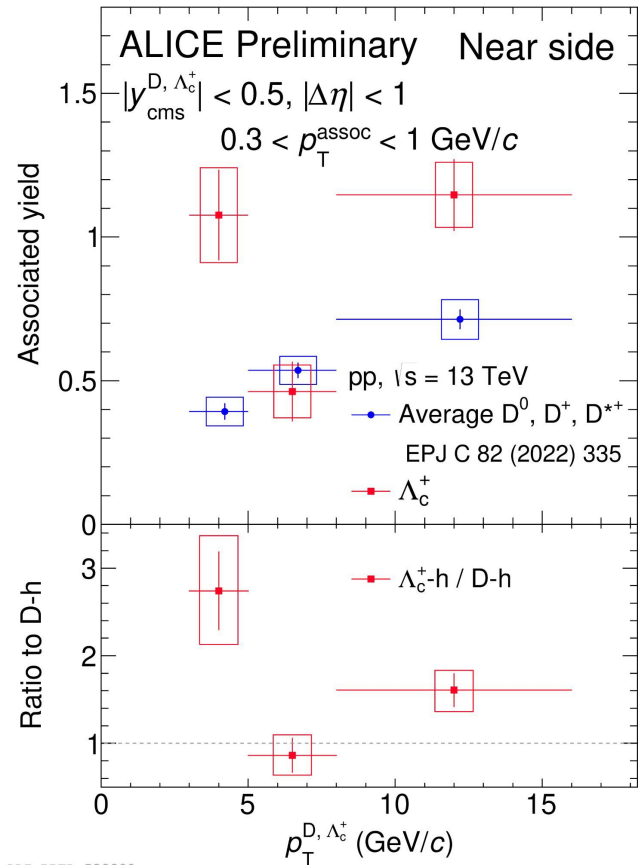
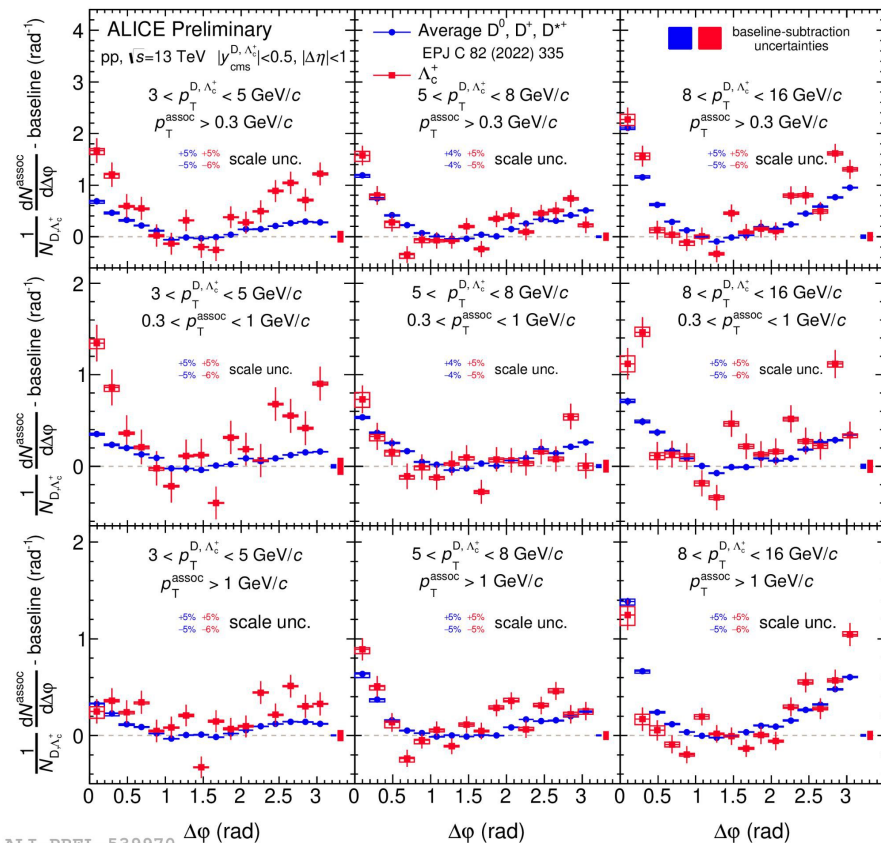
PRC 104 054905 (2021), PRL 127 202301 (2021), arxiv 2211.14032



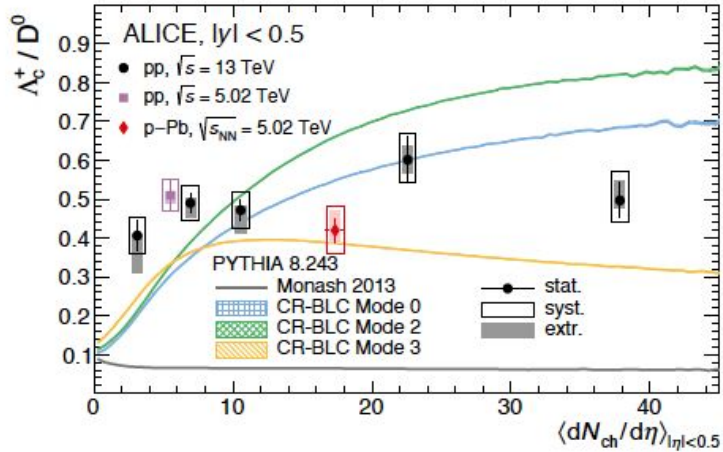
ALICE-PUB-529579



# Azimuthal correlations: $\Lambda_c^+$ -h vs. D-h



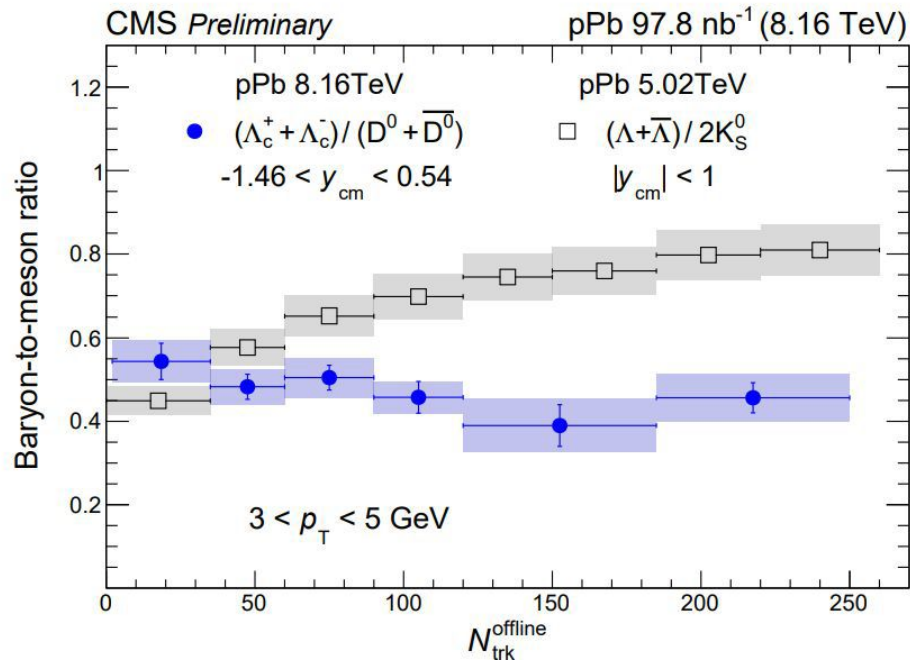
# Evolution with event activity in pp: $\Lambda_c^+ / D^0$ and $D_s^+ / D^0$



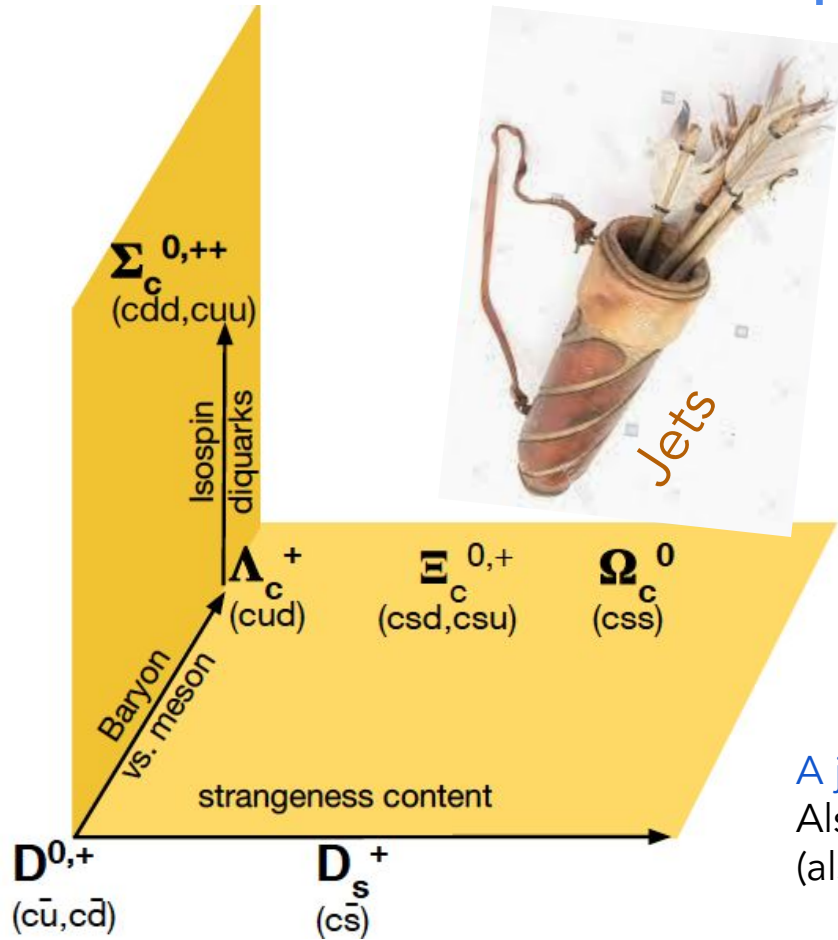
**$p_T$ -integrated ratio: no evidence of multiplicity dependence**

**Contrary to expectations from PYTHIA8 with CR-BLC**

# Baryon-to-meson ratio: HF vs. LF in p-Pb collisions



# Several arrows in the quiver



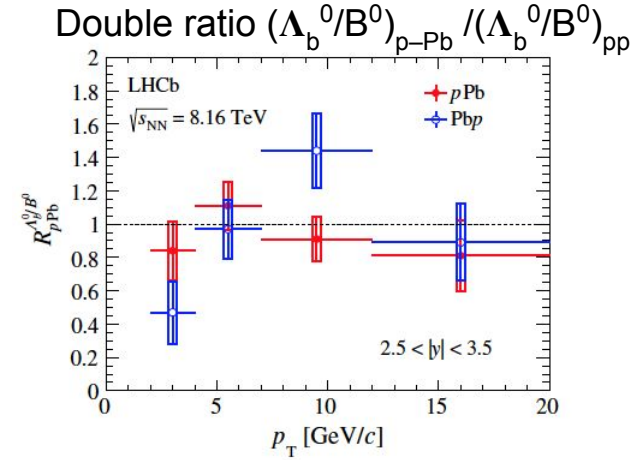
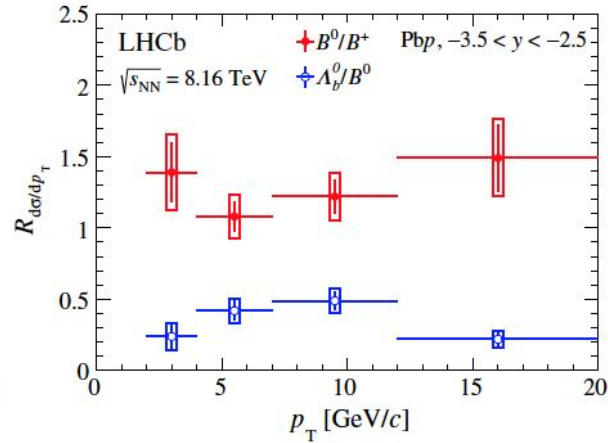
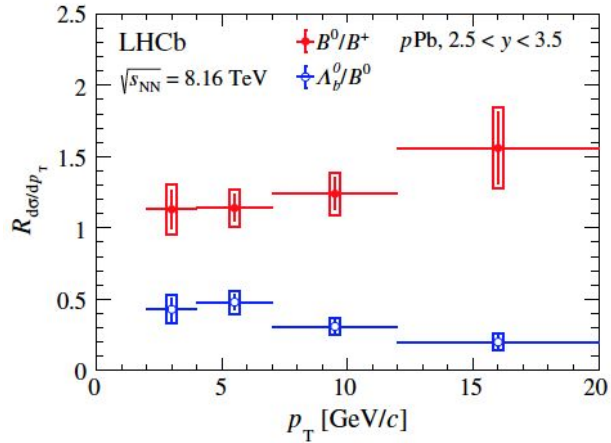
We can exploit multiplicity

A jump in mass with beauty!  
Also with non-prompt signals  
(also leptons and J/Ψ)

Particle	Mass (GeV/c <sup>2</sup> )
D <sup>0</sup>	1.865
	1.870
	1.968
	2.286
	2.454
	2.470
$\Xi_c^0$	2.468
$\Omega_c^0$	2.695
B <sup>0,+</sup>	5.280
B <sub>s</sub> <sup>0</sup>	5367
$\Lambda_b^0$	5620



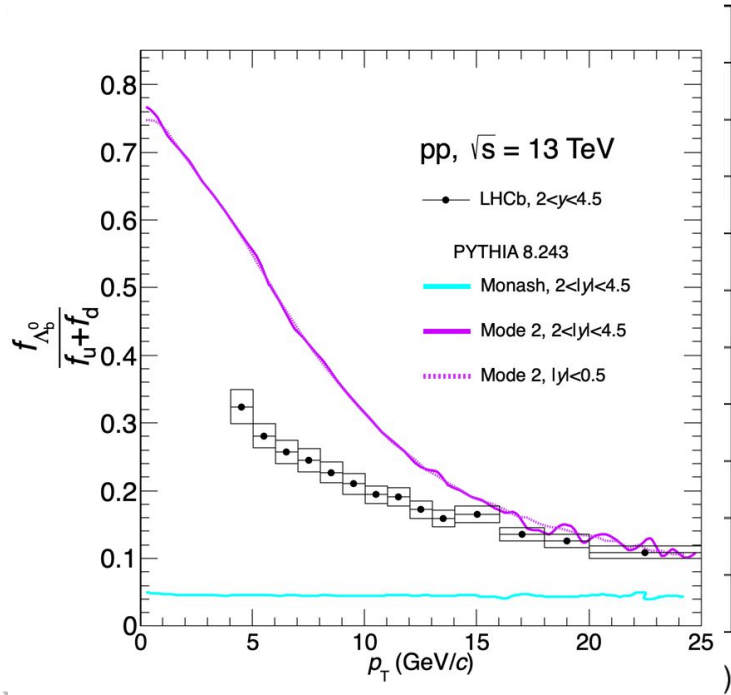
# $\Lambda_b^0/B$ in p-Pb collisions



$\Lambda_b^0/B$  ratio in p-Pb compatible with pp one

More precision needed to clarify possible hints of modification

# $\Lambda_c^+ / D^0$ ratio in pp collisions vs. models (2)



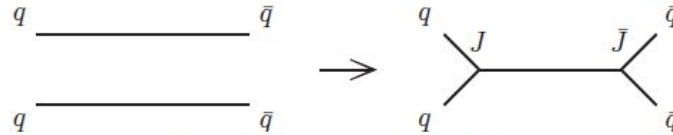
Data described by:

**PYTHIA8 with String Formation beyond Leading Colour approximation** (JHEP 1508 (2015) 003).

More complete and realistic (=closer to QCD) colour-reconnection (CR) scheme

- “...*between which partons do confining potentials arise?*”

**Junction reconnection topologies** → enhance baryons.



(b) Type II: junction-style reconnection

**Support need of abandoning independent fragmentation of different MPI**  
**A hadronic environment matters**

PRC 104 054905 (2021), [arXiv:2011.06079](https://arxiv.org/abs/2011.06079)

PRL 127 202301 (2021), [arXiv:2011.06078](https://arxiv.org/abs/2011.06078)

# Beam remnants and drag effect, $R_{AA}$

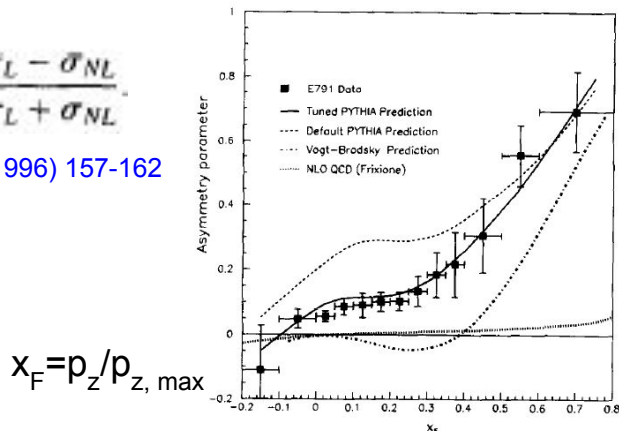
Indication for a rapidity-dependent ratio of  $\Lambda_b/\bar{\Lambda}_b$ , suggesting some baryon-number transport from beam particles to  $\Lambda_b \leftarrow$  **string drag/leading-quark effect?**

J.L. Rosner, PRD 90 014023 (2014); PRD 86 014011 (2012)

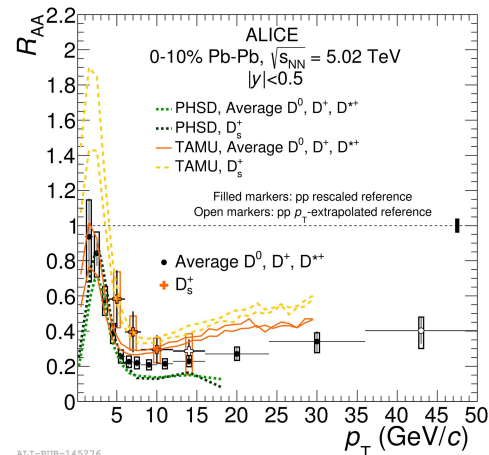
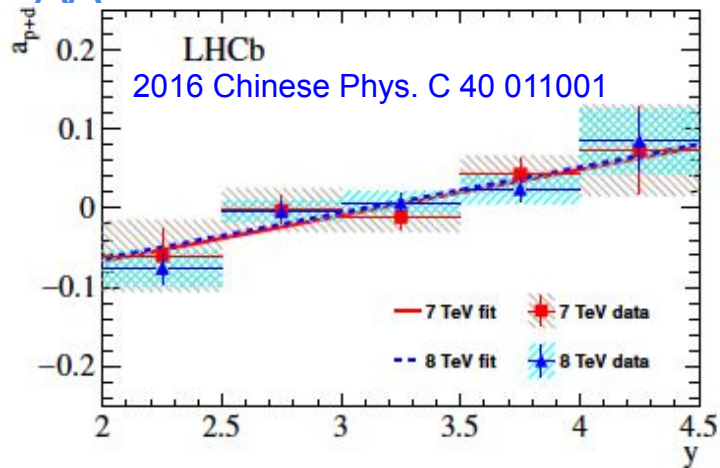
Similar effect observed for charm mesons ( $D^+$ ) long ago in  $\pi$ -nucleus collisions (E791, E769, WA82)

$$A(x_F, p_T^2) \equiv \frac{\sigma_L - \sigma_{NL}}{\sigma_L + \sigma_{NL}}$$

E791, PLB 371 (1996) 157-162



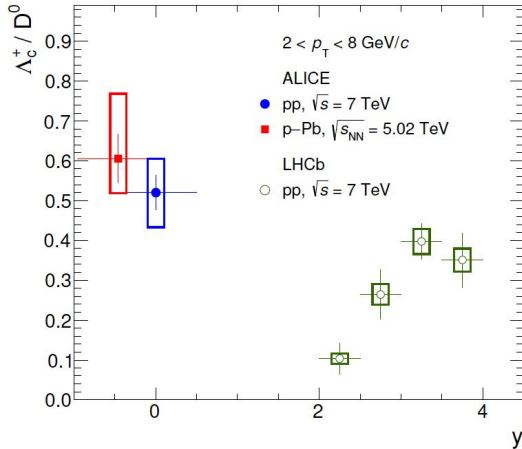
And the heavy-ion community knows that a medium matters...



Suggest that **hadronic environment plays a role**  
**Up to what extent? how does the hadronisation dynamics**  
**change in different systems?**

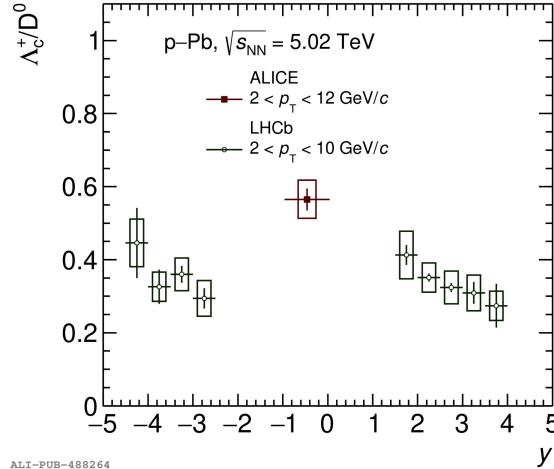
# Rapidity dependence

charm, pp



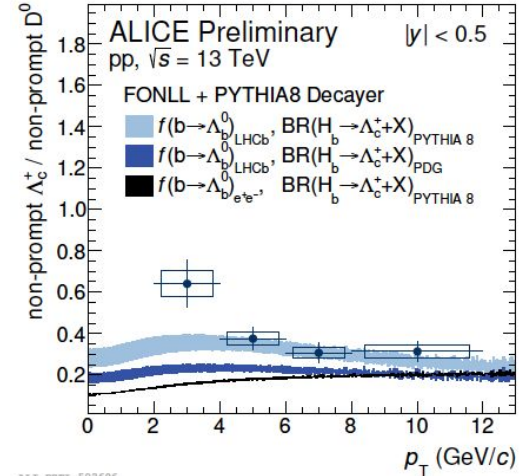
ALI-PUB-141417

charm, p-Pb



ALI-PUB-488264

beauty, pp



ALI-PREL-503696

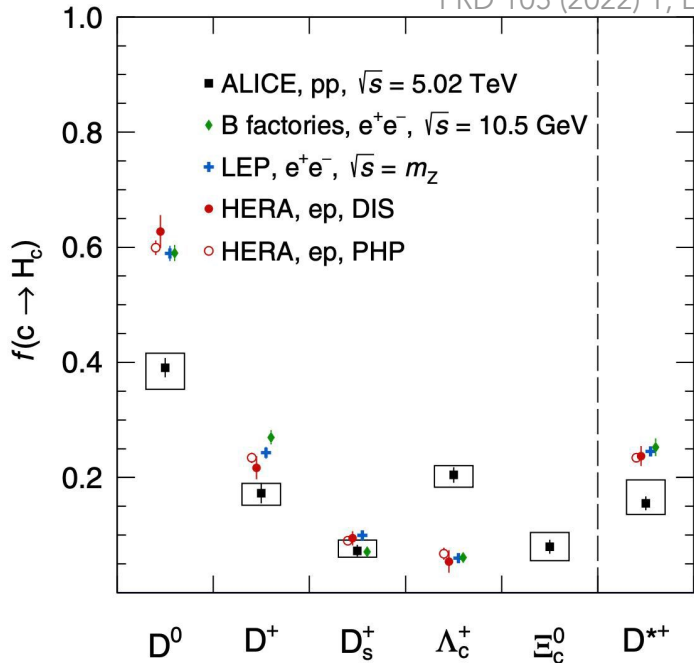
Not clear for charm (especially in pp), to be revisited with run 3 data?

Beauty: non-prompt  $\Lambda_c^+$  ALICE data consistent with LHCb  $\Lambda_b^0$  data  
 → low  $p_T$  region to be explored with run 3 data

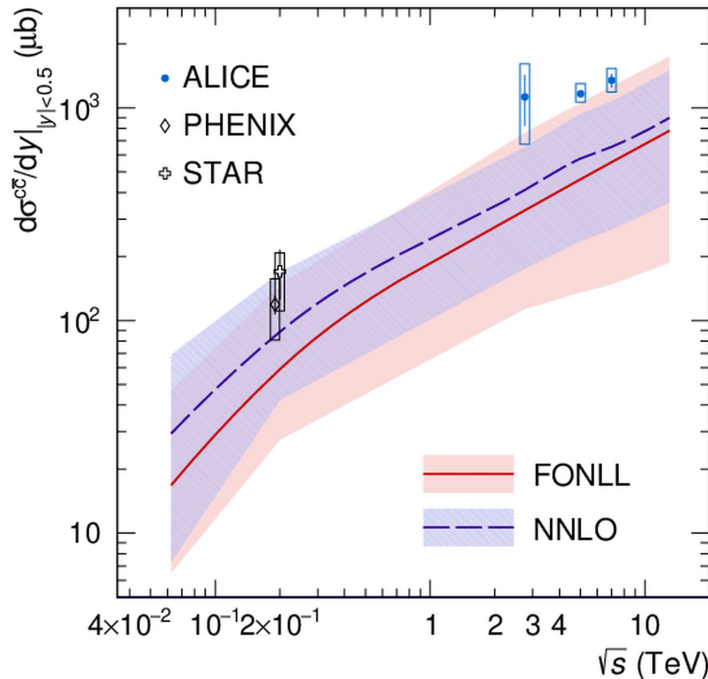
ALICE, JHEP 04 (2018) 108  
 ALICE, PRC 104 054905 (2021)  
 LHCb (pp), Nucl.Phys.B 871 (2013)  
 LHCb (p-Pb), JHEP 02 102 (2019)

# Fragmentation fractions from all ground-state baryons

PRD 105 (2022) 1, L011103



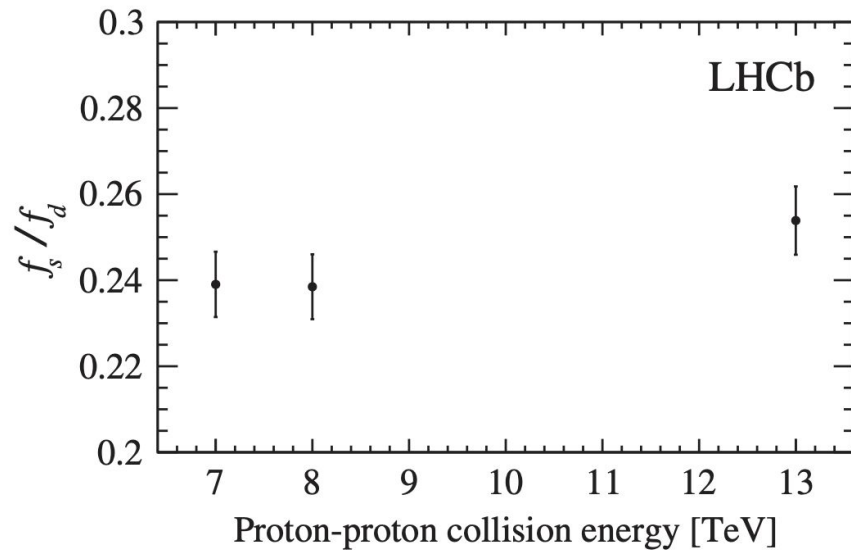
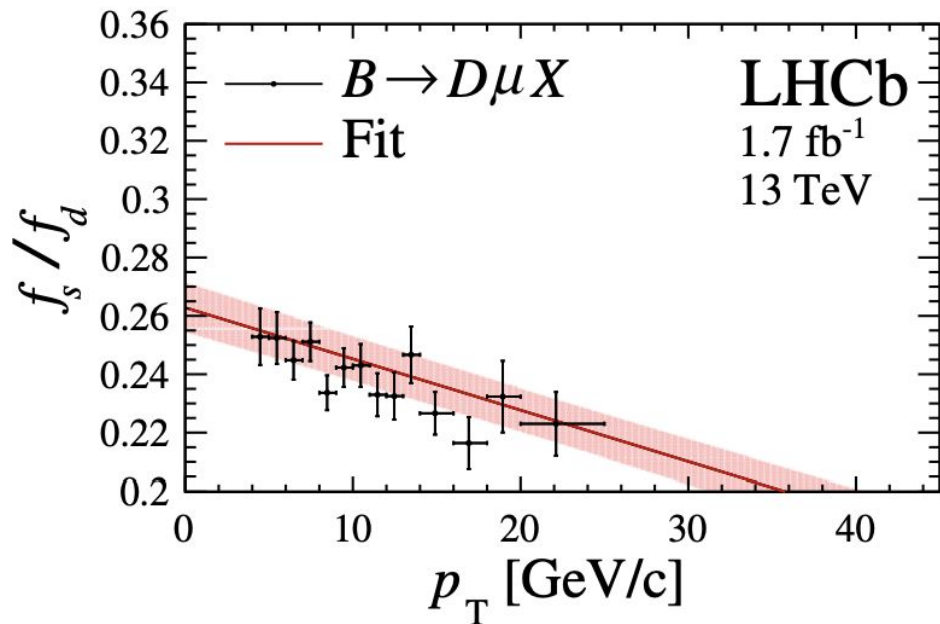
Direct measurement of all ground-state baryons  
 ( $\Xi_c^+$  similar to  $\Xi_c^0$ , checked at 13 TeV)  
 Large increase for  $\Lambda_c^+$  and  $\Xi_c^0$   
 → new Fragmentation Fraction



ALI-PUB-500755

Measurement of total charm cross section:  
 about **40% higher values w.r.t. using  $e^+e^-$  FF**  
 On upper edge of FONLL and NNLO

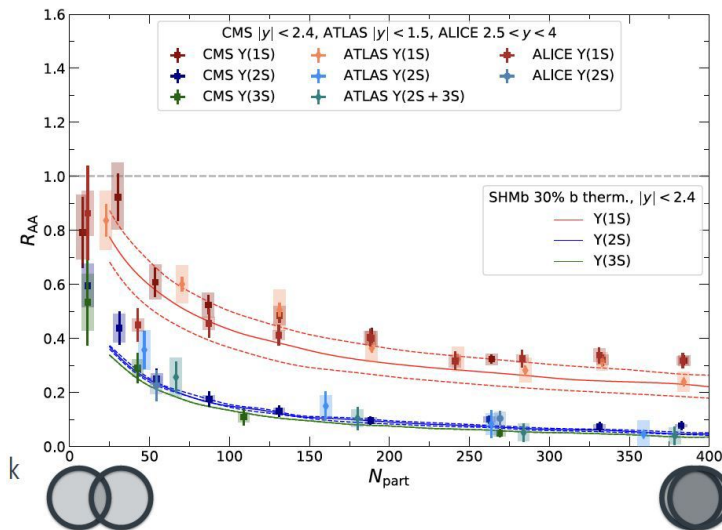
# $B_s^0/B$ vs. collision energy



$B_s^0/B$  slightly dependent on  $p_T$  and on collision energy

# Quarkonia, regeneration

- Debye screening & dynamic dissociation → loss of initial QQ colour connection & suppression
- **Regeneration via recombination**
  - in line with statistical hadronisation model expectation at LHC energies, mainly at low  $p_T$
  - relevant also for low- $p_T$   $\psi(2S)$ 
    - Data described by TAMU transport model, SHMc tends to underestimate  $\psi(2S)/J/\psi$  ratio in central collisions
  - **relevant also for bottomonia?**



SHM describes bottomonia if  
30% of beauty quarks  
thermalise

N.B.  
Additional (unobserved) states  
(RQM) would further reduce  
expected bottomonia yields