

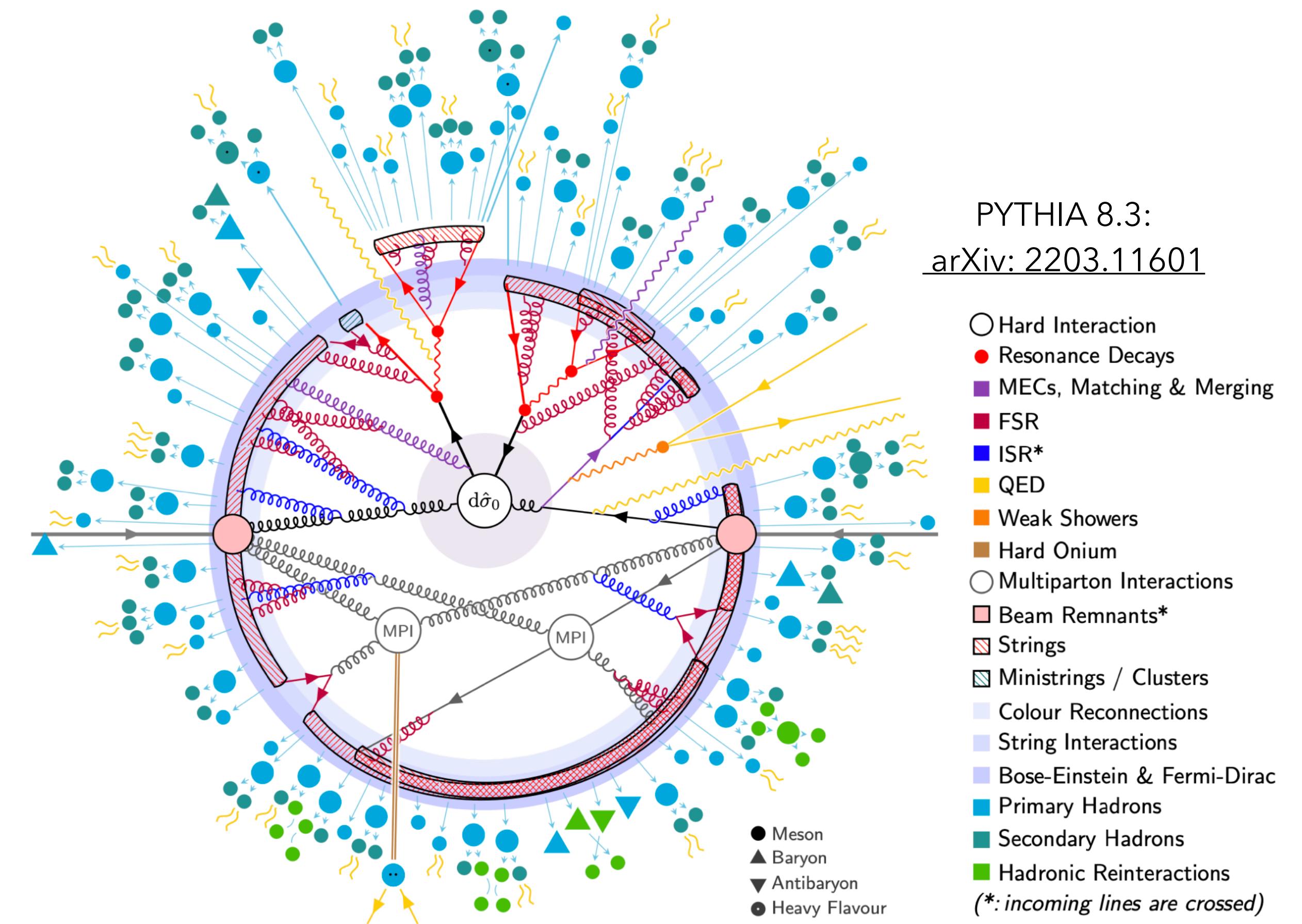
# Open heavy flavour: experiment

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Disclaimer: only a selection of the results could be shown (time limit)

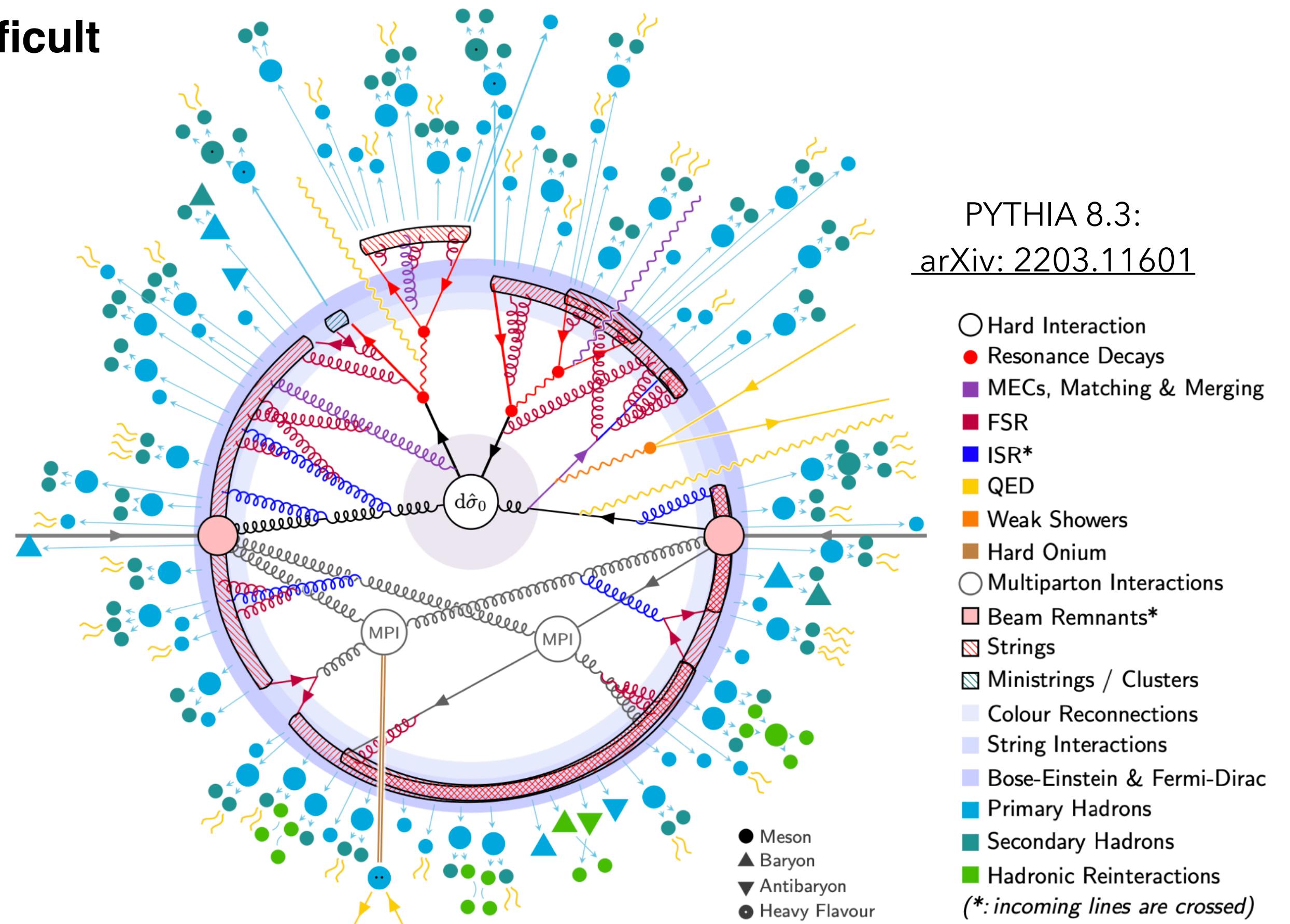
Hard Probes 2023, 27 March 2023, Aschaffenburg (Germany)

# Why heavy flavours?



# Why heavy flavours?

- Heavy quarks are produced in initial hard scatterings with **large  $Q^2 \rightarrow$  calculable with pQCD.**
- Large masses  $m_b > m_c \gg \Lambda_{\text{QCD}}$   $\rightarrow$  short formation time  $\rightarrow$  **experience whole medium evolution**
- Interactions with the medium don't change the flavour, but can modify the phase-space distribution.  
Thermal production rate in the QGP is expected to be 'small'.  
 $\rightarrow$  **destruction or creation in the medium is difficult**



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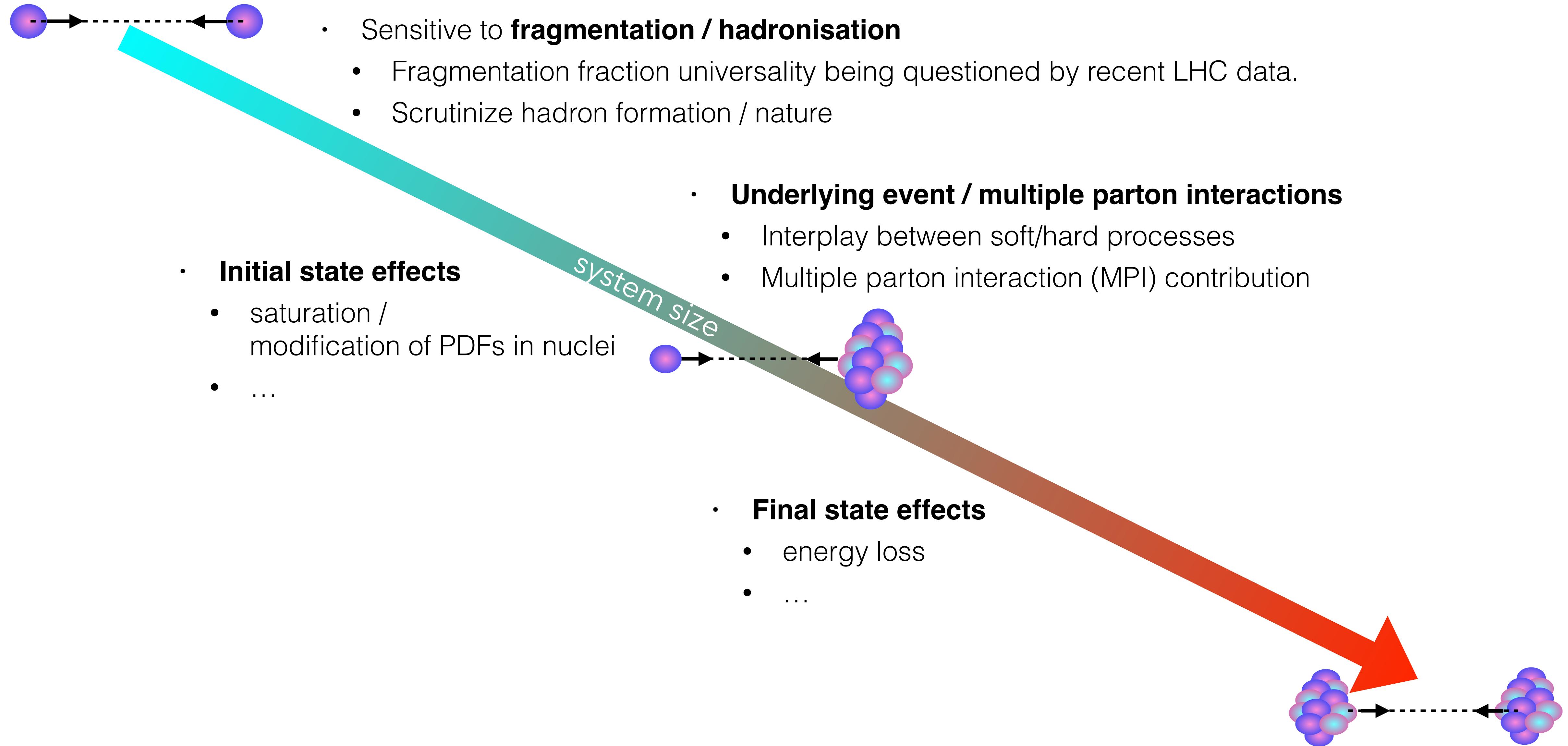
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 $\rightarrow$  **destruction or creation in the medium is difficult**
- Factorization approach:

$$\frac{d^2\sigma}{dp_T dy}(AB \rightarrow CX) \propto \sum_{abcd} \int_0^1 dx_a \int_0^1 dx_b f_A^a(x_a, Q^2) f_B^b(x_b, Q^2) \frac{d\sigma}{dt}(ab \rightarrow cd) D_c^C(z_c, Q^2)$$

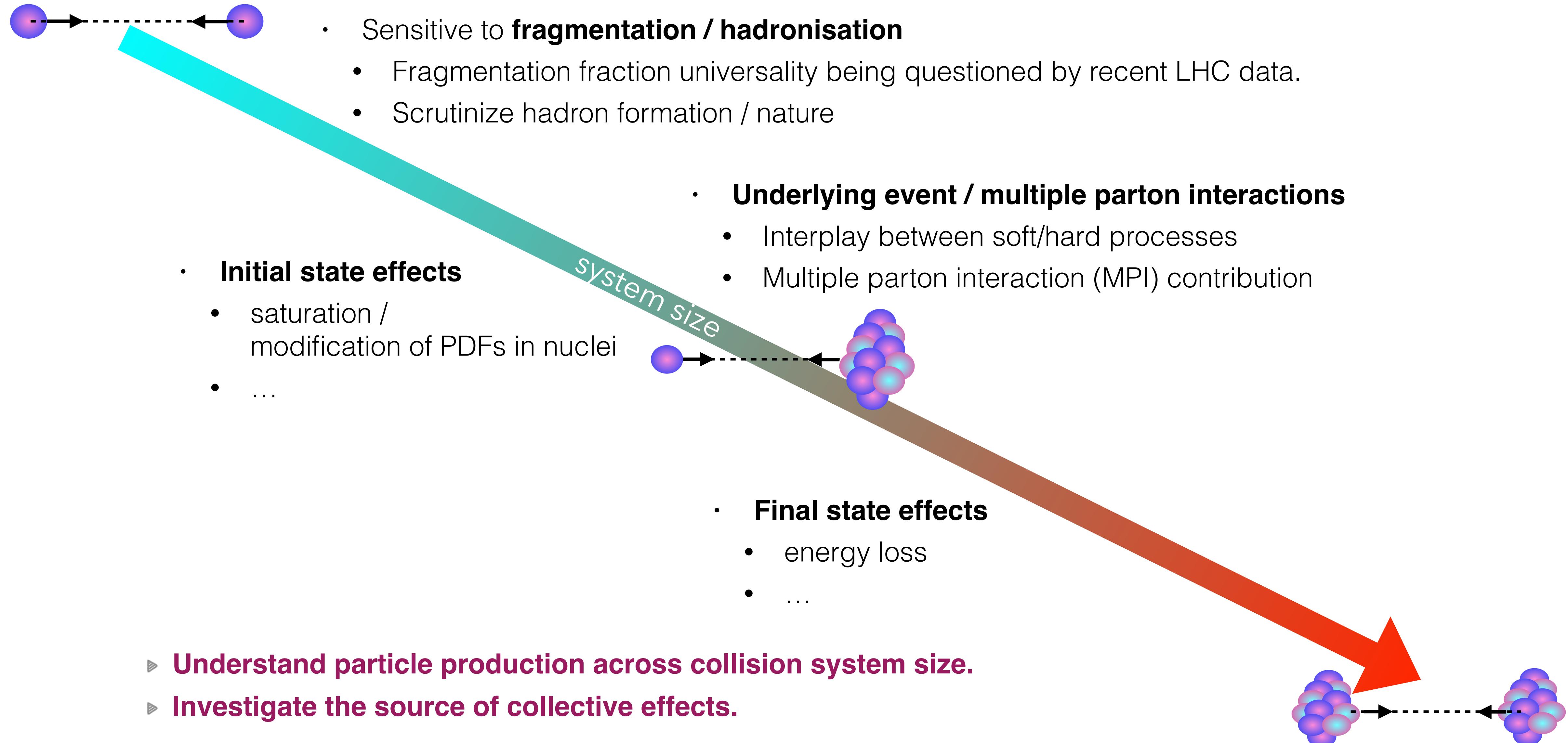
Parton distribution functions      Partonic cross section      Fragmentation function

- **Fragmentation functions assumed to be universal** across collision systems.
- For the quarkonium case, the binding of the quark pair involves soft scales, non-perturbative nature.

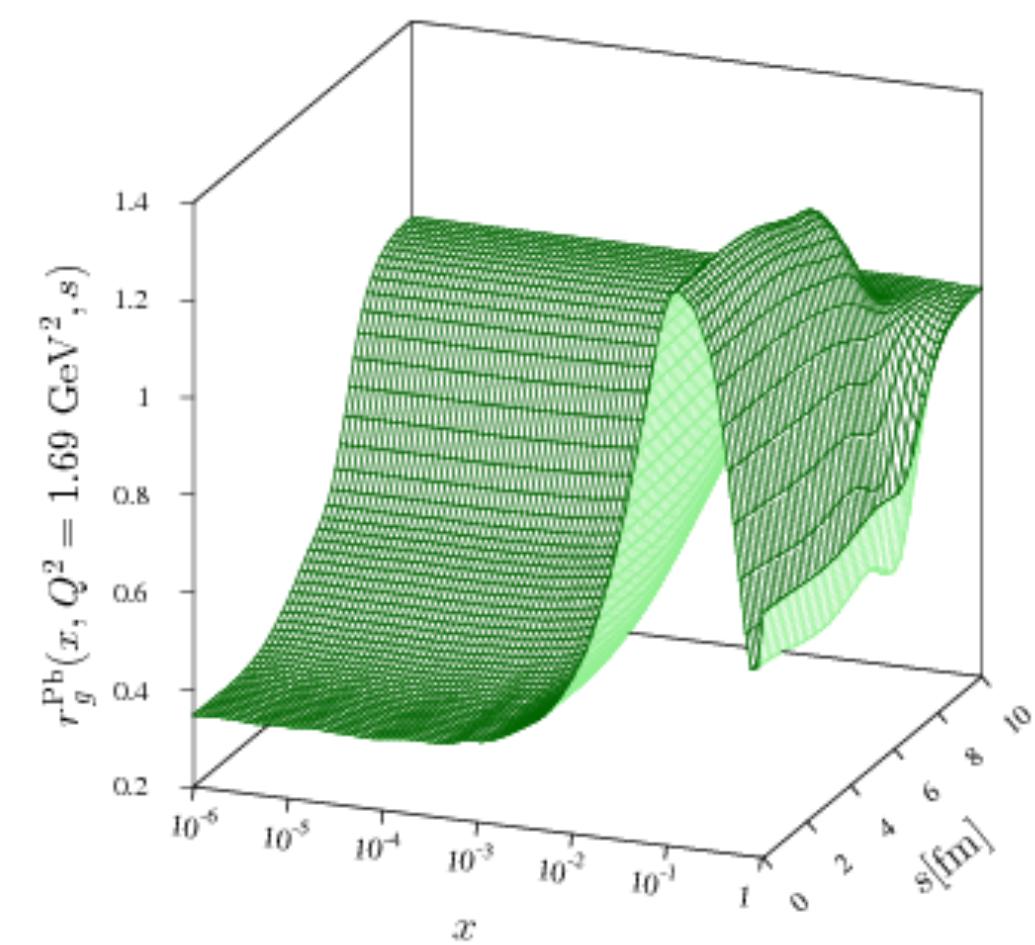
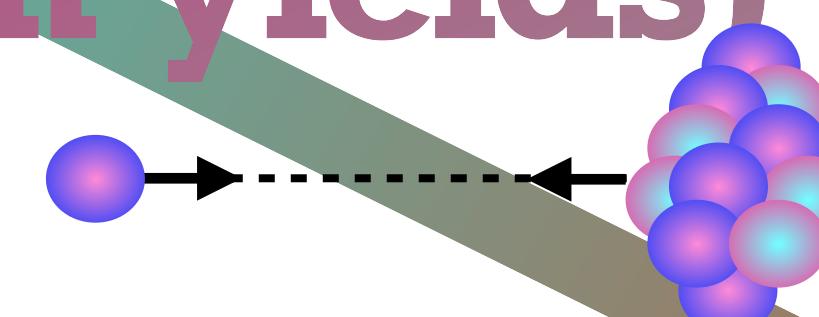
# What can we learn?



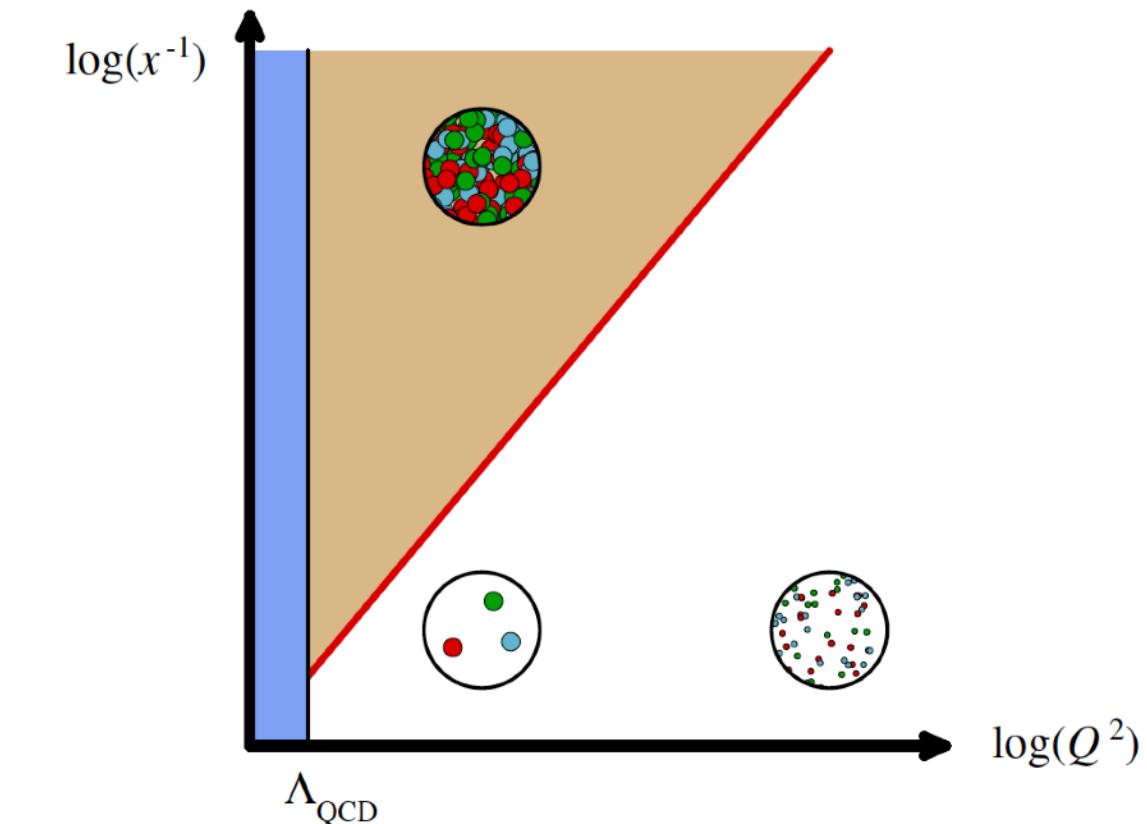
# What can we learn?



# Initial state effects (on yields)



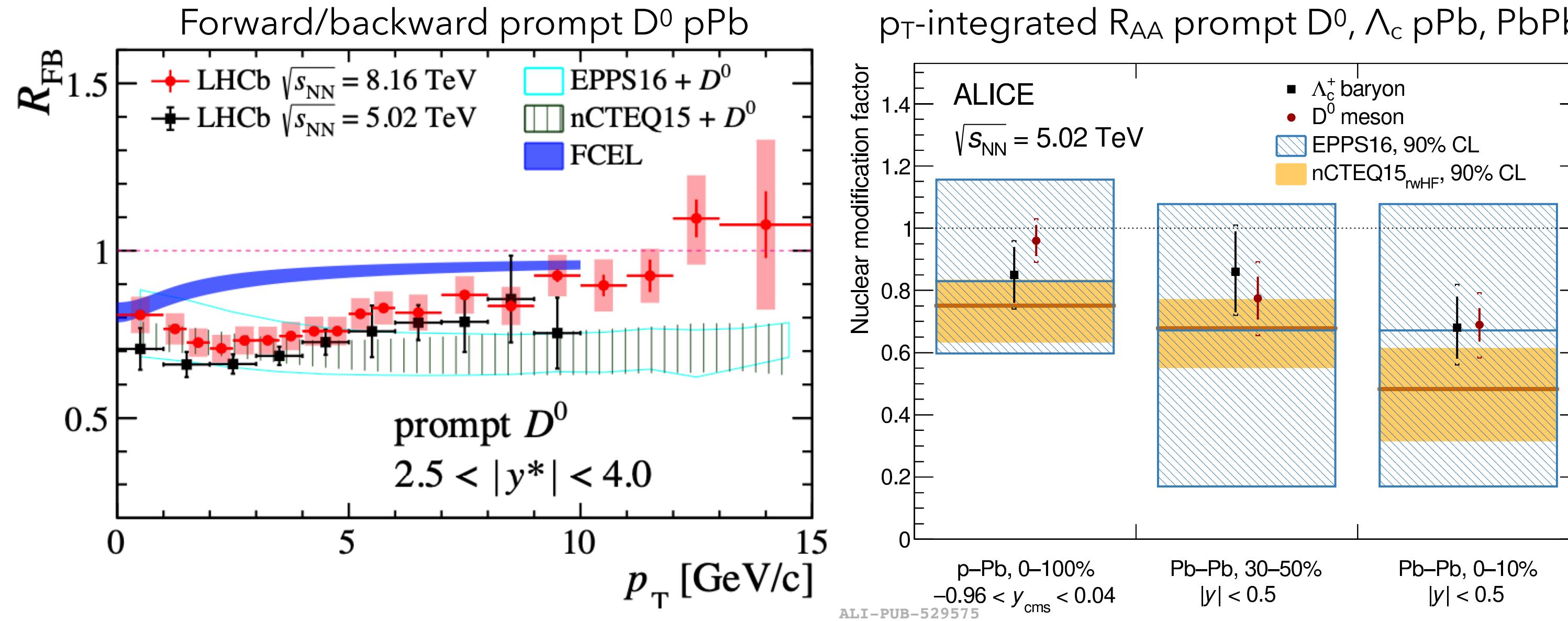
I. Helenius et al., Nucl.Phys.A 904-905 (2013) 999



Saturation,  
Modification of PDFs in nuclei



# Entering the precision era



- Precise differential measurements ( $p_T, y$ ) at the LHC
- Constrain nuclear PDFs** down to small Bjorken-x ( $\sim 10^{-5}$ ), and possible final state effects

FCEL: Arleo et al, [JHEP 01 \(2022\) 164](#)

nCTEQ: Kovarik et al, [Phys. Rev. D93 \(2016\) 085037](#)

nCTEQnHFE: Kusina et al, [Phys. Rev. D104 no. 1, \(2021\) 014010](#)

EPPS16: Eskola et al., [Eur. Phys. J. C77 \(2017\) 163](#)

LHCb,  $D^0$ , [arXiv:2205.03936](#)

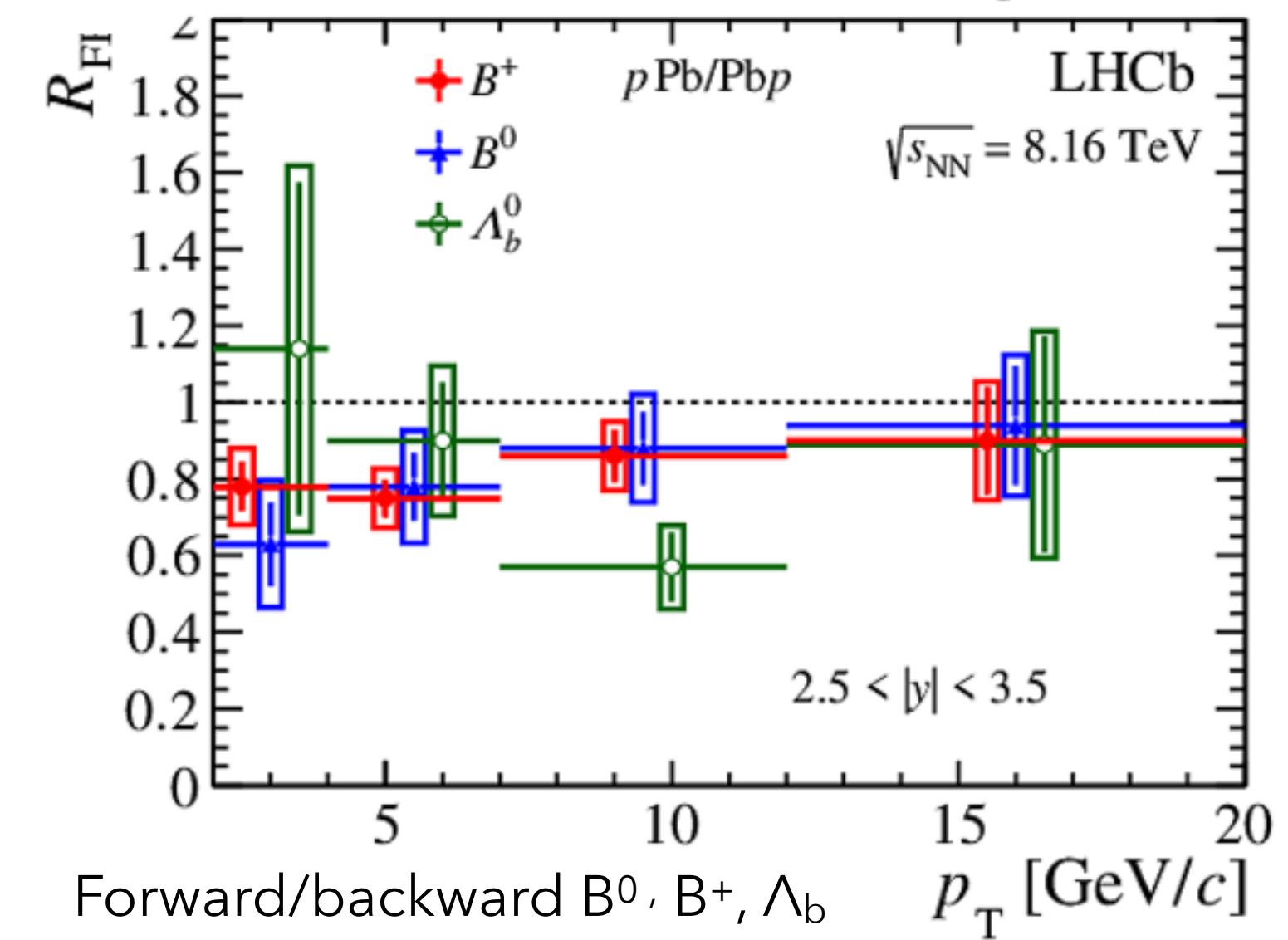
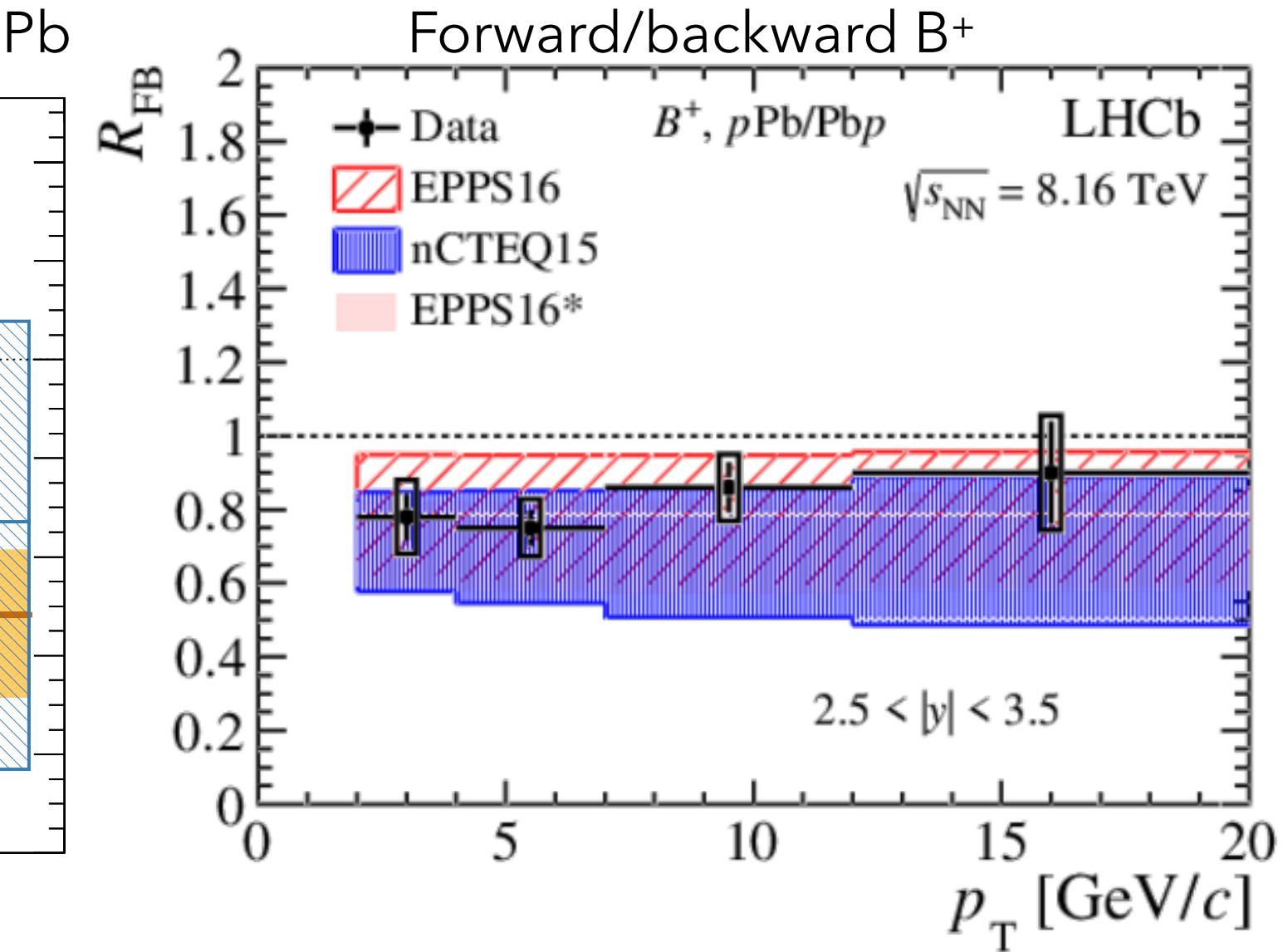
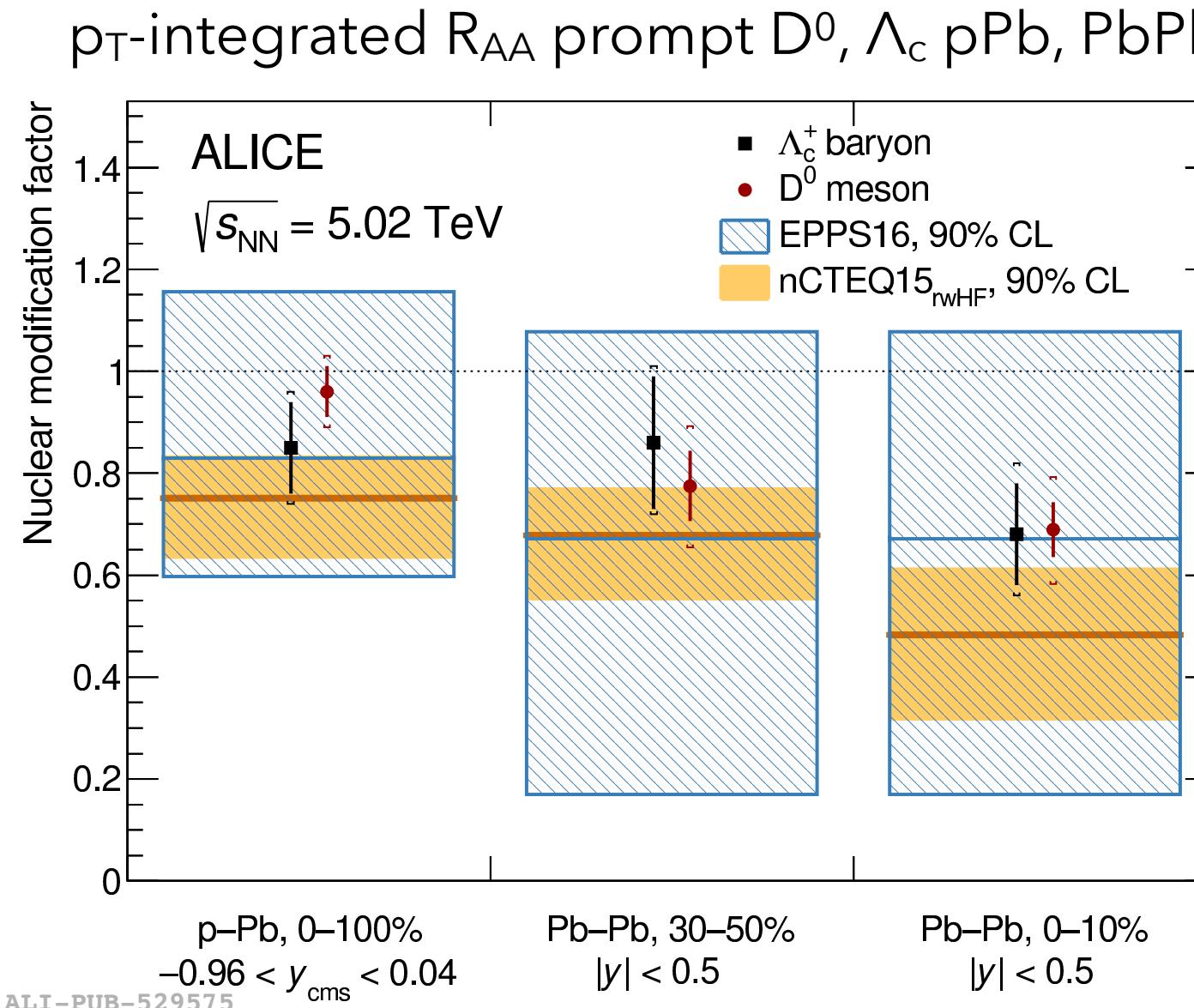
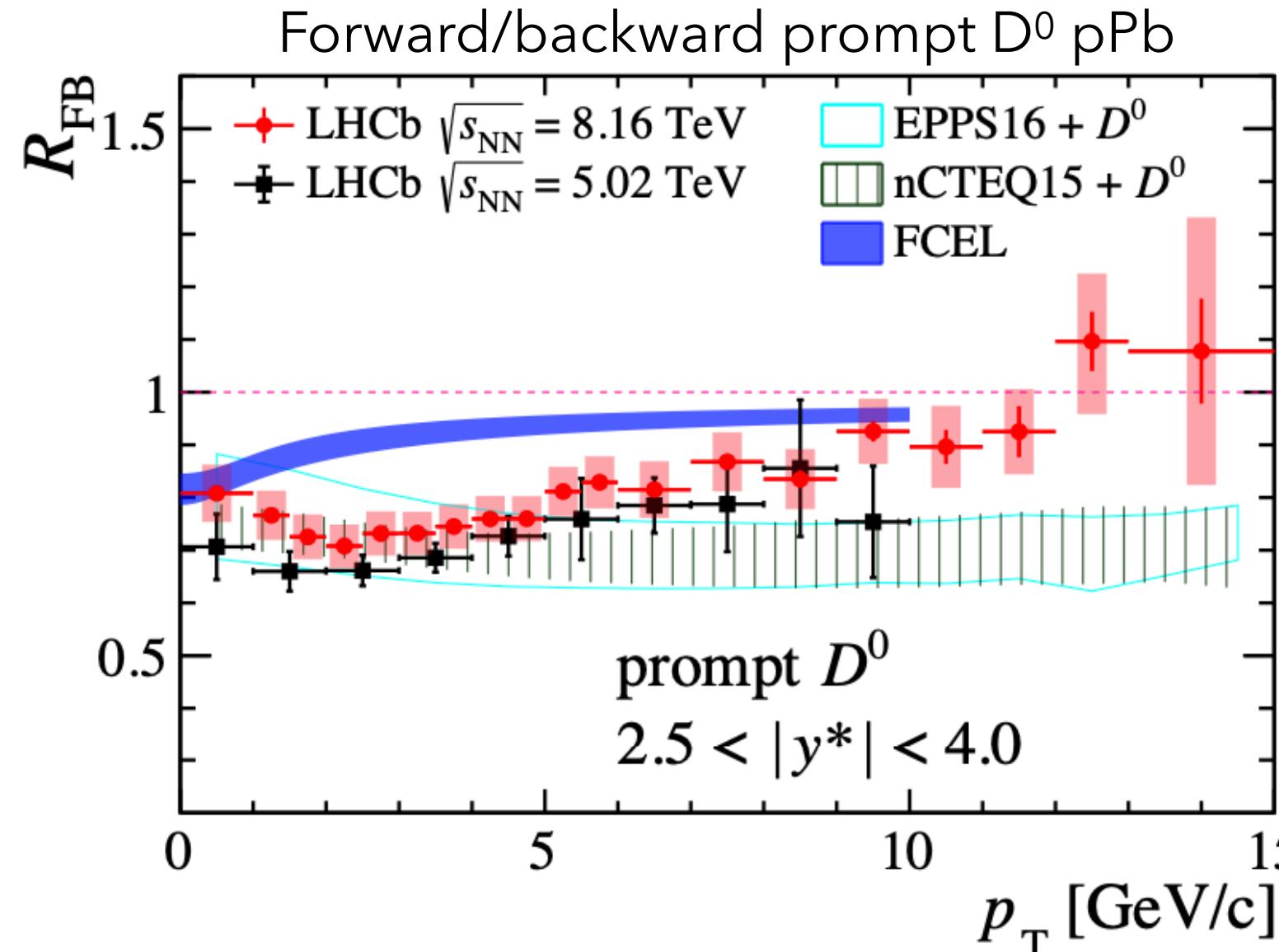
LHCb,  $B^0, B^+, \Lambda_b$ , [PRD99 \(2019\) 052011](#)

ALICE, [JHEP 01 \(2022\) 174](#)

ALICE, [JHEP 12 \(2019\) 092](#)

ALICE,  $\Lambda_c$ , [arXiv: 2211.14032](#)

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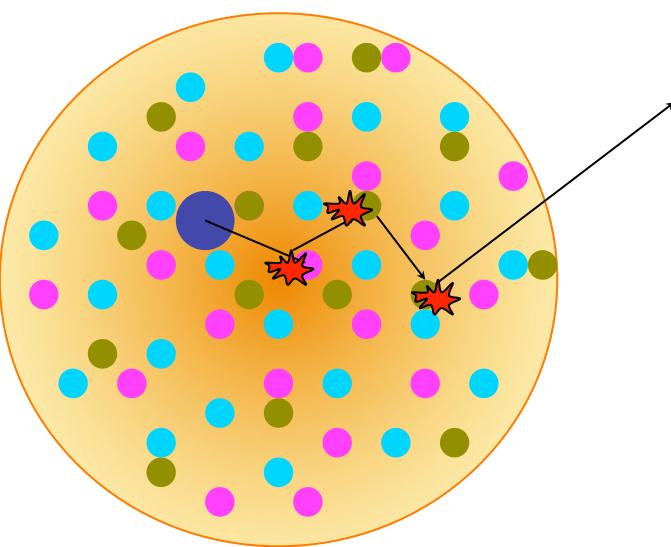
LHCb,  $B^0, B^+, \Lambda_b$ , [PRD99 \(2019\) 052011](#)

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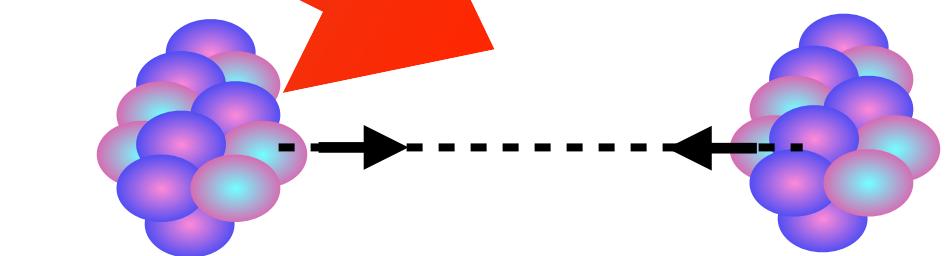
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# Energy loss

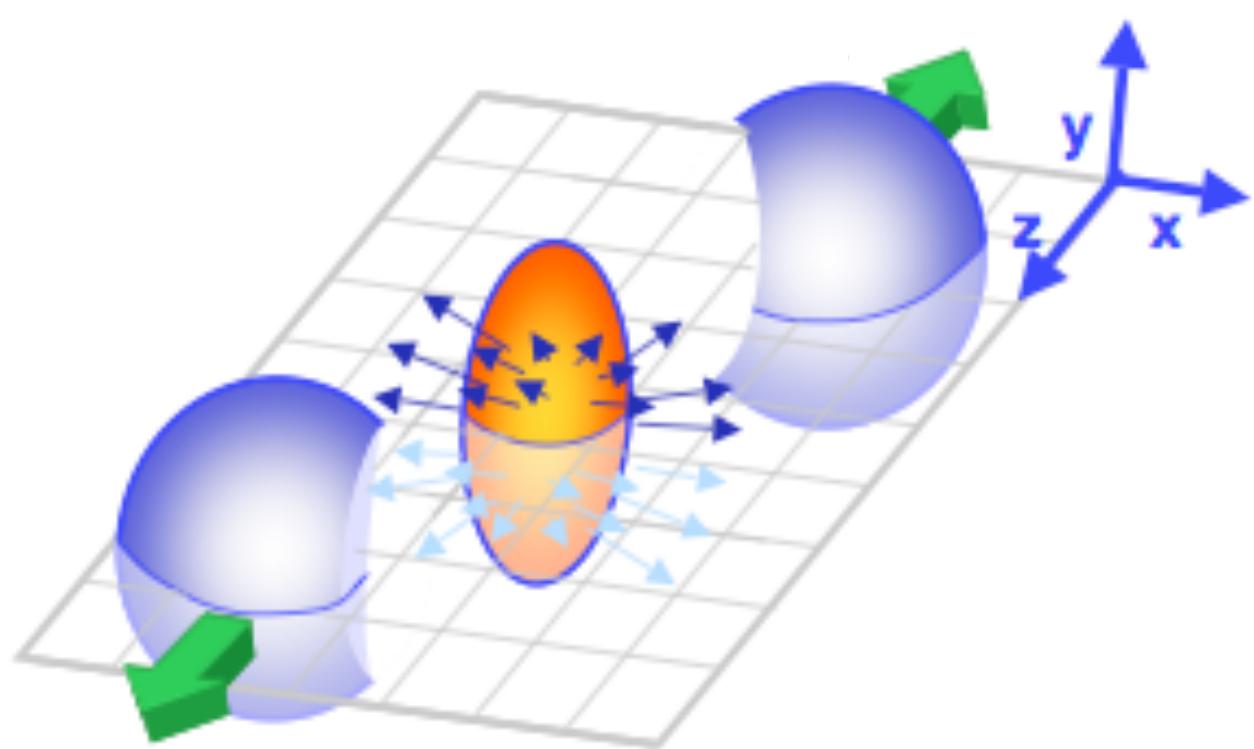


Energy loss:  $R_{AA}$   
Interaction of heavy quarks with the medium  
Colour charge and parton mass dependence.

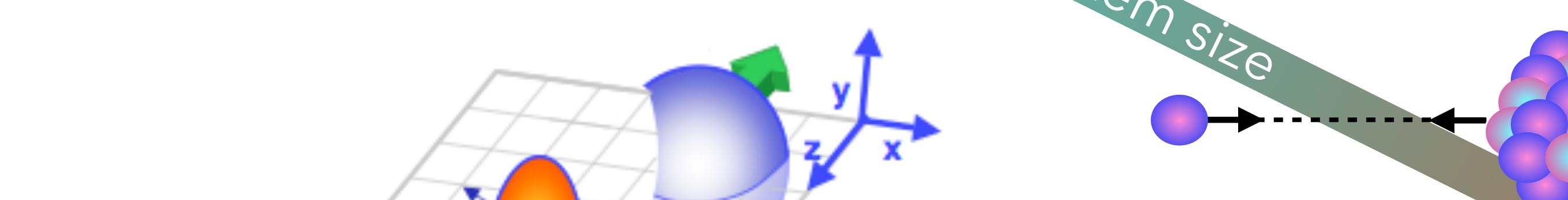
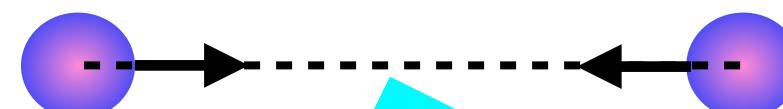
$$R_{AB}^{\text{probe,CC}} = \frac{dN_{AB}^{\text{probe,CC}}}{\langle N_{\text{coll}} \rangle^{\text{CC}} \cdot dN_{NN}^{\text{probe}}} \rightarrow \int b R_{AB}^{\text{probe}} = \frac{\sigma_{AB}^{\text{probe}}}{AB \cdot \sigma_{NN}^{\text{probe}}}$$



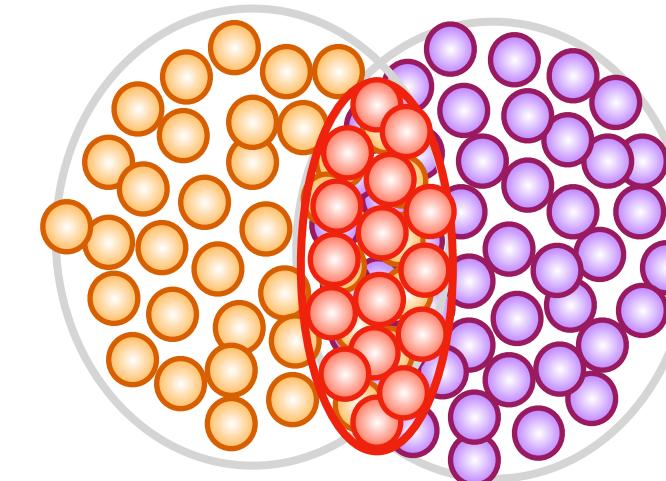
# and collectivity



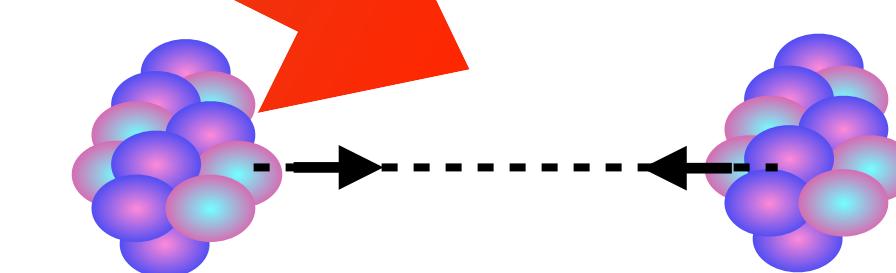
$$\frac{d^3 N}{d^3 \vec{p}} = \frac{1}{2\pi} \frac{d^2 N}{p_T dp_T dy} \left[ 1 + \sum_{n=1}^{\infty} 2v_n \cos(n(\phi - \Psi_R)) \right]$$



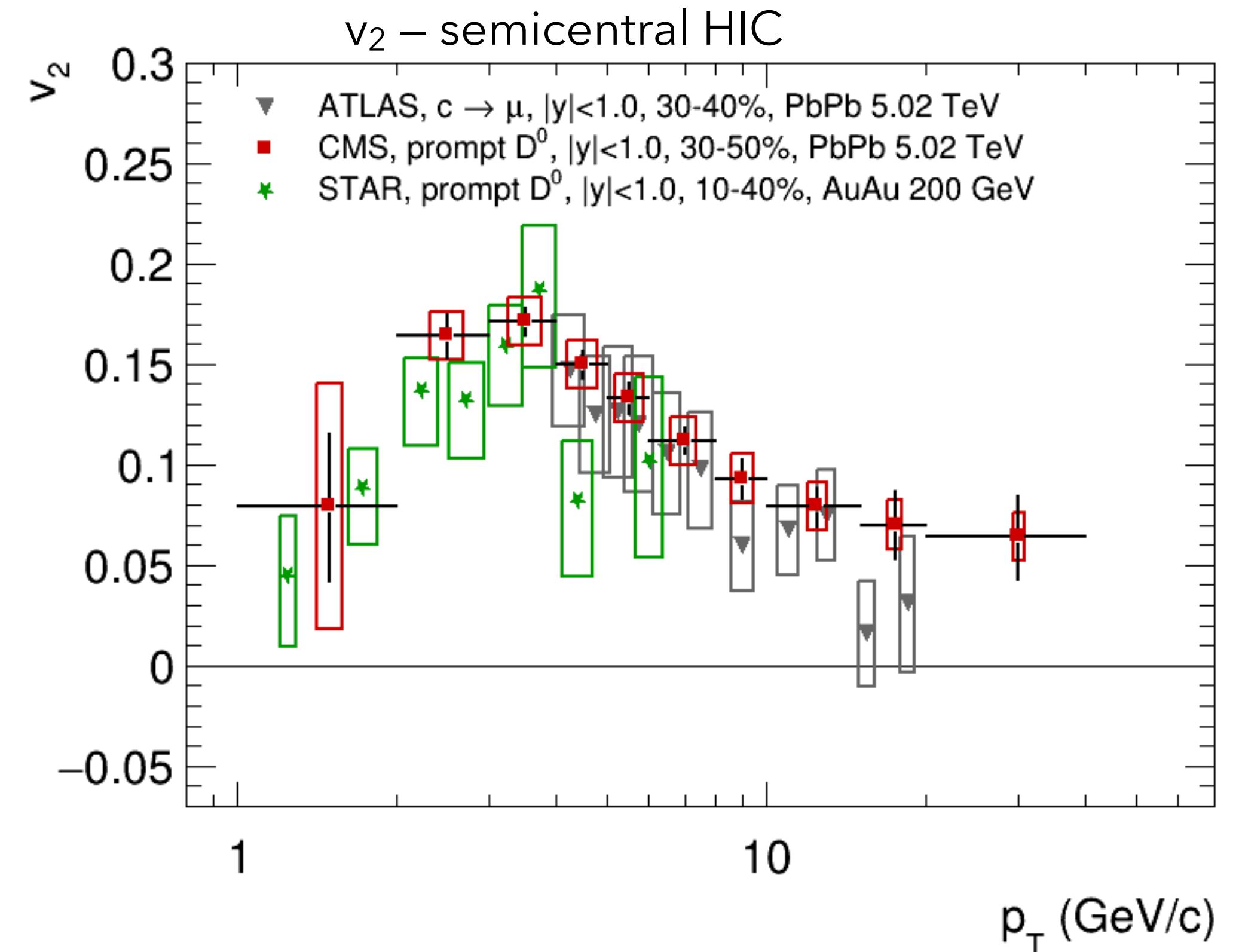
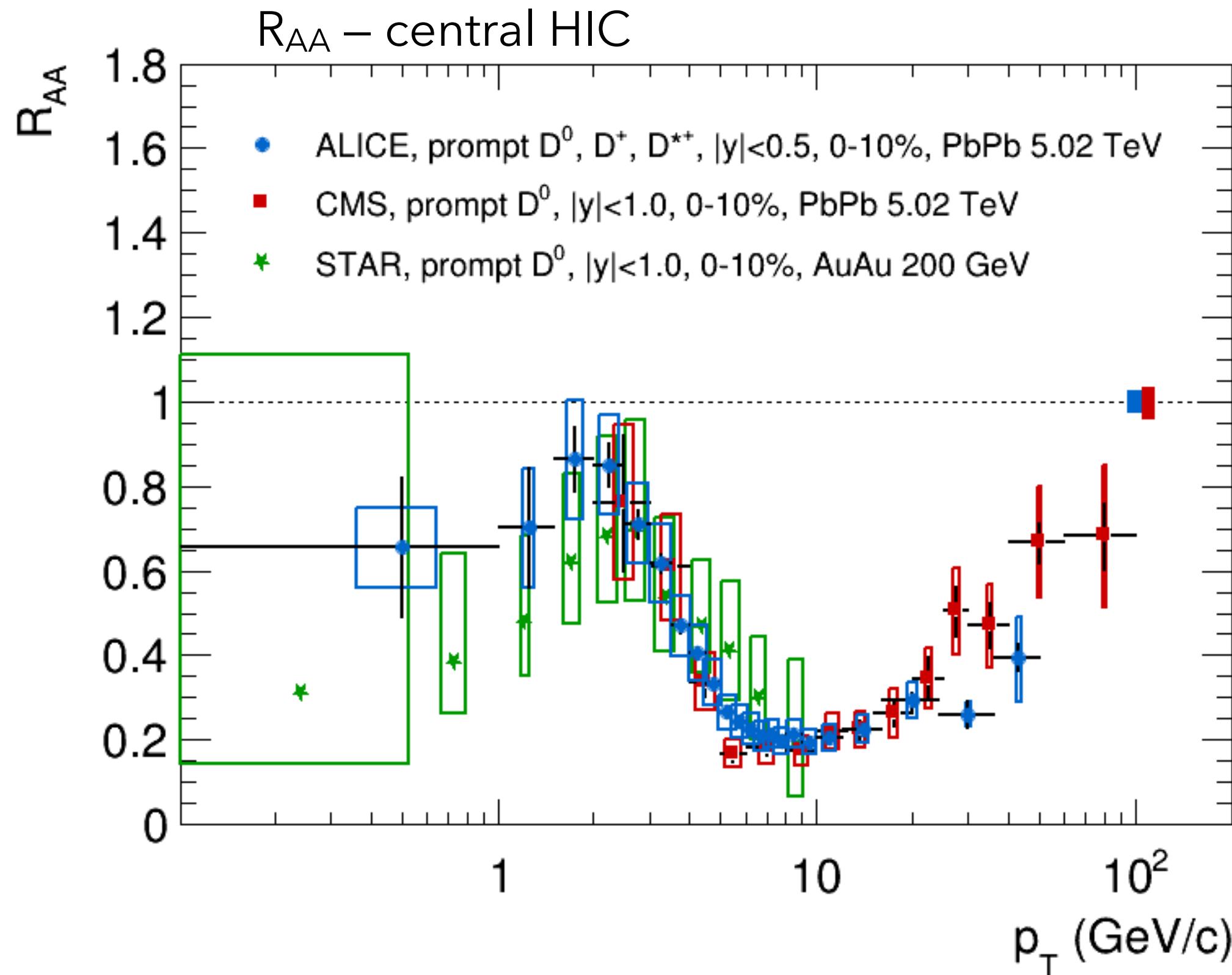
Elliptic flow  $v_2$   
Initial spatial anisotropy  
and re-interactions



Triangular flow  $v_3$   
Fluctuations in the  
initial state



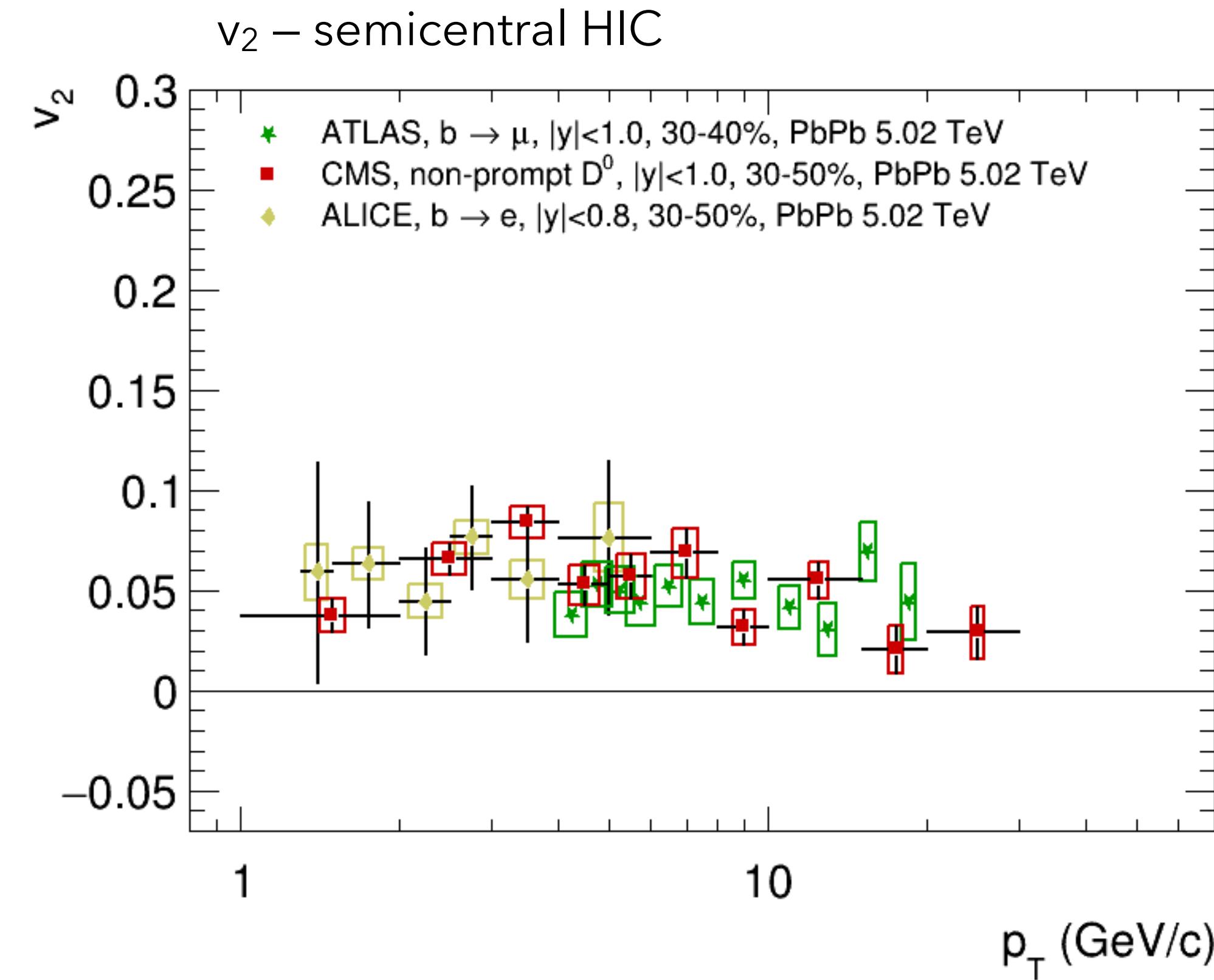
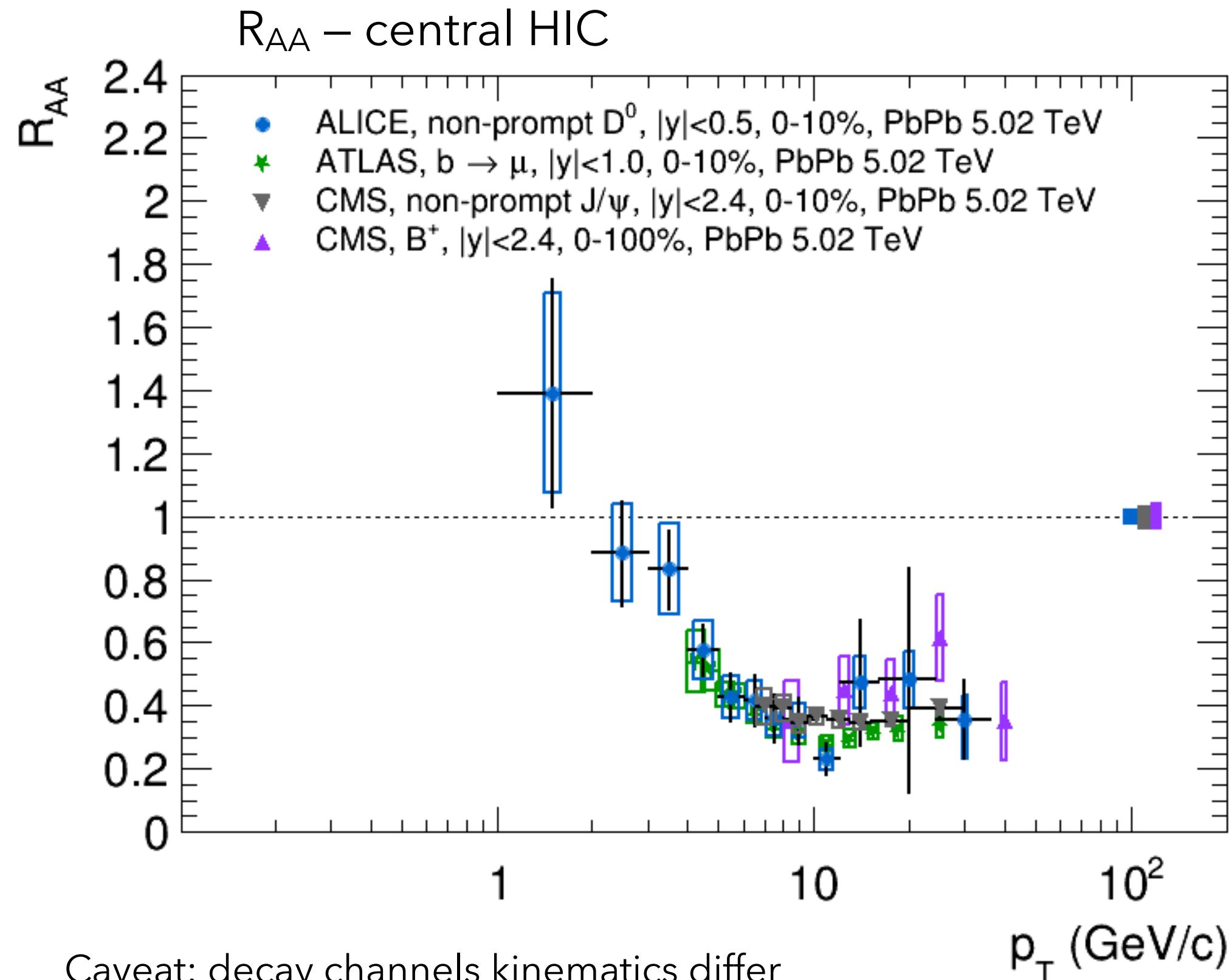
# Charm hadrons in medium



- Precise measurements of  $R_{AA}$  and  $v_2$  in a wide  $p_T$  interval
- Similar results at RHIC and at the LHC despite different kinematics
- **Significant energy loss** of charm in medium
- **Positive  $v_2$ :** participation to the collective motion

ALICE,  $R_{AA}$ , [JHEP 01 \(2022\) 174](#)  
 CMS,  $R_{AA}$ , [PLB 782 \(2018\) 474](#)  
 STAR,  $R_{AA}$ , [Phys.Rev.C 99 \(2019\) 3, 034908](#)  
 CMS,  $v_2$ , [PRL 120 \(2018\) 202301](#)  
 ATLAS,  $v_2$ , [Phys.Lett.B 807 \(2020\) 135595](#)  
 STAR,  $v_2$ , [PRL 118 \(2017\) 21](#)

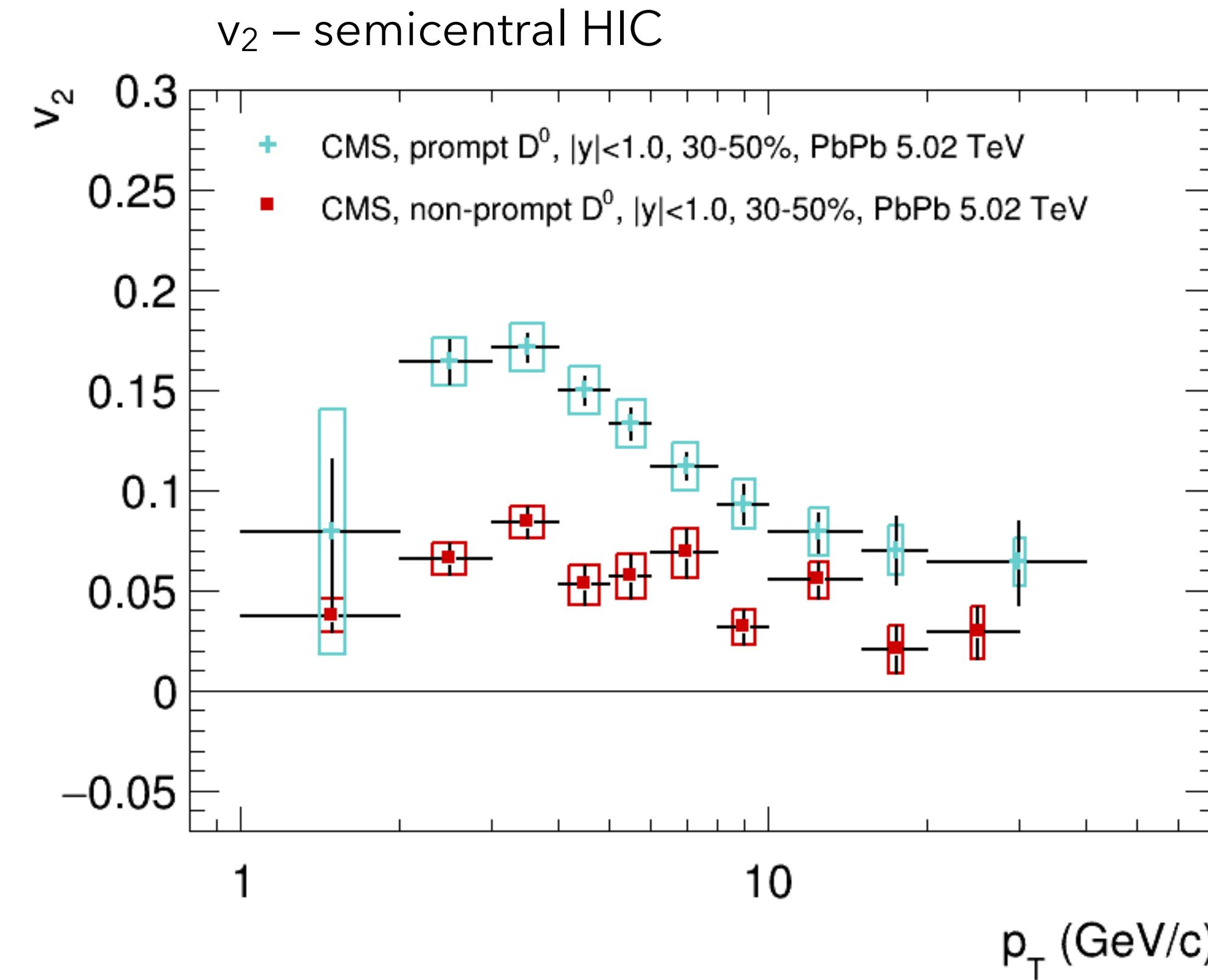
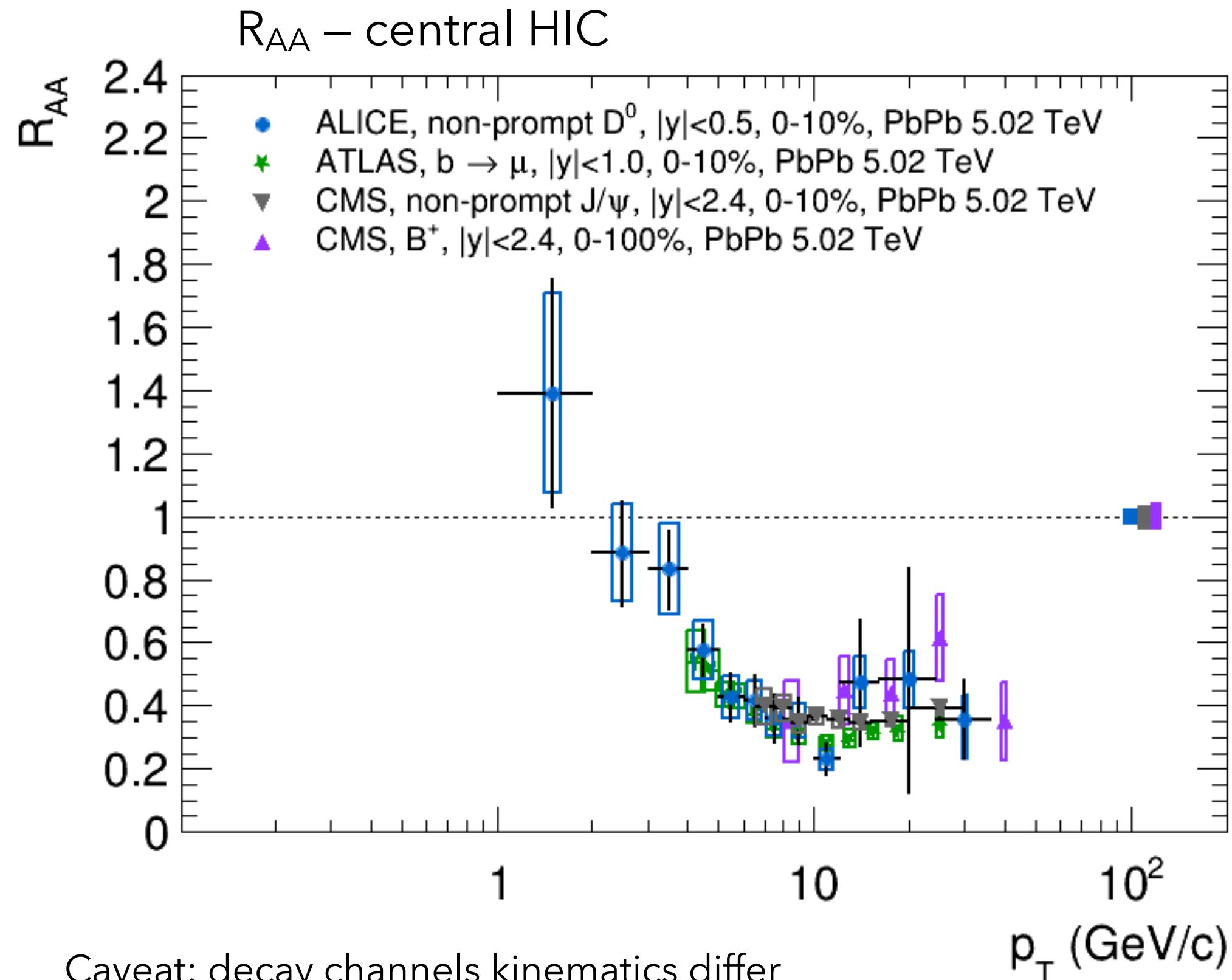
# Beauty hadrons in medium



- Plenty of decay channels being investigated, increasing reach and precision
- Significant energy loss** of beauty in medium
- Positive  $v_2$**  for  $p_T > 2\text{-}3$  GeV, **lower values for beauty than for charm hadrons**

ATLAS,  $R_{AA}$ , [Phys.Lett.B 829 \(2022\) 137077](#)  
 ALICE,  $R_{AA}$ , non-prompt  $D^0$ , [JHEP 12 \(2022\) 126](#)  
 ALICE,  $R_{AA}$ ,  $b$  to  $e$ , [arXiv: 2211.13985](#)  
 CMS,  $R_{AA}$ ,  $B^+$ , [PRL 119 \(2017\) 15, 152301](#)  
 CMS,  $R_{AA}$ , non-prompt  $D^0$ , [PRL 123 \(2019\) 022001](#)  
 ATLAS,  $v_2$ ,  $b$  to  $\mu$ , [Phys.Lett.B 807 \(2020\) 135595](#)  
 ALICE,  $v_2$ ,  $b$  to  $e$ , [Phys.Rev.Lett. 126 \(2021\) 16, 162001](#)  
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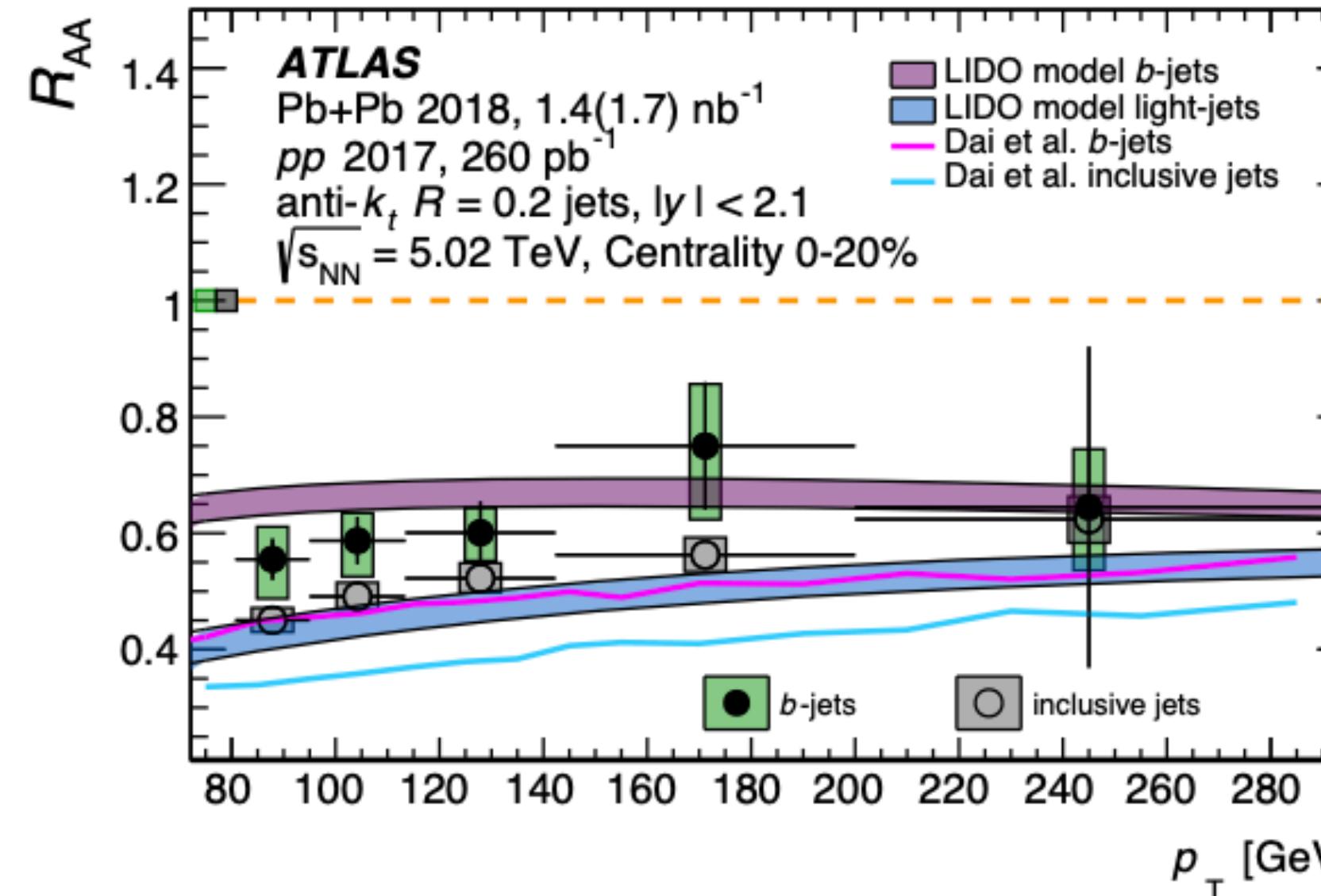
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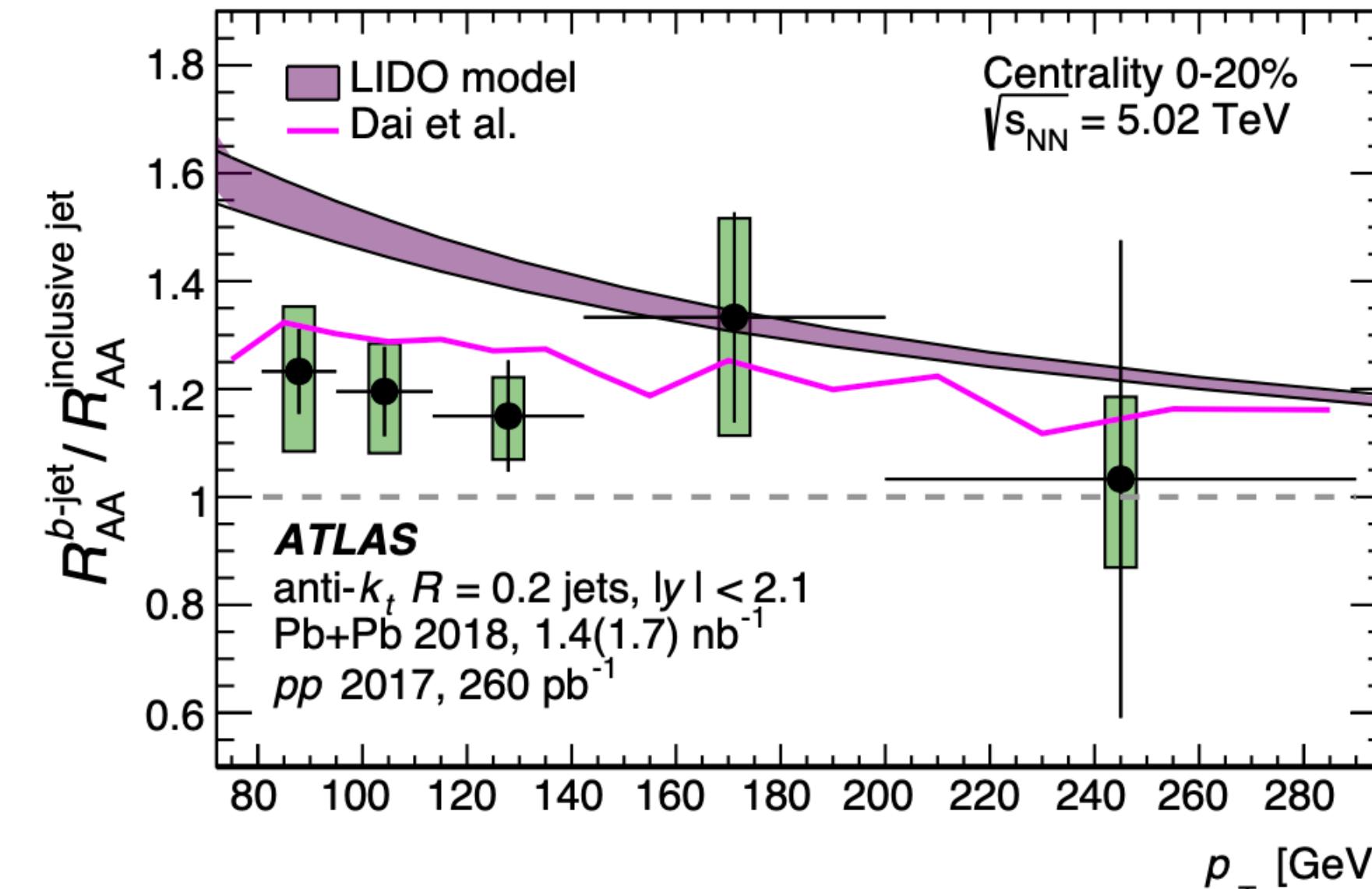
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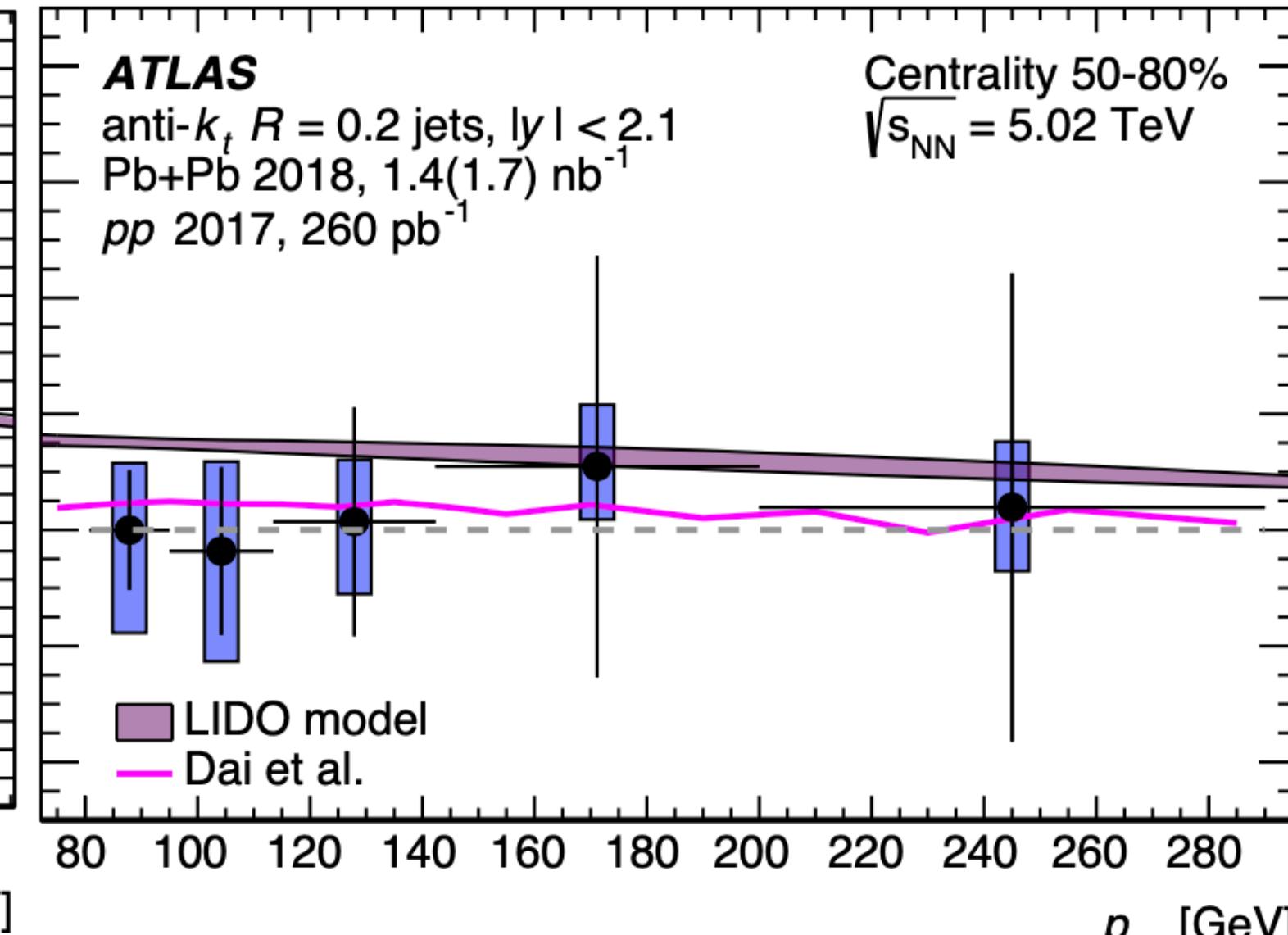
# Moving to higher $p_T$ ? b-jets in medium



$R_{\text{AA}}$  central collisions



Ratio b-jet/inclusive-jet  $R_{\text{AA}}$  central



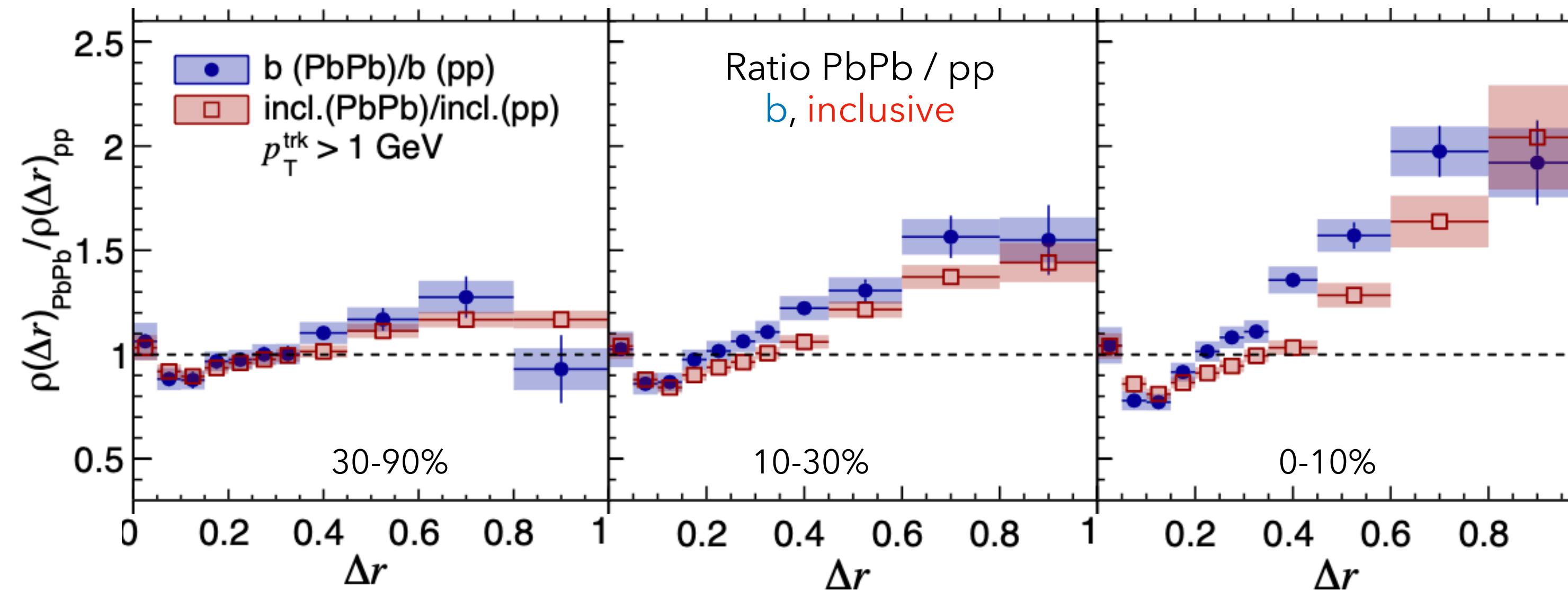
Ratio b-jet/inclusive-jet  $R_{\text{AA}}$  peripheral

- Suggest  $R_{\text{AA}}$  values for ***b*-jets higher than for inclusive jets** in central collisions
- Similar trend for peripheral collisions.
- Possible influence of *b*-jet fragmentation and/or mass effect on parton energy loss (expected to be small at large  $p_T$ )?

ATLAS, arXiv: 2204.13530

LIDO: W. Ke et al, [Phys. Rev. C 98, 064901 \(2018\)](#), [Phys. Rev. C 100, 064911 \(2019\)](#),  
 Dai et al: [Chinese. Phys. C 2020, 44:104105](#)

# Looking at the energy distribution? b-jet shape

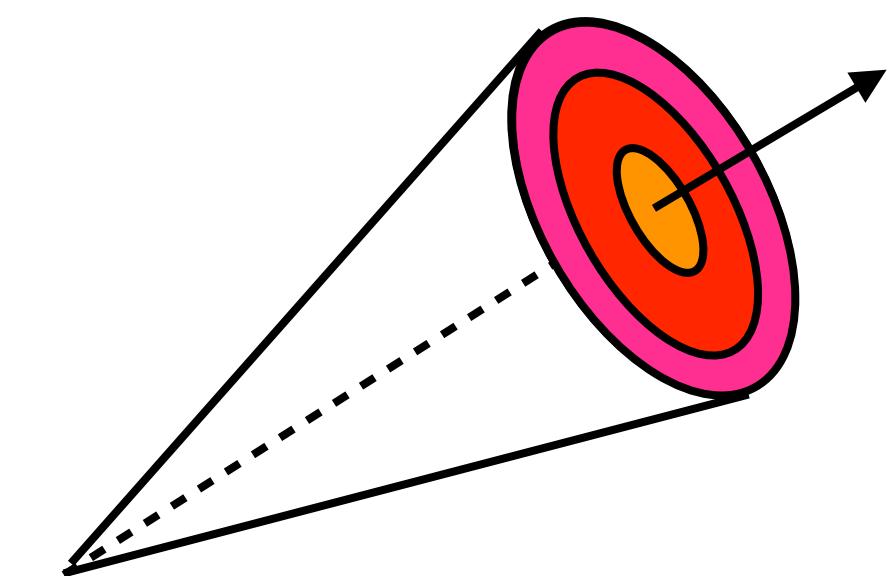


$\Delta r$  : radial distance between the track and the jet axis

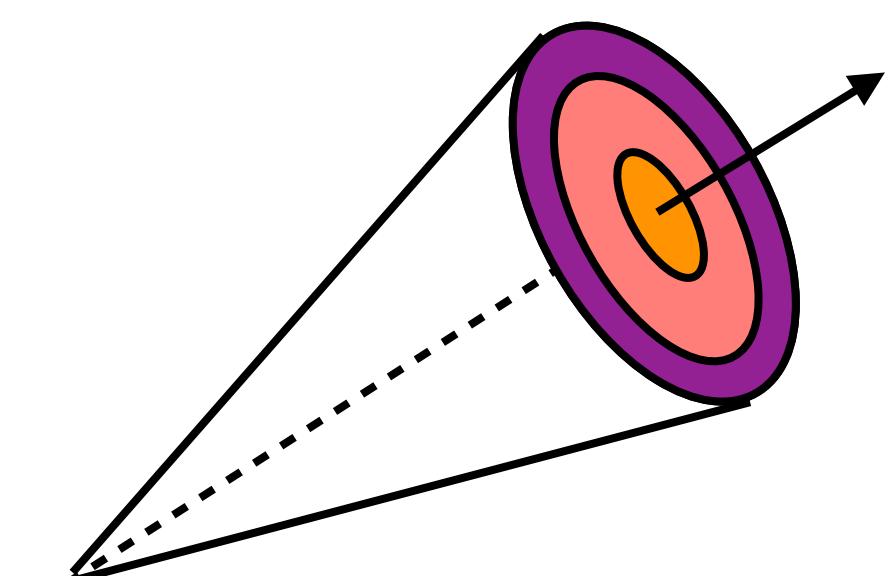
$\rho$  : normalised profile of charged particles in jets

- Jet-track correlation  $p_T^{\text{jet}} > 120 \text{ GeV}$ ,  $p_T^{\text{track}} > 1 \text{ GeV}$
- Energetic core (close to jet axis) stays intact, intermediate part is reduced, and enhancement of the activity on the surface/edges and far away from the jet.
- The **modification is more pronounced for b-jets** than for inclusive jets, and is already present in pp.  
b-jet fragmentation?  
Dead-cone effect (mass effect expected to be small at large  $p_T$ )?  
Increased medium response to heavy quark propagation?

CMS, arXiv:2210.08547

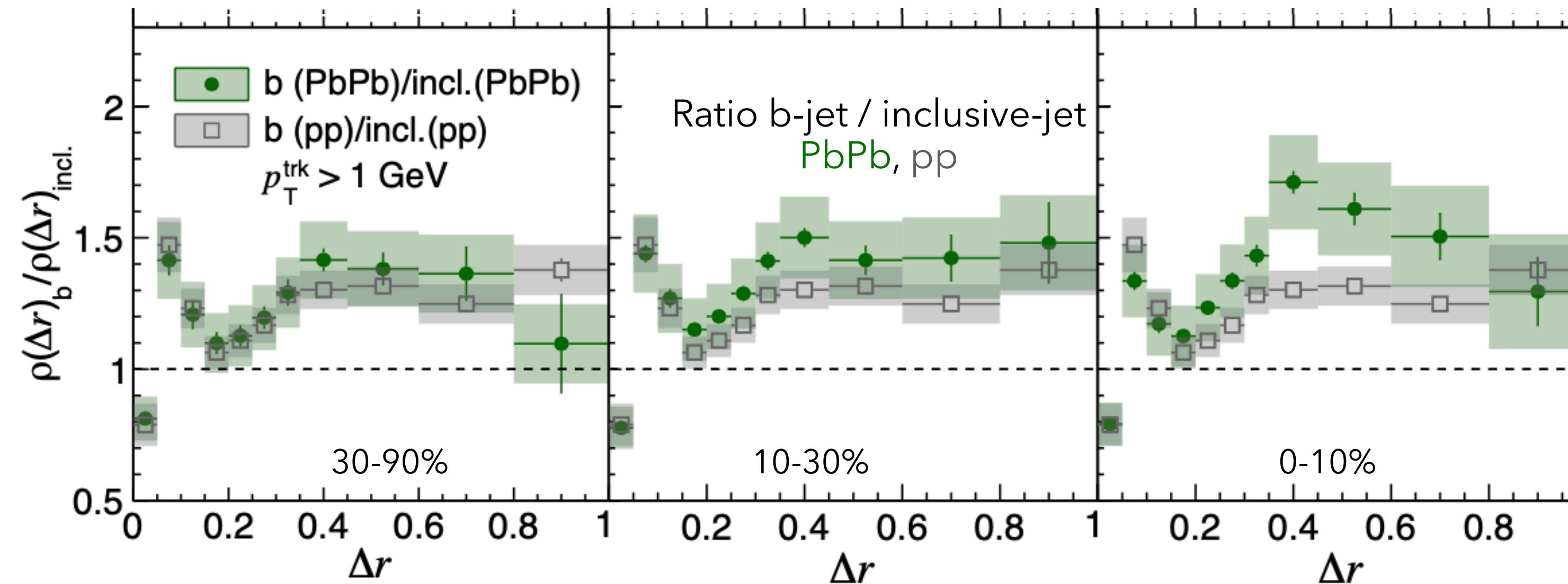


Jet substructure: energetic component close to jet axis



Jet substructure modified:  
core stays intact,  
intermediate part is reduced,  
larger activity in the border and far away

# Looking at the energy distribution? b-jet shape

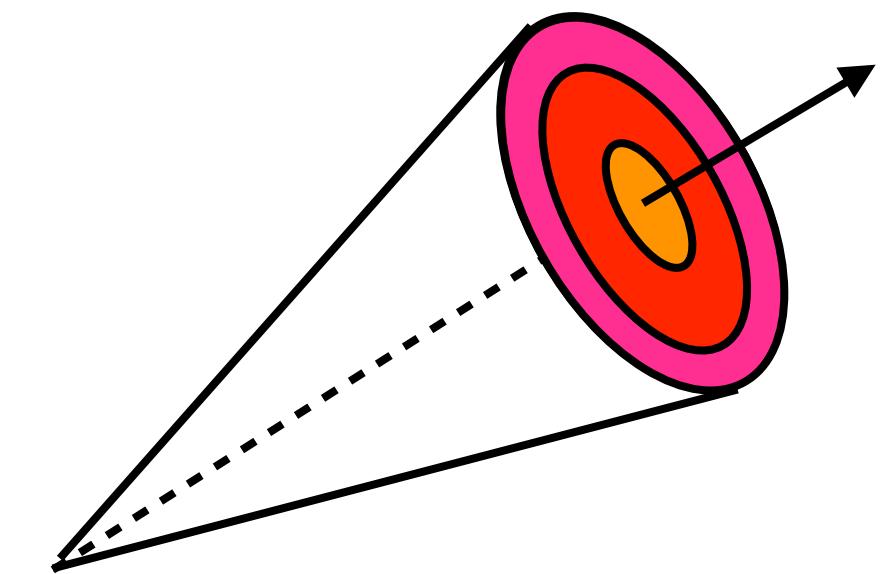


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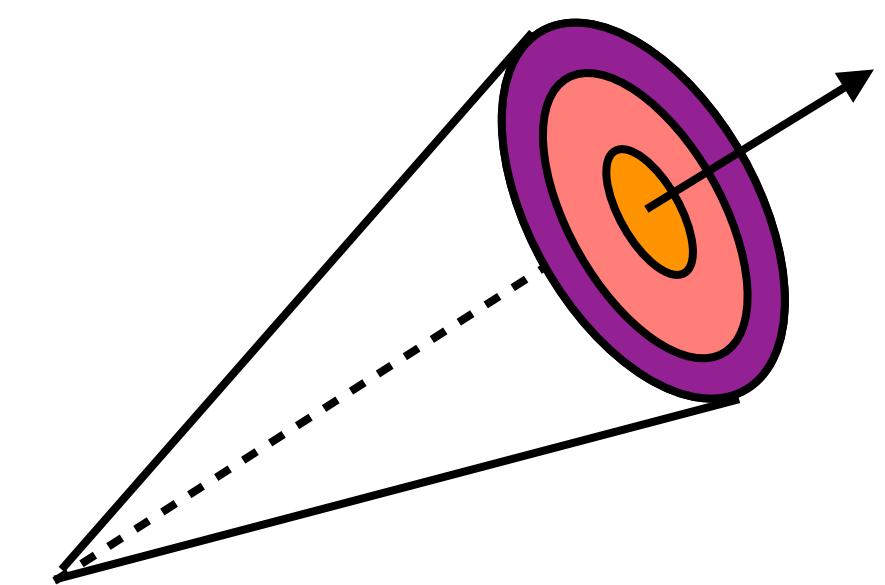
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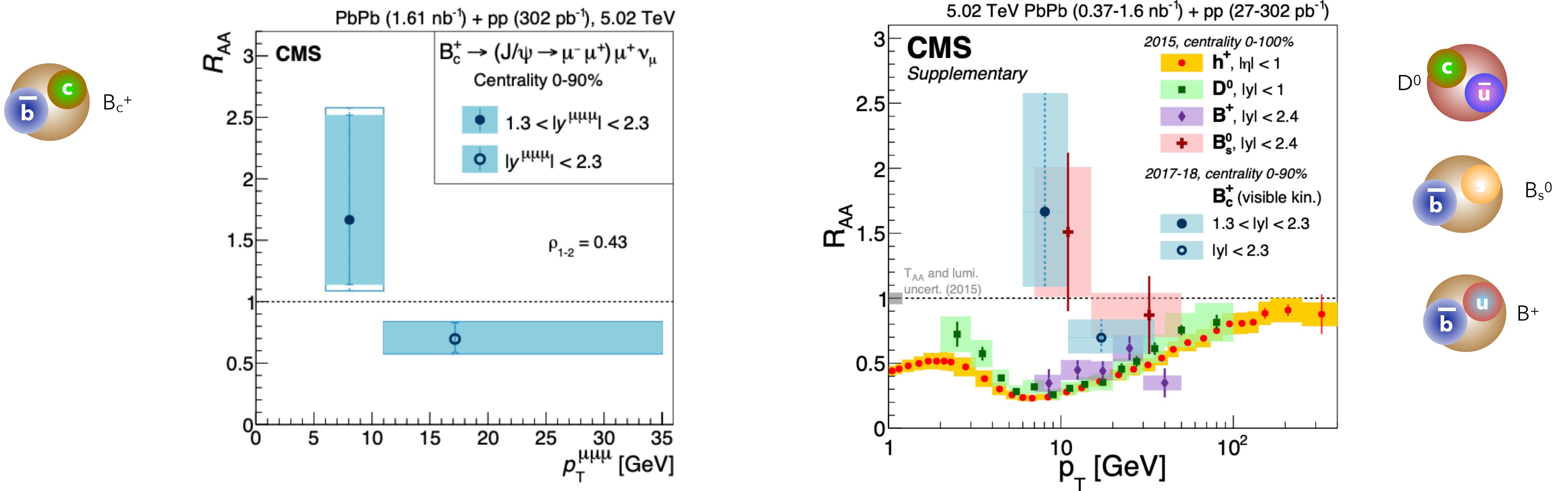


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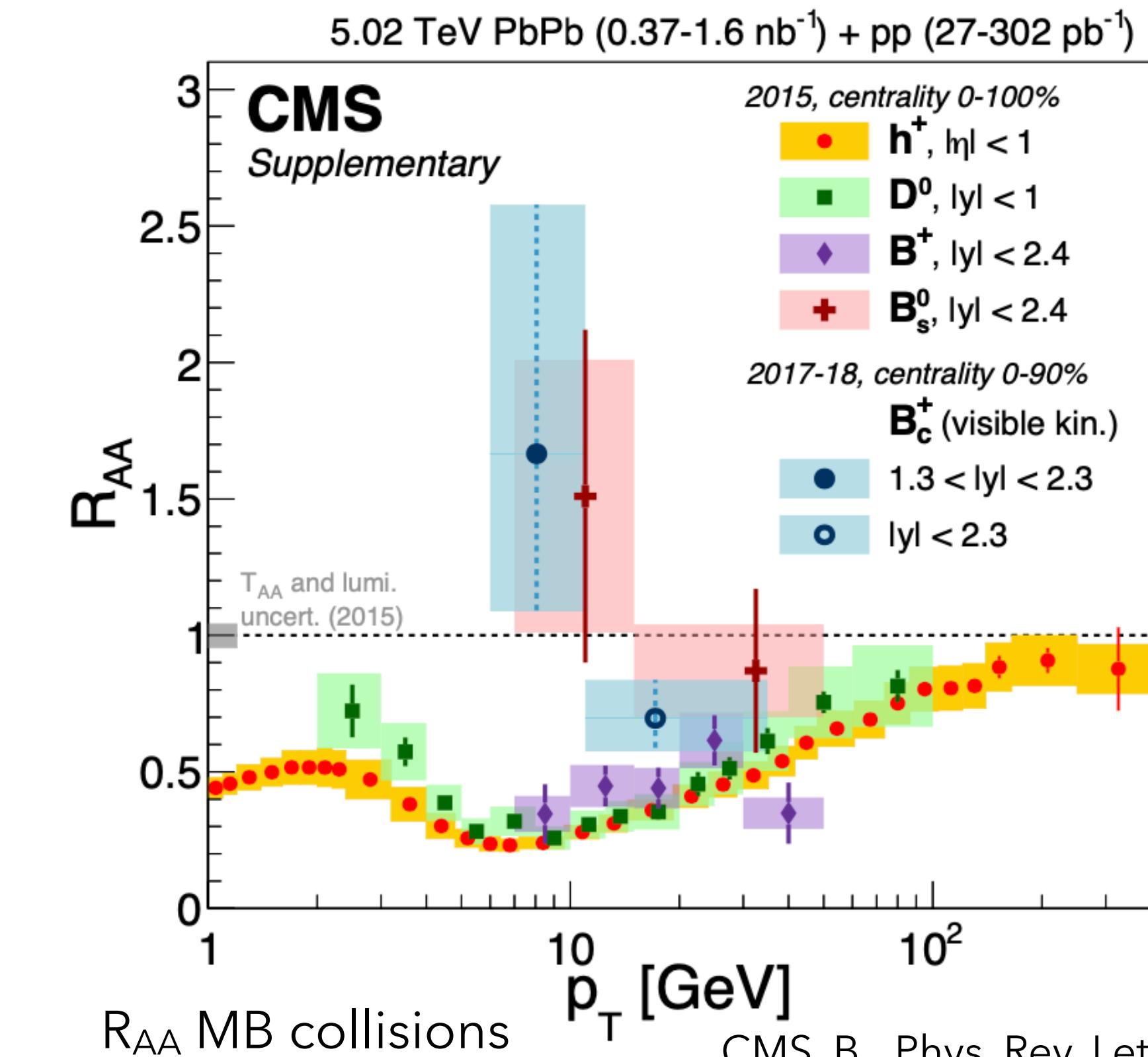
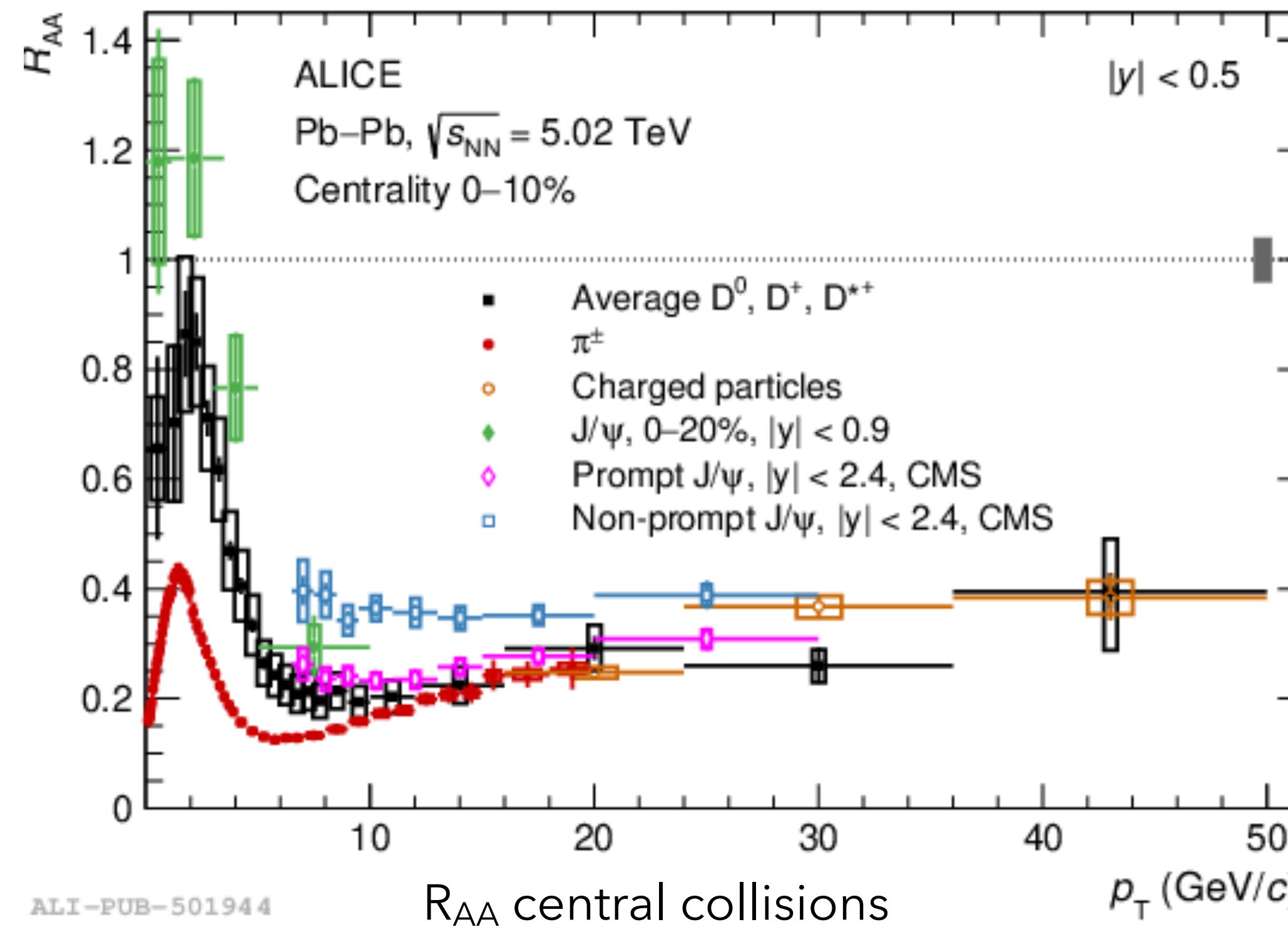
# Going rarer? $B_c^+$ in PbPb



- **Unique charm-bottom state** → sensitive to both energy loss (suppression) and recombination
- Moderate suppression at high  $p_T$ .
- Less suppression than other heavy mesons (except for  $B_s^+$ ).

CMS, [Phys. Rev. Lett. 128, 252301 \(2022\)](#)

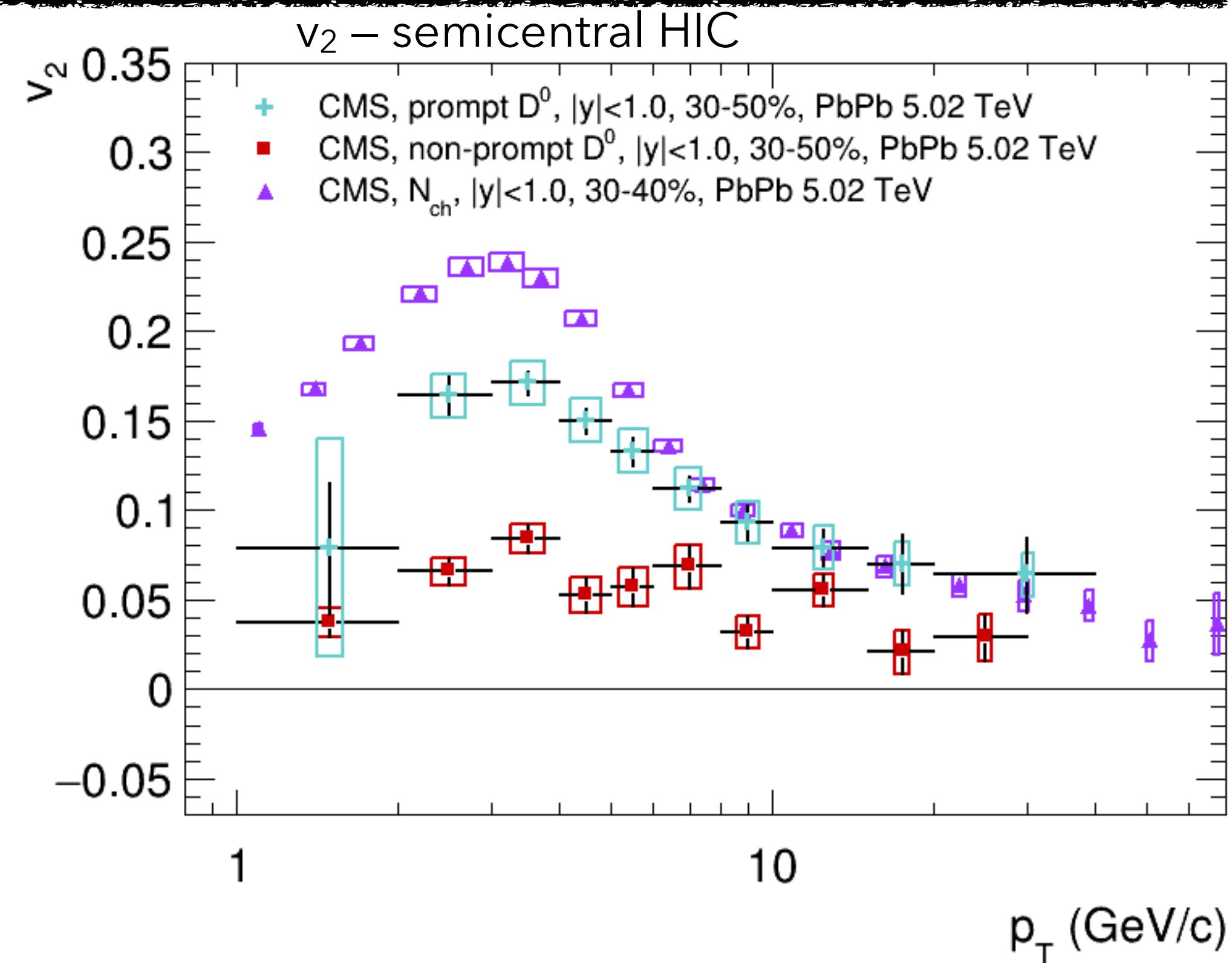
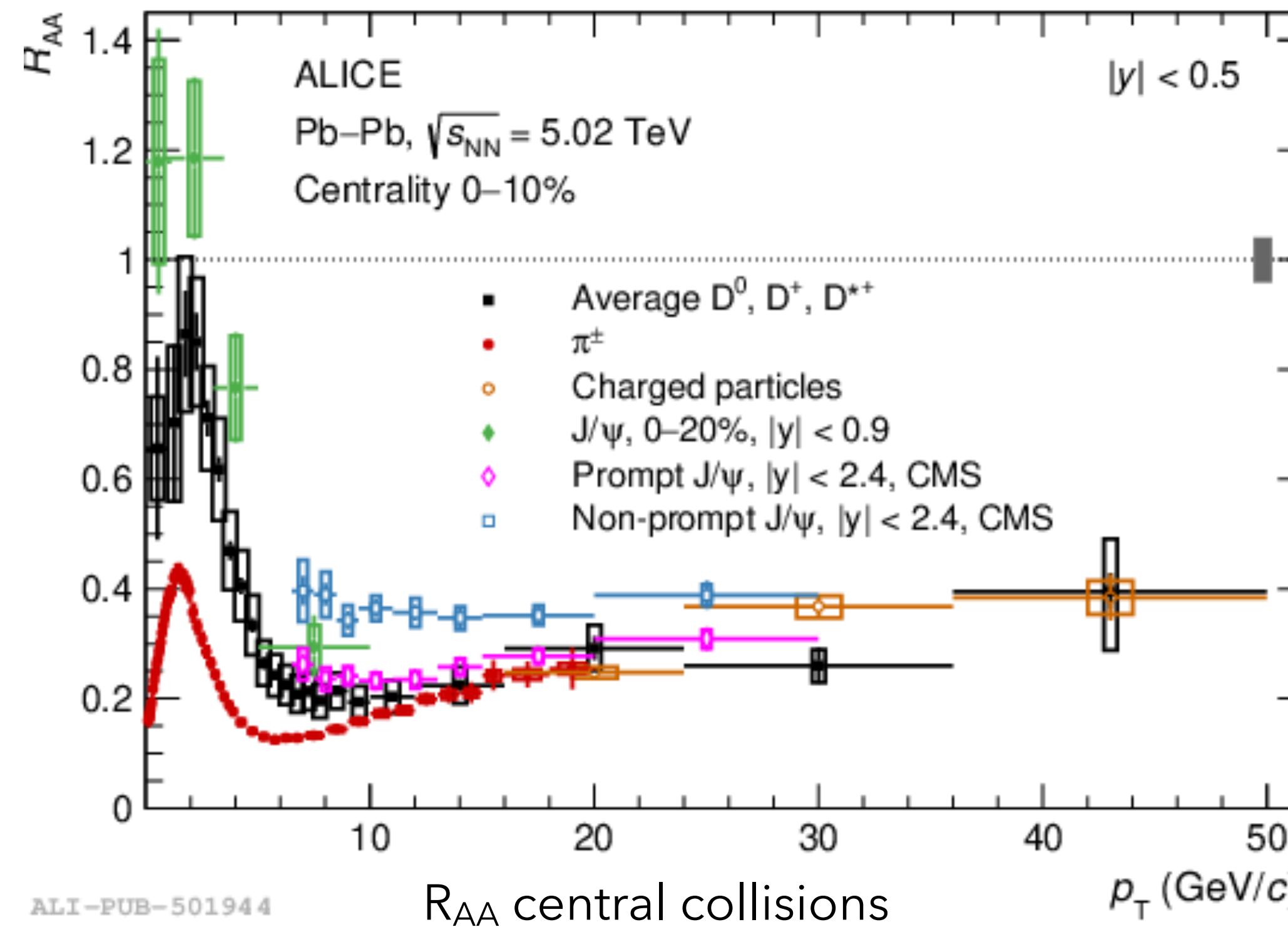
# What have we learned from data?



- **R<sub>AA</sub> hierarchy** at intermediate  $p_T$ ,  
 $R_{AA}(\text{ch}/\pi) < R_{AA}(\text{prompt } D) < R_{AA}(\text{non-prompt } D/J/\psi, B^+) < R_{AA}(B_s)$   
 consistent with **parton mass energy loss dependence**
- **Positive v<sub>2</sub>:**  $v_2(N_{\text{ch}}) > v_2(\text{prompt } D^0) > v_2(\text{non-prompt } D^0)$  at intermediate  $p_T$ , similar at high  $p_T$   
 suggestive of participation of heavy quarks to the system collective motion and might thermalise

CMS,  $B_c$ , [Phys. Rev. Lett. 128, 252301 \(2022\)](#)  
 CMS,  $v_2, N_{\text{ch}}$ , [PLB 776 \(2018\) 195–216](#)  
 CMS,  $v_2$ , non-prompt  $D^0$ , [arXiv: 2212.01636](#)  
 ALICE prompt  $D^0$ , [JHEP 01 \(2022\) 174](#)

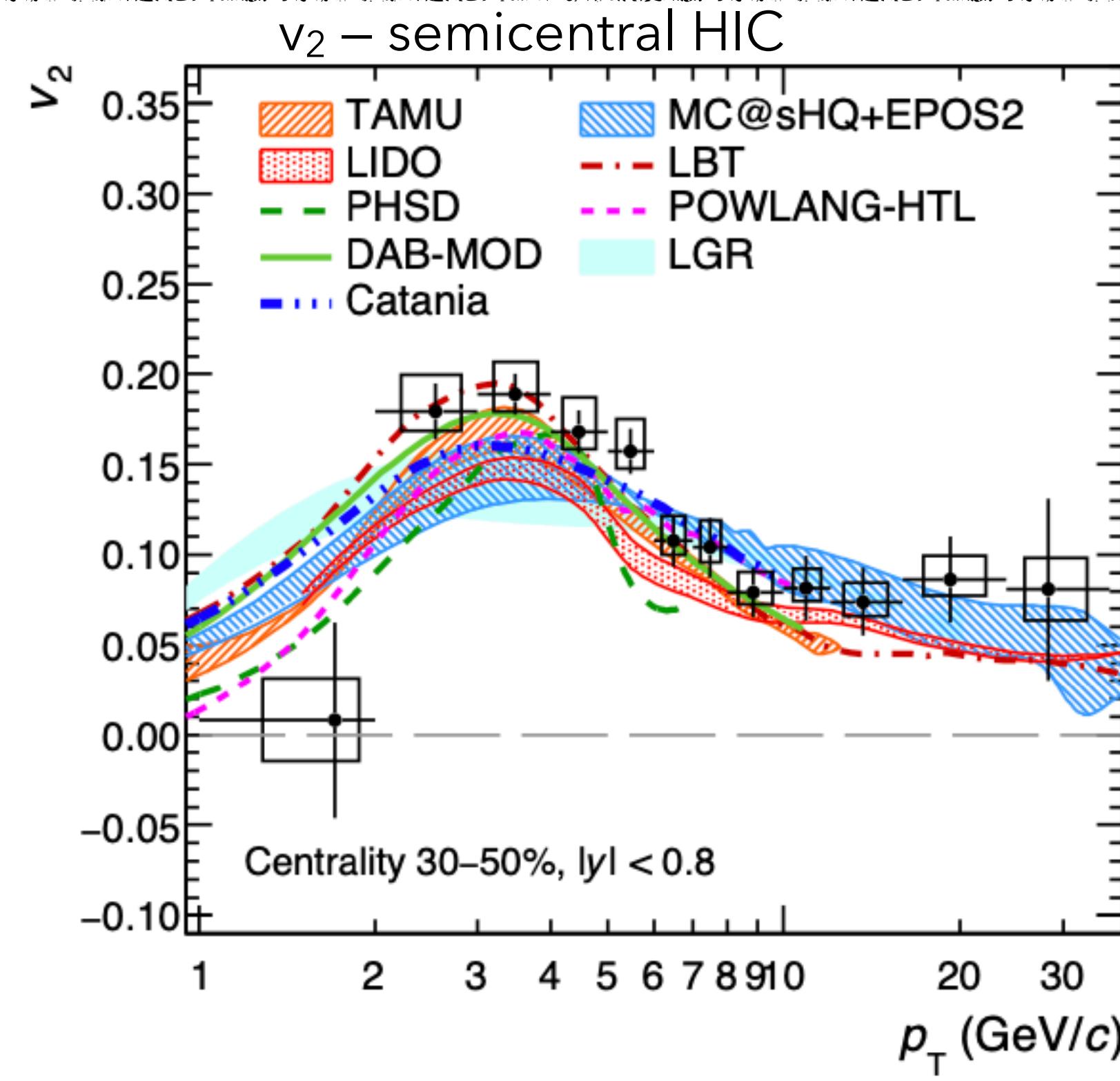
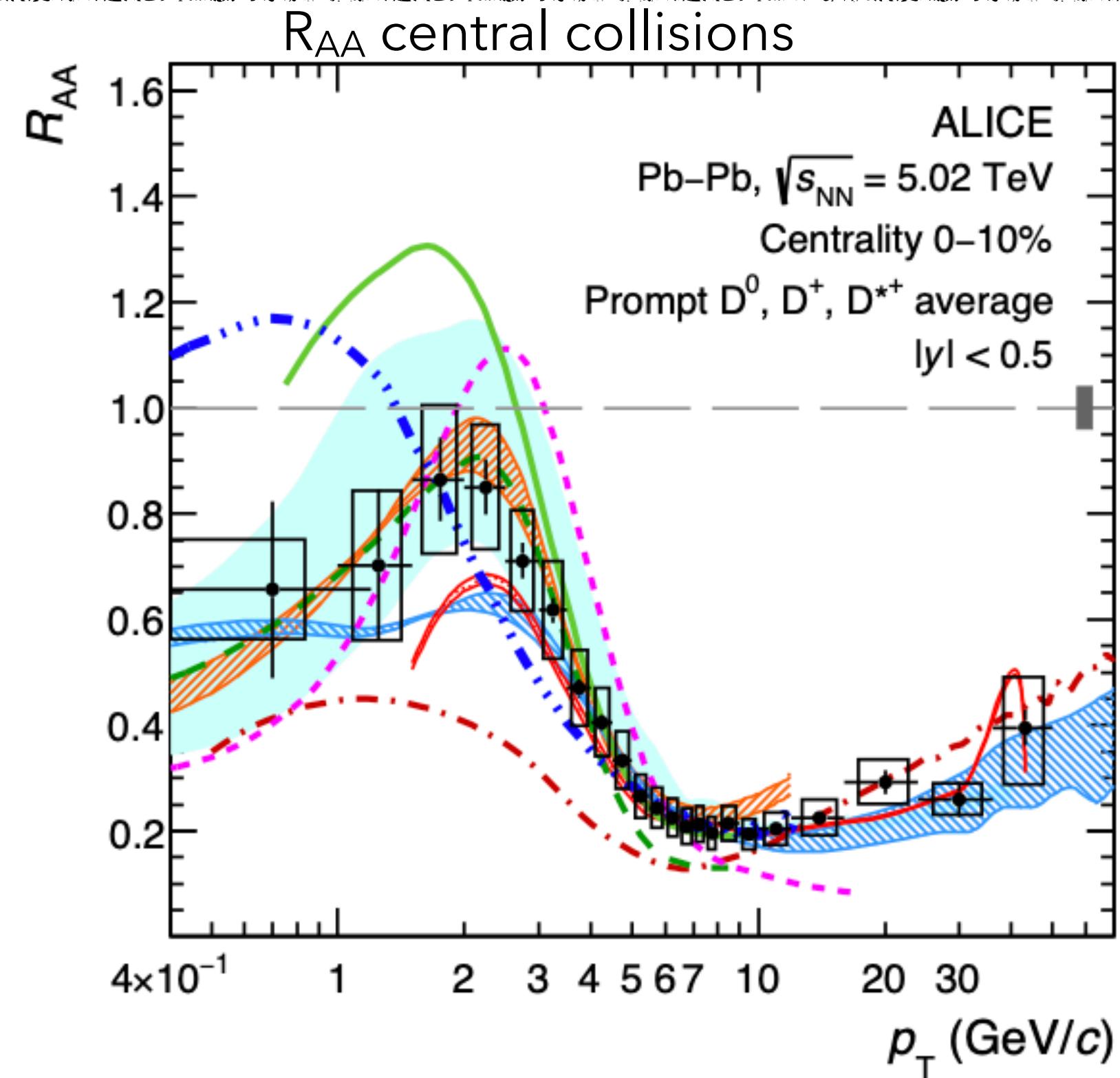
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ALICE prompt D<sup>0</sup>, [JHEP 01 \(2022\) 174](#)

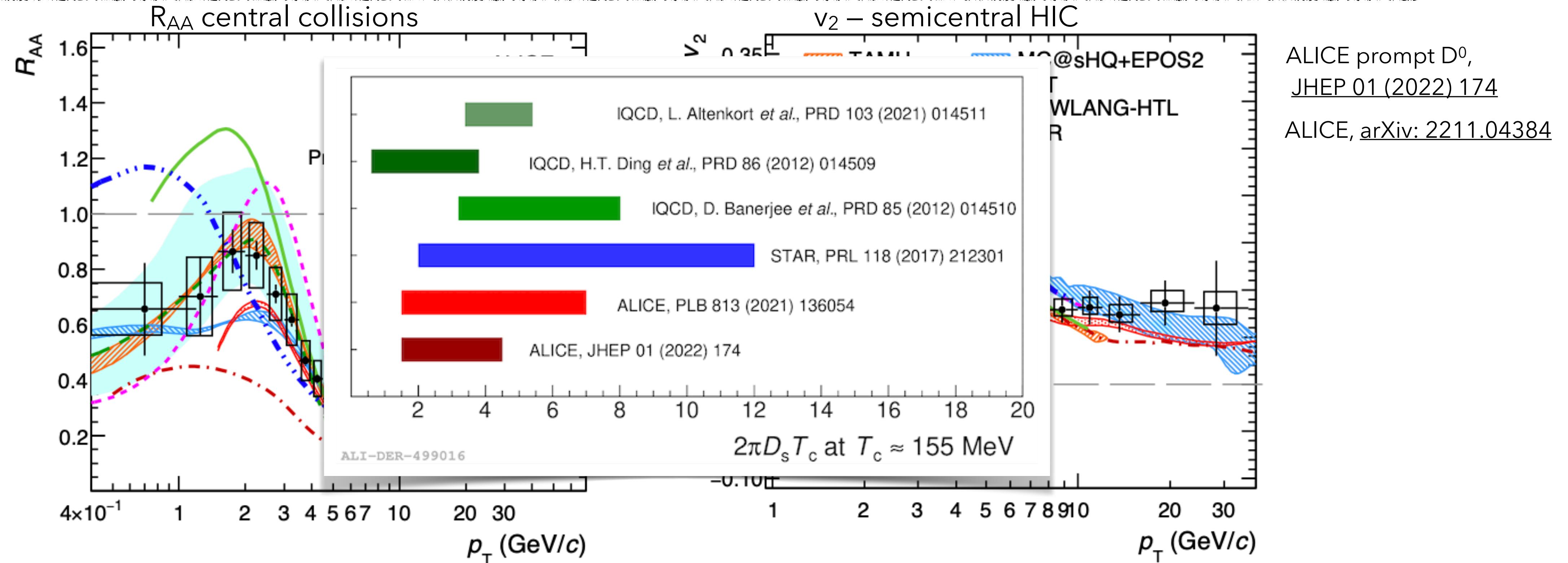
# Going further, charm data-model comparison



- Models shown here include: nPDF, collisional+radiative processes, hydrodynamic expansion, recombination
- Most models provide a **good description of both  $R_{AA}$  and  $v_2$**
- Data-model comparison set constraints on heavy quark spatial diffusion coefficient**  $1.5 < 2\pi D_s T_c < 4.5$  at  $T_{pc} = 155$  MeV  
 $\rightarrow \tau_{\text{charm}} = (m_{\text{charm}}/T) D_s(T) \approx 3\text{-}9 \text{ fm}/c$  for  $m_c = 1.5$  GeV

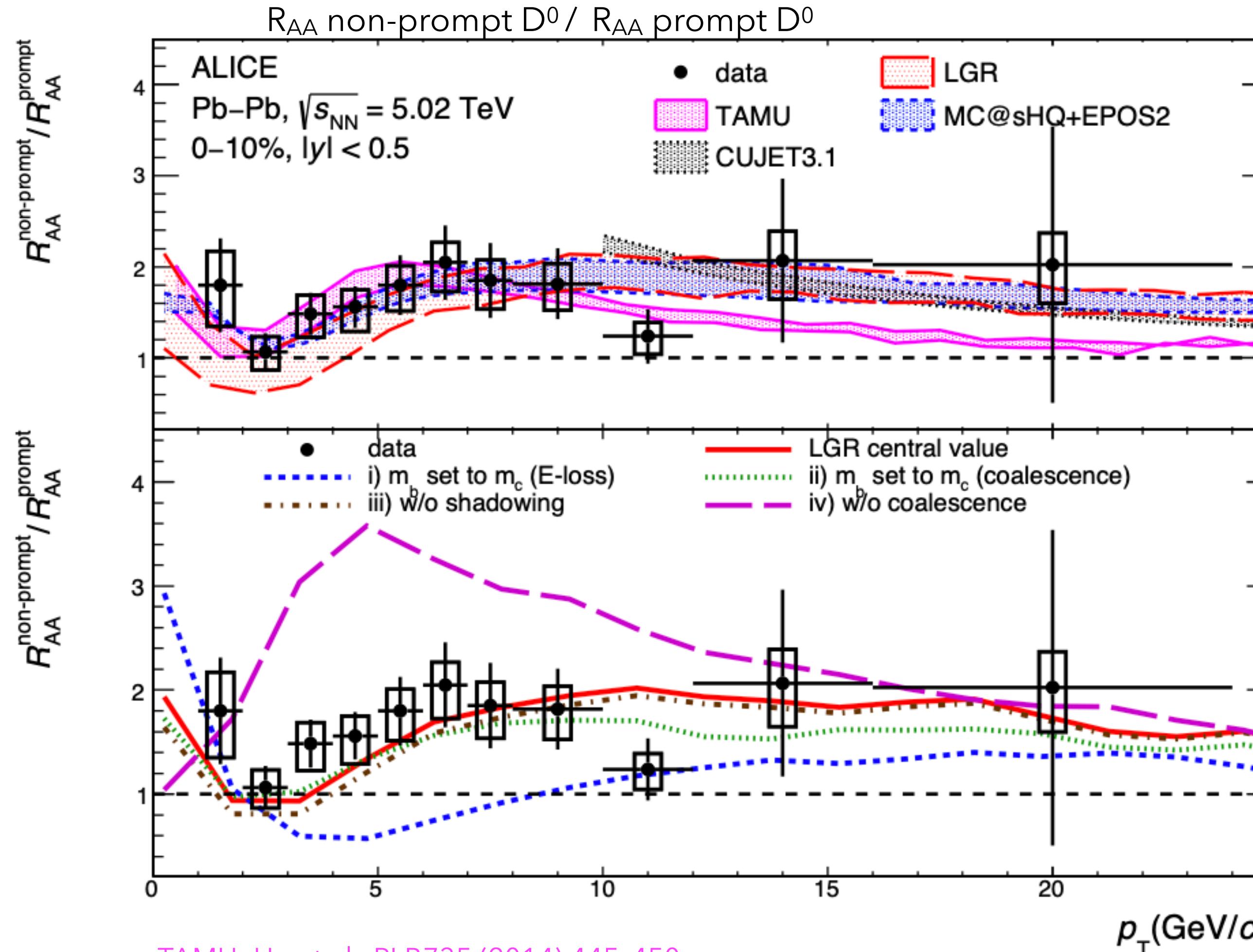
TAMU: He et al., [PRL124 \(2020\) 042301](#)  
LIDO: Ke et al., [PRC 100 n.6 \(2019\) 064911](#)  
PHSD: Song et al., [Phys. Rev. C 92 \(2015\) 014910](#),  
[Phys. Rev. C 96 \(2017\) 014905](#)  
DAB-MOD: Katz et al., [PRC 102 n.2 \(2020\) 024906](#)  
LBT: Cao et al., [PRC 94 n.1 \(2016\) 014909](#)  
POWLANG+HTL: [EPJC 75 n.3 \(2015\) 121](#)  
LGR: Li et al., [EPJC 80 \(2020\) 671](#), [EPJC 80 \(2020\) 1113](#)  
MC@sHQ+EPOS2: Nahrgang et al., [PRC 89 \(2014\) 014905](#)  
Catania: Scardina et al, [PRC96 \(2017\) 044905](#)

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 POWLANG+HTL: [EPJC 75 n.3 \(2015\) 121](#)  
 LGR: Li et al., [EPJC 80 \(2020\) 671](#), [EPJC 80 \(2020\) 1113](#)  
 MC@sHQ+EPOS2: Nahrgang et al., [PRC 89 \(2014\) 014905](#)  
 Catania: Scardina et al, [PRC96 \(2017\) 044905](#)

# Going further, beauty/charm data-model comparison



TAMU: He et al., PLB 735 (2014) 445–450

CUJET: She et al., Chin. Phys. C 43 (2019) 044101

LGR: Li et al., EPJC 80 (2020) 671, EPJC 80 (2020) 1113

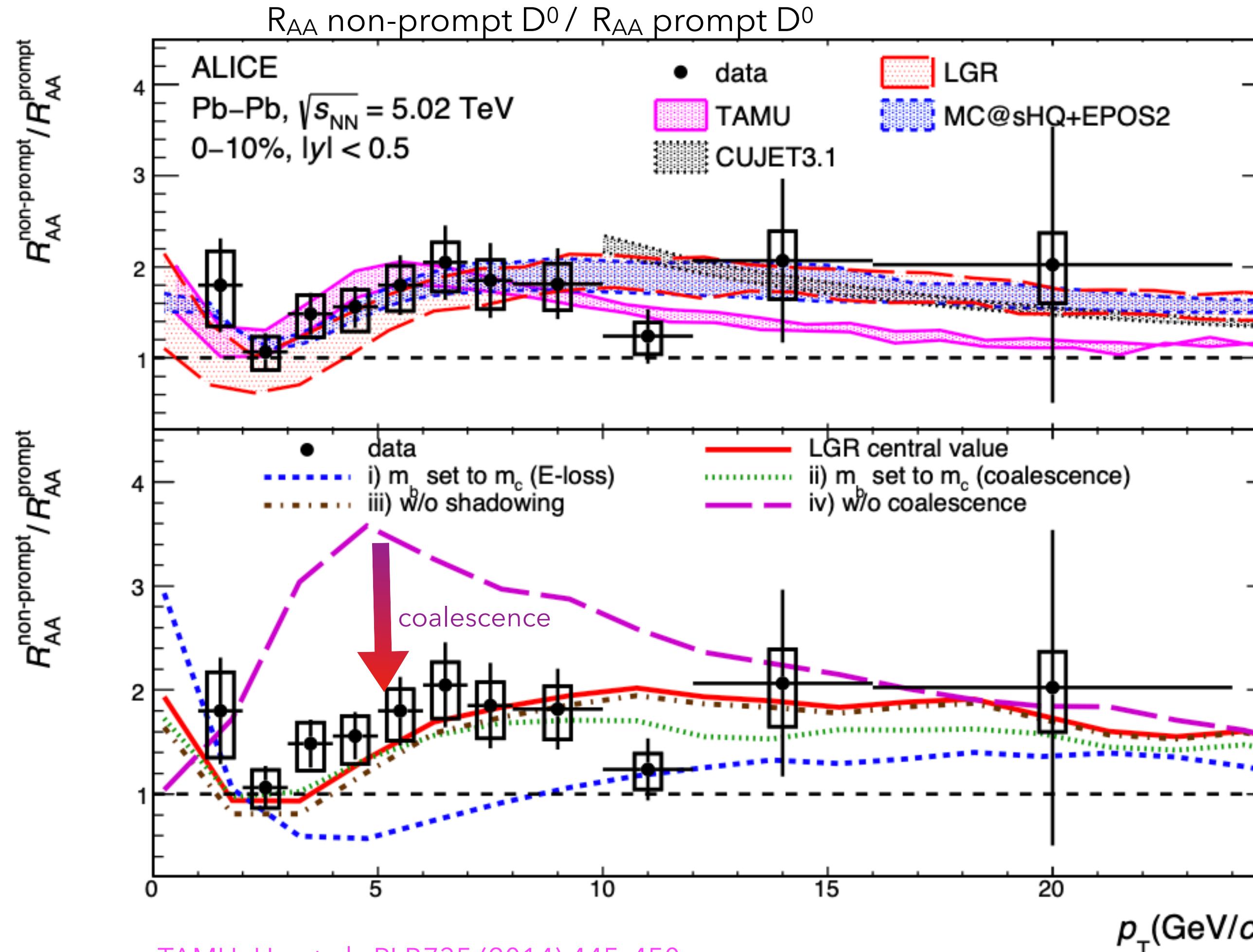
MC@sHQ+EPOS2: Nahrgang et al., PRC 89 (2014) 014905

- $R_{AA}$  (non-prompt  $D^0$ ) for  $p_T > 0$  is  $1.00 \pm 0.10$  (stat.)  $\pm 0.13$  (syst.)  $+0.08 -0.09$  (extr.)  $\pm 0.02$  (norm.) in 0–10%
- For  $p_T > 5$  GeV, the ratio is larger than unity  $\rightarrow$  larger suppression of prompt  $D^0$
- LGR model shows a strong influence of the **mass dependence** of parton energy loss and **coalescence**.

ALICE prompt  $D^0$ , JHEP 01 (2022) 174

ALICE non-prompt  $D^0$ : JHEP 12 (2022) 126

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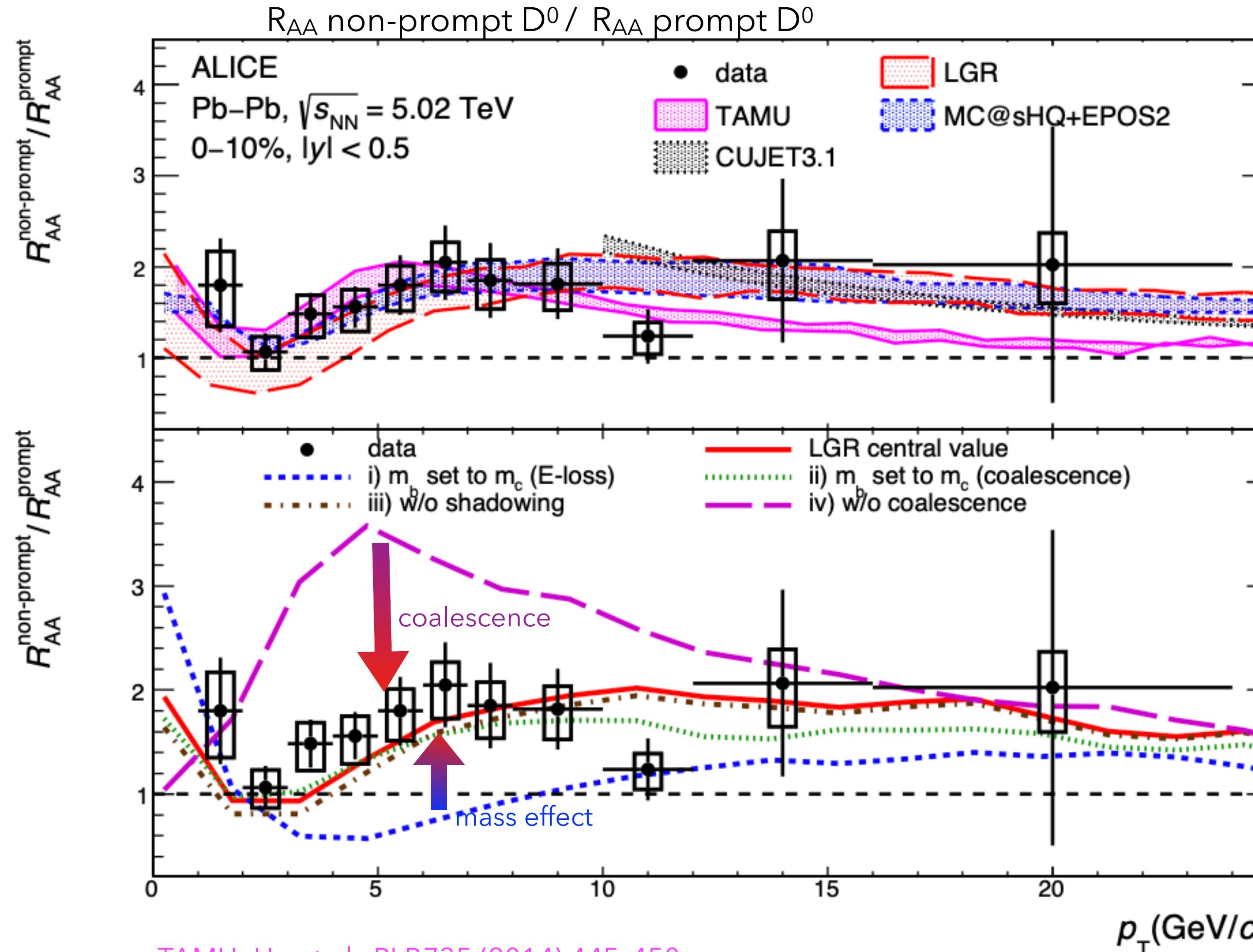
MC@sHQ+EPOS2: Nahrgang et al., PRC 89 (2014) 014905

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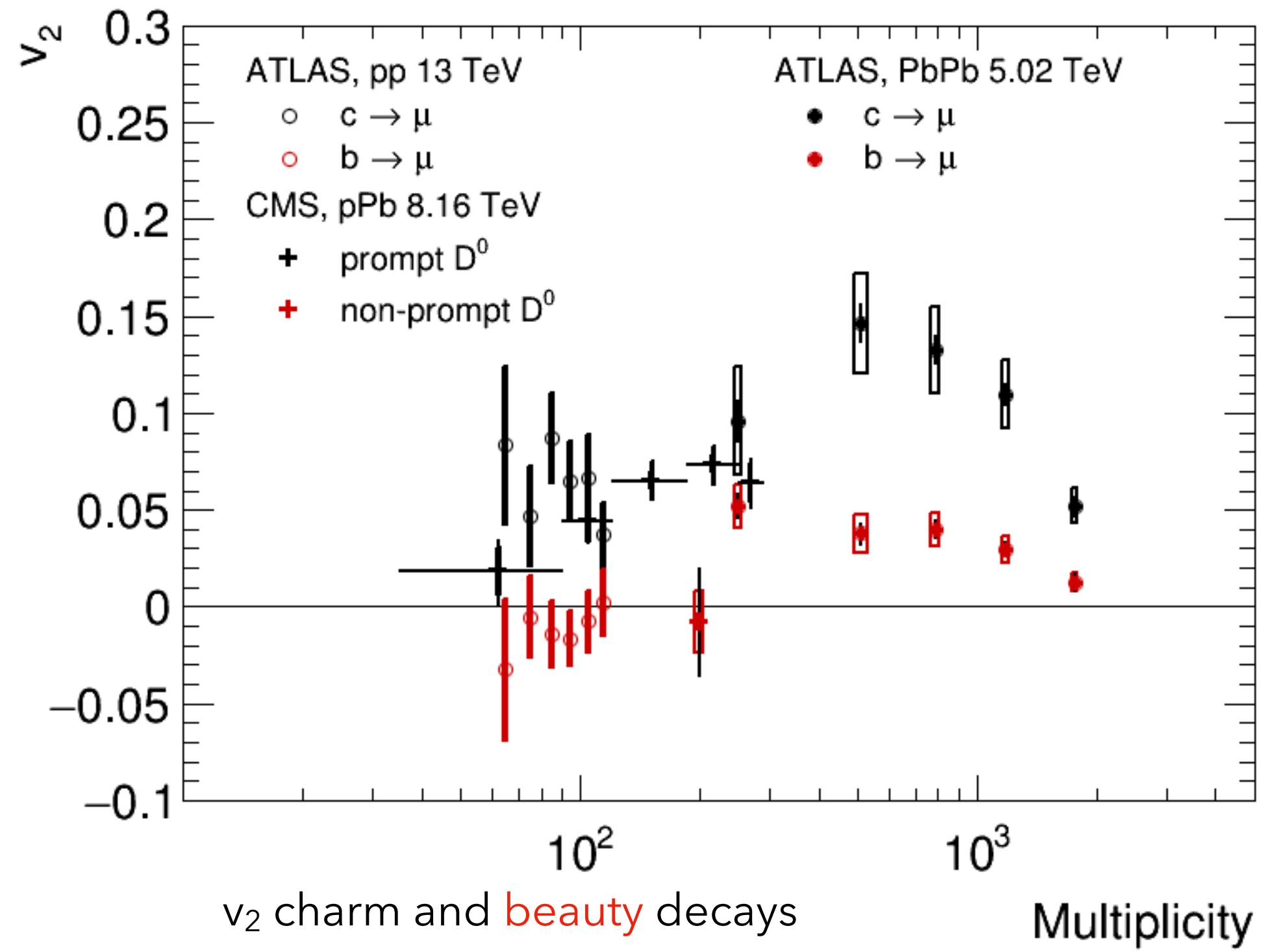
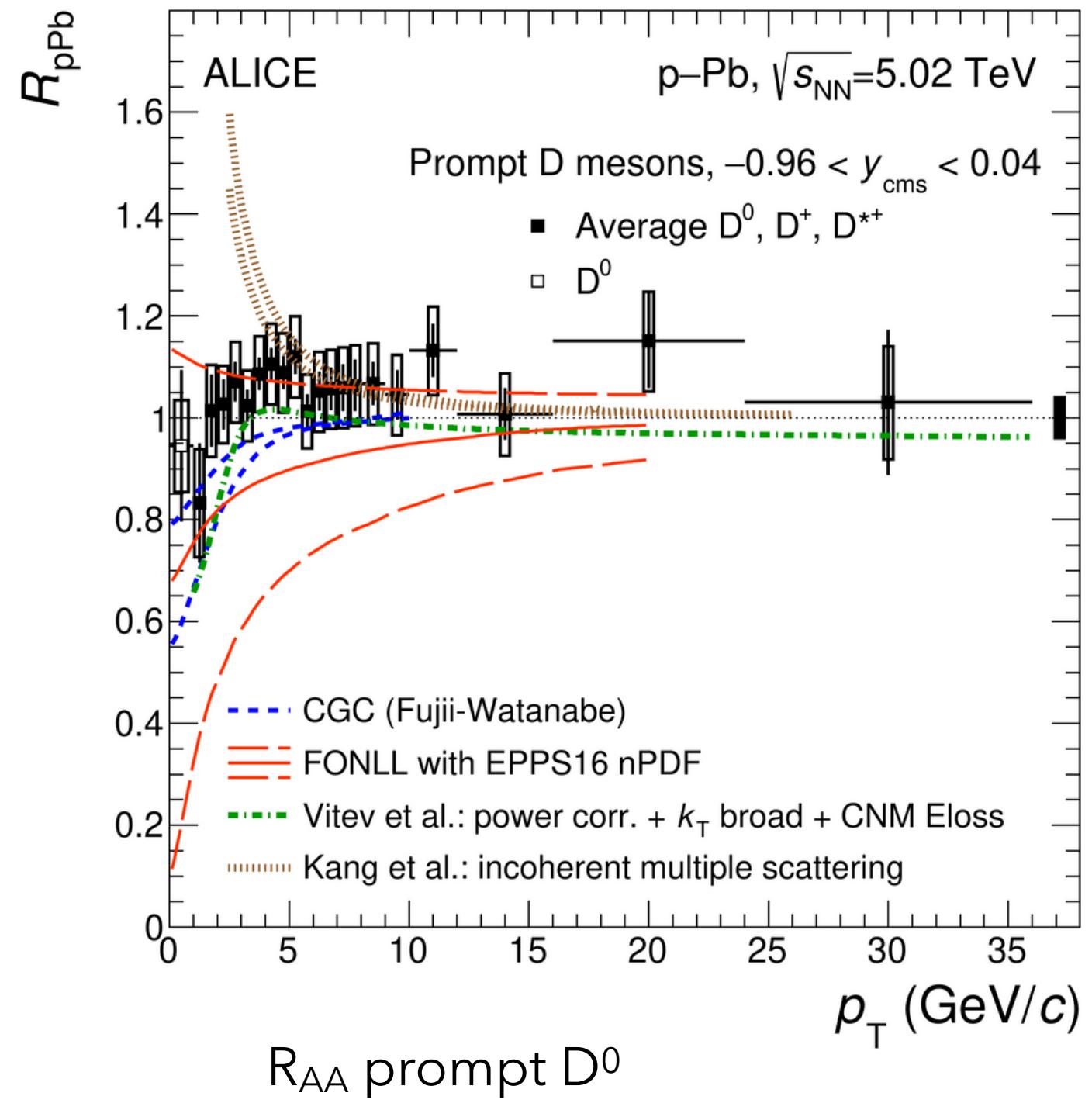
MC@sHQ+EPOS2: Nahrgang et al., PRC 89 (2014) 014905

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ALICE prompt  $D^0$ , JHEP 01 (2022) 174

ALICE non-prompt  $D^0$ : JHEP 12 (2022) 126

# Moving to smaller systems?



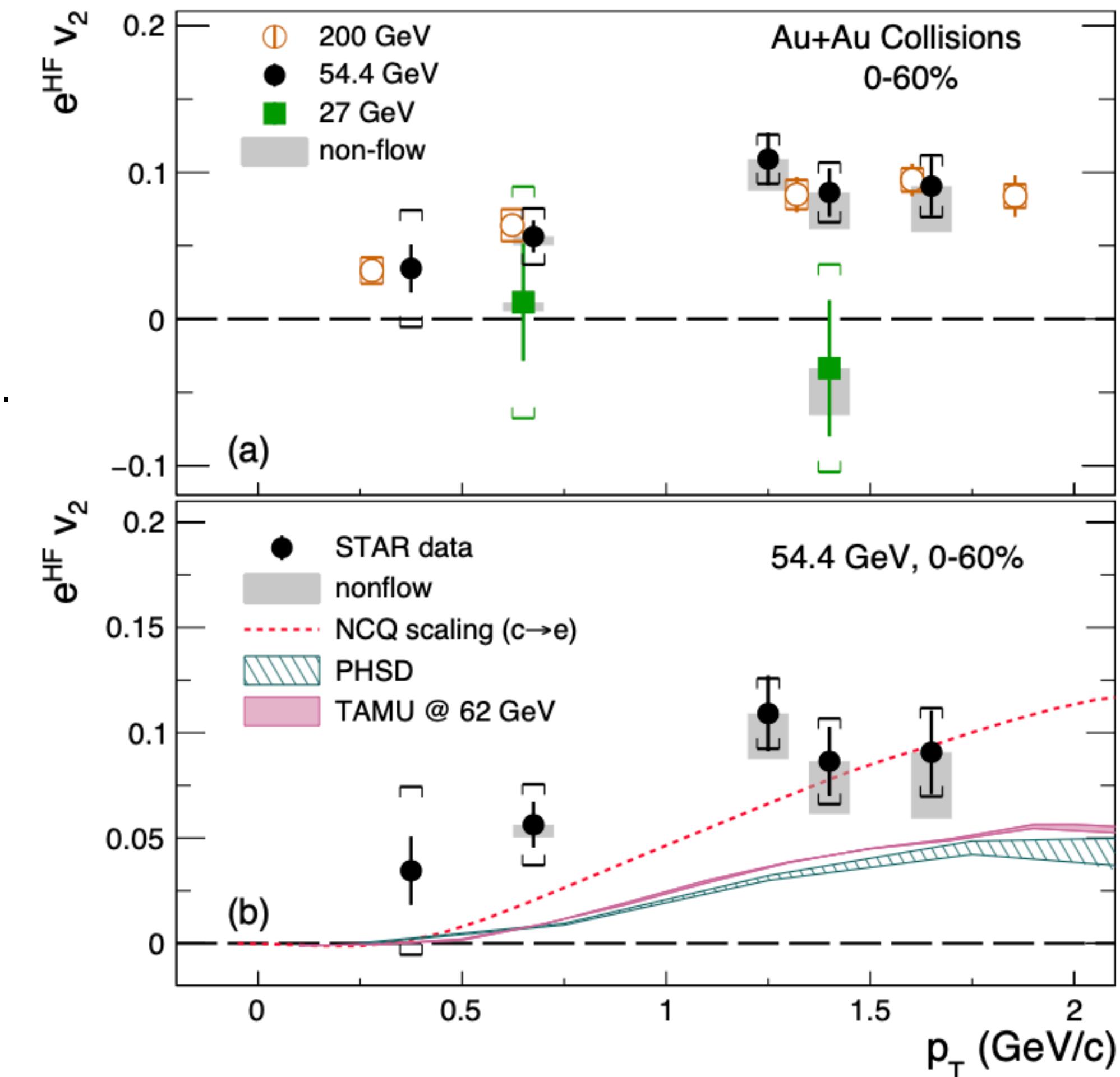
- Charm hadron spectra does not present strong modifications in pPb wrt pp, but those expected from nPDF/saturation.
- Heavy flavour  **$v_2$  follows a smooth evolution** with charged-particle multiplicity
  - non-zero values for charm in pp and pPb collisions
  - important role of initial state effects and/or influence of final state effects?

ATLAS, pp, [PRL 124 \(2020\) 082301](#)  
 ATLAS, PbPb, [PLB 807 \(2020\) 135595](#)  
 CMS, pPb, prompt  $D^0$ , [PRL 121 \(2018\) 8, 082301](#)  
 CMS, pPb, non-prompt  $D^0$ , [PRL 813 \(2021\) 136036](#)  
 ALICE, pPb, [JHEP 2019 \(2019\) 92](#)  
 LHCb,  $D^0$ , [arXiv:2205.03936](#)

# Moving to lower energy?

- Heavy-flavour decay electron  $v_2$  is consistent with zero at 27 GeV, whereas  **$v_2$  at 54.4 GeV is non-zero and consistent with that at 200 GeV.**
- TAMU and PHSD calculations underestimate it.
- Trend consistent with number of constituent quark scaling (NCQ) estimate.
- Suggest that charm quarks participate to the collective motion of the medium and might reach local thermal equilibrium.

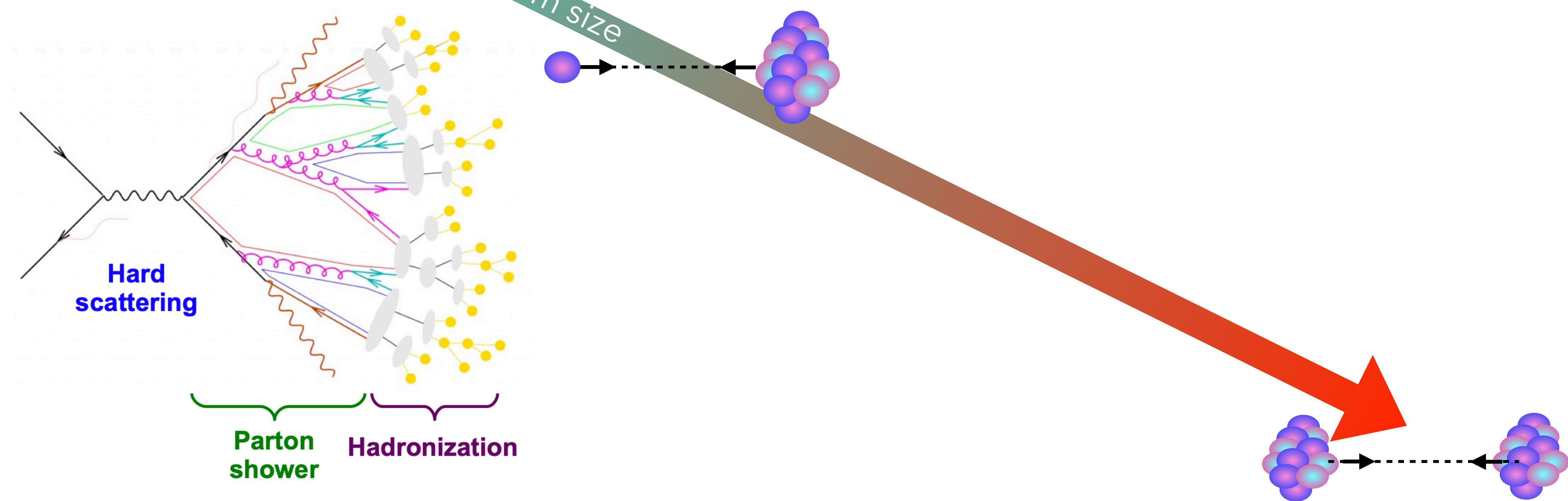
STAR, arXiv:2303.03546



TAMU: He et al., Phys. Rev. C 91 (2015) 024904.

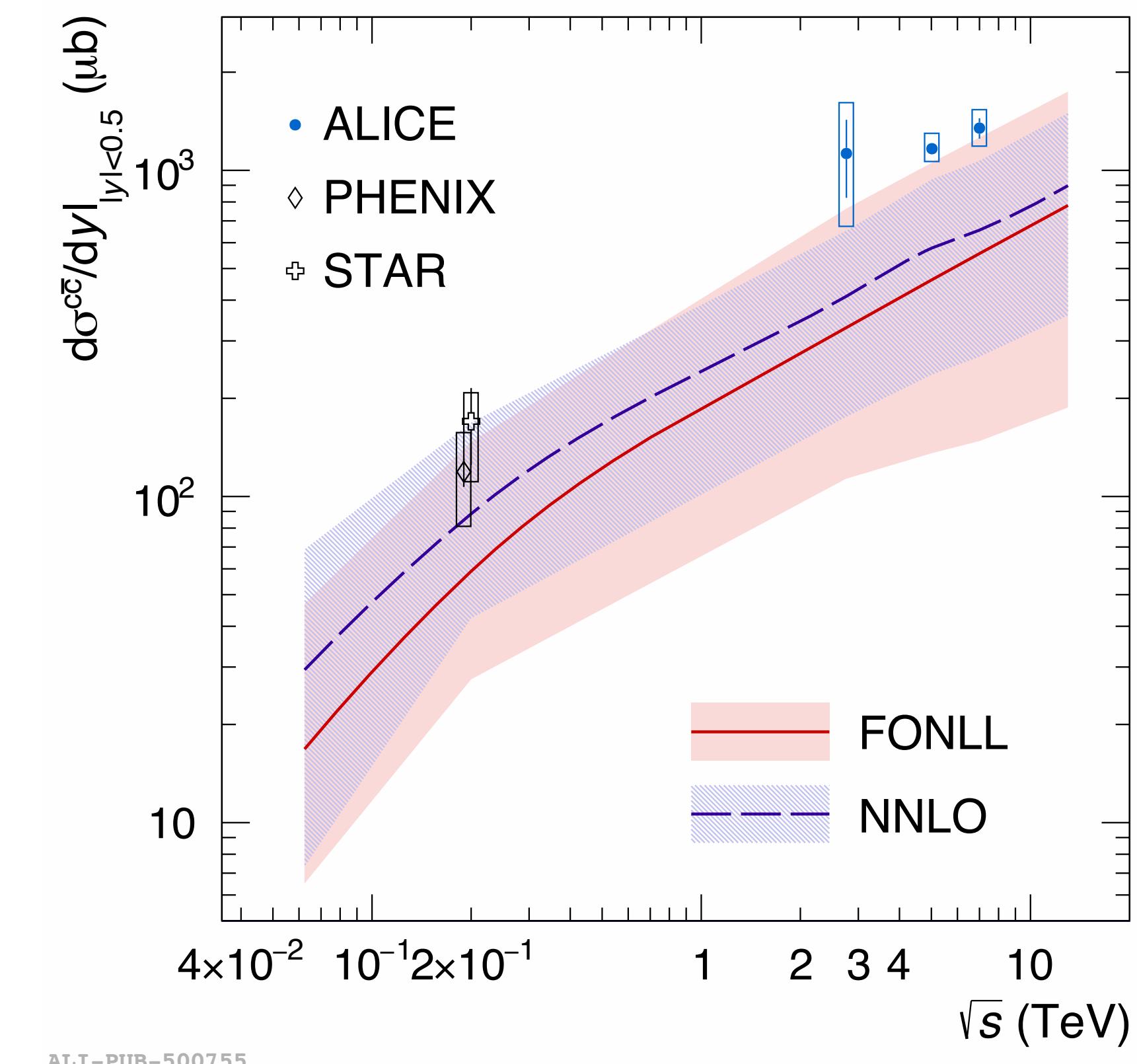
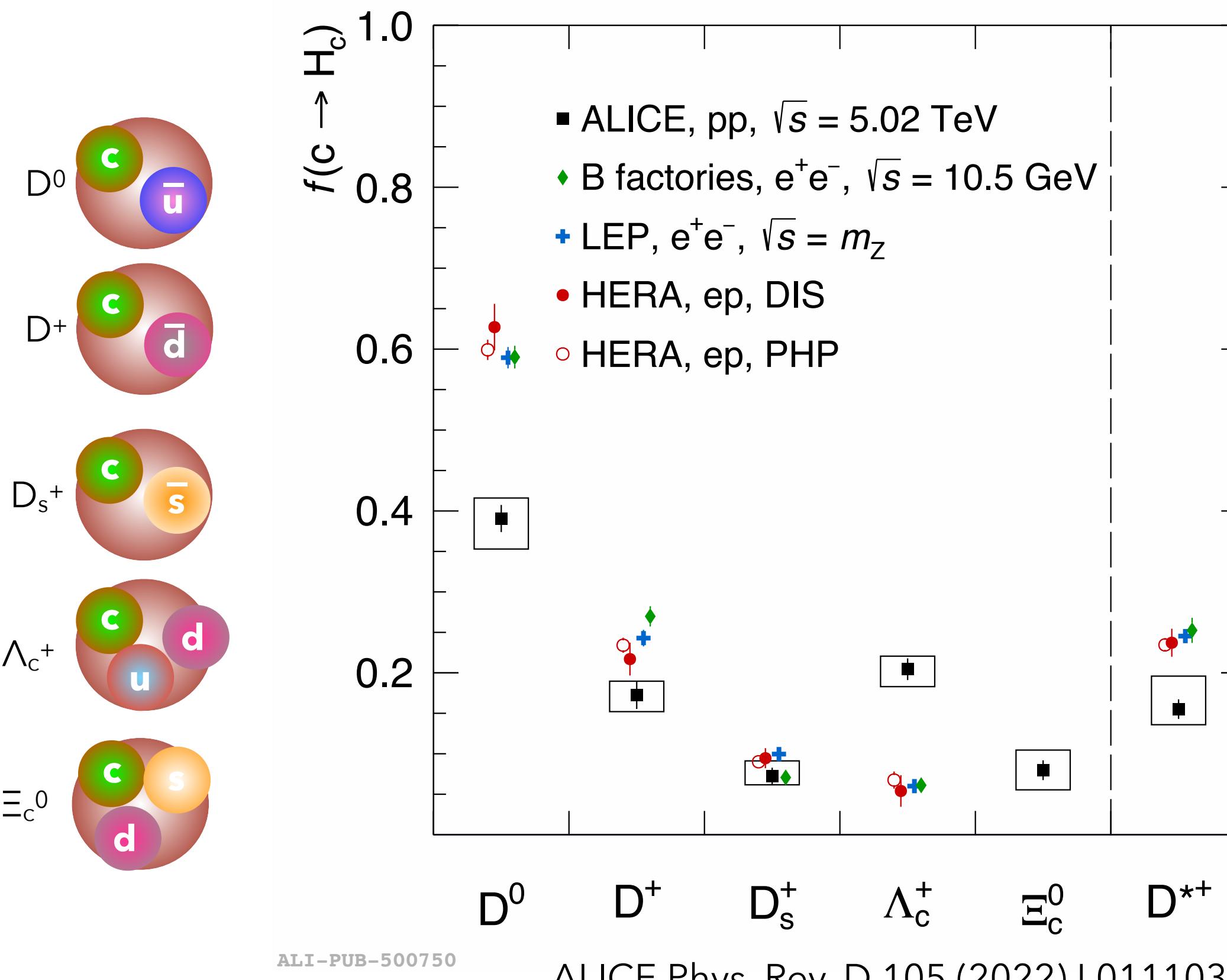
PHSD: Song et al., Phys. Rev. C 92 (2015) 014910., Phys. Rev. C 96 (2017) 014905

# Fragmentation and hadronisation



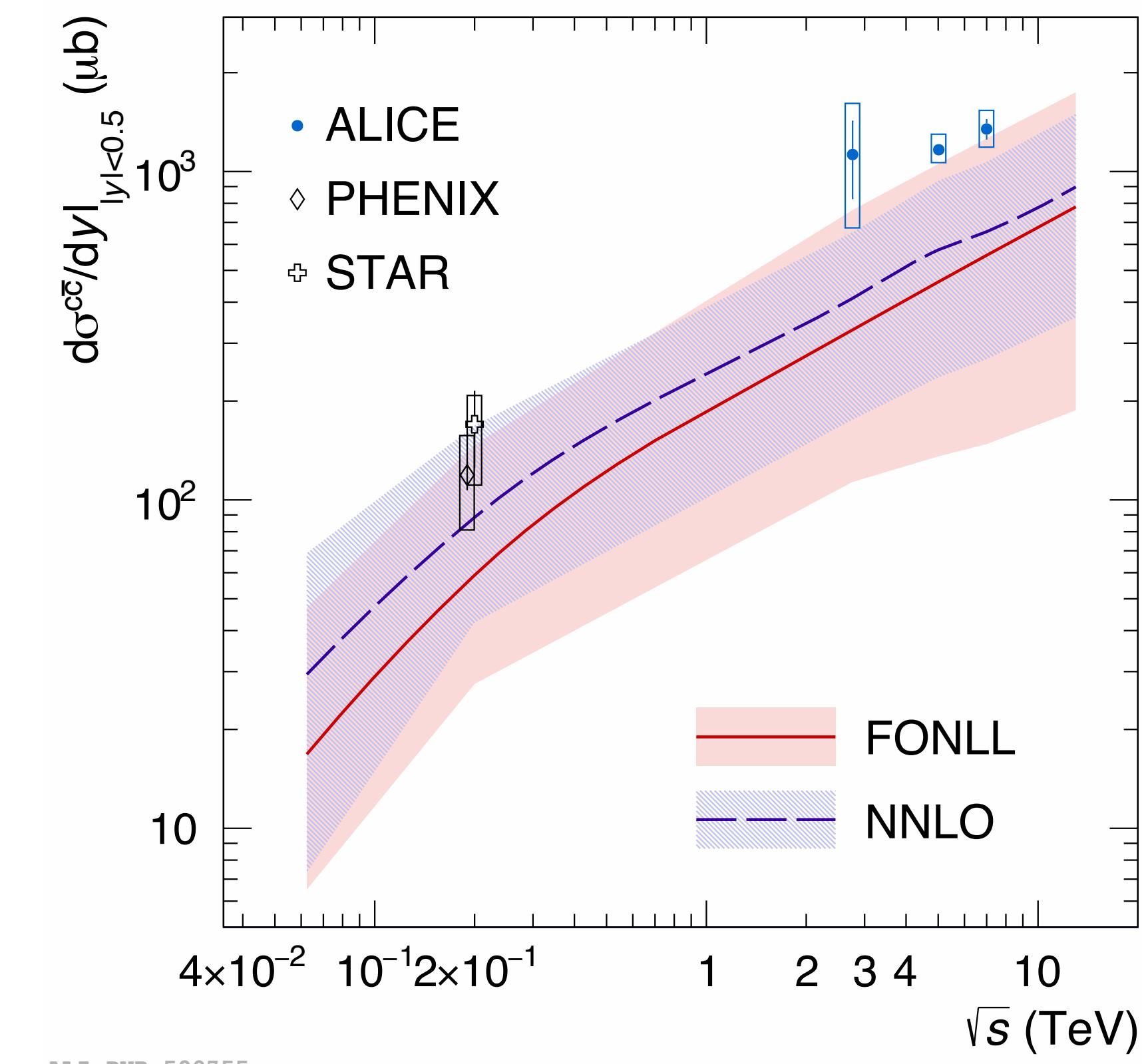
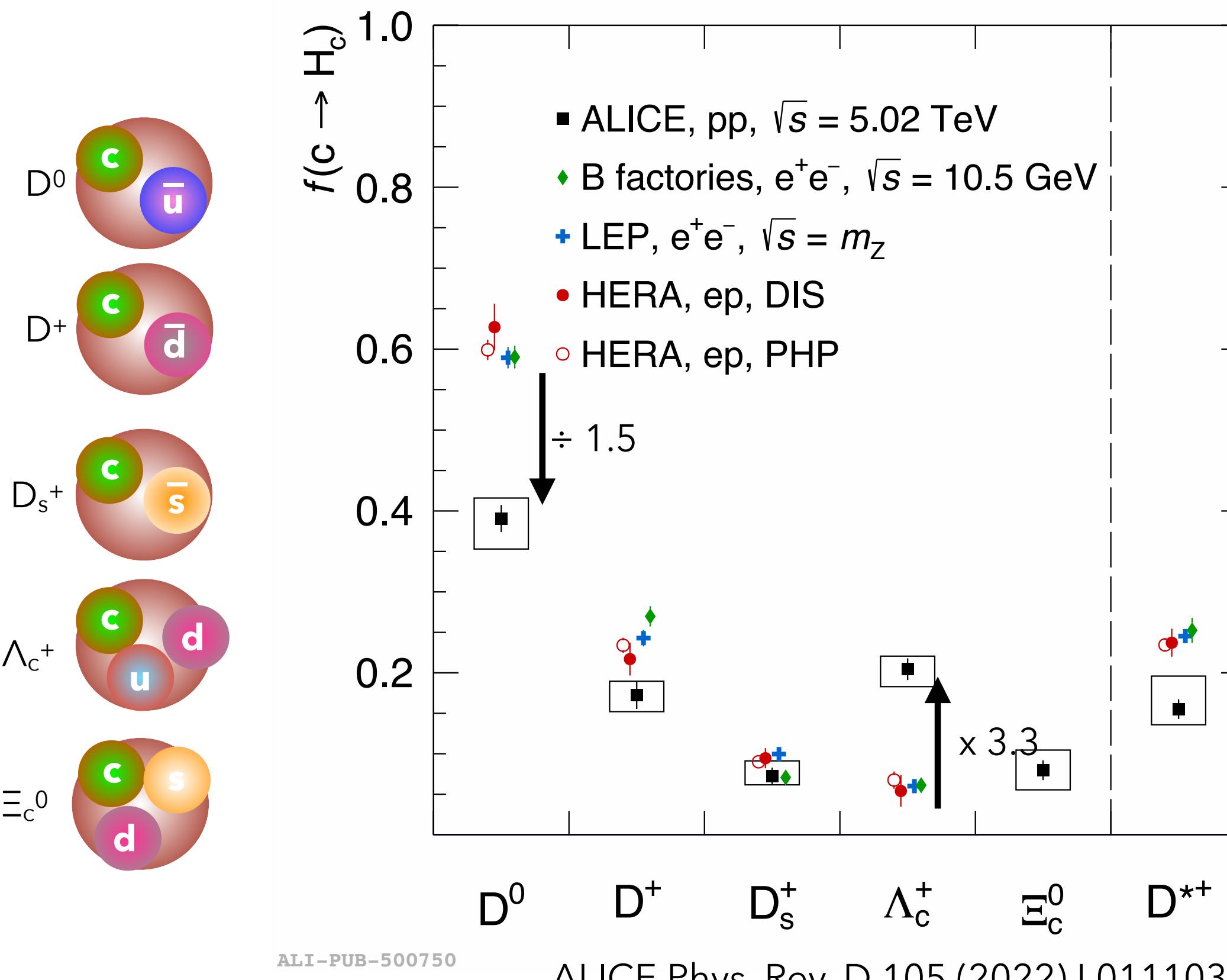
# Unexpected charm fragmentation fractions in pp

- **Significant difference of the charm fragmentation fractions in pp vs. e<sup>+</sup>e<sup>-</sup> and ep collisions.**  
Evidence of the dependence of the parton-to-hadron fragmentation fractions on the collision system. Universality?
- Updated total charm cross section calculation, ~40% higher than the previously published results. The data lie at the upper edge of the theoretical pQCD bands.



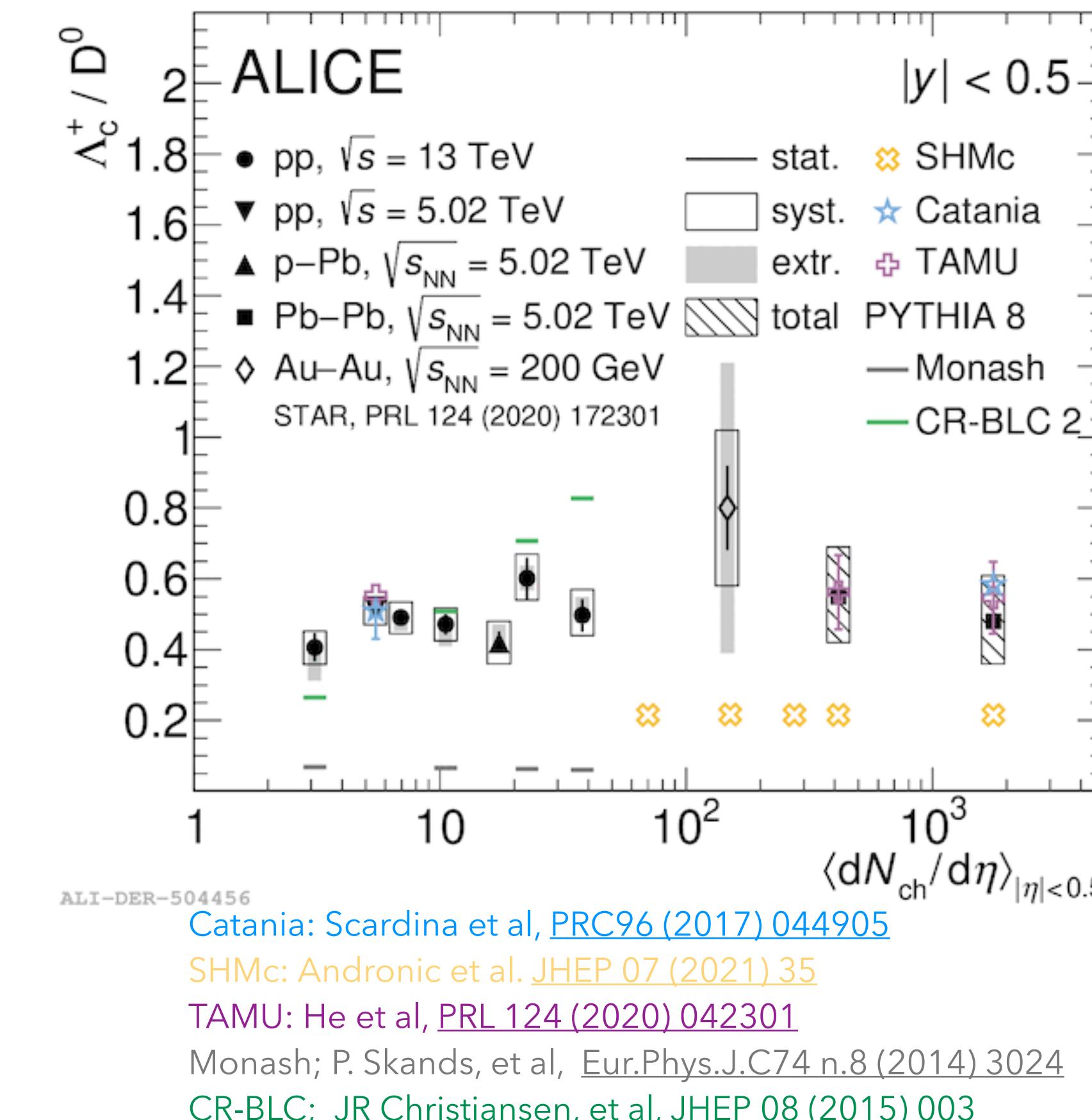
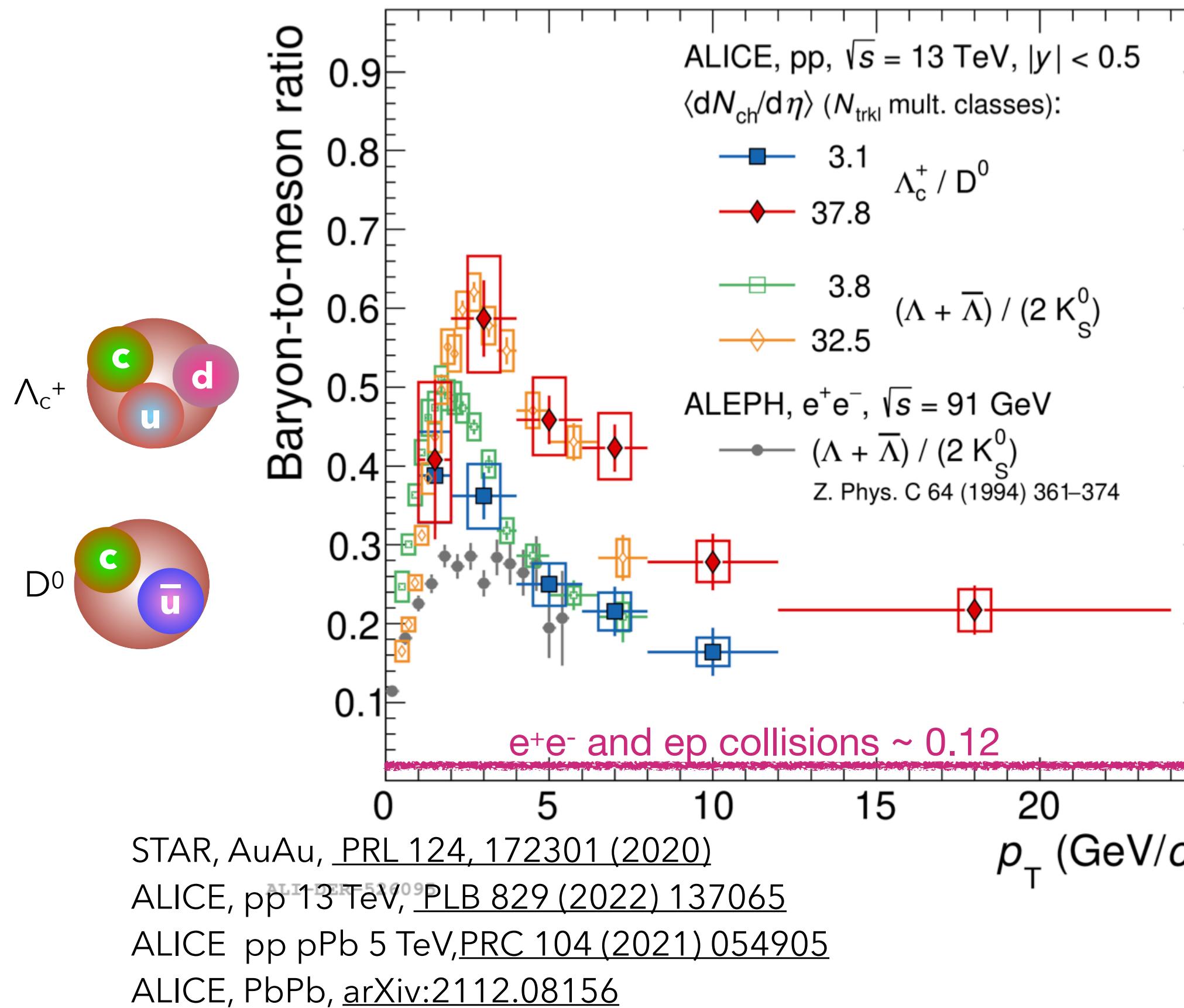
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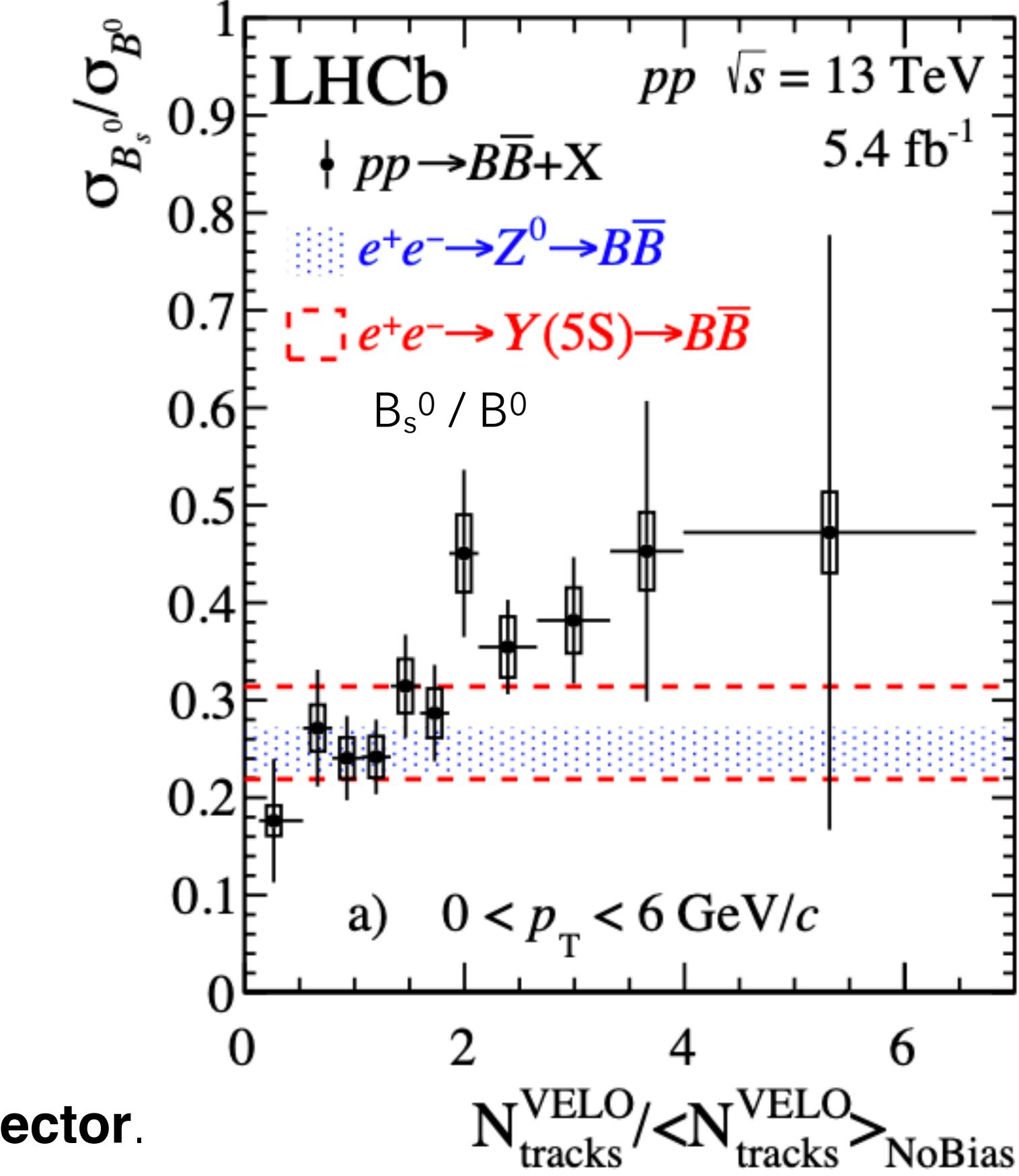
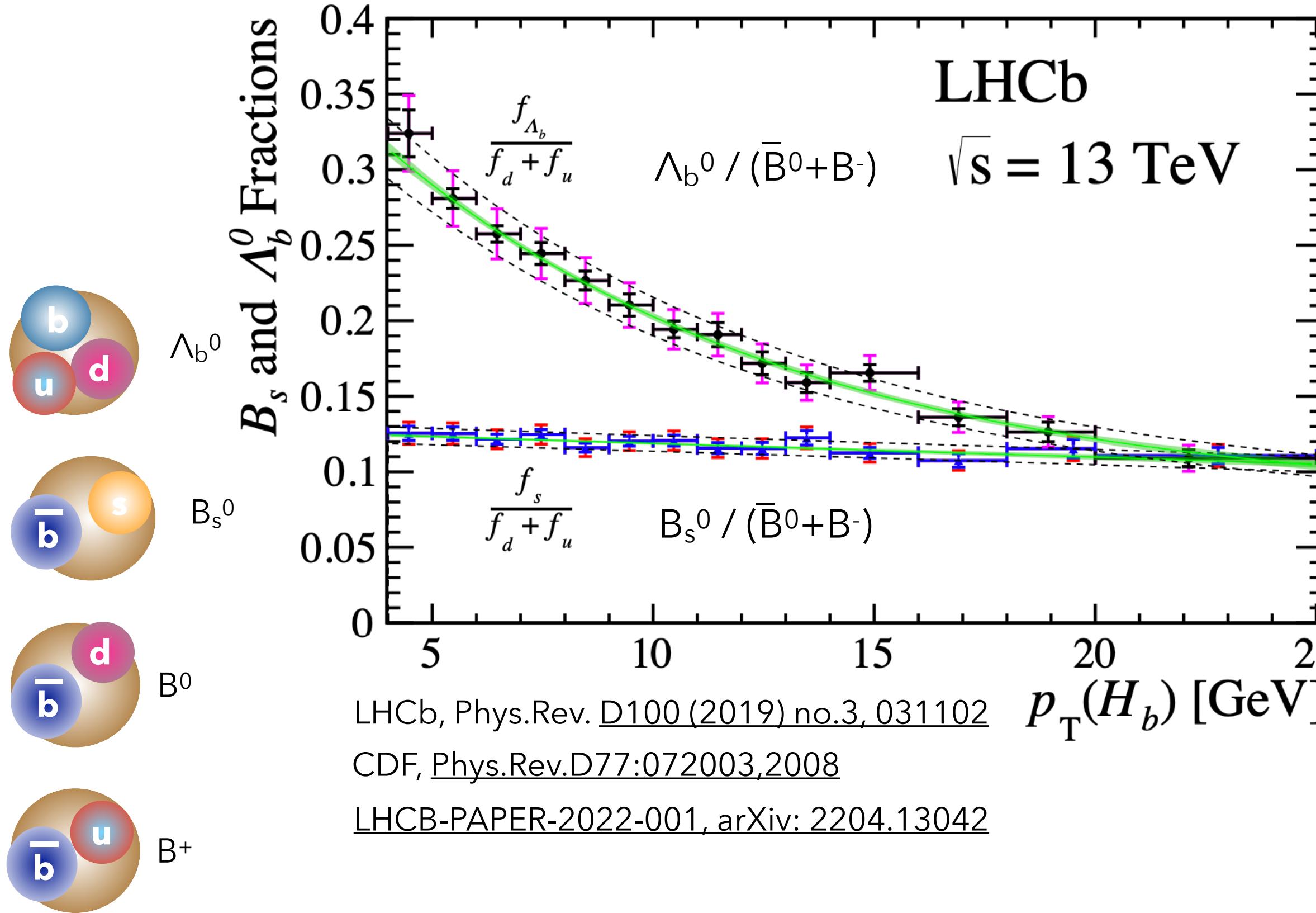


# Similar influence for charm baryons and mesons (pp)?

- Observed a **strong  $p_T$  dependence of the baryon-to-meson ratios in the charm sector**, similar to that observed in the light-flavour sector.
- **Likely due to a redistribution of momentum**, rather than to an overall enhancement of baryon yield.

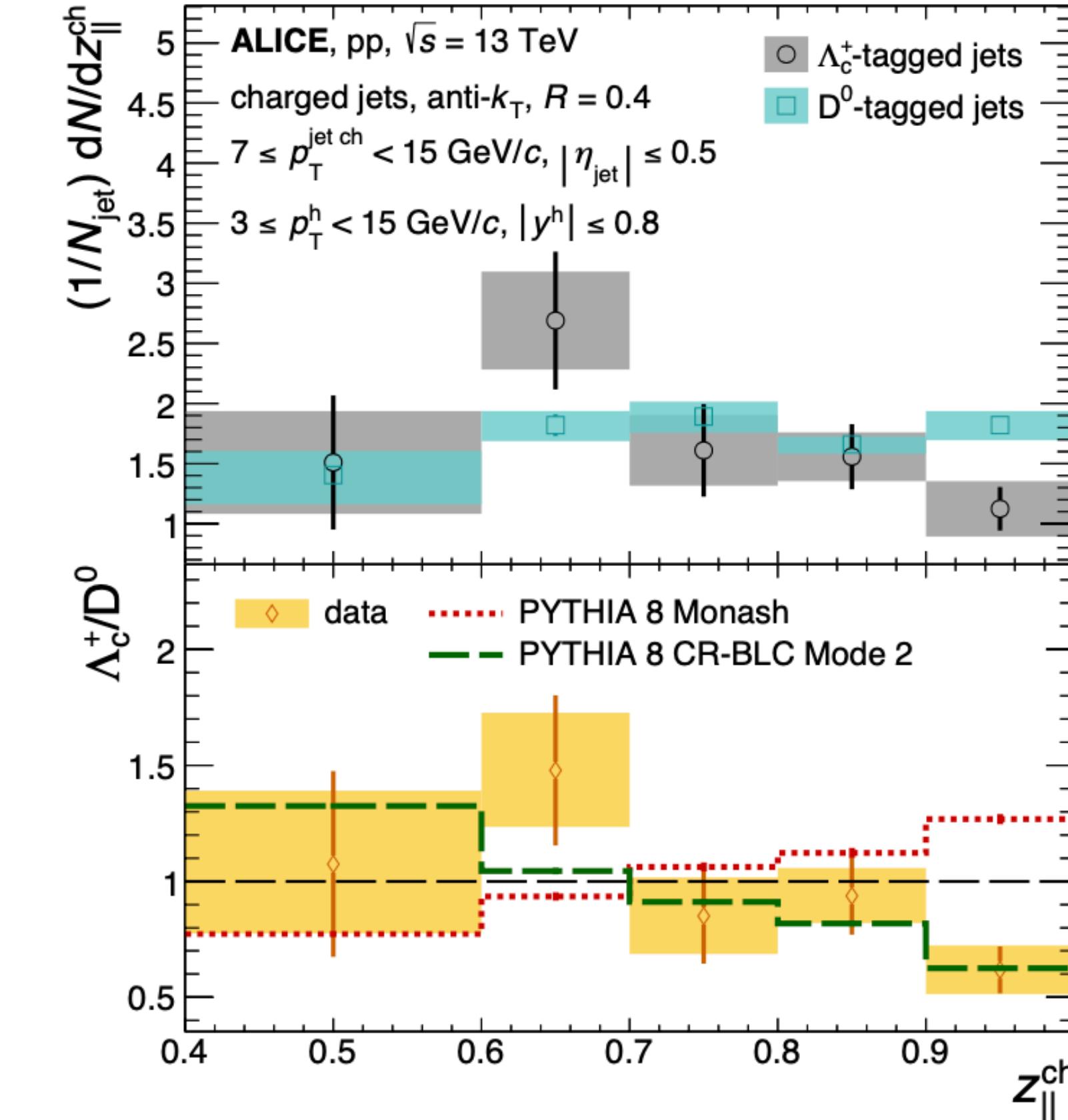
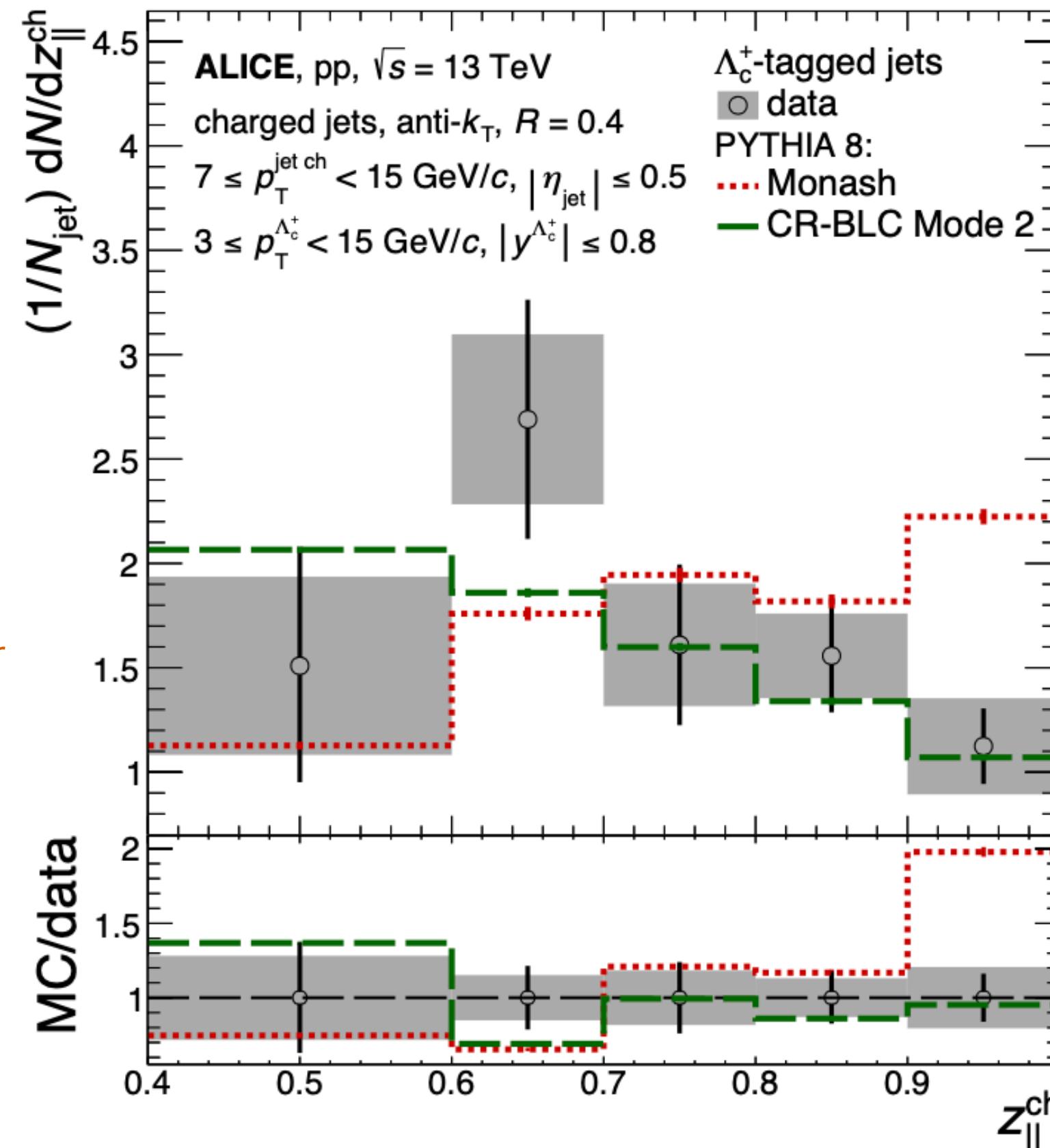
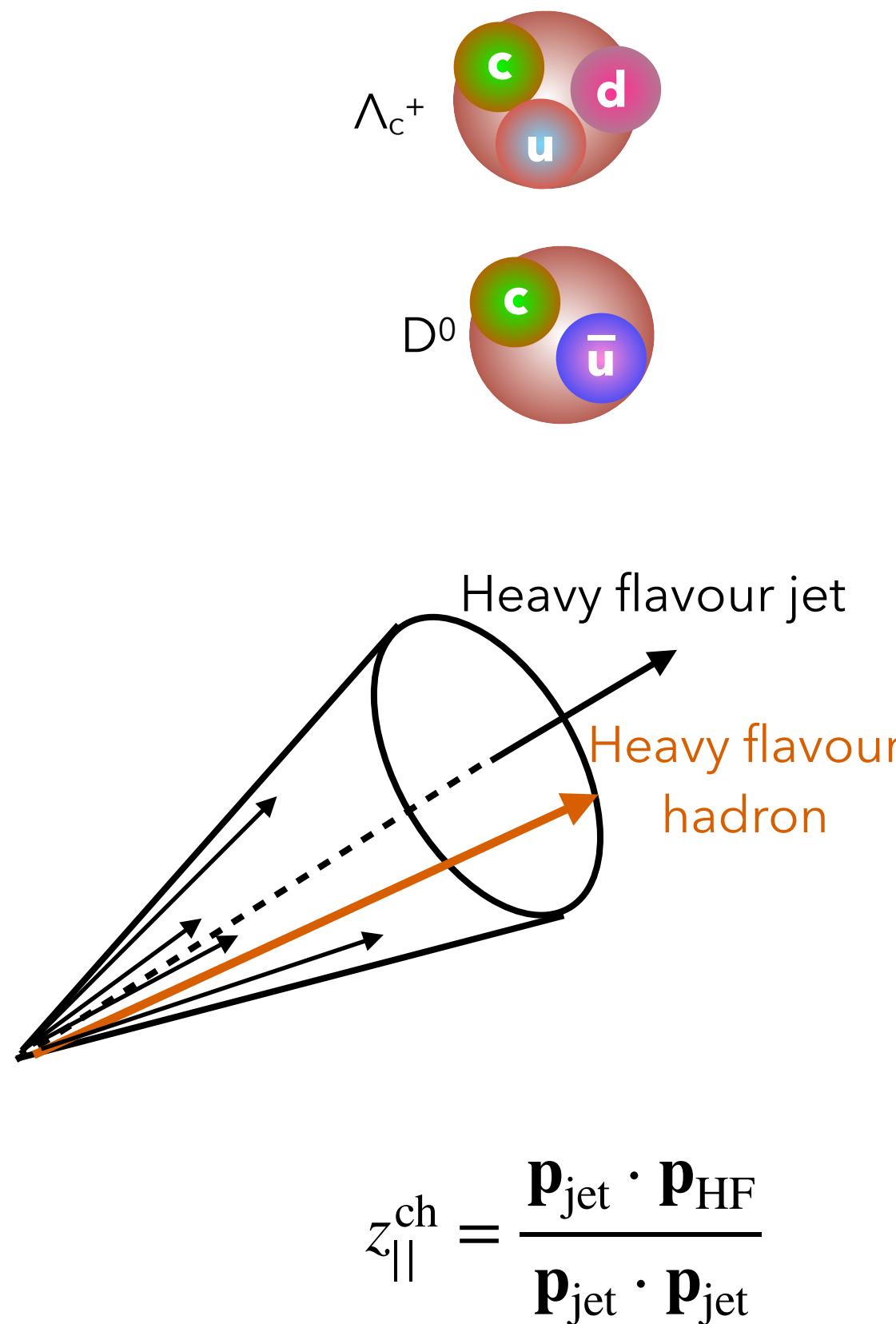


# Similar influence for beauty baryons and mesons (pp)?



- Similar findings **observed by CDF and LHCb in the beauty sector.**
- Strong  $p_T$  dependence of the baryon-to-meson ratios.
- **Evolution of  $B_s^0/B^0$  with charged-particle multiplicity at low  $p_T$ , no dependence at intermediate-to-large  $p_T$ .**
- Expected in a scenario where low- $p_T$  production is affected by **coalescence**, whereas high  $p_T$  is dominated by vacuum **fragmentation**.

# Can charm-jet studies help constrain hadronisation (pp)?



- Hint of different (softer) fragmentation for  $\Lambda_c$  than  $D^0$
- PYTHIA 8 calculation with colour-reconnection seems to describe the trend

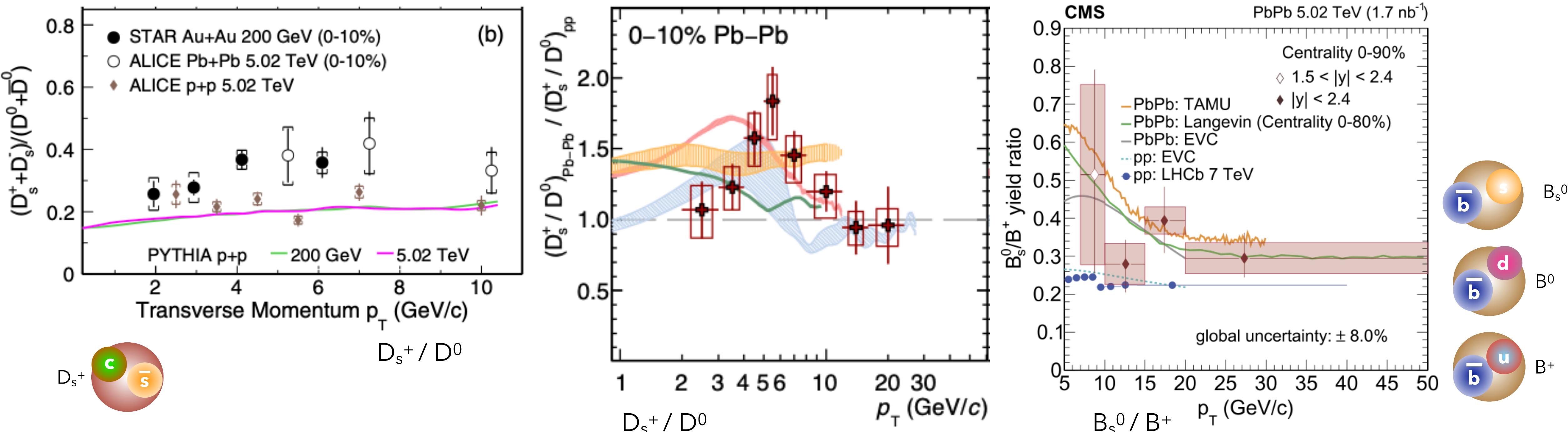
ALICE, [arXiv: 2301.13798](#)

ALICE, [arXiv: 2204.10167](#)

Monash; P. Skands, et al, [Eur.Phys.J.C74 n.8 \(2014\) 3024](#)

CR-BLC; JR Christiansen, et al, [JHEP 08 \(2015\) 003](#)

# Are meson ratios affected in medium?



- Expected trend of the  $D_s^+ / D^0$  and  $B_s^0 / B^+$  ratios in pp
- **In central HIC, charm/beauty-strange mesons seem enhanced at intermediate  $p_T$  with respect to non-strange meson rates**
- Consistent with the **coalescence in-medium** picture (with enhanced strange quark production in medium)

STAR, [PRL 127 \(2021\) 092301](#)

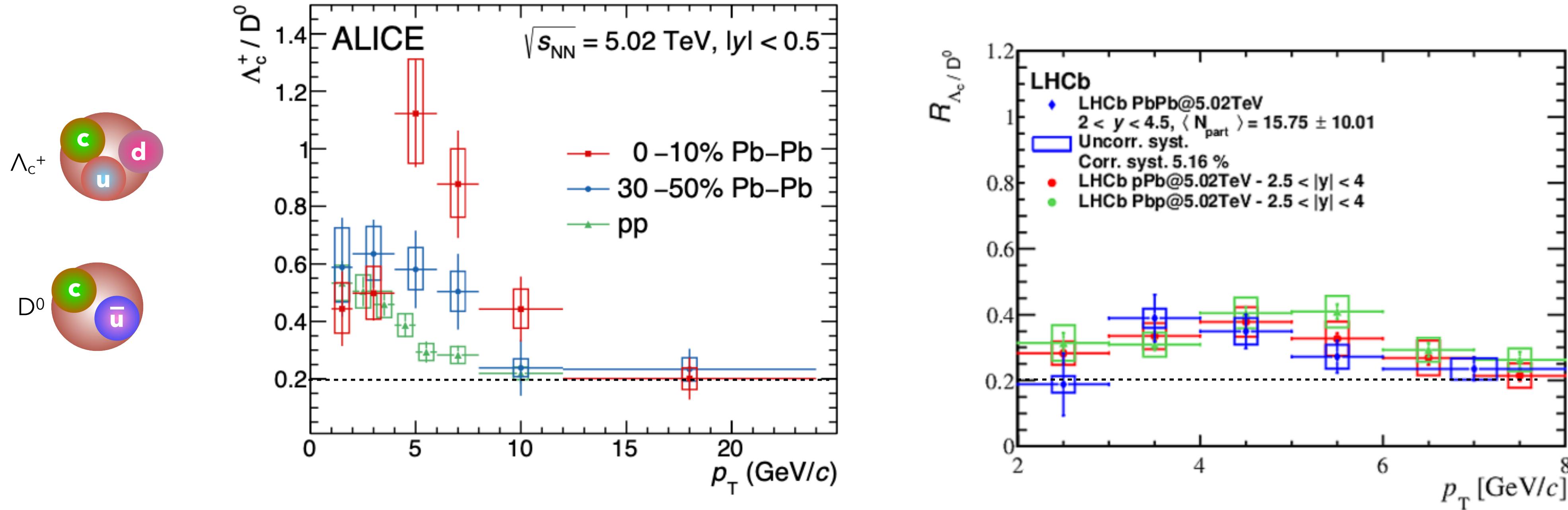
ALICE, [arXiv:2204.10386](#)

ALICE, [PLB 827 \(2022\) 136986](#)

ALICE, [arXiv:2204.10386](#)

CMS, [PLB 829 \(2022\) 137062](#)

# Are baryon ratios affected in medium?



- ALICE observes a **larger modification of the  $p_T$  distribution with increasing charged-particle multiplicity**.  
No significant evolution observed by LHCb (in peripheral collisions)
- Consistent  $\Lambda_c/D^0$  ratios at high  $p_T$  across collision systems (pp, pPb, PbPb)
- Interplay of (energy loss and) **fragmentation and coalescence** in medium?

LHCb, [arXiv:2210.06939](#)

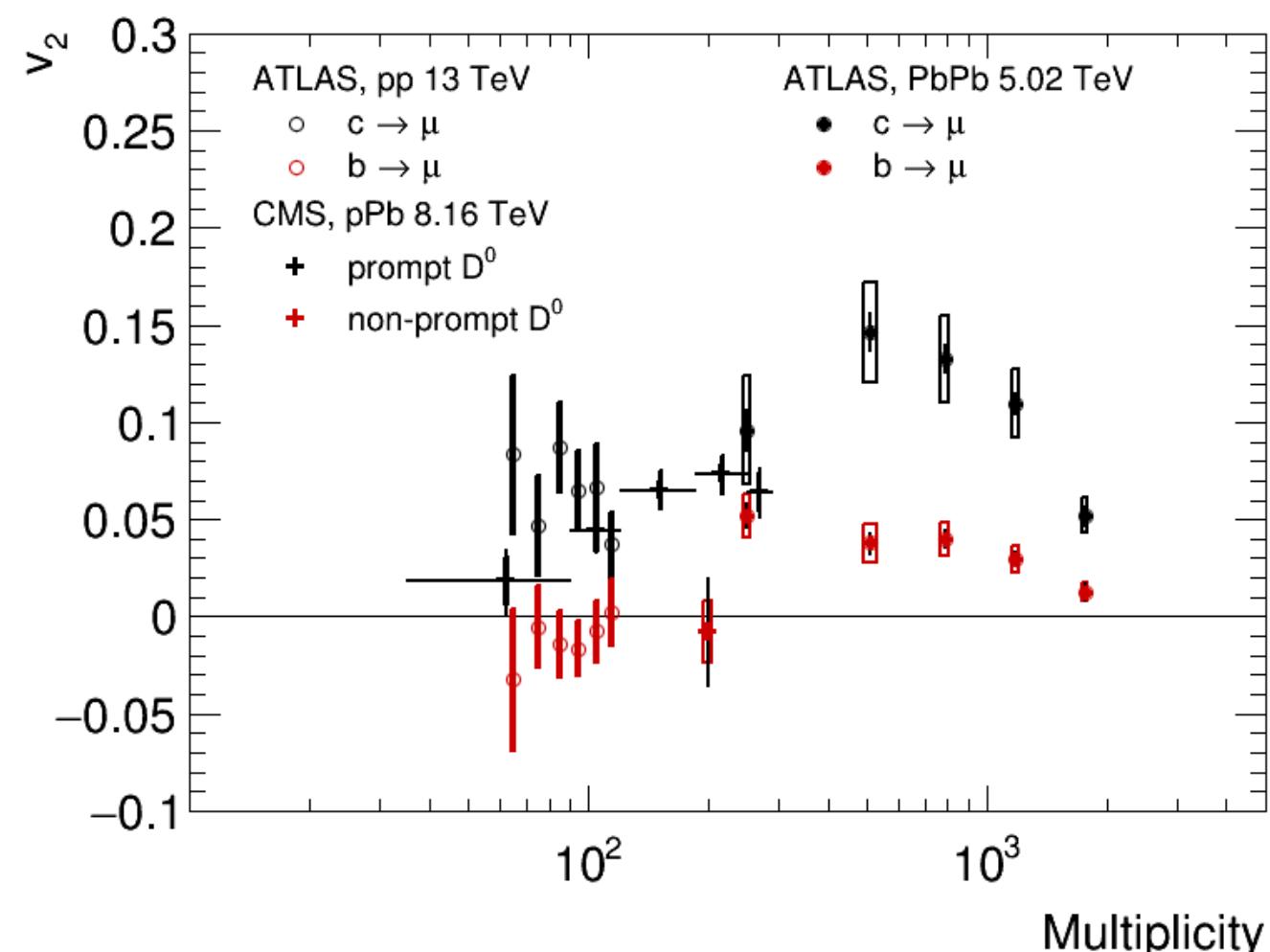
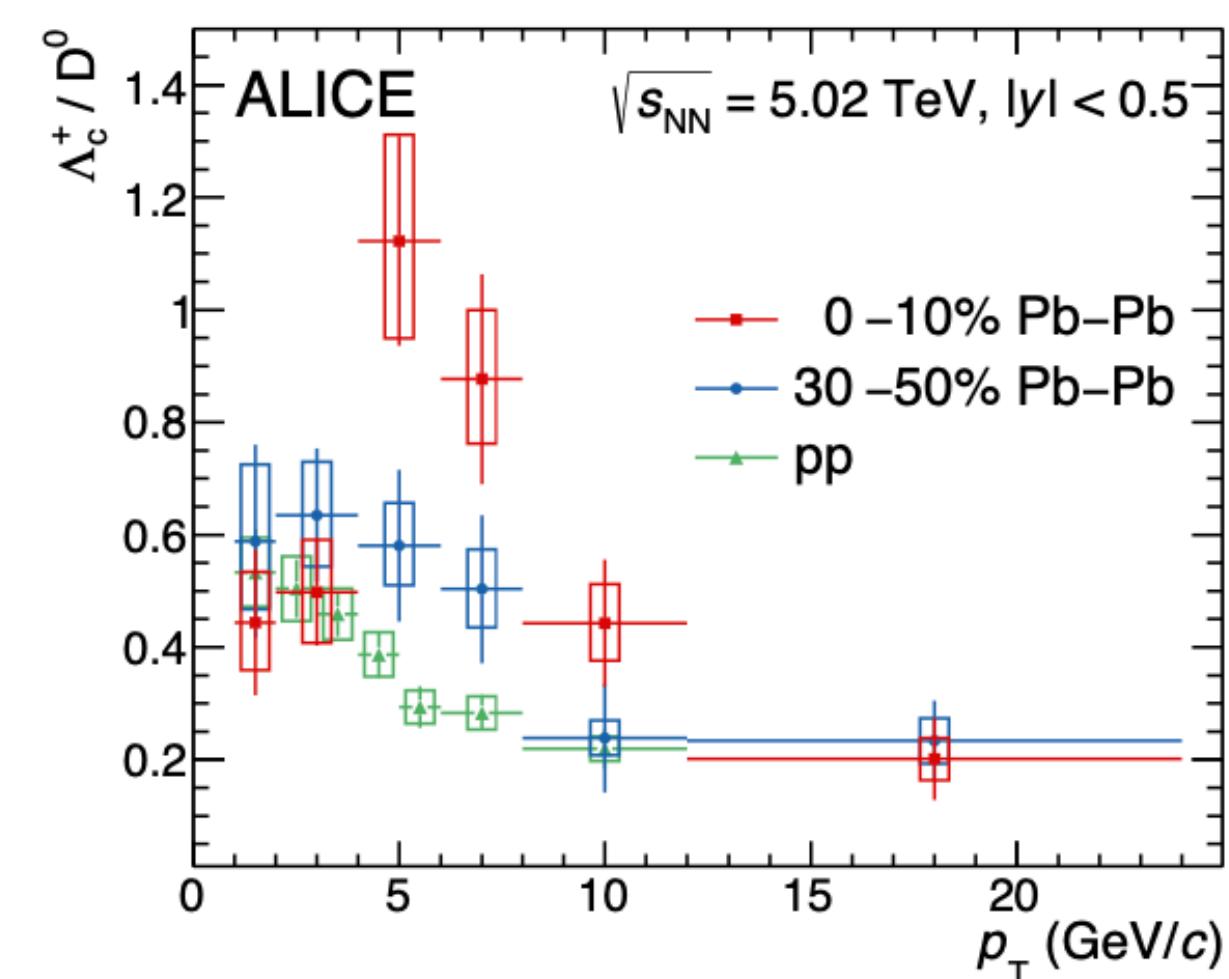
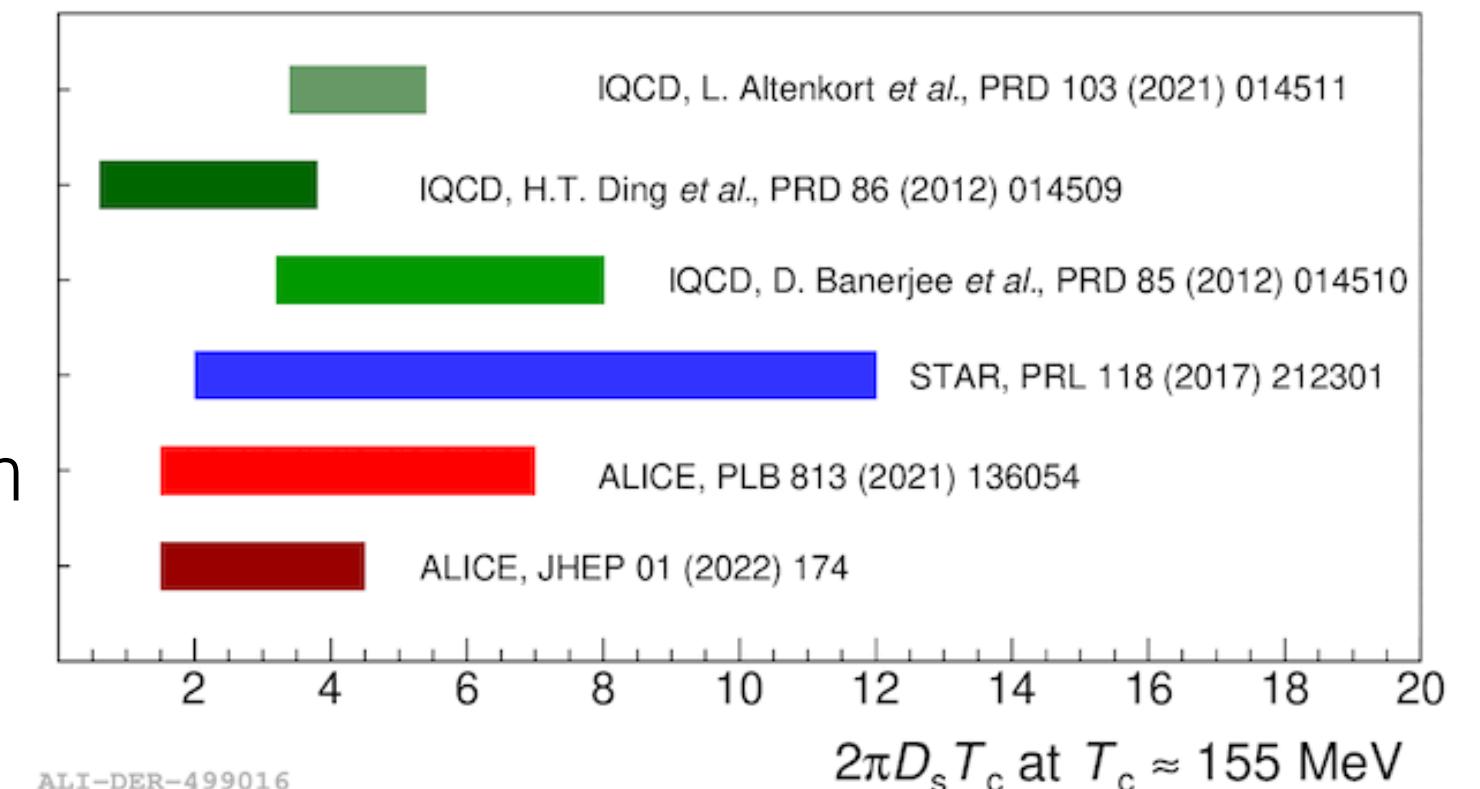
ALICE, [arXiv:2112.08156](#)

# Summary

- Entering the heavy flavour precision era
  - ▶ Simultaneous comparison of ( $R_{AA}$ ,  $v_n$ , jet shapes...) measurements with model calculations improves our understanding of heavy quark interaction with the medium
  - ▶ The origin of the collective motion in small systems is still under debate.  
Important role of initial state effects and/or influence of final state effects ?
  - ▶ Role of fragmentation and hadronisation is under scrutiny, both in medium and in vacuum.

Apologies for all those results I could not present,  
e.g. HF decay leptons (ALICE, STAR, PHENIX),  
non-prompt/prompt  $D^0$  vs mult, correlations,....

Special thanks to A. Dainese for suggestions



# Many interesting talks coming!

## Tuesday, HF&Onia

- ❖ R. Litvinov LHCb, open HF pPb & PbPb
- ❖ K. Mattioli, LHCb, fixed target
- ❖ A. Kalteyer, ALICE, HF hadronisation
- ❖ S. Chandra, CMS,  $\Lambda_c$  &  $D^+$  pp & PbPb
- ❖ Y. Zhang, CMS, HF flow pp & pP
- ❖ P. Lu, ALICE, prompt/non-prompt  $J/\psi$

## Wednesday, HF&Onia

- ❖ M. Völkl, ALICE, beauty pPb & PbPb
- ❖ S. Politano, ALICE,  $D_{s1}^*$   $D_{s2}^*$  &  $D^{*+}$  spin pp
- ❖ M. Stojanovic, CMS,  $D^0$  PbPb
- ❖ R. Arnaldi, NA60+, open HF & onia

## Wednesday, Jet

- ❖ S. Tapia, ATLAS, b-jet PbPb
- ❖ P. Dhankher, ALICE,  $D^0$ -jet
- ❖ A. Palasciano, ALICE,  $D/\Lambda_c$  jet, HF correlations

## Thursday, HF&Onia

- ❖ T. Sheng, CMS, B mesons pp & PbPb

## Plenary

- ❖ **A. Rossi, hadronisation, Thursday**
- ❖ **A. Dubla, summary talk, Friday**

Big thank you to the organisers !

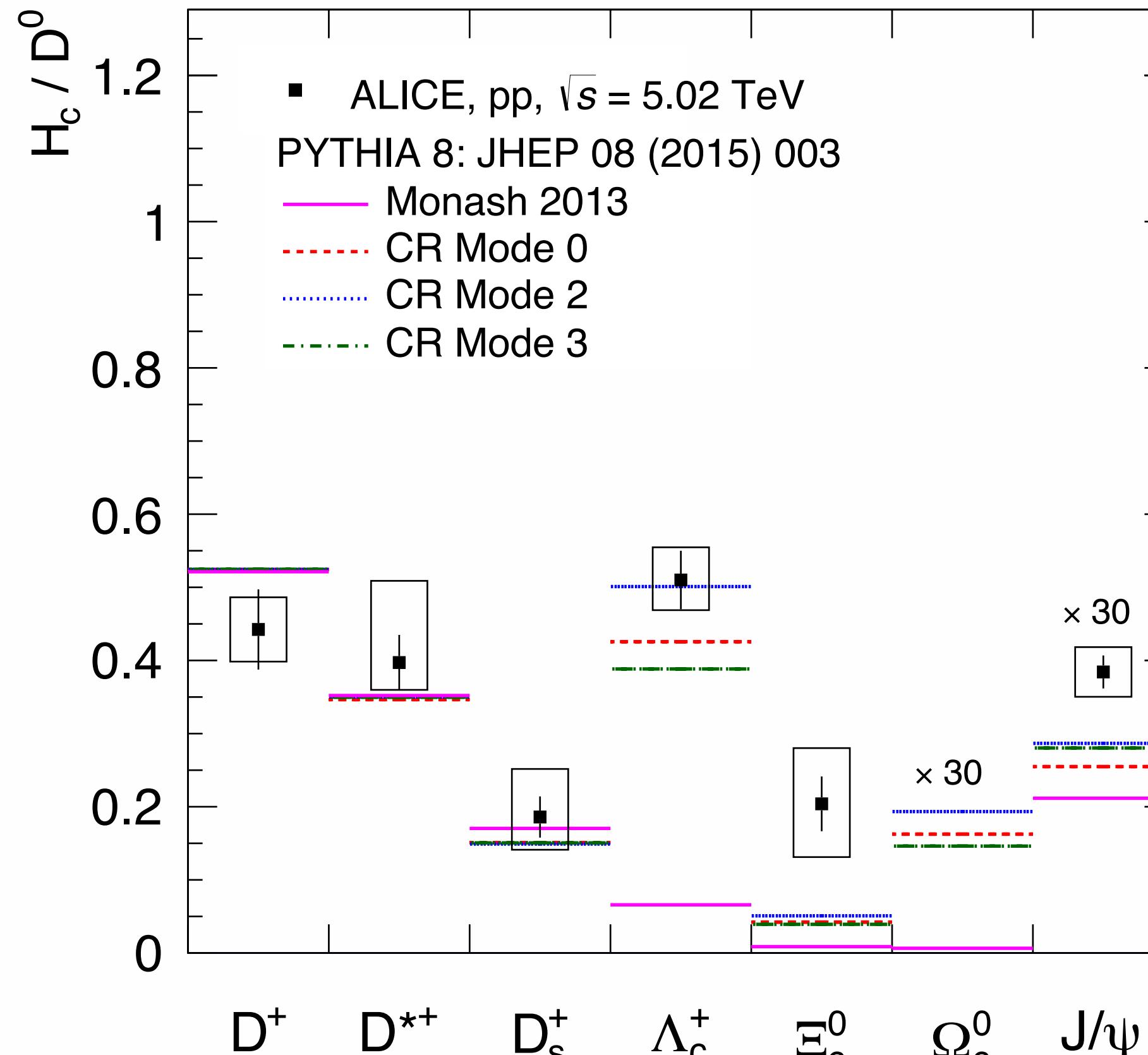
Thanks



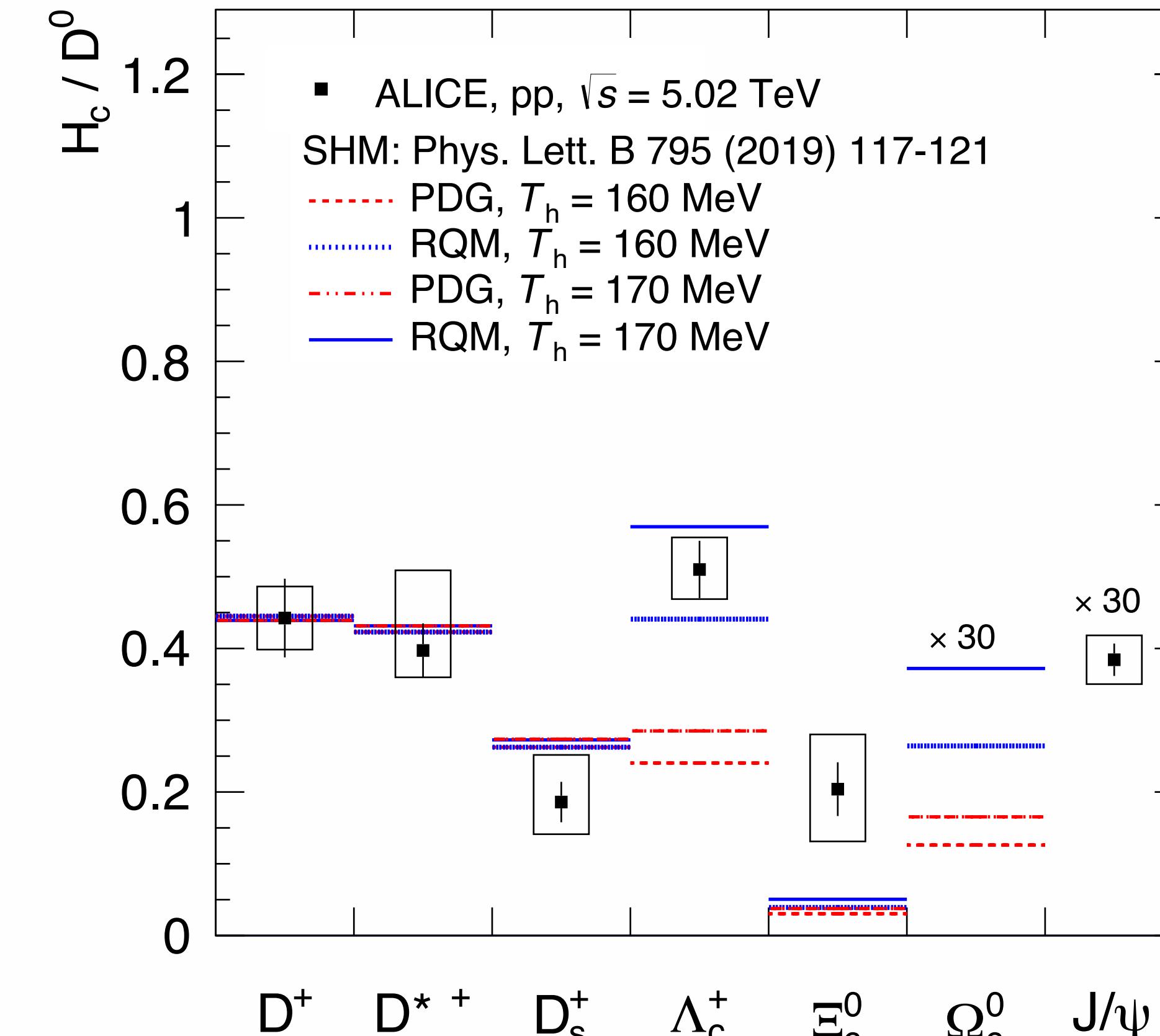
Danke

# Additional material

# Charm fragmentation fractions in pp

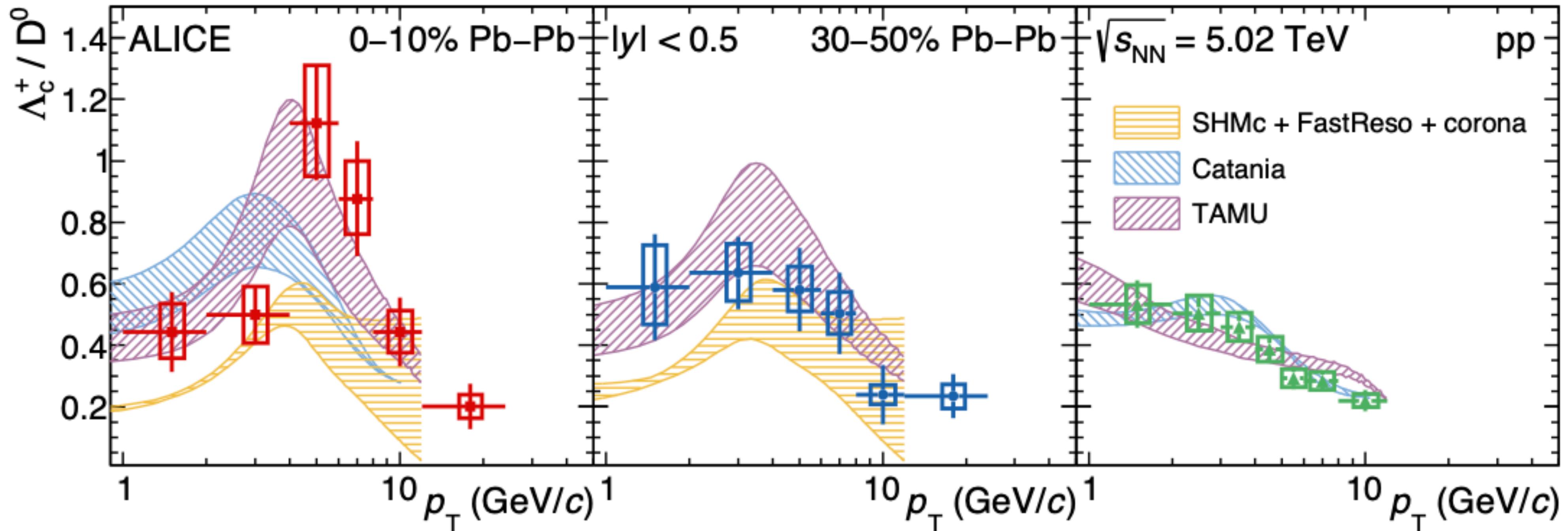


ALI-PUB-500740



ALI-PUB-500745

# $\Lambda_c/D^0$ ratio in PbPb

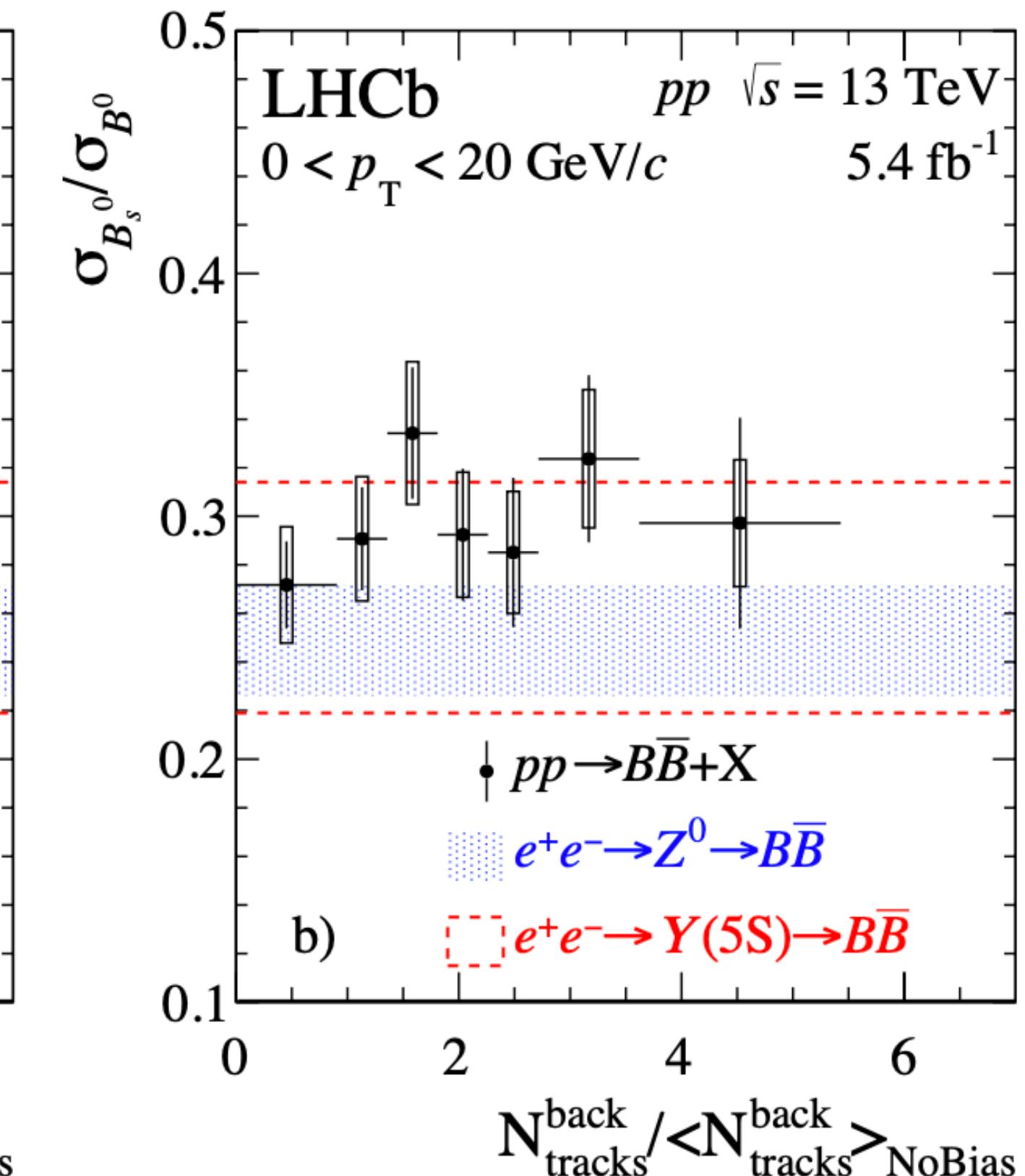
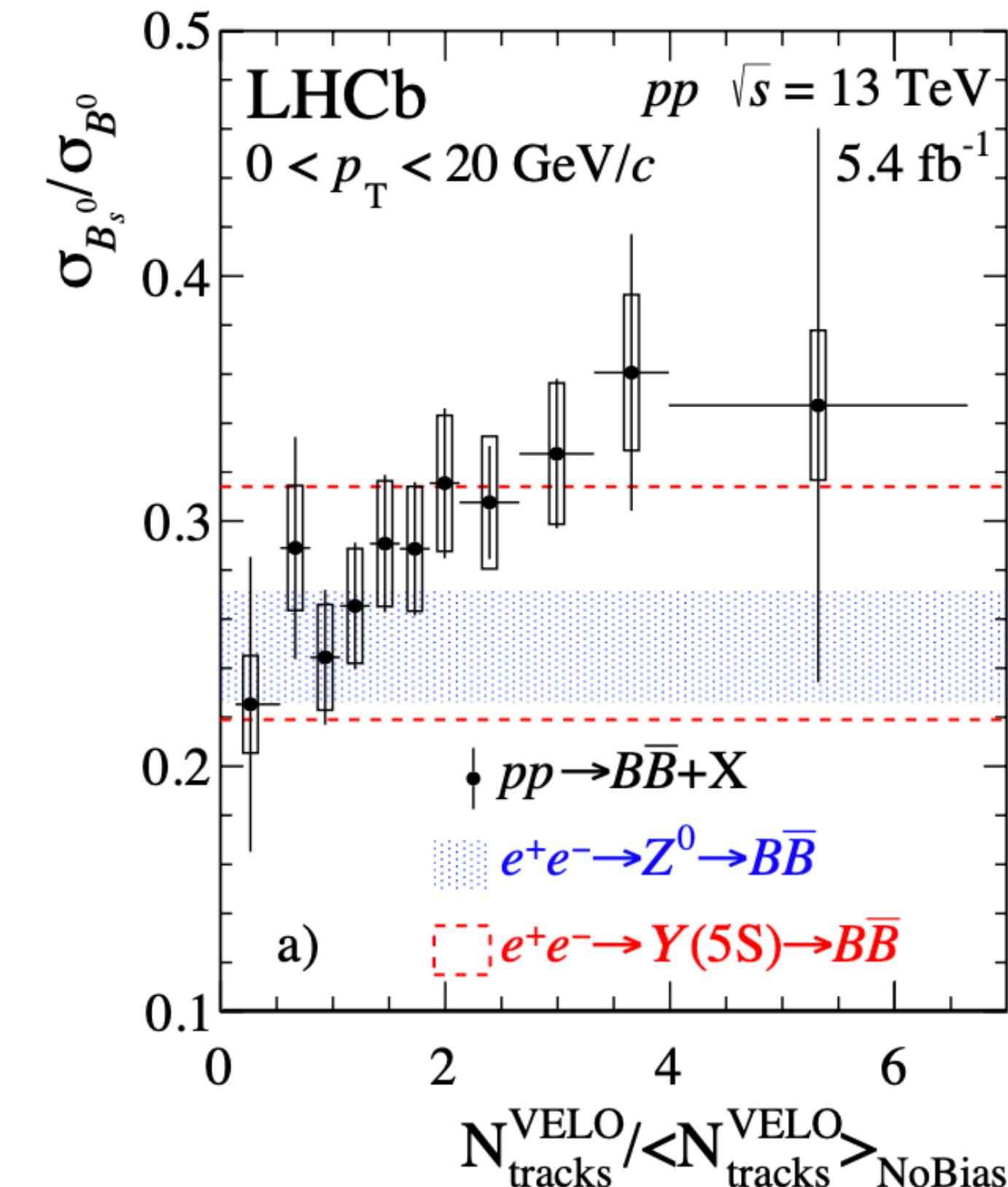


- Described by transport calculations that include both fragmentation and coalescence mechanisms in medium.

ALICE, arXiv:2112.08156

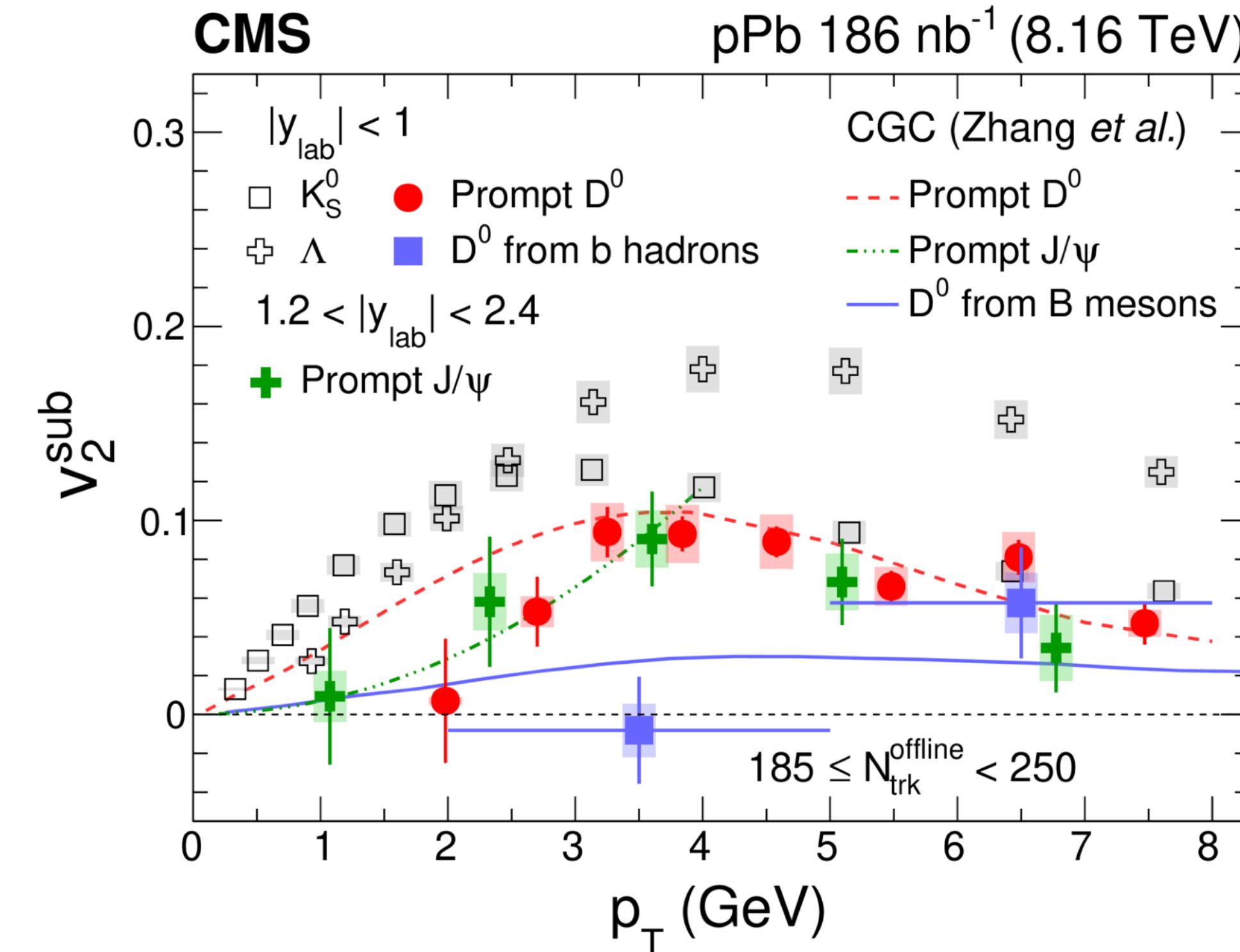
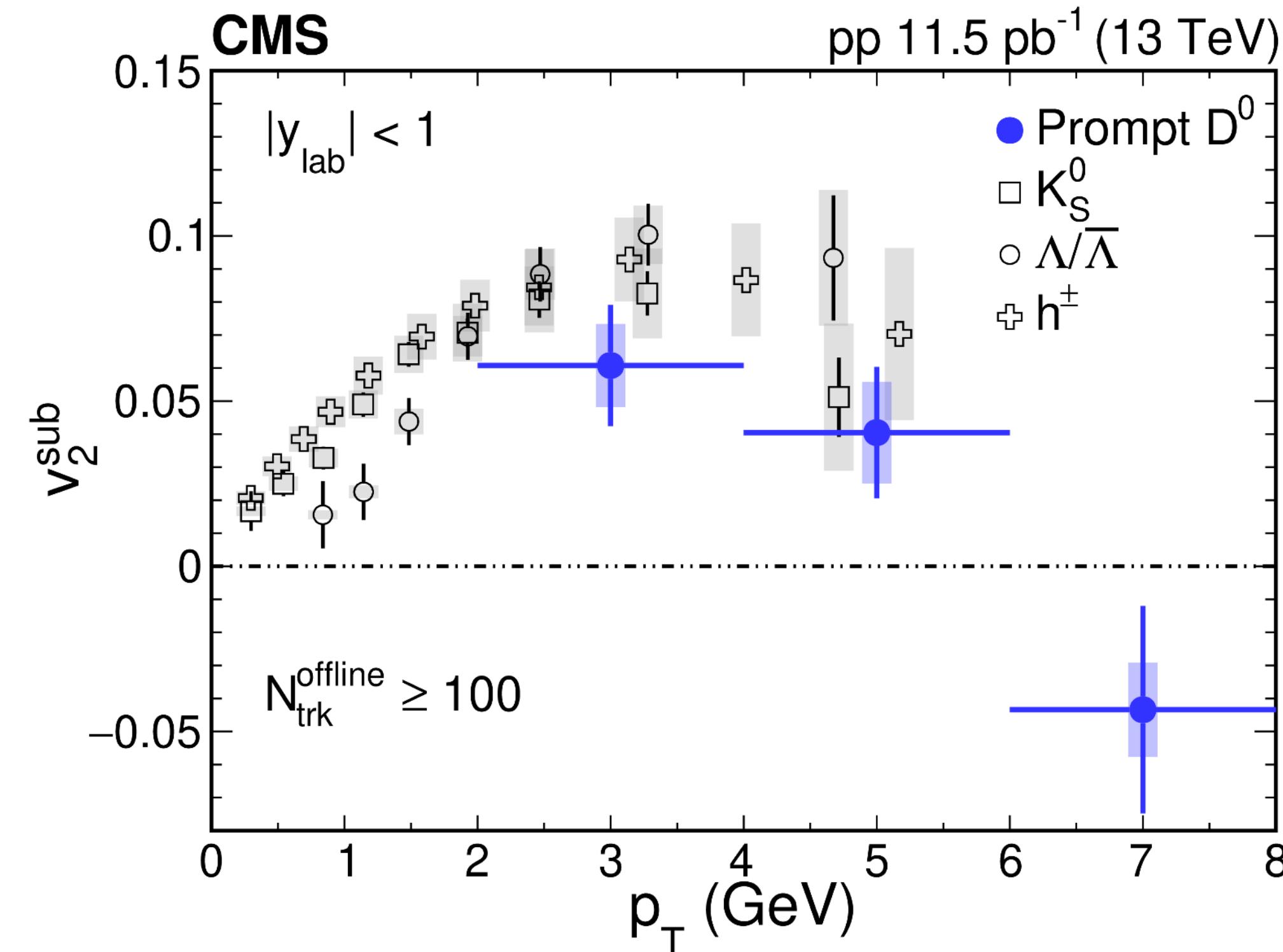
# $B_s^0/B^0$ vs. multiplicity in pp

- Lack of dependence with multiplicity at backward rapidity.
- Mechanism possibly related to local particle density in a similar rapidity interval to that of the production of the B



LHCb-PAPER-2022-001, arXiv: 2204.13042

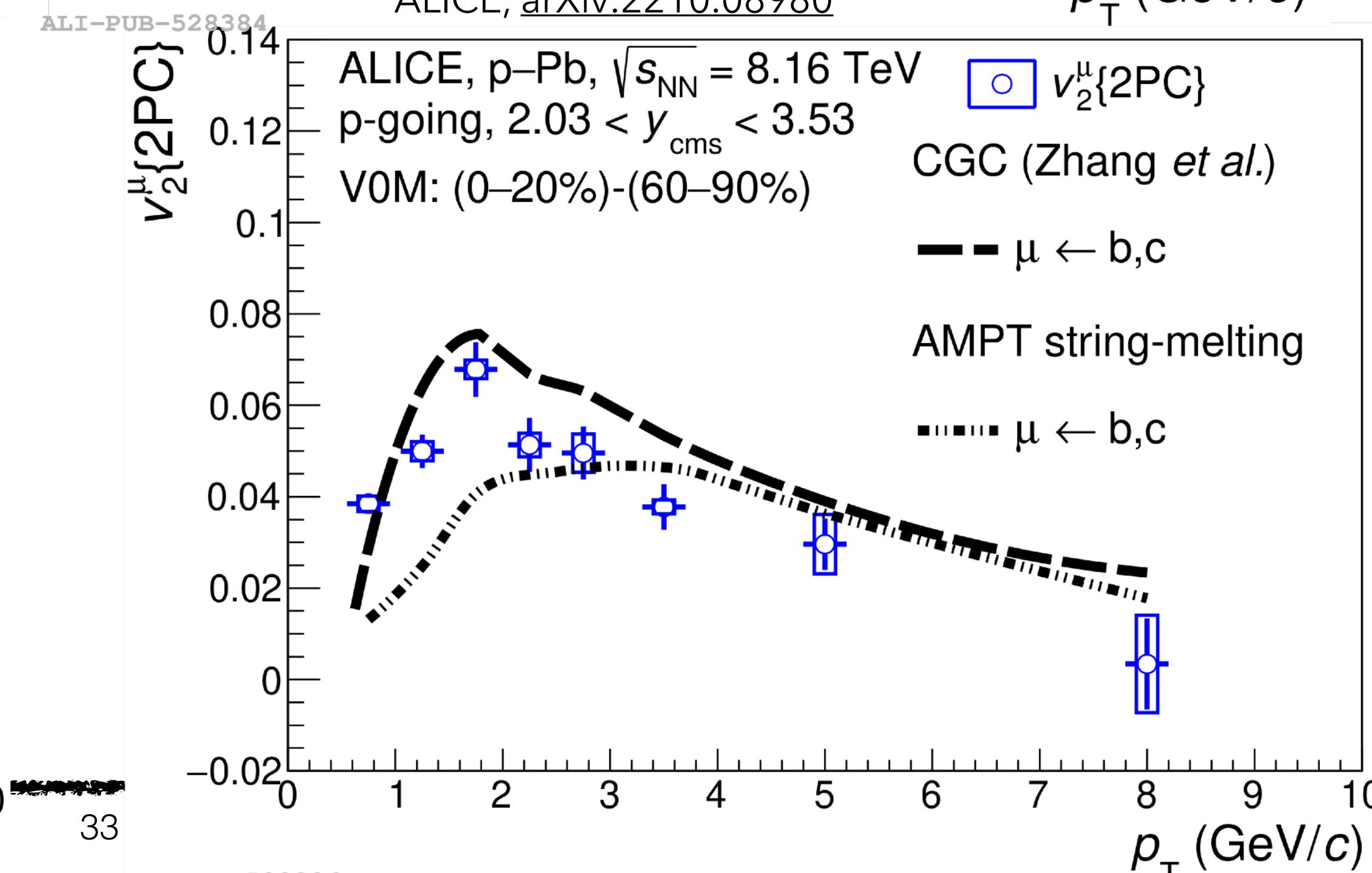
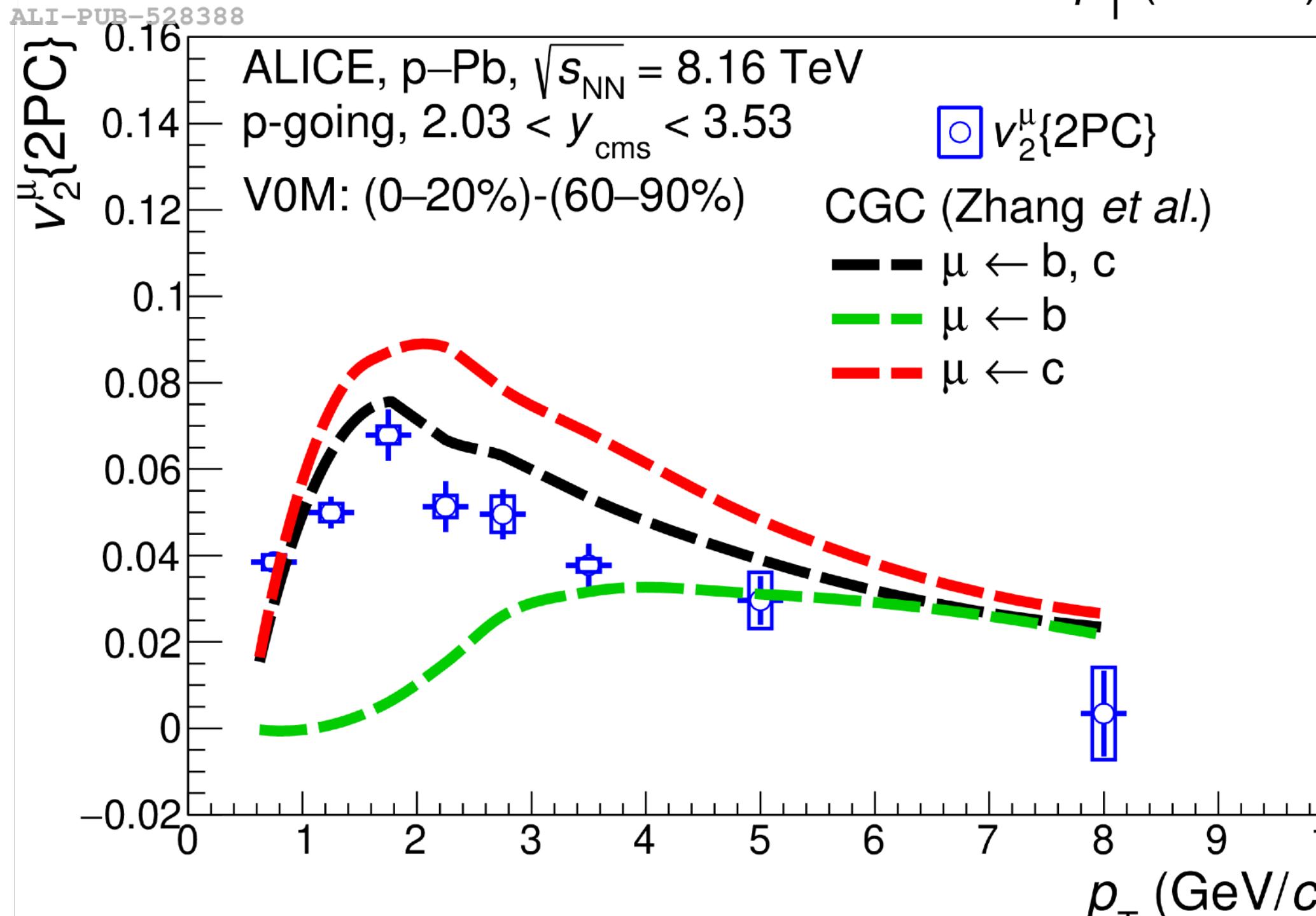
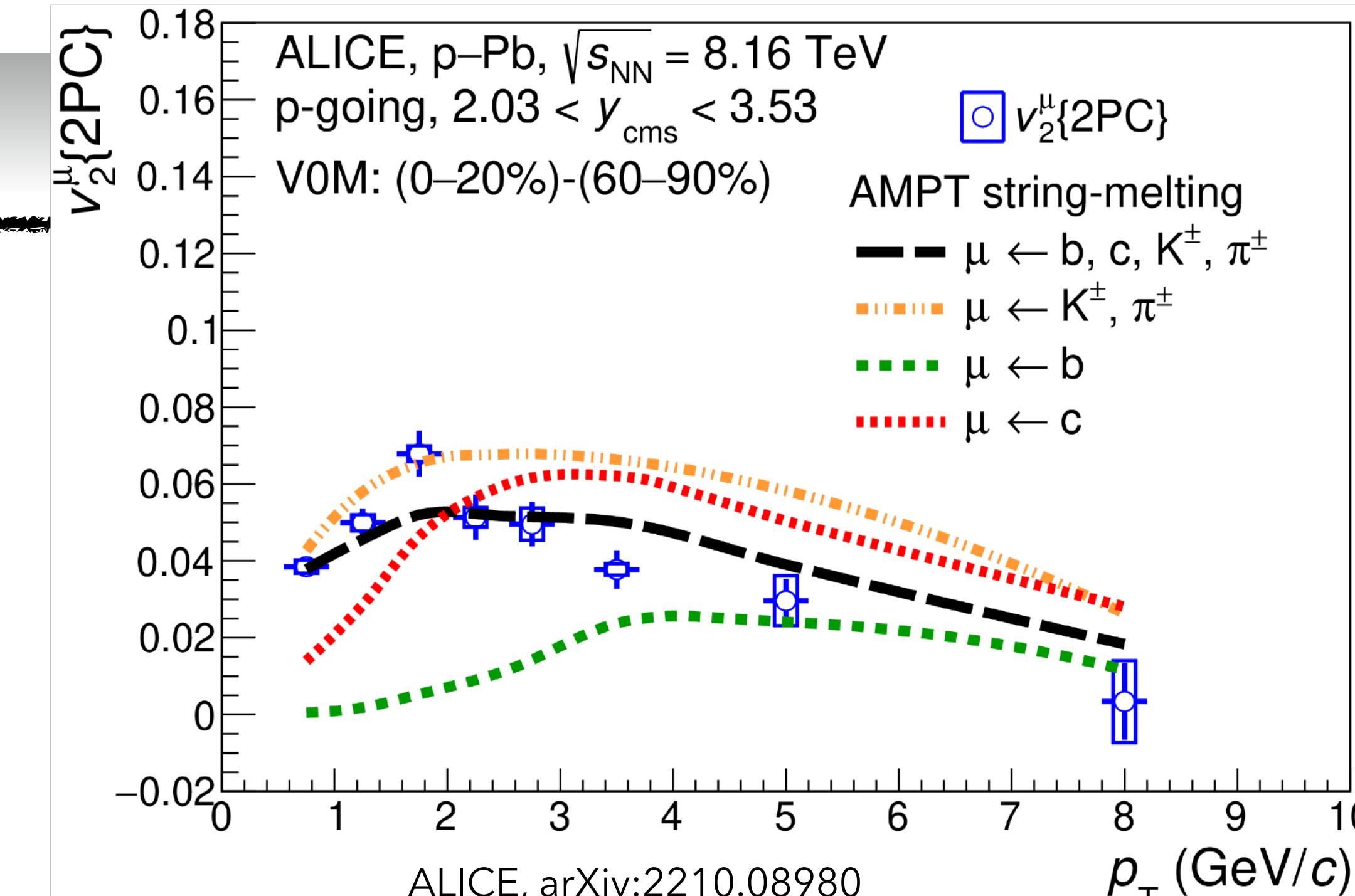
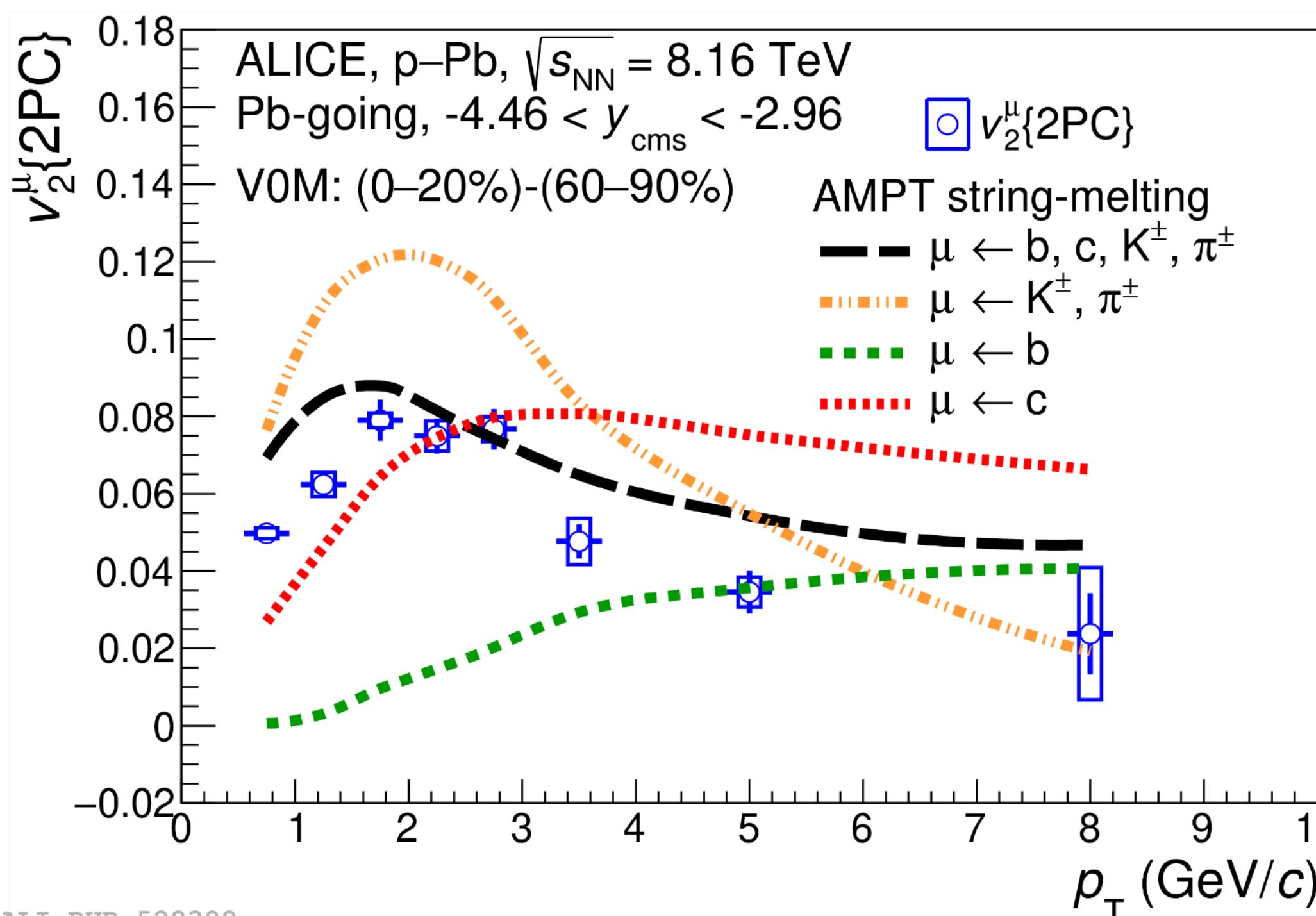
# Azimuthal anisotropy of $D^0$ in small systems



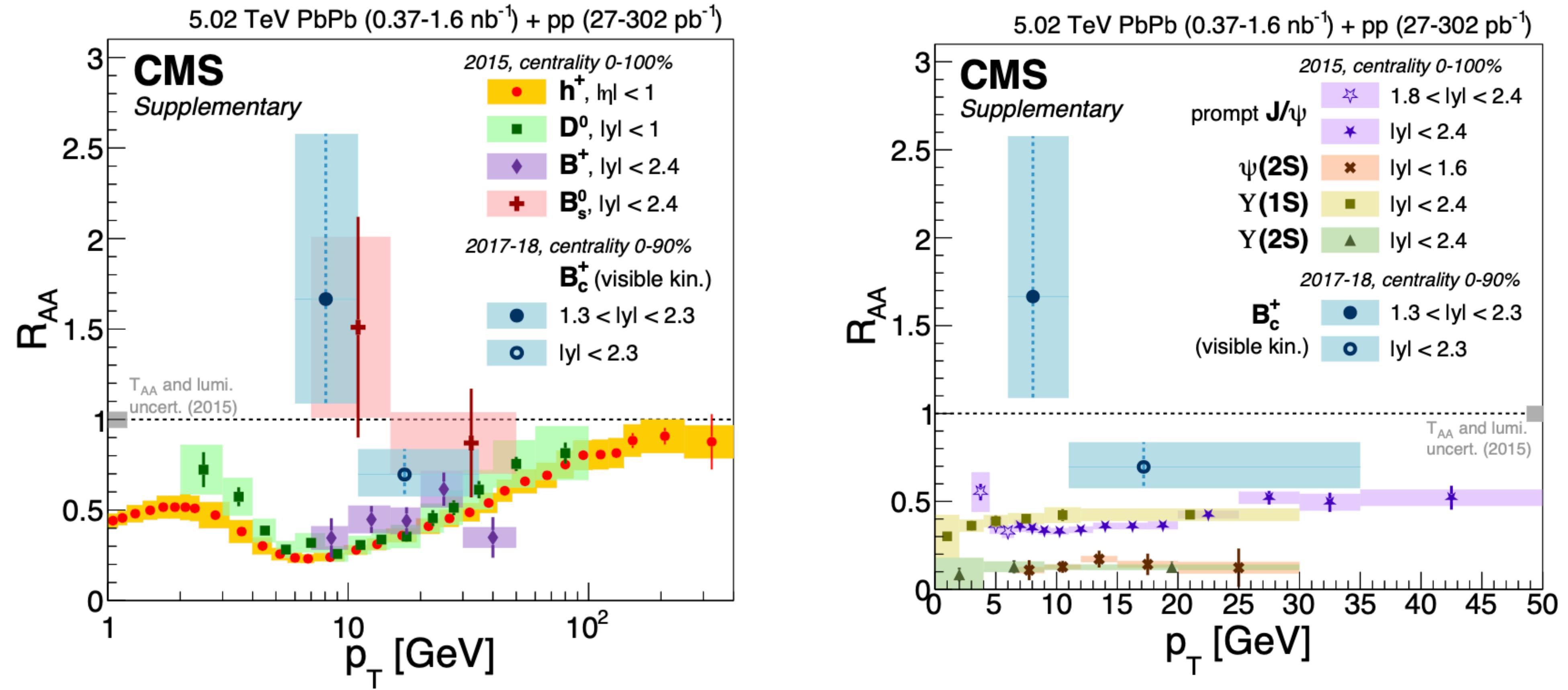
- In **pp**, positive prompt  $D^0 v_2^{\text{sub}}$  at high multiplicity → **collectivity being developed for charm** similar to that of light hadrons.
- Comparable prompt  $D^0 v_2^{\text{sub}}$  values in pp and p-Pb at similar multiplicities.**
- Results consistent with  **$v_2$  flavour hierarchy** for  $2 < p_T < 5 \text{ GeV}/c$  in p-Pb  
 **$v_2$  (non-prompt  $D^0$ ) <  $v_2$  (prompt  $D^0$ )**. Compatible with scenarios where  $v_2$  is generated either via final state scatterings or via a large impact of initial state effects.

CMS, [Phys. Rev. Lett. 121, 082301 \(2018\)](#)  
CMS, [Phys. Lett. B 813 \(2021\) 136036](#)

Dong et al, [Ann. Rev. Nucl. Part. Sci. 69 \(2019\) 417-445](#)  
Zhang et al, [Phys. Rev. D 102, 034010 \(2020\)](#)

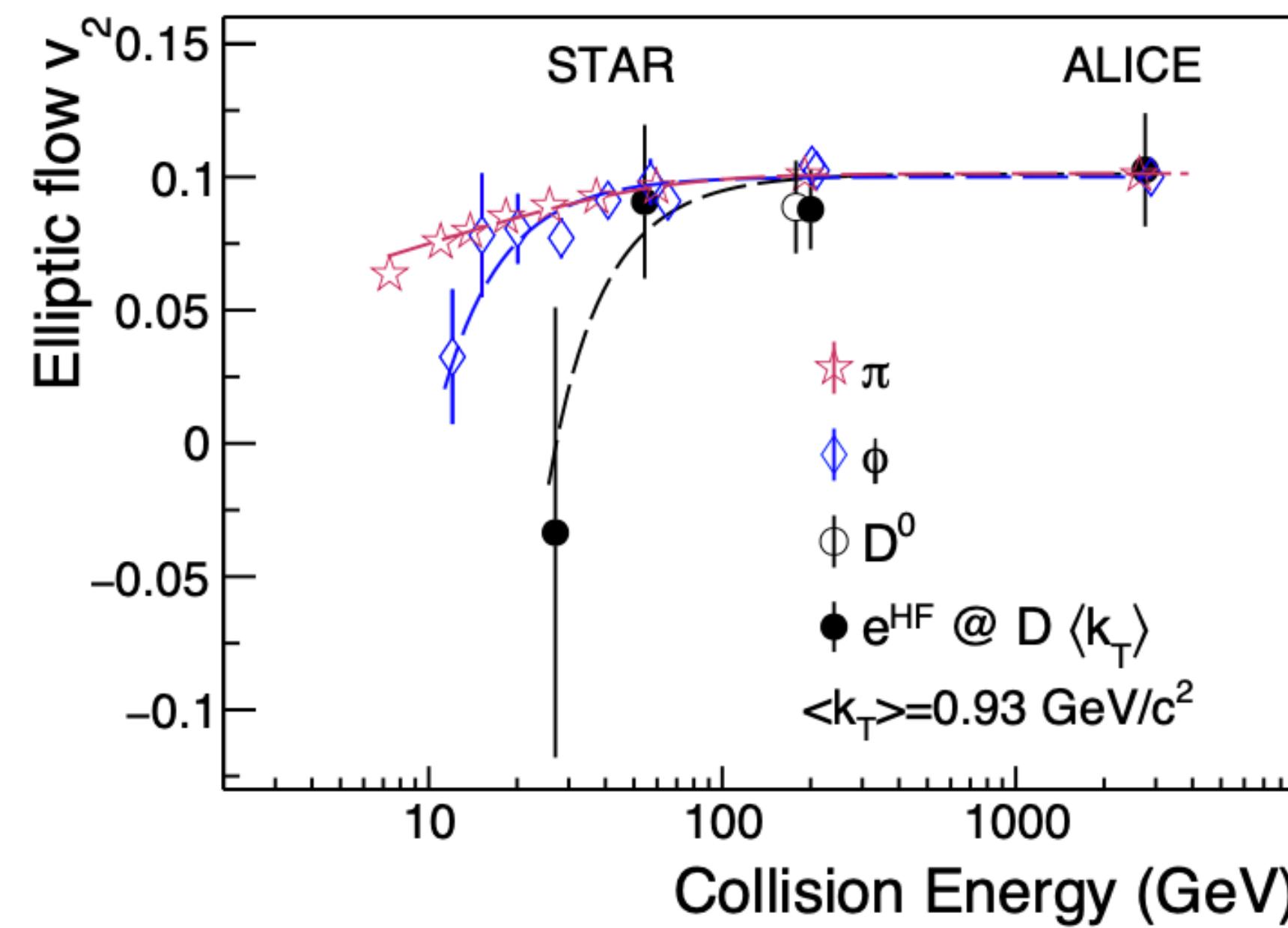


# $B_c^+$ meson in PbPb

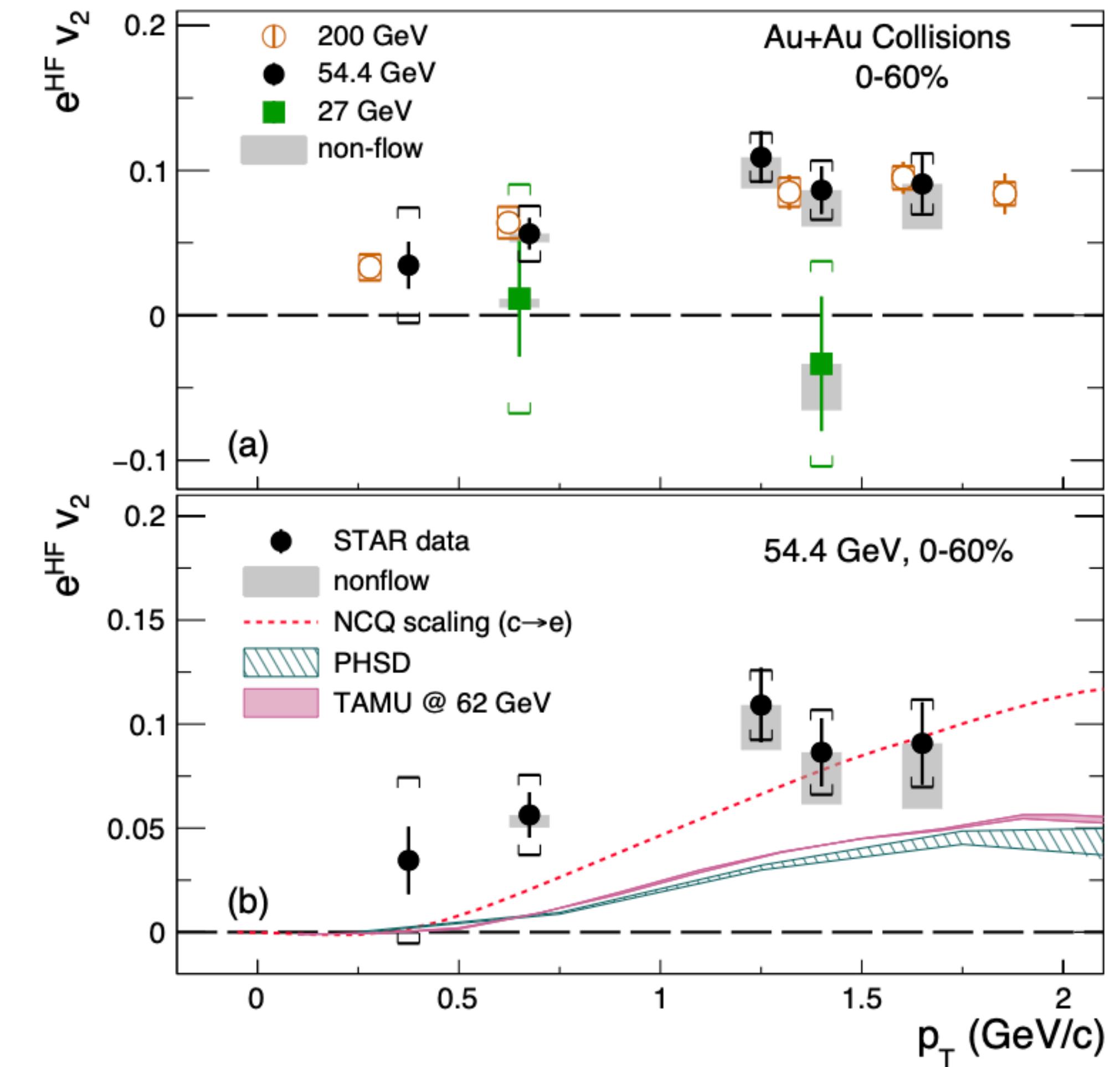


CMS, [Phys. Rev. Lett. 128, 252301 \(2022\)](#)

# $v_2$ at RHIC

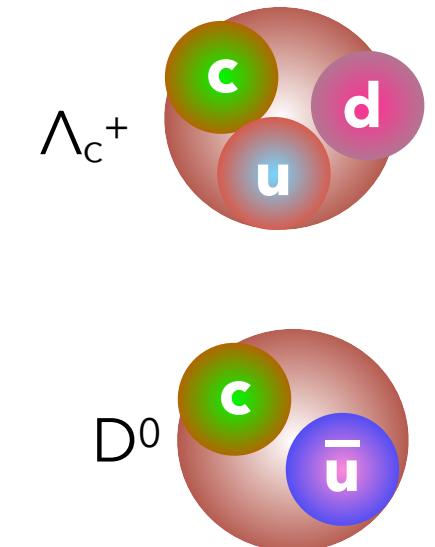
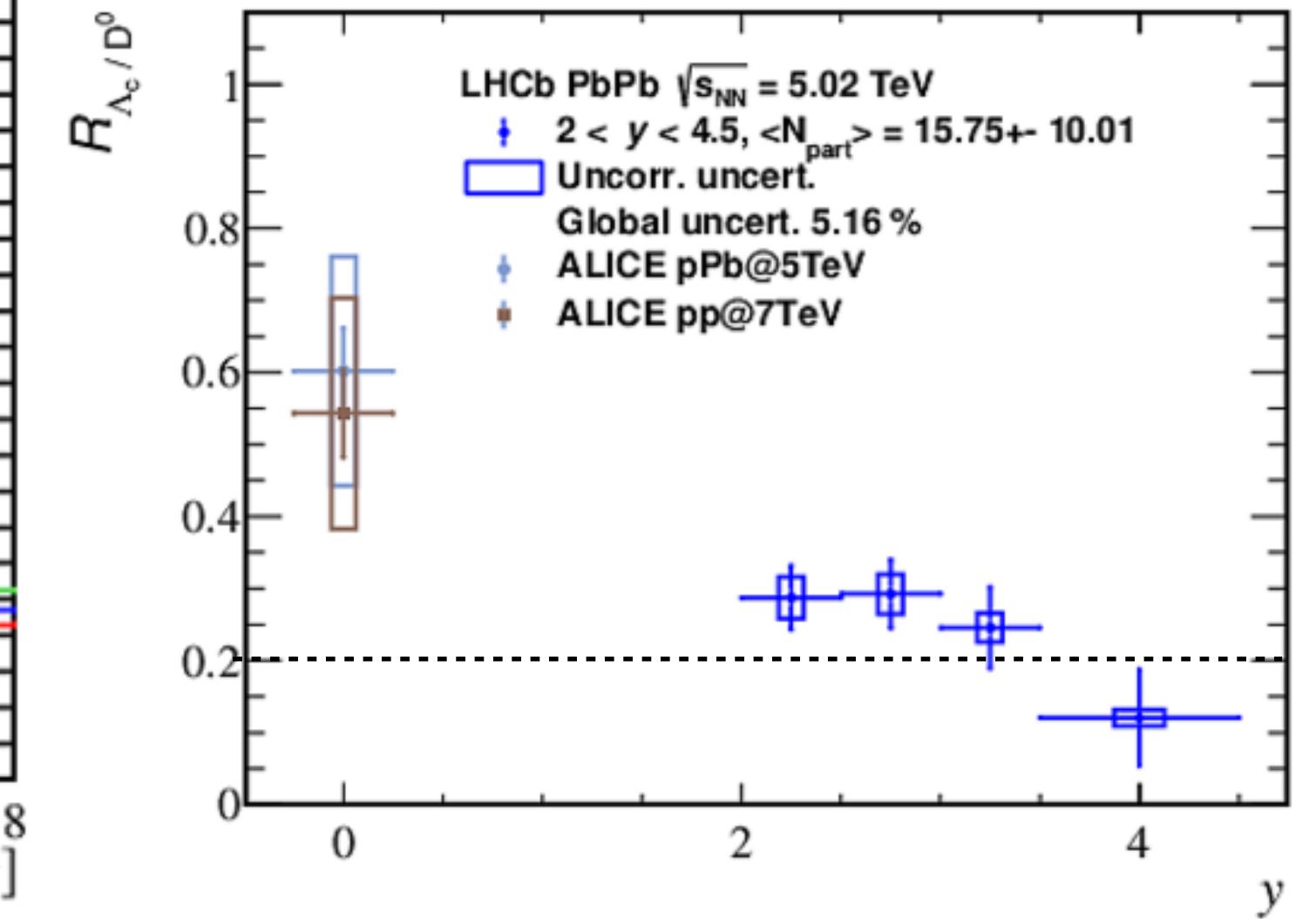
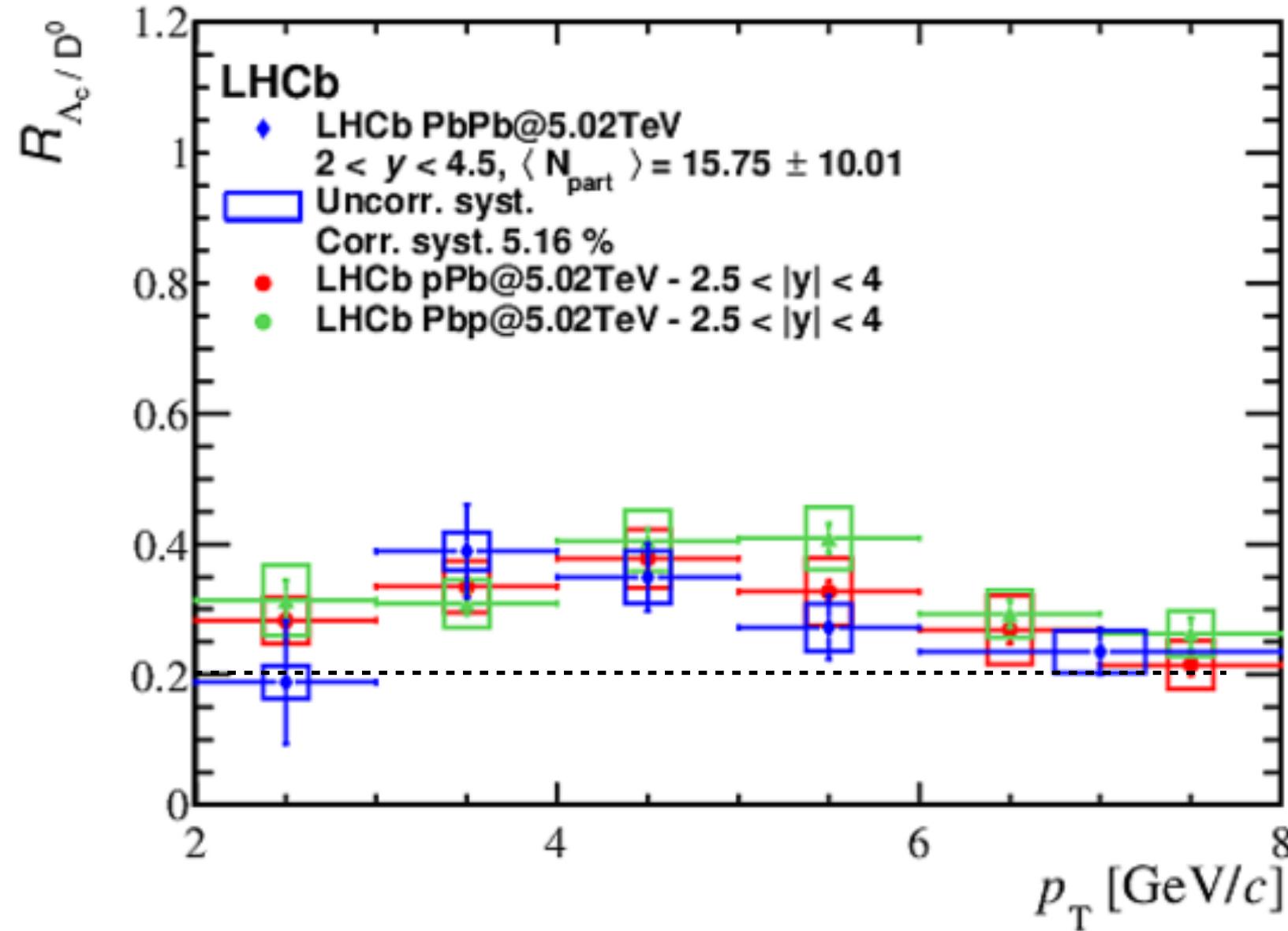
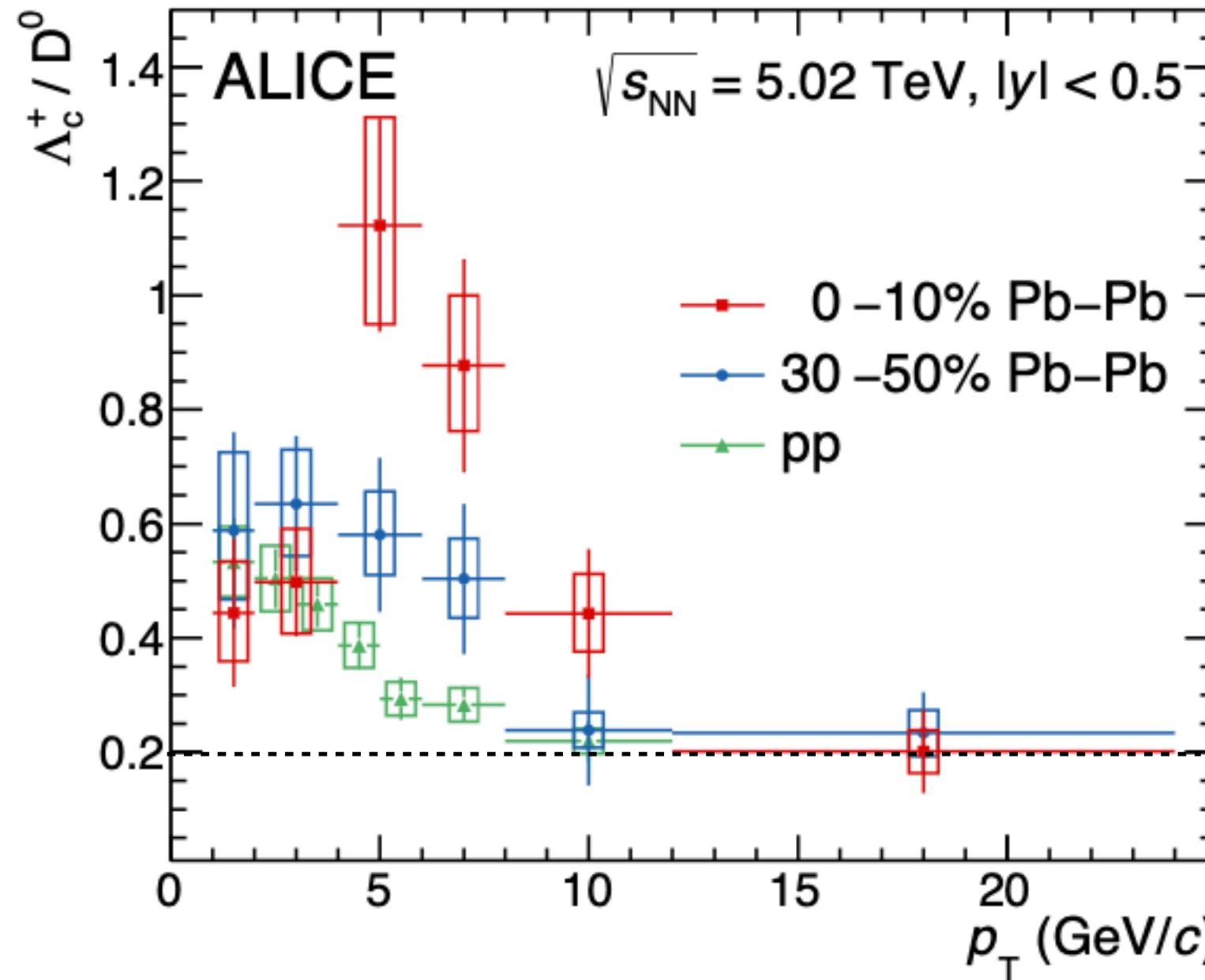


STAR, arXiv:2303.03546



ATLAS, pp, PRL 124 (2020) 082301

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LHCb, [arXiv:2210.06939](https://arxiv.org/abs/2210.06939)

ALICE, [arXiv:2112.08156](https://arxiv.org/abs/2112.08156)